# **Proceedings of the 13th International Newborn Brain Conference: Neuroprotection strategies in the neonate**

Virtual conference, February 10th-12th 2022

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## Effects of combined treatment of Inter-Alpha Inhibitor Proteins (IAIPs) with hypothermia in neonatal rats exposed with hypoxic-ischemic (HI) brain injury

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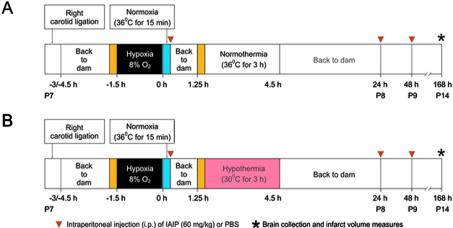
**BACKGROUND:** Hypoxic-ischemic (HI) brain injury is one of the most common neurological problems observed in infants. Hypothermia is the only approved therapy for neonatal HI encephalopathy. This therapy is only partially protective, cannot be used in preterm infants, and has a narrow therapeutic window after birth. Therefore, additional adjunctive therapies are urgently required. IAIPs are naturally plasma-derived proteins. We have previously shown that IAIP alone reduces cortical neuronal cell death, improves behavior outcomes, and attenuates brain volume loss in neonatal HI rats (Chen et al., 2019; Schuffels et al., 2020). However, the therapeutic effects of the combined treatment of IAIPs with hypothermia have not been previously established in neonatal rats.

**PURPOSE:** To examine the effects of combined treatment of IAIPs with hypothermia on brain infarct volumes after exposure to HI insults in male and female neonatal rats.

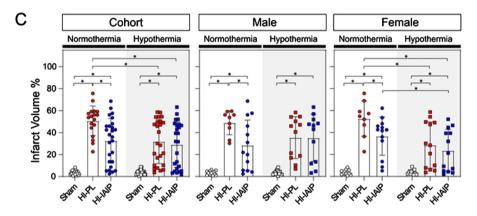
**METHODOLOGY:** The Rice-Vannucci method was used to induce HI, e.g. unilateral carotid artery ligation followed by hypoxic exposure to 90 min of 8% O2 at postnatal (P) day 7. After recovery of HI, the pups were treated either with normothermia (rectal temperature 36°C) or hypothermia (rectal temperature 30°C) for 3 h. The rats were randomly assigned to 6 groups: (1) normothermia+Placebo(PL, Sham); (2) normothermia+HI-PL; (3) normothermia+HI-IAIP; (4) hypothermia (rectal temperature 30)+Sham; (5) hypothermia+HI-PL; and (6) hypothermia+HI-IAIP. HI animals received PL (phosphatebuffered saline) or human plasma-derived IAIPs (30 mg/ kg) intraperitoneally (i.p.) at 0, 24, and 48 h after HI. At P14, brains were collected for infarct volume measurement (Fig. A and B).

**RESULTS:** Under normothermia, treatment with IAIPs significantly attenuated brain volume loss in cohort (male+female), male and female neonatal rats (P<0.05, Fig. C). Compared to the normothermia+HI-PL group, the

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Intraperitoneal injection (i.p.) of IAIP (60 mg/kg) or PBS Brain collection and infarct volume measu
 About 15 min needed to obtain the target temperature in sentinels



combined treatment of IAIPs with hypothermia attenuated brain volume loss in cohort and females (P<0.05), but not in males. In females, the brain volume loss in hypothermia+HI-IAIP groups was reduced compared to the normothermia+HI-IAIP, suggesting that the combined treatment of IAIPs with hypothermia enhanced IAIP neuroprotection in HI brain injury. No difference between hypothermia+HI-PL and hypothermia+HI-IAIP groups was observed.

**CONCLUSIONS:** The combined treatment of IAIPs with hypothermia attenuates brain volume loss in cohort and female neonatal rats after exposure to HI. Although IAIPs could be an additional adjunctive therapy to infants that currently receive therapeutic hypothermia, the optimal dose, and therapeutic windows remain to be determined.

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# Therapeutic hypothermia in neonates with hypoxic ischemic encephalopathy: Update systematic review and meta-analysis

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BACKGROUND: Therapeutic hypothermia (TH) is the intervention for neonatal top-ranking hypoxic encephalopathy [1]. However, the recently-published HELIX trial, reported increased short-term and long-term mortality in developing country settings [2]. This necessitates an up-to-date systematic review to evaluate therapeutic hypothermia (intervention) versus normothermia (comparison) in neonatal hypoxic encephalopathy (population), on mortality and neurodevelopment (outcomes); and a separate analysis in developing country settings.

**METHODS:** We searched multiple databases without language or date restrictions, for randomized controlled

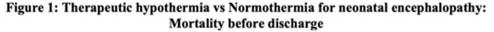


trials (RCT) comparing therapeutic hypothermia (defined as whole-body or selective head cooling, to temperature <34.5° for 48-72hr) initiated within 6hr of birth, versus no hypothermia, in neonates with hypoxic encephalopathy (defined by Apgar scoring and/or cord blood analysis, and supporting clinical findings). We included RCTs reporting mortality before discharge, mortality at 18-24mo, mortality or neurologic disability at 18-24mo, disability at 18-24mo, and cerebral palsy at 18-24mo.

**RESULTS:** The searches, updated to 30-September-2021, identified 36345 citations. Step-wise screening of titles, abstracts, and full-text, by two authors independently, short-listed 149 citations, of which 33 publications, reporting 29 trials, were included. Using Cochrane Risk of Bias 2 tool two authors independently categorized, 11, 8, and 10 RCT as having high, moderate, and low risk of bias. Meta-analysis yielded the relative risks (with 95% CI). Mortality before discharge: 0.83 (0.71, 0.98), 23

trials, 2221 participants, I2 37% (Figure 1). Mortality at 18-24mo: 0.88 (0.78, 1.01), 11 trials, 2042 participants, I2 51% (Figure 2). Mortality or neurologic disability at 18-24mo; 0.79 (0.72, 0.86), 10 trials, 1917 participants, I2 54% (Figure 3). Neurologic disability at 18-24mo: 0.63 (0.53, 0.75), 10 trials, 1327 participants, I2 37% (Figure 4). Cerebral palsy at 18-24mo: 0.63 (0.50, 0.78), 8 trials, 1136 participants, I2 39%. These data suggested statistically significant benefit for all outcomes except mortality at 18-24mo of age. Subgroup analysis by study setting (developed versus developing countries) showed stark differences in mortality before discharge: RR 0.68 (0.51, 0.92), 8 trials, 790 participants, I2 0% versus 0.92 (0.76, 1.11), 15 trials, 1431 participants, I2 48%; and mortality at 18-24mo: 0.79 (0.66, 0.93), 7 trials, 1212 participants, I2 7%, versus 1.05 (0.86, 1.29), 4 trials, 830 participants, I2 65%. Other outcomes showed benefit of TH in both developed and developing countries; the

