



# Local recurrence and survival after treatment of oral squamous cell carcinoma of the maxilla: A systematic review and meta-analysis

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**Objective.** Oral squamous cell carcinoma involving the maxilla (MSCC) is a rare malignancy. The aim was to perform a systematic review and meta-analysis of available literature on local recurrence (LR), overall survival (OS), and associated risk factors of MSCC.

**Study Design.** The Cochrane, PubMed, and EMBASE databases were searched with related keywords and synonyms. The pooled proportions of both LR and OS were subsequently calculated with 95% confidence intervals.

**Results.** In total, 2638 articles were screened on title and abstract, 131 articles were screened on full text, and 20 were included. The pooled 5-year LR rate was 19.3%, and the 5-year OS rate was 53.7%. The subgroup analysis between surgery only and surgery with (neo)adjuvant treatment resulted in an odds ratio (OR) of .76 (95% confidence interval [CI]; .41-1.40).

**Conclusions.** Postoperative (chemo)radiotherapy or preoperative intra-arterial chemoradiotherapy improves survival when adverse tumor characteristics are present. Posterior tumor extension into the soft palate, pterygoid muscle, pterygoid process, and infratemporal fossa was significantly associated with decreased OS in multiple studies. More research into the risk-reduction of local recurrence is warranted. (Oral Surg Oral Med Oral Pathol Oral Radiol 2022;133:626–638)

Squamous cell carcinoma involving the maxilla (MSCC) is a rare subtype of oral cancer. It originates from epithelial cells lining the oral cavity, starting at the maxillary alveolus or hard palate. MSCC usually causes symptoms like tumorous lesions, non-healing wounds and ill-fitting dentures in the early stage.

Surgical treatment is the gold standard for oral MSCC and is accompanied by (neo)adjuvant treatment on indication, depending on tumor stage and cervical lymph node involvement. Complete resection of the maxillary tumor is the primary goal but can be challenging owing to complex anatomy, poor visibility, and poor access. Incomplete resection of large tumors and subsequent local recurrence (LR) account for a large proportion of patient mortality in MSCC. Moreover, various survival-related risk factors have been identified for MSCC.<sup>1,2</sup> Unfortunately, research on this rare subsite of oral cancer is scarce.

This study aimed to perform a systematic review and meta-analysis of available data on surgical treatment outcomes (ie, LR and overall survival [OS] for patients with MSCC). The second objective was to identify factors associated with LR and OS.

## MATERIAL AND METHODS

This study was conducted using a systematic review protocol (PRISMA).<sup>3</sup>

A systematic search was performed using the Cochrane, PubMed, and EMBASE databases for original relevant articles that were published until June 4, 2021. A combination of keywords, MeSH terms, and Emtree terms were used to search for titles and abstracts in the databases.

The keywords “squamous cell carcinoma of the maxilla,” “surgical treatment,” “local recurrence,” “overall survival,” “risk factors,” and their synonyms were used. Human studies with available full-text articles were potentially eligible if they reported on the surgical treatment for MSCC and reported on the primary outcomes of LR and OS and associated risk factors after a 5-year follow-up. Study designs like other systematic reviews or case reports were excluded. Studies with wrong domains (eg, mandibular tumors), wrong determinants (eg, mandibulectomy), or wrong outcomes (eg, quality of life) were also excluded. After removing duplicates, 2 authors (F.J.B.S. and D.A.A.R.) independently screened all titles and abstracts according to the predefined inclusion and exclusion criteria. If there was disagreement, then consensus was reached

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## Statement of Clinical Relevance

This review provides an overview of available literature on oral squamous cell carcinoma of the maxilla concerning local recurrence, survival, and related risk factors. A subgroup analysis concluded that different (neo)adjuvant treatments improve survival when adverse tumor characteristics are present.

by discussion. The resulting full-text articles were then screened in detail for final selection. Snowballing was performed by checking all citations and references in the full-text articles for missed studies in the systematic search. The 2 authors independently extracted data from the included studies using standardized data extraction forms. In case of disagreement, a consensus was reached by discussion.

The following data variables were extracted if present: first author, publication year, study type, inclusion period, sample size, primary tumor location, tumor stage, histology, treatment modalities, follow-up length, primary outcome variables (LR rate, OS rate), secondary outcome variables, associated risk factors, statistical methods, the total number of patients with LR, and the total number of surviving patients. In the case of missing outcome variables, data were synthesized from raw data when sufficiently available. If outcome data could not be synthesized from raw data, then the particular study would not be included in that specific analysis. The quality assessment of the individual studies was done by the 2 authors independently, using the Newcastle-Ottawa scale for nonrandomized studies.<sup>4</sup> A quality score was calculated as the sum of all the scores in the assessment (max of 9). Higher scores indicate higher quality and lower risk of bias. Studies with scores <7 were considered of low quality. Low-quality studies were not included in the meta-analysis. Two outcomes were of interest in the meta-analysis: the 5-year LR rate and the 5-year OS rate. The 5-year LR rate was defined as the percentage of patients who developed tumor recurrence at the primary tumor site within 5 years of surgical treatment, and the percentage of patients who survived 5 years after surgical treatment was defined as the 5-year OS rate.

Funnel plots were computed to assess the presence of reporting biases. Tests of heterogeneity were performed with the inconsistency index ( $I^2$ ). The  $I^2$  cut-off values of <30%, 30% to 59%, 60% to 75%, and >75% were used to indicate low, moderate, substantial, and considerable heterogeneity, respectively.<sup>5,6</sup> If the heterogeneity was significant ( $P < .05$ ), the random-effects model was emphasized in the meta-analysis to account for the random variation within studies and the variation between different studies.<sup>7</sup> The pooled proportions of both LR and OS were subsequently calculated with 95% confidence intervals (CI),<sup>6,8</sup> and forest plots were computed with the results of all studies in chronologic order. The data that support the findings of this study are available from the corresponding author upon reasonable request. Statistical analyses were performed using MedCalc Statistical Software version 15.8 (MedCalc Software bvba, Ostend, Belgium; <https://www.medcalc.org>; 2015).