	Therapeutic hypo	thermia	Normoth	ermia		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Aker 2019	2	25	5	25	2.0%	0.40 [0.09, 1.87]	
Aktsu 2003	0	11	2	10	1.1%	0.18 [0.01, 3.41]	·
Shardwaj 2012	3	62	6	62	2.4%	0.50 [0.13, 1.91]	
Shat 2006	3	15	5	15	2.0%	0.60 [0.17, 2.07]	
Catherine 2020	22	78	29	84	11.3%	0.82 [0.52, 1.29]	
Chen 2018	0	18	1	18	0.6%	0.33 [0.01, 7.68]	
Elcher 2005	10	32	14	33	5.6%	0.74 [0.38, 1.41]	
Field 2013	8	56	4	55	1.6%	1.96 [0.63, 6.15]	
acobs 2011	13	110	19	110	7.7%	0.68 [0.36, 1.32]	
oy 2012	1	58	4	58	1.6%	0.25 [0.03, 2.17]	
Un 2006	2	32	2	30	0.8%	0.94 [0.14, 6.24]	
Perrone 2010	1	10	3	11	1.2%	0.37 [0.05, 2.98]	
Rakesh 2017	9	60	16	60	6.5%	0.56 [0.27, 1.17]	
Robertson 2008	7	21	1	15	0.5%	5.00 [0.69, 36.50]	
Shankaran 2002	2	9	3	10	1.1%	0.74 [0.16, 3.48]	
Shankaran 2005	19	102	29	106	11.5%	0.68 [0.41, 1.13]	
Shimi 2014	4	10	8	10	3.2%	0.50 [0.22, 1.14]	
Simbruner 2010	5	62	13	63	5.2%	0.39 [0.15, 1.03]	
Sun 2012	0	23	1	28	0.5%	0.40 [0.02, 9.44]	
Tanigasalam 2015	16	60	30	60	12.1%	0.53 [0.33, 0.87]	
Thayyil 2013	4	17	2	16	0.8%	1.88 [0.40, 8.90]	
Thayyil 2021	72	202	49	206	19.6%	1.50 [1.10, 2.04]	
Yang 2020	1	33	2	30	0.8%	0.45 [0.04, 4.76]	
Total (95% CI)		1106		1115	100.0%	0.83 [0.71, 0.98]	•
Total events	204		248				
leterogeneity: Chi <sup>2</sup> =			- 37X				0.01 0.1 1 10 10
lest for overall effect	: Z = 2.21 (P = 0.03	)					Favours [experimental] Favours [control]



	Therapeutic hypo	thermia	Normoth	ermia		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Azzopardi 2009	42	163	44	162	13.6%	0.95 [0.66, 1.36]	+
Battin 2001	0	7	3	15	0.7%	0.29 [0.02, 4.89]	
Catherine 2020	22	76	29	79	8.7X	0.79 [0.50, 1.24]	
Field 2013	9	56	5	55	1.6%	1.77 [0.63, 4.94]	
Gluckman 2005	36	108	42	110	12.8%	0.87 [0.61, 1.25]	
Jacobs 2011	27	108	42	109	12.9%	0.65 [0.43, 0.97]	-
LI 2009	1	38	3	44	0.9%	0.39 [0.04, 3.56]	
Shankaran 2005	24	102	38	106	11.5%	0.66 [0.43, 1.01]	-
Simbruner 2010	20	53	33	58	9.7%	0.66 [0.44, 1.00]	
Thayyil 2021	84	198	63	201	19.2%	1.35 [1.04, 1.76]	
Zhou 2010	20	100	27	94	8.6N		
Total (95% CI)		1009		1033	100.0%	0.88 [0.78, 1.01]	•
Total events	285		329				
Heterogeneity: Chi <sup>2</sup> = Test for overall effect			- 51%				0.01 0.1 1 10 100 Favours [experimental] Favours [control]

Figure 2: Therapeutic hypothermia vs Normothermia for neonatal encephalopathy: Mortality at 18-24mo of age

	Therapeutic hypo	thermia	Normoth	ermia		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Azzopardi 2009	74	163	86	162	15.9%	0.86 [0.68, 1.07]	-+-
Battin 2001	2	7	4	15	0.5%	1.07 [0.25, 4.53]	
Catherine 2020	27	76	46	79	8.3%	0.61 [0.43, 0.87]	
Gluckman 2005	59	108	73	110	13.3%	0.82 [0.66, 1.02]	
Jacobs 2011	55	107	67	101	12.7%	0.77 [0.62, 0.98]	
LI 2009	7	38	21	44	3.6%	0.39 [0.18, 0.81]	
Shankaran 2005	45	102	64	106	11.5%	0.73 [0.56, 0.95]	
Simbruner 2010	27	53	48	58	8.4%	0.62 [0.46, 0.82]	_ <b>_</b>
Thayyil 2021	98	195	94	199	17.1%	1.06 [0.87, 1.30]	
Zhou 2010	31	100	46	94	8.7%	0.63 [0.44, 0.91]	
Total (95% CI)		949		968	100.0%	0.79 [0.72, 0.86]	•
Total events	425		549				
Heterogeneity: Chi2 =	19.44, df = 9 (P =	0.02); + -	54%				
Test for overall effect							0.2 0.5 1 2 5 Favours [experimental] Favours [control]

### Figure 3: Therapeutic hypothermia vs Normothermia for neonatal encephalopathy: Mortality or disability at 18-24mo of age

	Therapeutic hypo	thermia	Normoth	ermia		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% CI	M-H, Fixed, 95% CI
Azzopardi 2009	32	120	42	117	19.5%	0.74 [0.51, 1.09]	
Battin 2001	2	7	1	15	0.3%	4.29 [0.46, 39.72]	
Catherine 2020	5	76	17	79	7.7%	0.31 [0.12, 0.79]	
Chen 2018	1	18	8	18	3.7%	0.13 [0.02, 0.90]	
Field 2013	4	47	3	48	1.4%	1.36 [0.32, 5.76]	
Gluckman 2005	14	72	21	68	9.9%	0.63 [0.35, 1.14]	
Jacobs 2011	51	91	58	78	28.7%	0.75 [0.60, 0.94]	+
LI 2009	6	37	18	41	7.8%	0.37 [0.16, 0.83]	
Thayyil 2021	14	112	28	136	11.6%	0.61 [0.34, 1.10]	
Zhou 2010	11	80	19	67	9.5%	0.48 [0.25, 0.95]	
Total (95% CI)		660		667	100.0%	0.63 [0.53, 0.75]	•
Total events	140		215				
Heterogeneity: Chi <sup>2</sup> =	14.29, df = 9 (P =	0.11); 12 -	37%				has als in the said
Test for overall effect							0.01 0.1 1 1 10 100 Favours [experimental] Favours [control]

#### Figure 4: Therapeutic hypothermia vs Normothermia for neonatal encephalopathy: Disability at 18-24mo of age

magnitude of effect was greater in developing countries for disability and cerebral palsy.

**CONCLUSION/IMPACT:** These data confirm that TH reduces neurologic disability and cerebral palsy in later infancy in diverse settings. However, the expected benefit on short-term and long-term mortality is uncertain in developing country settings. These findings will help physicians, families, and policy-makers, to make evidence-informed choices and decisions, about therapeutic hypothermia for neonatal encephalopathy.

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## Perinatal stroke presenting as HIE: practical diagnostic and management challenges

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**BACKGROUND:** While therapeutic hypothermia is accepted as a standard of care for improving neurological

outcome for HIE patients, evidence of its benefits for neonatal stroke is lacking. Neurological presentations of HIE and neonatal stroke can be difficult to distinguish. Our observational analysis of neonatal stroke infants highlights challenges in distinguishing between the two groups and reaching an early definitive diagnosis to inform appropriate treatment. This analysis attempts to quantify whether antenatal sentinel events, clinical presentation, CFM and cranial ultrasound help distinguish between the two groups and whether perinatal factors influence early diagnosis and management.

**METHODS:** A retrospective cohort study of term neonates >37 weeks gestation born between May 2011 and April 2020 at a specialized NICU network in the East of England with a principal diagnosis of neonatal stroke. A total of 16 patients were identified of which 8 had been cooled for an initial clinical diagnosis of HIE, and retrospective MRI diagnosis of neonatal stroke. MRI brain injury scores (1a to 3) were based on the National Institute of Child Health and Human Development Neonatal Research Network classification.

**RESULTS:** 15 infants had middle cerebral artery infarction, and one infant had cerebral sinus thrombosis. Of the 8 cooled infants only 3 (37%) of cooled infants fulfilled both Toby A and B criteria.

Infants who received therapeutic hypothermia were more likely to present with early onset seizures (5/8) than infants who were not cooled (1/8) (p=0.019). The CFM

	Cooled infants n=8	Non cooled infants n=8	P value
Early seizures	5	1	0.019
Abnormal neurolo- gy at birth	4	2	0.15
MRI injury score 22	5	6	0.29
MRI injury score <2	3	2	0.29
Needed first line seizure treatment	6	3	0.06
Needed 2 <sup>nd</sup> line seizure treatment	2	5	0.06

showed unilateral seizure activity in 14/16 (87.5%) of infants. Only 1 infant with underlying venous infarction presented with bilateral seizure activity. The background trace was normal in 8 (50%) of infants. There was no correlation between seizure burden and background trace. Cooled infants were more likely to present with abnormal neurology at birth but had a lower seizure burden and MRI injury score than non-cooled infants although this did not reach statistical significance. Cranial ultrasound findings were absent or non-specific.

**CONCLUSION:** Infants with neonatal stroke who present with early seizures are more likely to receive therapeutic hypothermia despite failure to fulfil both Toby A and B criteria. Early suspicion to inform management can be obtained more effectively from CFM while cranial ultrasound findings are generally non-specific. A normal CFM background or unilateral abnormal background with unilateral seizure activity are highly suggestive of neonatal stroke. Evidence of the effectiveness and indication of therapeutic hypothermia in this setting is still lacking. The new BAPM framework for HIE with the inclusion of the CFM in the selection of infants who undergo therapeutic cooling will likely decrease the incidence of infants with neonatal stroke receiving therapeutic hypothermia. Future research in understanding the effectiveness of therapeutic hypothermia on neurological outcomes in neonatal stroke are needed.

## Asphyxia Associated Metabolite Biomarker Investigation (AAMBI). Short-term clinical outcomes and at the age of 22 to 42 months

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BACKGROUND AND PURPOSE: Perinatal hypoxicischemic encephalopathy (HIE) can be ameliorated by Hypothermia and Therapeutic (TH) potentially additionally pharmacological neuroprotective by interventions. Early identification of neonates at risk is not sufficiently reliable based on pH, base deficit and APGAR scores. The AAMBI study aims to define a set of metabolomic biomarkers best suited to identify infants that might benefit from neuroprotective interventions. Here we present a comparison of neonatal short-term clinical outcomes of the AAMBI cohort with neurodevelopmental status at the age of 22 to 42 months (see Table 1).

**METHODOLOGY:** Prospective observational study. Following written informed consent, three groups of infants were recruited:

Group 1: 65 infants meeting local criteria for TH;

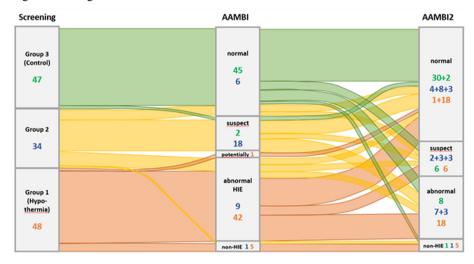
Group 2: 38 infants with suspected perinatal brain injury based on moderate-to-severe perinatal acidosis (pH $\leq$ 7.10 or a base excess  $\leq$ -12mmol/l) or resuscitation within 30min after birth but not undergoing TH;

Group 3: 52 Infants with  $pH \ge 7.25$  and adaptation disorder of the newborn and need of postnatal clinical surveillance.