## RESULTS

The flowchart of the search is presented in [Figure 1](#). The combined search in Cochrane, PubMed, and EMBASE yielded 2947 articles. After removing 309 duplicates, 2638 titles and abstracts were screened, and 2557 articles were excluded. After that, 131 studies were eligible for full-text screening. Subsequently, 111 articles were excluded after the full-text screening, mainly because the study designs and the domains were incompatible. In total, 20 articles were included after the completion of the literary search.

### Study characteristics

An overview of all included studies and their characteristics are presented in [Table I](#).<sup>2,9-27</sup> All 20 included studies were observational. The results of the quality assessment are presented in [Table II](#). All articles were of good quality. The publication years of the included articles ranged from 2008 to 2020, with reported inclusion periods ranging from 1975 to 2018. Sample sizes varied between 20 and 199 patients. The sum of all included patients with MSCC is 1531 (the samples of Slieker et al.<sup>2</sup> and Slieker et al.<sup>27</sup> are the same and therefore counted once). All studies had solely included patients with squamous cell carcinoma. Most studies presented their data on tumor staging, except for 1 study.<sup>25</sup> The proportion of patients with advanced tumor stages (T3-T4) was 731/1447 (51%), and early tumor stages (T1-T2) was 716/1447 (49%).

Treatment modalities of 1185/1531 (77%) patients were specified, and 346/1531 (23%) were not.<sup>12,16,21,24</sup> Nine different treatment modalities were reported, as follows: 748/1185 (63%) patients had surgery only, 277/1185 (23%) had surgery with postoperative radiotherapy, 51/1185 (4%) had surgery with postoperative (chemo)radiotherapy, 40/1185 (3%) had preoperative intra-arterial chemotherapy with radiotherapy and surgery, 10/1185 (0.8%) had preoperative intravenous chemotherapy with radiotherapy and surgery, 3/1185 (0.3%) had preoperative radiotherapy with surgery, 27 of 1185 (2%) had no surgery and chemoradiotherapy, 19 of 1185 (2%) had no surgery and radiotherapy only, and 10/1185 (0.8%) patients had palliative treatment.

Primary radiotherapy or chemoradiotherapy was performed with curative intent in 28/46 (61%) patients,<sup>9,10,20</sup> with palliative intent in 3 of 46 (7%) patients,<sup>9</sup> whereas 3 of 46 (7%) patients refused surgery,<sup>20</sup> and the reason was unspecified in 12 of 46 (26%) patients.<sup>9,25,26</sup> Patients who had primary radiotherapy or chemoradiotherapy had significantly lower survival rates compared with patients with primary surgical treatment.<sup>9,10,20</sup> The following indications for postoperative radiotherapy in 155 of 277 (56%) patients were listed: advanced tumor stage,<sup>11,13,14,19,20,22-24</sup> close/positive surgical margins

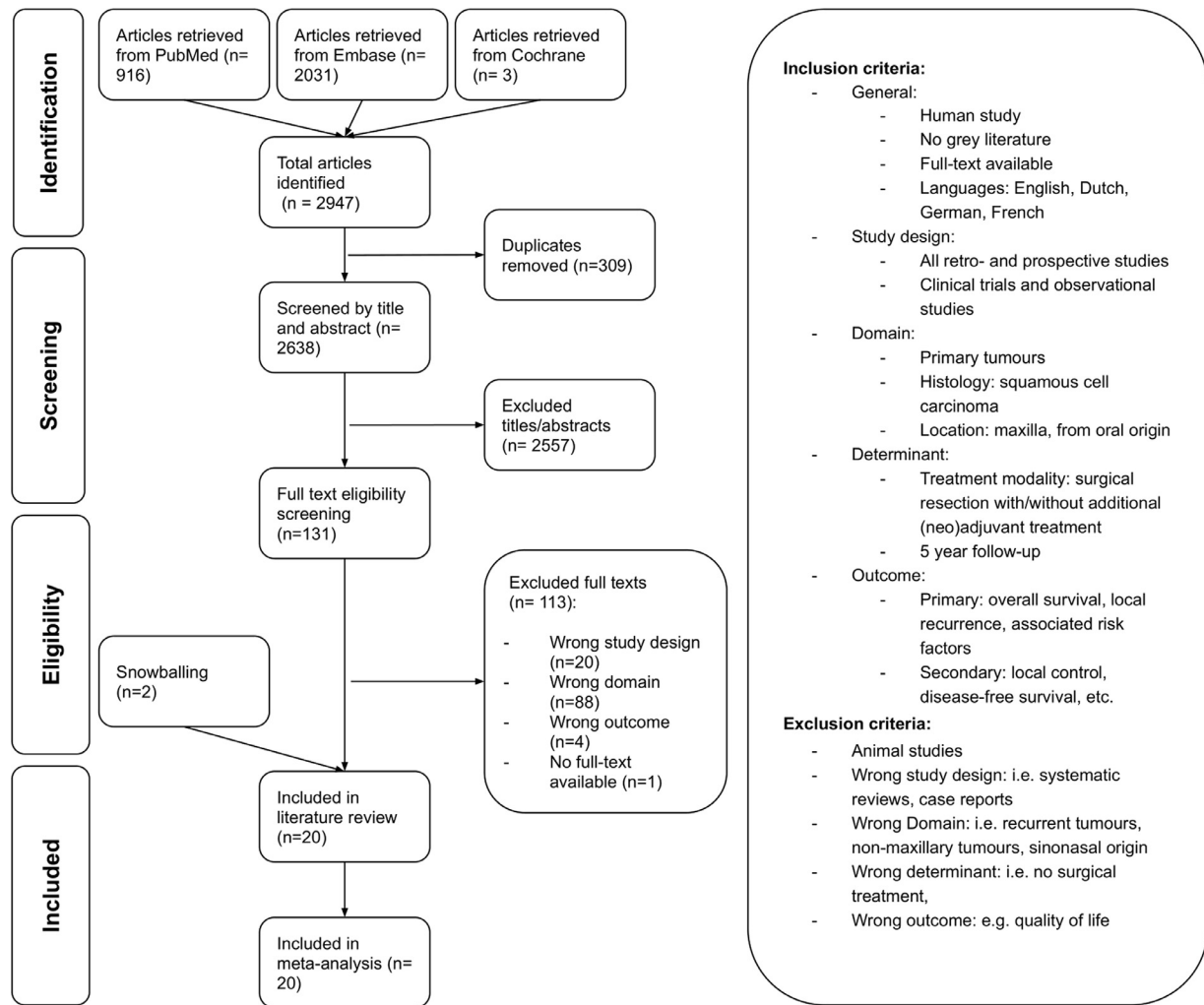


Fig. 1. Flowchart of the literary search.