The neonatal clinical outcome was determined based on Thompson Scores at  $2\pm0.5h$  and  $6\pm1h$  as well as results of central assessments of cMRI and aEEG and graded as normal, suspect, potentially abnormal, abnormal HIE, or abnormal non-HIE. In addition, results of Bayley-Test and/or Ages and Stages Questionnaire (ASQ) and

Table 1 - Selection of Subjects for this presentation

Subjects	Group1	Group2	Group3	Total
included	65	38	52	155
alive at 22-42 months	54	36	50	140
Bayley or ASQ available	48	34	47	129



#### Figure2 - Changes in Outcome

neurological assessment, as well as questions about visual and hearing capabilities, were used for outcome classification at 22-42 months graded normal, suspect, abnormal-HIE and abnormal non-HIE.

**RESULTS:** Figure 2 provides the distribution of inclusion groups to neonatal and 22-42 months neuro-developmental clinical outcomes.

DISCUSSION AND CONCLUSION: For all three patient groups, the clinical diagnosis in the neonatal period does not allow a sufficiently reliable prognosis of the developmental status at 22-42 months. Therefore, classic diagnostic instruments commonly applied in the newborn period (e.g. pH) cannot reliably indicate need for neuroprotective therapy. The AAMBI project aims to achieve improved diagnostic confidence by identifying metabolomic biomarkers. Therefore, blood samples assayed at birth and at 2 and 6h of age will now be subjected to a comprehensive metabolomic analysis.

## Short term outcomes of newborn infants with Hypoxic Ischemic Encephalopathy treated with therapeutic hypothermia in a low resource setting: A retrospective cohort study

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**BACKGROUND:** Hypoxic Ischemic Encephalopathy (HIE) remains a significant cause of death and neurodevelopmental deficits among children, especially in the low and middle income countries. Therapeutic hypothermia which is the mainstay of treatment for hypoxic ischemic encephalopathy in developed countries is not widely practiced in resource limited settings. We aimed to determine the short term outcomes and factors associated with survival among newborn infants with moderate to severe hypoxic ischemic encephalopathy treated with therapeutic hypothermia at a tertiary teaching hospital.

**METHODS:** This was a retrospective cohort study of 81 newborn infants with moderate to severe HIE who were 36 weeks and above that were treated with therapeutic hypothermia between June 2016 and February 2019. Data on maternal and infant characteristics, clinical outcomes were extracted from the HIE registry and HIE follow up forms.

**RESULTS:** The proportion of newborn infants who survived was 68/81(84%), (95%: CI: 0.74, 0.91). The factors associated with survival were a Thompson score of 7 to10 at initiation of the therapeutic Hypothermia (HR: 0.07, 95% CI: 0.01, 0.94) and at 24 hours of cooling (HR: 0.03, 95%CI: 0.004, 0.21), being born within the hospital providing therapeutic hypothermia (HR: 0.26, 95% CI: 0.07, 0.94) and not needing mechanical ventilator (HR: 0.03, 95% CI: 0.01, 0.14) or inotropic support. (HR: 0.13, 95%CI: 0.04, 0.38). The median time to attainment of full cup feeds was 6 days, with the majority 43/68(63%) attaining full feeds from 5 to 8 days. The median time to discharge was 1 and at death was 16

**CONCLUSION:** The survival rate of the infants treated with therapeutic Hypothermia in our setting at 84% is comparably high. Rolling out therapeutic hypothermia in resource limited settings is recommended under strict protocols.

**Key words:** Short term outcomes, Newborn infants, Hypoxic ischaemic encephalopathy, Therapeutic hypothermia Factors independently associated with survival of infants with HIE cooled at St. Francis Hospital, Nsambya between June 2016 and February 2019 at multiple cox proportional regression analysis

	Did the baby	die during adı	nission			
	Yes n=13 (16.0)	No n=68 (84.0)	Unadjusted HR (95% CI)	P value	Adjusted HR (95% CI)	P value
<b>Referral status</b>					· · ·	
Yes	7 (41.2)	10 (58.8)	1		1	
No	6 (9.4)	58 (90.6)	0.19 (0.06, 0.57)	0.003	0.26 (0.07, 0.94)	0.039
Visible seizures docu	mented					
No	5 (16.7)	25 (83.3)	1		1	
Yes	8 (15.7)	43 (84.3)	0.95 (0.31, 2.91)	0.93	0.23 (0.05, 1.01)	0.052
Comorbidity						
Yes	9 (23.1)	30 (76.9)	1		1	
No	4 (9.5)	38 (90.5)	0.42 (0.13, 1.38)	0.154	0.68 (0.16, 2.89)	0.604
Thompson HIE Gra	de before cooling					
≥15	4 (44.4)	5 (55.6)	1		1	
7-10	2 (4)	48 (96)	0.07 (0.01, 0.36)	0.002	0.07 (0.01, 0.94)	0.044
11-14	7 (31.8)	15 (68.2)	0.56 (0.16, 1.92)	0.356	0.7 (0.14, 3.49)	0.664
Thompson HIE Gra	de at 24hours					
≥15	7 (87.5)	1 (12.5)	1		1	
7-10	4 (6.3)	59 (93.7)	0.03 (0.01, 0.11)	< 0.001	0.03 (0.004, 0.21)	< 0.001
11-14	1 (12.5)	7 (87.5)	0.06 (0.01, 0.48)	0.008	0.03 (0.003, 0.31)	0.004
Mechanical Ventilati	on					
Yes	11 (68.8)	5 (31.3)	1			
No	2 (3.1)	63 (96.9)	0.03 (0.01, 0.14)	< 0.001		
Inotrope						
Yes	6 (60)	4 (40)	1			
No	7 (9.9)	64 (90.1)	0.13 (0.04, 0.38)	< 0.001		

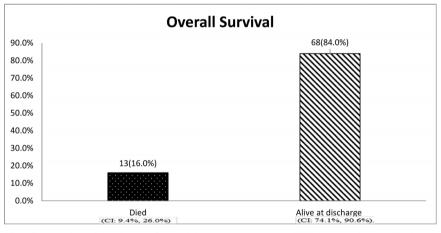


Fig 1. Proportion of new born infants with moderate to severe HIE that survived to discharge and those who died following therapeutic hypothermia at St. Francis Hospital, Nsambya

Use of recombinant human erythropoietin as neuroprotective agent in Hypoxic Ischemic Encephalopathy in term newborns- An open label, randomized controlled trial

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<sup>a</sup>Government Medical College, Aurangabad (MS) India, Aurangabad, India **BACKGROUND:** Perinatal asphyxia is the fifth largest cause of child death. In developing countries, like India, the incidence of perinatal asphyxia was 5% (1). Patients with moderate HIE have a 10% risk of death and 30% risk of disability among survivors. Among patients with severe HIE, 60% patients die and almost all survivors develop disability (2). Babies who received Therapeutic Hypothermia, one third developed disability and another one third didn't survive (3,4). So, there is a need of other therapeutic modalities which have neuroprotective and neurorestorative properties.