(after resection),<sup>11,13-15,19,22-24</sup> cervical lymph node involvement,<sup>13-15,19</sup> extracapsular spread,<sup>13-15,20</sup> bone/vascular/perineural invasion<sup>19,20,22</sup> and non-cohesive growth.<sup>19,20,22</sup> The indication for postoperative radiotherapy was not specified for 104/259 (40%) patients.<sup>9,10,17,18,25</sup>

The reported indications for surgery with postoperative chemoradiotherapy were similar to the indications for postoperative radiotherapy.<sup>19,20,23,27</sup> One study specified that chemotherapy was contraindicated if the patient was >70 years or had any other contraindications for chemotherapy.<sup>27</sup> One study administered preoperative intravenous chemoradiotherapy followed by surgery in 10 patients because of the advanced tumor stage and found a significant correlation between LR and preoperative chemoradiotherapy.<sup>23</sup> However, exact treatment regimens were not reported. Another study used preoperative intra-arterial chemotherapy followed by surgery to treat 40 patients with T2 to T4 stage tumors and tumor involvement of the soft palate, pterygoid muscle, and pterygoid process.<sup>26</sup>

Preoperative intra-arterial chemoradiotherapy was conducted with fluorouracil 100 to 300 mg daily for 21 days via cannulation of the superficial femoral artery. Furthermore, 42 patients with T1 to T2 tumors located anteriorly were treated with surgery only.<sup>26</sup>

### Meta-analysis: LR rates

The results of the meta-analyses are presented in Table III, column A. The forest plot is presented in Figure 2A. The primary outcome, ‘5-year LR rate,’ was extracted or synthesized from 14/20 studies. In total, 5-year LR was reported in 230/1168 patients. The reported 5-year LR rates varied between 9.0% and 46.8%. The pooled random-effects 5-year LR rate was 19.3% (range of 15.1%-23.9%). The LR rates have been stable throughout the years, except for 1 outlier.<sup>10</sup>

### Meta-analysis: OS rates

The results of the meta-analyses are presented in Table III, column B. The forest plot is shown in Figure 2B. The outcome ‘5-year OS rate’ was extracted

**Table I.** Overview of included studies

<i>First author</i>	<i>Publication year</i>	<i>Inclusion period</i>	<i>Sample size (n)</i>	<i>Histological tumor type</i>	<i>T-stage of SCC tumors</i>	<i>Treatment modalities of SCC tumors</i>	<i>5-year local recurrence rates</i>	<i>5-year overall survival outcomes</i>
Binahmed et al. <sup>9</sup>	2008	1975-2004	37	Only SCC	T1 = 6 T2 = 9 T3 = 4 T4 = 15 Lost = 3	Surgery only = 14 Surgery with postoperative RT = 9 RT only = 5 ChRT = 1 Palliative treatment = 8	6/37 (16%)	12/37 (33%)
Wang et al. <sup>10</sup>	2010	1997-2007	79	Only SCC	T1 = 4 T2 = 28 T3 = 24 T4 = 23	Surgery only = 37 Surgery with postoperative RT = 18 (Ch)RT = 24	37/79 (47%)	27/79 (34%)
Ramalingam et al. <sup>11</sup>	2011	1999-2009	24	Only SCC	T1 = 3 T3 = 9 T4 = 12	Surgery only = 9 Surgery with postoperative RT = 15	N/A	6/24 (25%)
Poeschl et al. <sup>12</sup>	2011	1992-2007	93	Only SCC	T1 = 9 T2 = 14 T3 = 9 T4 = 61	86 patients had surgery and some had postoperative RT, but it is not specified exactly how many had postoperative RT. (Ch)RT = 7	N/A	66/93 (71%)
Meng et al. <sup>13</sup>	2012	2003-2009	78	Only SCC	T1 = 21 T2 = 25 T3 = 3 T4 = 29	Surgery only = 46 Surgery with postoperative RT = 32	7/78 (9%)	39/78 (50%)
Eskander et al. <sup>14</sup>	2013	1994-2008	97	Only SCC	T1 = 15 T2 = 28 T3 = 5 T4 = 49	Surgery only = 67 Surgery with postoperative RT = 30	12/97 (12%)	43/97 (44%)
Dalal et al. <sup>15</sup>	2013	2000-2010	30	Only SCC	T1 = 1 T2 = 2 T3 = 2 T4 = 25	Surgery only = 15 Surgery with postoperative RT = 15	4/30 (13%)	10/30 (66.7%)
Feng et al. <sup>16</sup>	2013	1998-2011	129	Only SCC	T1 = 27 T2 = 39 T3 = 21 T4 = 42	All patients had surgery, some had postoperative RT, but not specified exactly.	29/129 (22%)	73/129 (56.5%)
Yang et al. <sup>17</sup>	2015	2003-2012	62	Only SCC	T1 = 8 T2 = 20 T3 = 19 T4 = 15	Surgery only = 49 Surgery with postoperative RT = 13	14/62 (23%)	35/62 (57%)
Givi et al. <sup>18</sup>	2016	1985-2011	199	Only SCC	T1 = 76 T2 = 53 T3 = 6 T4 = 64	Surgery only = 155 Surgery with postoperative RT = 44	37/199 (19%)	135/199 (68%)

(continued on next page)

**Table I.** Continued

<i>First author</i>	<i>Publication year</i>	<i>Inclusion period</i>	<i>Sample size (n)</i>	<i>Histological tumor type</i>	<i>T-stage of SCC tumors</i>	<i>Treatment modalities of SCC tumors</i>	<i>5-year local recurrence rates</i>	<i>5-year overall survival outcomes</i>
Koshkareva et al. <sup>19</sup>	2016	Not specified	20	Only SCC	T1 = 3 T2 = 9 T3 = 6 T4 = 2	Surgery only = 8 Surgery with postoperative RT = 7 Surgery with postop. ChRT = 5	4/20 (20%)	10/20 (50%)
Morice et al. <sup>20</sup>	2016	2006-2013	47	Only SCC	T1 = 6 T2 = 5 T3 = 1 T4 = 35	Surgery only = 19 Surgery with postoperative RT = 13 Surgery with postoperative (Ch)RT = 8 RT only = 3 ChRT only = 2 Palliative treatment = 2	N/A	15/47 (32%)
Troeltzsch et al. <sup>21</sup>	2016	2006-2013	92	Only SCC	Tis = 1 T1 = 26 T2 = 25 T3 = 7 T4 = 33	All patients had surgery, some had postoperative RT, but not specified exactly.	16/92 (17%)	73/92 (79%)
Joosten et al. <sup>22</sup>	2017	1990-2014	77	Only SCC	T1 = 21 T2 = 26 T3 = 1 T4 = 29	Surgery only = 63 Surgery with postoperative RT = 14	N/A	48/77 (62%)
Moratin et al. <sup>23</sup>	2018	1999-2016	68	Only SCC	T1 = 24 T2 = 18 T3 = 5 T4 = 18 Lost* = 3	Surgery only = 23 Surgery with postoperative RT = 35 Preoperative (Ch)RT with Surgery = 10	8/68 (12%)	43/68 (63%)
Sun et al. <sup>24</sup>	2019	2000-2012	137 (105*)	Only SCC	T1 = 20 T2 = 54 T3 = 23 T4 = 40	Surgery only = 93 Surgery with postoperative RT = 12 *Excluded from further analysis = 32	15/105 (14%)	68/105 (65%)
Hakim et al. <sup>25</sup>	2019	1991-2018	77	Only SCC	Not specified	Surgery only = 51 Surgery with postoperative RT = 20 RT only = 6	16/77 (21%)	47/77 (61%)
Slieker et al. <sup>2</sup>	2019	2000-2015	95	Only SCC	T1-T2 = 44 T3-T4 = 51	Surgery only = 57 Surgery with postoperative (Ch)RT = 38	N/A	61/95 (64%)
Ohyama et al. <sup>26</sup>	2020	1999-2014	90	Only SCC	T1 = 15 T2 = 32 T3 = 13 T4 = 30	Surgery only = 42 Preoperative RT with surgery = 3 Preoperative intra-art (Ch)RT with surgery = 40 RT only = 5	N/A	74/90 (82%)
Slieker et al. <sup>27</sup>	2020	2000-2015	95	Only SCC	T1-T2 = 44 T3-T4 = 51	Surgery only = 57 Surgery with postoperative (Ch)RT = 38	23/95 (24%)	N/A

SCC, squamous cell carcinoma; RT, radiotherapy; Ch, chemotherapy; ChRT, chemoradiotherapy; (Ch)RT, chemotherapy and/or radiotherapy; *intra-art*, intra-articular, *Tis*, Tumor in situ.

**Table II.** Newcastle-Ottawa quality assessment results

<i>Study (first author)</i>	<i>Selection - representativeness of the cases</i>	<i>Selection - selection of the non-exposed cohort</i>	<i>Selection - ascertainment of exposure</i>	<i>Selection -outcome not present at start of study</i>	<i>Comparability of cases and controls based on design or analysis</i>	<i>Outcome - assessment of outcome</i>	<i>Outcome-follow-up long enough for outcome?</i>	<i>Outcome -adequacy of follow-up of cohorts</i>	<i>Total score (maximum 9)</i>
Binahmed <sup>9</sup>	* (a)	* (a)	* (a)	*	*	* (b)	*	/ (d)	7
Dalal <sup>15</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Eskander <sup>14</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Feng <sup>16</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (a)	9
Givi <sup>18</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (a)	9
Hakim <sup>25</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (b)	9
Joosten <sup>22</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Koshkareva <sup>19</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (a)	9
Meng <sup>13</sup>	* (b)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Moratin <sup>23</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Morice <sup>20</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (b)	9
Ohyama <sup>26</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Poeschl <sup>12</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (b)	9
Ramalingam <sup>11</sup>	* (b)	* (a)	* (a)	*	**	* (b)	*	* (a)	9
Slieker <sup>2</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	* (b)	9
Slieker <sup>27</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Sun <sup>24</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Troeltsch <sup>21</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Wang <sup>10</sup>	* (b)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8
Yang <sup>17</sup>	* (a)	* (a)	* (a)	*	**	* (b)	*	/ (d)	8

In every category, each study could score either no points (/), or one point (\*) and in some cases two points (\*\*). The letters between parentheses correspond with the specific answers in the Newcastle-Ottawa quality assessment scale. For instance, in the column Selection—ascertainment of exposure, the (a) corresponds with ‘secure record (eg, surgical records).’ See Newcastle-Ottawa quality assessment scale for more details.