MATERIALAND METHODS: Sixty-two term neonates with moderate or severe HIE were randomized by random allocation to receive either EPO 500 U/ kg/ dose in 2 ml saline intravenously (31 neonates) on alternate days for a total of five doses with the first dose given within 24 h of age (treatment group) or 2 ml of normal saline (31 neonates) similarly for a total of five doses (placebo group) along with standard treatment. No hypothermia was given. The primary outcome was end point of death. Secondary outcomes were seizure frequency and need of ventilation. **RESULTS:** Death occurred in 39% of neonates in the treatment group vs 71% in the placebo group

(risk ratio, 0.55; 95% confidence interval (CI) 0.33 to 0.9; P = 0.01). 29% babies in EPO required 2 or more anticonvulsants as compared to 42% in control group (Risk ratio 0.74; 95% confidence interval (CI) 0.38 to 1.46; p = 0.38). Also, we didn't find any significant difference in need of mechanical ventilation in both groups (Risk ratio 0.77 95% confidence interval (CI) 0.52 to 1.14; p = 0.19). **CONCLUSION:** EPO reduces risk of death in term newborns with moderate to severe encephalopathy.

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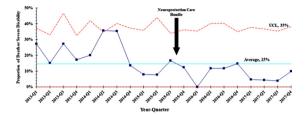
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## Neuroprotection care bundle improved long term neurodevelopmental outcomes in infants born extremely premature preterm

**Benlamri A**<sup>a</sup>, Murthy P, Zein H, Thomas S, Scott J, Abou Mehrem A, Esser M, Lodha A, Noort J, Tang S, Metcalfe C, Kowal D, Irvine L, Scotland J, Leijser L, Mohammad K<sup>a</sup>

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**OBJECTIVE:** To study the impact of an evidence-based neuroprotection care (NPC) bundle on long-term neurodevelopmental impairment (NDI) in infants born extremely premature.



METHODS: A quality improvement study where an NPC bundle consisted of interventions targeting predefined risk factors for acute brain injury in extremely preterm infants (born at gestational age less than 29 weeks) prenatally and during the first three days of birth was developed. This included a combination of neuroprotection interventions such as minimal handling, midline head position, deferred cord clamping, and protocolization of hemodynamic and respiratory managements. Implementation occurred in a stepwise manner, including care bundle development by a multidisciplinary care team based on previous evidence and experience, end-user engagement and education. We compared the incidence of the composite outcome of death or severe neurodevelopmental impairment (sNDI) at 21 months adjusted age pre and post bundle implementation. **RESULTS:** Adjusting for confounding factors, NPC bundle implementation was associated with a significant reduction in death or sNDI at 21 months adjusted age (aOR, 0.35; 95% CI 0.19 - 0.65; P < 0.001), mortality (aOR, 0.35; 95% CI 0.17 - 0.72; P = 0.005), sNDI (aOR, 0.27; 95% CI 0.09 - 0.76; P = 0.013), any Bayley III motor, language, or cognitive composite score < 70 (aOR, 0.46; 95% CI 0.25 - 0.84; P = 0.012).

**CONCLUSIONS:** Implementation of NPC bundle targeting predefined risk factors is feasible and effective in reducing death or sNDI in extremely preterm infants.

## Impact of outreach education on the incidence of acute brain injury in transported premature neonates

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#### <sup>a</sup>University Of Calgary, Calgary, Canada

**AIM:** To evaluate the impact of outreach education on the incidence of acute brain injury in transported premature neonates.

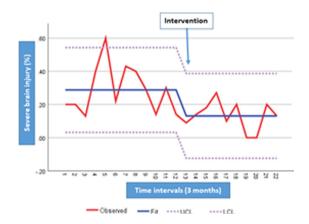
**METHOD:** A prospective cohort study of premature neonates born at < 33 weeks gestational age (GA) and transported to a tertiary center conducted between January 2013 and December 2018 before and following the implementation of neonatal outreach education. The

	_		_		
	I -	re-NPC bundle (n = 93)		Post-NPC bundle n = 88)	P value
Gestational age, wk, median (IQR)	2	9 (26-30)	2	9 (27-30)	.30
Birth weight, g, mean (SD)	1	1249(427)		384 (525)	.063
Male, <u>N(</u> %)	4	5(48)	1	i6 (64)	.039
Antenatal steroids, N(%)	5	6 (60)	4	51 (58)	0.76
Cesarean section, <u>N(</u> %)	4	6 (49)	3	9 (44)	0.49
Multiples, <u>N(</u> %)	1	7 (18)	1	4 (16)	.67
Apgar score at 5 min_ median (IQ)	7	(5-8)	ł	6(5-8)	.23
Arterial cord pH, Mean (SD)	7	.29 (0.12)	7	2.25 (0.14)	.17
Intubated on transport, N(%)	7	9(85)	7	2(82)	0.43
Respiratory distress syndrome, <u>M(%)</u>	7	70 (75)		2 (82)	0.73
Pneumothorax, <u>N(</u> %)	3	3(3)		2(2)	0.69
Early-onset sepsis, N(%)	6	6(6.5)		(3)	.35
Opioids use, <u>N(</u> %)	9	9(9.6)		(4.6)	0.19
PDA required treatment, N(%)	2	23(25)		9(22)	0.56
Inotropes use, <u>N(</u> %)	2	25(27)		4(16)	0.07
NS boluses, N(%)	2	27(29)		5(17)	0.05
	┝		┝		
Inotropes and/or NS, N(%)		8(41)	L	22(25)	0.02
Prophylactic Indomethacin, N(%)	13	(3) Pre-NPC bundle	Ľ	2(2) Post-NPC bun-	0.70
		(n = 93)		dle $(n = 88)$	P value
Acute Brain Injury of any grade, M(%)	)	42 (45)		24 (27)	.012
Moderate to severe PHVD, N(%)		23(25)		9 (10)	.0011
Severe Brain Injury, N(%)		26(28)		12 (14)	.018
Death, <u>N(</u> %)		2 (2)		2 (2)	0.96
Death or severe Brain injury, M(%)		28 (30)		13 (15)	0.014

program comprised of recommendations and interventions aimed at minimizing the risk of acute brain injury through in-person visits, telemedicine, simulation, and the development of a neuroprotection care bundle for very preterm neonates requiring neonatal transport.