**Table III.** Pooled results of the meta-analyses on LR (column A), OS (column B), and the subgroup analysis (column C)

A. 5-year local recurrence				B. 5-year overall survival				C. Subgroup analysis: 5-year overall survival per treatment group				
Study (first author)	Total LR/SCC patients	LR rate (%)	95% CI	Study (first author)	Total alive/SCC patients	OS rate (%)	95% CI	Study (first author)	Surgery + (neo)adjuvant treatment (total alive/total patients)	Surgery only (total alive/total patients)	Odds ratio	95% CI
Binahmed et al. <sup>9</sup>	8/37	16.2%	6.2%-32.0%	Binahmed et al. <sup>9</sup>	12/37	32.4%	18.0%-49.8%	Meng et al. <sup>13</sup>	17/32	21/46	1.35	.55-3.34
Wang et al. <sup>10</sup>	37/79	46.8%	35.5%-58.4%	Wang et al. <sup>10</sup>	27/79	34.2%	23.9%-45.7%	Slieker et al. <sup>2</sup>	17/38	34/57	.55	.24-1.26
Meng et al. <sup>13</sup>	7/78	9.0%	3.7%-17.6%	Ramalingam et al. <sup>11</sup>	6/24	25.0%	9.8%-46.7%	Ohyama et al. <sup>26</sup>	32/40	37/42	.54	.16-1.82
Eskander et al. <sup>14</sup>	12/97	12.4%	6.6%-20.6%	Poeschl et al. <sup>12</sup>	66/93	71.0%	60.6%-79.9%					
Dalal et al. <sup>15</sup>	4/30	13.3%	3.8%-30.7%	Meng et al. <sup>13</sup>	39/78	50.0%	38.5%-61.5%					
Feng et al. <sup>16</sup>	29/129	22.5%	15.6%-30.7%	Eskander et al. <sup>14</sup>	43/97	44.3%	34.2%-54.8%					
Yang et al. <sup>17</sup>	14/62	22.6%	12.9%-35.0%	Dalal et al. <sup>15</sup>	10/30	33.3%	17.3%-52.8%					
Givi et al. <sup>18</sup>	37/199	18.6%	13.4%-24.7%	Feng et al. <sup>16</sup>	73/129	56.6%	47.6%-65.3%					
Koshkavera et al. <sup>19</sup>	4/20	20.0%	5.7%-43.7%	Yang et al. <sup>17</sup>	35/62	56.5%	43.3%-69.0%					
Troeltzsch et al. <sup>21</sup>	16/92	17.4%	10.3%-26.7%	Givi et al. <sup>18</sup>	135/199	67.8%	60.9%-74.3%					
Moratin et al. <sup>23</sup>	8/68	11.8%	5.2%-21.9%	Koshkareva et al. <sup>19</sup>	10/20	50.0%	27.2%-72.8%					
Sun et al. <sup>24</sup>	15/105	14.3%	8.2%-22.5%	Morice et al. <sup>20</sup>	15/47	31.9%	19.1%-47.1%					
Hakim et al. <sup>25</sup>	16/77	20.8%	12.4%-31.5%	Troeltzsch et al. <sup>21</sup>	73/92	79.3%	69.6%-87.1%					
Slieker et al. <sup>27</sup>	23/95	24.2%	16.0%-34.1%	Joosten et al. <sup>22</sup>	48/77	62.3%	50.6%-73.1%					
				Moratin et al. <sup>23</sup>	22/68	32.4%	21.5%-44.8%					
				Sun et al. <sup>24</sup>	68/105	64.8%	54.8%-73.8%					
				Hakim et al. <sup>25</sup>	47/77	61.0%	49.2%-72.0%					
				Slieker et al. <sup>2</sup>	61/95	64.2%	53.7%-73.8%					
				Ohyama et al. <sup>26</sup>	74/90	82.2%	72.7%-89.5%					
<b>Total (fixed effects)</b>	230/1168	19.4%	17.1%-21.7%	<b>Total (fixed effects)</b>	864/1499	57.8%	55.3%-60.3%	<b>Total (fixed effects)</b>	66/110	92/145	.76	.44-1.30
<b>Total (random effects)</b>		19.3%	15.1%-23.9%	<b>Total (random effects)</b>		53.7%	46.3%-61.1%	<b>Total (random effects)</b>			.76	.41-1.40

LR, local recurrence; SCC, squamous cell carcinoma; CI, confidence interval.



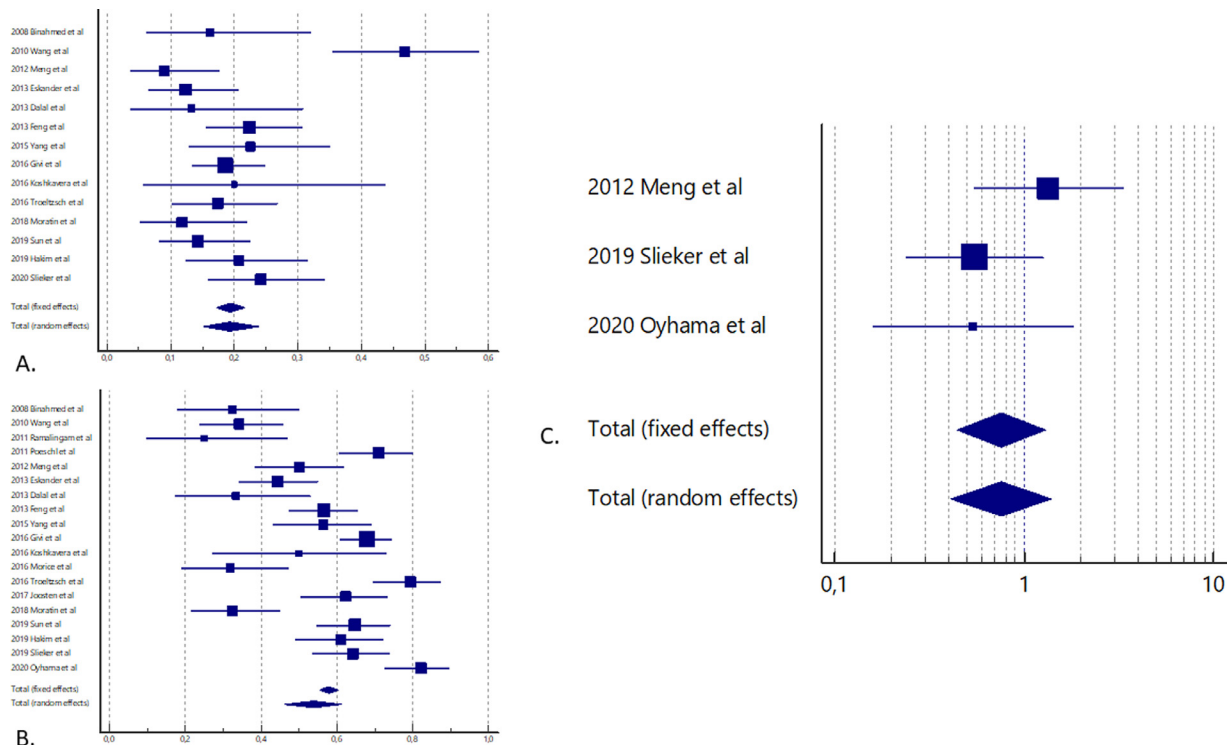


Fig. 2. Forest plots of the meta-analyses. (A) Forest plot of 5-year LR rates: studies are listed on the y-axis. The x-axis is the LR rate ( $\times 100\%$ ). (B) Forest plot of the 5-year OS rates: studies are listed on the y-axis. The x-axis is the OS rate ( $\times 100\%$ ). (C) Subgroup analysis of treatment groups ‘surgery only’ vs ‘surgery + (neo)adjuvant treatment’: studies are listed on the y-axis. On the x-axis are the odds ratios ( $<1$  favors the surgery group,  $>1$  favors the (neo)adjuvant group).