**RESULTS:** A total of 181 neonates were included (93) prior and 88 post-intervention). Basic characteristics were comparable between the two groups. Adjusted for confounding factors, the implementation of outreach education was associated with a significant reduction in the rate of composite death and/or severe brain injury (AOR 0.36, 95% CI, 0.15-0.85, P=0.02), severe brain injury (AOR 0.34, 95% CI, 0.14-0.83, P=0.02), moderate to severe post hemorrhagic ventricular dilatation (AOR 0.21, 95% CI, 0.08-0.61, P=0.004), and brain injury of any grade (AOR 0.41, 95% CI, 0.21-0.83, P=0.01).

Conclusions: Implementation of neonatal outreach education targeting neuroprotection can reduce acute brain injury in infants born at < 33 weeks GA who require neonatal transport.



## **Characteristics and mortality in neonates** with moderate to severe encephalopathy managed with induced hypothermia in a tertiary public hospital in south africa

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BACKGROUND: Induced hypothermia (IH) has been shown to reduce death and/or moderate to severe disability in neonates with hypoxic ischemic encephalopathy (HIE). A recent meta-analysis has shown that use of induced hypothermia in neonates with HIE from low- and middleincome countries (LMICs) is associated with reduction in mortality. To the contrary, a recent randomized control trial evaluating IH in South Asia has shown that IH is detrimental and associated with a higher mortality. This study reports on our 5-year experience on neonates with HIE managed with IH in a tertiary public hospital from a LMIC.

**OBJECTIVES:** To determine the characteristics and mortality at hospital discharge in neonates with moderate to severe encephalopathy managed with IH

METHODS: Records of neonates diagnosed with intrapartum asphyxia were reviewed for clinical data, use of IH (cooled or not cooled) and outcomes at hospital discharge. Neonates included in the study were those with a birthweight  $\geq$  1800g, gestational age  $\geq$  36 weeks and had moderate to severe encephalopathy. Comparisons were made between the cooled and not cooled neonates; and between survivors and non survivors within each group (cooled and not cooled).

RESULTS: Sixty-nine percent (595/856) of the 856 neonates that had intrapartum asphyxia, had moderate to severe HIE, 67% (399/592) were managed with IH. Common reasons among the 193 not cooled were; neonate being moribund, unavailability of equipment, presence of pulmonary artery hypertension, improvement in encephalopathy and postnatal age being >6 hours at assessment. Mortality was 17% and 53.4% in cooled and not cooled infants respectively. Amongst both groups nonsurvivors were more likely to be severely encephalopathic, taken a longer time to spontaneous respiration, had higher Thompson scores and lower pH. On multivariate logistic regression analysis,

odds of having severe HIE were 51 fold amongst the nonsurvivors in the non-cooled group [OR 51.5 (CI: 5.86-453), p<0.001].

**CONCLUSION:** Mortality rate in neonates who managed with IH was 17%. Factors associated with mortality were delays in onset of spontaneous respiration, severe acidosis and severity of encephalopathy. Predictor of mortality amongst those who were not cooled was severity of encephalopathy.

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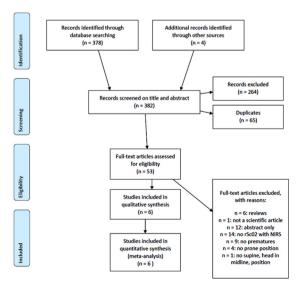
## Cerebral oxygenation and body position in the preterm infant: systematic review and meta-analysis

Maes E<sup>a</sup>, Cools F<sup>b</sup>, Dereymaeker A<sup>a</sup>, Jansen K<sup>c</sup>, Naulaers G<sup>a</sup>, <u>Thewissen L</u><sup>a</sup>

<sup>a</sup>Department of Neonatology, University Hospitals Leuven, Leuven, Belgium <sup>b</sup>Department of Neonatology, University Hospitals Brussels, Brussels, Belgium <sup>c</sup>Department of Pediatric neurology, University Hospitals Leuven, Leuven, Belgium BACKGROUND AND PURPOSE: The ideal body position after preterm birth to promote neurological outcome is still unclear in literature. The prone compared to supine position could lead to a change in near-infrared spectroscopy measured cerebral oxygenation (rSc02), which is a surrogate for cerebral blood flow (CBF). Preterms with an intraventricular hemorrhage (IVH) have lower rScO2 and spent more time in cerebral hypoxia. Furthermore, low values are associated with impaired neurological outcome. A possible hypothesis is that rotating the head, that occurs in the prone position, gives an obstruction of the ipsilateral internal jugular vein with venous congestion. A higher intracranial pressure leads to a reduction in CBF and consequently in a decrease in rSc02 with a higher risk of IVH. Some studies promote this prone position in order to support respiratory function and provide kangaroo mother care. In contrast, the supine position, with the head in a neutral midline position, facilitates the cerebral venous drainage and could thereby reduce the risk of an IVH. We conducted a systematic review and meta-analysis to evaluate the effect of body position on cerebral oxygenation. Therefore we compared cerebral oxygenation in prone with supine position in the preterm infant in the first two weeks after birth.

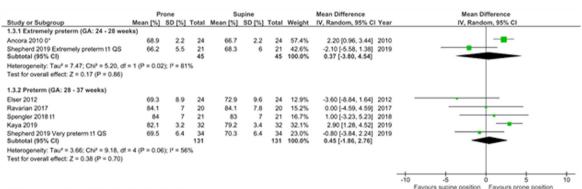
**METHODS:** A comprehensive literature search was performed to identify all trials that included preterm infants in the first two weeks after birth, and compared rSc02 in the prone versus supine head in midline position of the infant. A meta-analysis, including two subgroup-analyses based on postnatal age (PNA) and gestational age (GA), was performed.