or synthesized from 19/20 studies. In total, 864/1499 patients survived after 5 years. The reported 5-year OS rates varied between 25% and 82.2%. The pooled random-effects 5-year OS rate was 53.7% (range of 46.3%-61.1%). The forest plot demonstrates that the 5-year OS rate was lower in 5 studies.<sup>9-11,15,20</sup>

**Subgroup analysis: Surgery only vs surgery with (neo)adjuvant treatment**

There were 4 studies from which the 5-year OS rate per treatment group could be extracted or synthesized.<sup>2,13,17,26</sup> However, one study did not specify their treatment protocol in any way and was consequently removed from the subgroup analysis.<sup>17</sup> In the remaining 3 studies,<sup>2,13,26</sup> all patients were primarily treated with surgery only or surgery with (neo)adjuvant treatment. In case of advanced disease, the following were reported: close/positive surgical margins (after resection); cervical lymph node involvement; extracapsular spread; unfavorable histopathologic features<sup>2,13,26</sup> and involvement of soft palate/pterygoid process/pterygoid muscles<sup>26</sup>; and either postoperative radiotherapy,<sup>13</sup> postoperative (chemo)radiotherapy,<sup>2</sup> or preoperative intra-arterial chemoradiotherapy.<sup>26</sup> The results of the subgroup analysis are listed in Table III, column C. The forest

plot is displayed in Figure 2C. The pooled random-effects odds ratio (OR) on the 5-year OS rate between the 2 treatment groups was not statistically significant: OR of .76 (95% CI: .41-1.40).

**Funnel plots and heterogeneity tests**

Funnel plots of the studies are presented in Figure 3. The funnel plot of the LR meta-analysis is symmetric, with 1 outlier.<sup>10</sup> Heterogeneity was substantial ( $I^2$  index of 71.97%,  $P \leq .0001$ ), but if the outlier<sup>10</sup> was removed from the analysis, heterogeneity was not significant ( $P = .20$ ). The funnel plot of the OS meta-analysis is asymmetric. Heterogeneity was considerable ( $I^2$  index of 88.2%,  $P \leq .0001$ ). The funnel plot of the subgroup analysis of patients treated with surgery (with or without [neo]adjuvant treatment) was symmetric. Heterogeneity was not significant ( $P = .29$ ).

**Risk factors - LR**

LR was significantly correlated with 4 risk factors (Table IV). Positive surgical margins were significantly associated with LR in 1 study.<sup>12</sup> Patients with positive surgical margins were treated with adjuvant radiotherapy in this specific study.<sup>12</sup> However, 2 other studies had different treatment protocols. They found no statistical correlation with positive surgical margins<sup>19,27</sup>:



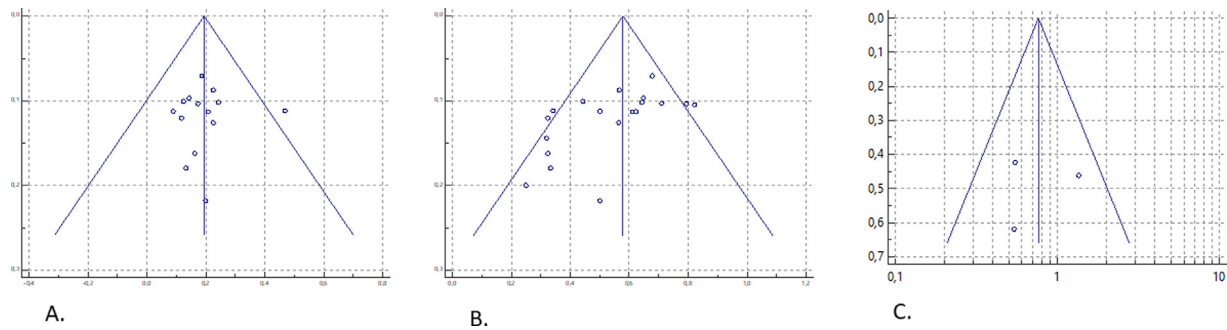


Fig. 3. Funnel plots. (A) Funnel plot of the meta-analysis on the 5-year LR rate. (B) Funnel plot of the meta-analysis on the 5-year OS rate. (C) Funnel plot of the subgroup analysis of treatment groups.

either the patients with positive surgical margins were treated with resection, if possible, and adjuvant (chemo)radiotherapy,<sup>27</sup> or the patients were treated with adjuvant (chemo)radiotherapy.<sup>19</sup> Similarly, perineural invasion was significantly associated with LR in 1 study ( $P = .0423$ ),<sup>19</sup> but this was not corroborated in another study ( $P = .599$ ).<sup>27</sup> The same was found for vascular invasion.<sup>19,27</sup> Both studies had different treatment protocols. One applied adjuvant (chemo) radiotherapy,<sup>19,27</sup> but the other study also performed resection in case both adverse tumor characteristics and positive surgical margins were present.<sup>27</sup>

In addition, tumor location was correlated with LR in 1 study<sup>21</sup> but not in another study.<sup>23</sup> Both studies defined tumor location differently, either as hard palate/maxillary alveolus<sup>21</sup> or molar and retromolar area.<sup>23</sup>

### Risk factors—OS

Various factors were correlated with OS (Table IV). Age,<sup>2,20</sup> advanced tumor stage (T3-T4),<sup>10,17,22,24,25</sup> and positive surgical margins<sup>2,11-13,17,20,25</sup> were all correlated with decreased OS rates in multiple studies. In addition, the following 3 histopathologic tumor characteristics were correlated with decreased OS rates: large tumor volume,<sup>23</sup> ulcerative tumor,<sup>23</sup> and non-cohesive tumor growth.<sup>2</sup> However, these histopathologic risk factors have not been verified in other studies.

Furthermore, posterior tumor location, defined as tumor involvement of the soft palate, infratemporal fossa, pterygoid muscles, and pterygoid process, was correlated with decreased OS rates in multiple studies.<sup>10,13,26</sup> Moreover, tumor involvement of the nasal fossa, maxillary sinus, and orbital floor was also correlated with decreased OS rates.<sup>20</sup> One study demonstrated that significant postoperative midfacial defects are also associated with reduced OS rates.<sup>24</sup> Five studies reported that cervical lymph node involvement was correlated with decreased OS rates.<sup>2,17,20-22</sup> Three studies found no significant correlation between cervical lymph node involvement and survival.<sup>13,25,26</sup>

In the first study, there were 46/78 (59%) patients with T1-T2 tumors, and all patients with T3-T4 tumors were deemed at high risk for regional failure and were treated with neck dissections.<sup>13</sup> In the second study, 71/77 patients had a primary surgical resection, and a large proportion (59/71) of these patients had neck dissections, of which 22/59 were elective (12 T1, 10 T2).<sup>25</sup> The third study used a standardized treatment protocol for late-stage T2 and T3-T4 tumors, consisting of maxillary resection with neck dissection, neoadjuvant intra-arterial chemotherapy, and cervical lymph node involvement adjuvant radiotherapy of the neck. Although cervical lymph node involvement was significantly correlated with decreased OS rates in the univariate analysis ( $P = .015$ ), cervical lymph node involvement was not significant in multivariate analysis ( $P = .076$ ).<sup>26</sup>

Two studies specifically investigated elective neck dissection as a potential prognostic factor.<sup>16,18</sup> One of these studies reported that elective neck dissection had significant survival benefits for patients with T2-T4 tumors ( $P = .048$ ).<sup>16</sup> The other study indicated that elective neck dissection was significantly correlated with lower regional recurrence rates ( $P = .031$ ) and improved OS rates ( $P = .043$ ).

Furthermore, 1 study noted that tumor recurrence was significantly correlated with lower rates of OS ( $P < .0005$ ), although no significant difference between local or regional recurrence could be calculated ( $P = .778$ ).<sup>12</sup>

The significant correlation between tumor recurrence and OS rate was corroborated in another study. However, this study analyzed either LR ( $P < .01$ ) separately or LR grouped with regional recurrence ( $P = .001$ ).<sup>17</sup> Moreover, 2 additional studies reported that LR not surgically salvageable or requiring extensive salvage surgery was significantly correlated with decreased rates of OS.<sup>10,27</sup>

Lastly, patients with distant metastasis had significantly decreased OS rates ( $P = .04$ ).<sup>25</sup>

**Table IV.** Risk factors associated of MSCC

	<i>Binah-med<sup>8</sup></i>	<i>Wang<sup>10</sup></i>	<i>Ramalingam<sup>11</sup></i>	<i>Poeschl<sup>12</sup></i>	<i>Meng<sup>13</sup></i>	<i>Eskander<sup>14</sup></i>	<i>Dalal<sup>15</sup></i>	<i>Feng<sup>16</sup></i>	<i>Yang<sup>17</sup></i>	<i>Givi<sup>18</sup></i>	<i>Koshkareva<sup>19</sup></i>	<i>Morice<sup>20</sup></i>	<i>Troeltzsch<sup>21</sup></i>	<i>Joosten<sup>22</sup></i>	<i>Moratin<sup>23</sup></i>	<i>Sun<sup>24</sup></i>	<i>Hakim<sup>25</sup></i>	<i>Slieker<sup>2</sup></i>	<i>Ohyama<sup>26</sup></i>	<i>Slieker<sup>27</sup></i>
<b>Risk factors associated with local recurrence</b>																				
<b>Surgical margins</b>	N/A	N/A	N/A	<b>P &lt; .0005</b>	N/A	N/A	N/A	N/A	N/A	N/A	P = .7733	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	P = .414
<b>Perineural invasion</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P = .0423</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	P = .599
<b>Tumor location</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P ≤ .05</b>	N/A	P > .05	N/A	N/A	N/A	N/A	N/A
<b>Vascular invasion</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	P = .8177	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P = .003</b>
<b>Risk factors associated with overall survival</b>																				
<b>Age</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P &lt; .05</b>	N/A	N/A	N/A	N/A	P = .20	<b>P = .007</b>	P = .785	N/A
<b>Advanced T-stage (T3-T4)</b>	P = .056	<b>P = .0001</b>	N/A	P = .131	P = .73	N/A	N/A	N/A	<b>P &lt; .036</b>	N/A	N/A	N/A	N/A	<b>P = .007</b>	P > .05	<b>P &lt; .001</b>	<b>P &lt; .02</b>	N/A	P = .607	N/A
<b>Large tumor volume</b>	N/A	<b>P = .001</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Ulcerative tumor</b>	N/A	<b>P = .0001</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Non-cohesive growth</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P &lt; .015</b>	N/A
<b>Involvement of nasal fossa, maxillary sinus, or orbital floor</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P &lt; .05</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Posterior tumor location</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	P = .841	N/A	N/A	P = .46	N/A	N/A	P > .05	N/A	N/A	N/A	<b>P = .031</b>	N/A
<b>Involvement of infra-temporal fossa and/or soft palate</b>	N/A	<b>P = .017</b>	N/A	N/A	<b>P = .001</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Cervical lymph node involvement</b>	N/A	N/A	N/A	N/A	P > .57	N/A	N/A	N/A	<b>P = .018</b>	N/A	N/A	<b>P &lt; .005</b>	<b>P &lt; .03</b>	<b>P = .006</b>	N/A	N/A	P = .39	<b>P &lt; .044</b>	P = .076	N/A
<b>Elective neck dissection</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P = .048</b>	N/A	<b>P = .043</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Distant metastasis</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P = .04</b>	N/A	N/A	N/A
<b>Surgical margins</b>	P > .05	P = .123	<b>P = .007</b>	<b>P &lt; .0001</b>	<b>P = .001</b>	N/A	N/A	N/A	<b>P = .019</b>	N/A	N/A	<b>P &lt; .05</b>	N/A	N/A	N/A	N/A	<b>P = .02</b>	<b>P &lt; .053</b>	N/A	N/A
<b>Large midfacial defects (Brown class IIc/IIId/III)</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P &lt; .001</b>	N/A	N/A	N/A	N/A
<b>Tumor recurrence (local and/or regional)</b>	N/A	N/A	N/A	<b>P &lt; .0001</b>	N/A	N/A	N/A	N/A	<b>P = .002</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
<b>Local recurrence not surgically salvageable or requiring extensive salvage surgery</b>	N/A	<b>P = .001</b>	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	<b>P = .009</b>