**RESULTS:** Six observational cohort studies with moderate to strong methodological quality were included (Fig 1). Only one study showed a significantly higher rSc02 in the prone compared to the supine position. Because of the high degree of heterogeneity, we only report the predefined subanalyses. In the first week PNA, there was no difference in rSc02 between the two positions. In the second week, there was a significantly higher rSc02



	P	rone		Su	pine			Mean Difference		Mean Difference
Study or Subgroup	Mean [%]	SD [%]	Total	Mean [%]	SD [%]	Total	Weight	IV, Random, 95% CI	Year	IV, Random, 95% CI
.1.1 Week 1										
Elser 2012	69.3	8.9	24	72.9	9.6	24	11.1%	-3.60 [-8.84, 1.64]	2012 4	
Ravarian 2017	84.1	7	20	84.1	7.8	20	13.0%	0.00 [-4.59, 4.59]	2017	
ipengler 2018 t1	84	7	21	83	7	21	14.2%	1.00 [-3.23, 5.23]	2018	
Kaya 2019	82.1	3.2	32	79.2	3.4	32	25.6%	2.90 [1.28, 4.52]	2019	
shepherd 2019 Extremely preterm t1 QS	66.2	5.5	21	68.3	6	21	17.1%	-2.10 [-5.58, 1.38]	2019 *	
Shepherd 2019 Very preterm t1 QS Subtotal (95% CI)	69.5	6.4	34 152	70.3	6.4	34 152	19.0%	-0.80 [-3.84, 2.24] 2 -0.03 [-2.24, 2.19]	2019	
est for overall effect: Z = 0.03 (P = 0.98)										
Ancora 2010 0"	68.9	2.2	24	66.7	2.2	24	78.2%	2.20 [0.96, 3.44]	2010	
pengler 2018 t2	77	11	21	76	10	21	3.0%		2018	
hepherd 2019 Extremely preterm t2 QS	64.4	7.6	20	63.8	8.5	20	4.8%		2019	
Shepherd 2019 Very preterm t2 QS Subtotal (95% CI)	69	4.9	30 95	67.6	6.6	30 95	14.0%	1.40 [-1.54, 4.34] 1.97 [0.87, 3.07]		
feterogeneity: Tau <sup>a</sup> = 0.00; Chi <sup>a</sup> = 0.65, df fest for overall effect: Z = 3.52 (P = 0.0004)		3); I* = 0*)	6							
									-	4 2 0 2 4

Test for subaroup differences: Chi<sup>2</sup> = 2.52. df = 1 (P = 0.11). I<sup>2</sup> = 60.3%



Test for subgroup differences: Chi<sup>2</sup> = 0.00, df = 1 (P = 0.97), I<sup>2</sup> = 0%

in the prone position with a mean difference of 1.97% (95% CI 0.87-3.07)(Fig 2). In the subgroup analysis by GA, no difference was observed in rScO2 between both positions in the extremely preterm nor the preterm group (Fig 3).

**CONCLUSION:** There is no consistent evidence that for preterm infants in the first 2 weeks after birth their body position influences rSc02. There was important heterogeneity between studies, however a subgroup-analysis suggests that in the second week after birth, the prone position might result in higher cerebral rSc02 than the supine position with head in midline. Multiple factors such as SaO2 and sleep state influence the rSc02 and determine the best body position in preterms.

# Cross-site comparisons of early exposure to analgesia in preterm neonates

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**BACKGROUND AND PURPOSE:** Early exposure to frequent invasive procedures is related to long-term alterations in brain development in very preterm neonates. Thus, adequate analgesia is important for neuroprotection during this vulnerable time of brain development. However, there is a lack of consensus around the safe and effective management of neonatal pain. Our study aims to characterize cross-site differences in analgesic practices, accounting for exposure to invasive procedures and major surgery, across three tertiary NICUs in Canada.

Table 1: Clinical characteristics by study site

Characteristic	Site 1 (n = 118)	Site 2 (n = 121)	Site 3 (n = 70)	p-value
Gestational age (wks), median (range)	28 (24-33)	28 (23-32)	26 (23-32)	<0.001
Male, n (%)	69 (56)	65 (54)	41 (56)	0.72
Birth weight (g), median (range)	1064 (520-2130)	950 (450-1790)	915 (490-2270)	0.01
SGA at birth, n (%)	18 (15)	15 (12)	6 (9)	0.42
Culture-positive infection, n (%)	103 (87)	41 (34)	25 (36)	<0.001
Any surgery (minor or major) received, n (%)	23 (20)	9 (8)	41 (61)	<0.001
Major surgery received, n (%)	9 (8)	3 (3)	30 (53)	<0.001
Mechanical ventilation received, n (%)	79 (67)	89 (74)	62 (86)	0.004
Mechanical ventilation (days), median (range)	1 (0-76)	3 (0-86)	16 (0-107)	<0.001
Total hospital admission (days), median (range)	69 (7-818)	61 (5-474)	61 (7-516)	0.89
DOL at MRI <sup>a</sup> , median (range)	34 (9-79)	38 (6-102)	37 (4-97)	0.25

MRI data was available for 84 of 118 patients at Site 1, 110 of 121 patients at Site 2, 64 of 70 patients at Site 3

Figure 1: Total number of early (birth to early MRI) invasive procedures (including skin-breaking and non-skin breaking) by study site demonstrating greatest number of invasive procedures at site 3

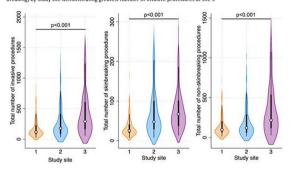


Figure 2: Percentage of patients treated with morphine or sucrose from birth to early MRI by study site showing greatest use of both morphine and sucrose at site 3

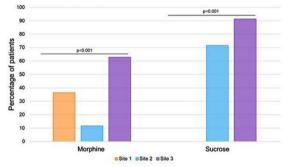
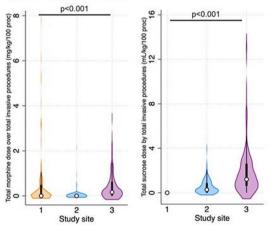


Figure 3: Cumulative dose of morphine and sucrose administered per total number of invasive procedures between birth to early MRI by study site demonstrating highest doses of morphine relative to invasive procedures at sites 1 and 3 and of sucrose relative to invasive procedures at site 3



**METHODS:** Detailed clinical data were collected for 309 very preterm neonates across three tertiary NICUs (Site 1 n=118; Site 2 n=121; Site 3 n=70) between birth and early-life MRI, including daily quantification of invasive procedures as described previously (Vinall et al., 2014), major surgeries, and analgesic medications. Kruskal-Wallis and Fisher's exact tests were used to compare differences in clinical characteristics, number of invasive procedure between sites. To determine whether analgesic use differed by study site for a given number of invasive

procedures, we used multivariate linear regression models with the outcome of cumulative exposure to analgesic medications and the primary predictor as the interaction term between study site and number of invasive procedures; all models accounted for gestational age, days from birth to early MRI and major surgeries.