Risk factors associated with either local recurrence or mortality are listed per study with the accompanying *P* values. Significant *P* values are bold. N/A, not applicable.

## DISCUSSION

The first objective of this study was to analyze the 5-year LR and OS rates of MSCC. The pooled 5-year LR rate was 19.3%. None of the reported 5-year LR rates were significantly different, except for 1 study.<sup>10</sup> The high LR rate in this study<sup>10</sup> might be partially explained by the large proportion of patients (30%) who had primary treatment with concurrent chemoradiotherapy. Also, a large proportion of their patients had positive/close margins (36%), which were subsequently treated with postoperative radiotherapy,<sup>10</sup> which in turn is correlated with a higher risk of LR.<sup>12</sup> Treatment of positive/close margins by resection and postoperative (chemo)radiotherapy might decrease the risk of LR because no statistical correlation with LR was found for these treatment protocols.<sup>19,27</sup>

The pooled 5-year OS rate was 53.7%. In most studies, the 5-year OS rates varied between 44% and 92%, except for 5 studies whose 5-year OS rates varied between 25% and 34.2%.<sup>9,10,11,15,20</sup> Two factors might explain the lower OS rates in these studies: a substantial proportion of cases with (chemo)radiotherapy as primary treatment<sup>9,10,20</sup> and a large proportion of cases with advanced tumor stages.<sup>10,11,15,20</sup> Furthermore, elective neck dissection was also associated with improved 5-year OS rates.<sup>16,18</sup> A recently published meta-analysis corroborates the beneficial effect of elective neck dissection on survival in patients with MSCC.<sup>28</sup> The subgroup analysis of surgery vs surgery with (neo)adjuvant (chemo)radiotherapy resulted in nonsignificant OR of .76 (.41-1.40) for patients in the (neo)adjuvant treatment group. These results mean that current (neo)adjuvant treatment protocols for adverse tumor characteristics successfully improve OS rates for patients with MSCC. The (neo)adjuvant treatment regimens were slightly different in all 3 studies of the subgroup analysis, but none were significantly better or worse.<sup>2,13,26</sup> Therefore, more research is warranted to ascertain which (neo)adjuvant treatment protocol is optimal for MSCC.

The second objective was to identify risk factors associated with LR and OS of MSCC. There were only 5 studies that conducted risk factor analyses with regard to LR. The results were contradictory for all identified risk factors.<sup>12,19,21,27</sup> Therefore, more research into risk factors for LR of MSCC is necessary to aid the physician in clinical decision-making. LR not surgically salvageable or requiring extensive salvage surgery was associated with decreased OS rates.<sup>2,10</sup>

Various OS-related risk factors identified for MSCC are similar to those previously identified for oral cancer in general (eg, age, advanced tumor stage, surgical margins, cervical lymph node involvement, and distant metastasis).<sup>29</sup>

One risk factor specific to MSCC was associated with lower rates of OS in multiple studies: posterior tumor extension defined as an extension into the soft palate, infratemporal fossa, pterygoid muscles, and/or pterygoid process.<sup>10,13,26</sup> In addition, tumor involvement of the nasal fossa, maxillary sinus, and orbit was associated with decreased OS rate in 1 study.<sup>20</sup> Tumor locations defined as dorsal to the premolar,<sup>17</sup> dorsal to the first molar<sup>20</sup> and the (retro)molar area<sup>23</sup> were not significantly correlated with OS.

Although not oral cancer, similar correlations between tumor extension and OS were reported for sinonasal squamous cell carcinoma.<sup>30-32</sup> Although the quality assessment score of most studies was good, all studies were at risk of information bias because of their observational nature. The risk of information bias is most likely the result of the low incidence of MSCC. Most single-center studies had small sample sizes, which they accumulated over many years. Only 1 of the included single-center studies had a sample size larger than 150 cases.<sup>18</sup> This study had an inclusion period of 26 years, which means that patient volumes in hospitals are meager. High patient volumes in specialized cancer centers are associated with better survival outcomes.<sup>33-35</sup> For patients with MSCC, higher patient volumes might benefit treatment outcomes and allow for higher-level research.<sup>36,37</sup> One way to increase patient volumes might be to designate specific head and neck cancer centers as dedicated maxillary cancer centers with a dedicated maxillary cancer team.

## CONCLUSION

LR rates were comparable across studies. More research into the risk-reduction of LR is warranted. Surgical resection of the primary tumor with elective neck dissection improves survival. Postoperative radiotherapy, postoperative chemoradiotherapy, and preoperative intra-arterial chemoradiotherapy all improve survival when adverse tumor characteristics are present. Finally, tumor extension into the soft palate, infratemporal fossa, pterygoid muscles, and the pterygoid process is associated with lower survival in MSCC.

## CRedit AUTHOR STATEMENT

F.J.B. Sliker: conceptualization, methodology, software, validation, formal analysis, investigation, data curation, writing – original draft, project administration

D.A.A. Rombout: conceptualization, methodology, investigation, writing – review & editing

R. de Bree: conceptualization, resources, writing - review & editing, supervision

E.M. Van Cann: conceptualization, validation, resources, writing – review & editing, supervision

## CONFLICTS OF INTEREST

None of the authors has any conflicts of interest to disclose.

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