**RESULTS:** There were significant differences in gestational age, clinical comorbidities, number of invasive procedures, number of surgeries, and analgesia use across sites (Table 1 and Fig 1-2). Infants at site 1 were not exposed to sucrose (Fig 2). Infants at site 3 received higher cumulative doses of morphine and sucrose relative to the number of invasive procedures compared to the other two NICUs, although there was more variability in morphine exposure per number of invasive procedures at Site 1 (Fig 2-3).

**MORPHINE:** Multivariate linear regression models revealed a significant interaction between study site and number of invasive procedures (p<0.001) in predicting early cumulative morphine exposure. Morphine use was also significantly higher in neonates undergoing major surgeries (b=0.1, 95%CI 0.04-0.2, p=0.005). There was also an association between cumulative morphine and cumulative sucrose exposure (b=-0.01, 95%CI 0.001-0.01, p=0.03).

**SUCROSE:** Multivariate linear regression models revealed a significant interaction between study site and number of invasive procedures (p=0.07) in predicting early cumulative sucrose exposure. In contrast with morphine, sucrose use was not associated with surgery exposure.

**CONCLUSION:** Our findings demonstrate that the use of morphine and sucrose significantly varies across three tertiary NICUs in Canada for a given exposure to early-life pain, even when accounting gestational age at birth and exposure to surgery. These findings highlight important differences in clinical practice and the need to develop clinical guidelines to standardize pain management during this sensitive period of brain development in very preterm newborns.

## Feasibility of umbilical cord blood-derived stem cell collection for autologous use in preterm brain injury

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BACKGROUND: Over 1500 infants are born extremely prematurely (28 weeks or less) every year across the Australia New Zealand Neonatal Network, and suffer high rates of brain injury, notably intraventricular haemorrhage periventricular leukomalacia1. Periventricular and leukomalacia is the leading contributor to development of cerebral palsy in this population, resulting in life-long disability2-3. Currently, there are no available treatments for prevention or cure of preterm brain injury and its sequelae. Umbilical cord blood (UCB)-derived cells have shown promise as a treatment for preterm brain injury in pre-clinical models, including neuro-inflammation4, hypoxia-ischaemia5 and perinatal stroke6. These cells have now been given intravenously as an autologous therapy in early-phase trials for hypoxic ischaemic encephalopathy7, congenital hydrocephalus8, and overall preterm morbidity9.While UCB collection and storage in term infants is well established, there is limited data relating to collection of UCB from preterm infants, particularly those born at less than 28 weeks10. We aimed to establish feasibility of collection, processing and storage of UCB-derived stem cells for autologous use for preterm brain injury.

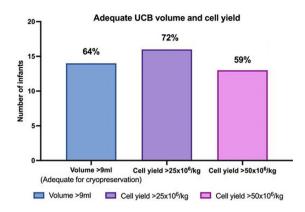
#### Table 2 – UCB volume, cell yield and viability

Gestational age at birth, weeks	UCB volume collected/kg, mL	Total nucleated cell count x 10 <sup>6</sup> /kg	Cell viability, %
24	22.8	207.8	95
24	10.5	*	*
24	28.9	173.6	96
24	20.4	81.6	91
24	24.3	60.9	92
24	<1	*	*
24	19	50.9	96
24	9.9	29.7	96
24	5.5	5.5	62
24	21.1	86.8	95
24	5.2	9.2	89
25	19.2	19.2	82
25	38.4	321.5	91
26	9.8	*	*
26	11.3	61.4	93
26	7.2	11.2	97
27	10.7	56.25	95
27	15	92.1	91
27	16	98.8	92
27	12.1	40.1	96
27	19.3	97.8	95
27	14.2	326.5	92
Mean (SD)	16 (8)	96 (95)	91 (7.9)

Table 1 -	- Demographic	data of infants	s who underwent	UCB collection
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Gestational age at birth in weeks, n	Birth weight in grams, mean (SD)	Sex, n	Mode of delivery, n	Reason for delivery, n	Delayed cord clamping, n	Multiple birth, n
24 (11) 25 (2) 26 (3) 27 (6)	758 (230)	Male (10) Female (12)	Caesarian section (12) Vaginal delivery (10)	Spontaneous preterm labour (18) Antepartum haemorrhage (3) Growth restriction (1)	Yes (17) No (5)	Yes (10) No (12)

**METHODS:** We conducted a prospective study of UCB collection for infants born at <28 weeks at a tertiary maternity hospital in Melbourne, Australia. UCB was collected using 18 gauge needles and 20ml syringes, and placed into heparinised Falcon tubes (Corning, New York, USA), or cord blood collection bags (Macopharma, Tourcoing, France) if the parents elected to have it stored.



UCB volume and demographic data was documented, and samples processed to obtain total nuclear cell count and viability by fluorescence-activated cell sorting (BD FACSymphony, BD Biosciences, Melbourne, Australia). **RESULTS:** Thirty-six women gave antenatal consent for UCB collection, and 22 (61%) had collection attempted and were included in the study. Of those who did not have UCB collected, 8 progressed beyond 28 weeks, and 6 were missed due to sudden delivery and research staff availability. Of infants included in the study, 10 were male and 12 female; 12 (54%) were delivered via caesarean section, 17 (77%) had delayed cord clamping, and 10 (45%) were part of multiple births. Eighteen (81%) were delivered due to spontaneous preterm labour, 3 (13%) due to antepartum haemorrhage, and one (4%) due to severe growth restriction. Average gestational age and birth weight was 25 weeks, and 758 grams respectively (Table 1). Average UCB volume collected was 12ml/kg, and 14 (64%) were adequate volume for cryopreservation (>9ml). Average total nucleated cell vield was 96x106/kg, with 16 (72%) yielding > 25x106/kg, and 13(59\%) >50x106/kg. Average cell viability was 91% (Table 2 and Figure 1).

**CONCLUSION:** UCB collection yielding adequate cells for autologous use was achievable in approximately two thirds of extremely preterm infants. This has enabled progression to our phase 1 clinical trial for preterm brain injury, the CordSaFe study11.

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