

## Simvastatin Augmentation for Patients With Early-Phase Schizophrenia-Spectrum Disorders: A Double-Blind, Randomized Placebo-Controlled Trial

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Schizophrenia-spectrum disorders (SSD) are associated with increased inflammatory markers, both in brain and periphery. Augmentation with drugs that lower this pro-inflammatory status may improve clinical presentation. Simvastatin crosses the blood-brain barrier, has anti-inflammatory and neuroprotective effects and reduces metabolic syndrome. In this study, we investigated if 12 months of simvastatin augmentation can improve symptoms and cognition in patients with early SSD. This double-blind placebo-controlled trial included 127 SSD patients across the Netherlands, <3 years after their diagnosis. From these, 119 were randomly assigned 1:1 to simvastatin 40 mg ( $n = 61$ ) or placebo ( $n = 58$ ), stratified for sex and study site. Primary outcomes were symptom severity and cognition after 12 months of treatment. Depression, symptom subscores, general functioning, metabolic syndrome, movement disorders, and safety were secondary outcomes. Intention to treat analyses were performed using linear mixed models and ANCOVA. No main effect of simvastatin treatment was found on total symptom severity after 12 months of treatment as compared to placebo ( $X^2(1) = 0.01$ ,  $P = .90$ ). Group differences varied over time (treatment\*time  $X^2(4) = 11.2$ ;  $P = .025$ ), with significantly lower symptom severity in the simvastatin group after 6 months (mean difference =  $-4.8$ ;  $P = .021$ ; 95%

CI:  $-8.8$  to  $-0.7$ ) and at 24 months follow-up (mean difference =  $-4.7$ ;  $P = .040$ ; 95% CI:  $-9.3$  to  $-0.2$ ). No main treatment effect was found for cognition ( $F(1,0.1) = 0.37$ ,  $P = .55$ ) or secondary outcomes. SAEs occurred more frequently with placebo (19%) than with simvastatin (6.6%). This negative finding corroborates other large scale studies on aspirin, minocycline, and celecoxib that could not replicate positive findings of smaller studies, and suggests that anti-inflammatory augmentation does not improve the clinical presentation of SSD.

**Key words:** schizophrenia/symptoms/simvastatin/inflammation/RCT

### Introduction

Patients with schizophrenia-spectrum disorders (SSD) have urgent unmet needs, as current treatment is far from optimal. First, not all patients achieve remission using standard treatments.<sup>1</sup> Current treatment options also have little effect on negative and cognitive symptoms.<sup>2</sup> Finally, mortality is much higher in SSD patients than in healthy peers.<sup>3</sup> While suicide is partly responsible for the increased mortality, the largest part stems from cardiovascular deaths due to the high prevalence

of metabolic syndrome.<sup>3</sup> Antipsychotic treatment targets increased striatal dopamine synthesis, which is a well-known, albeit not ubiquitous, feature of schizophrenia pathology. In recent years, other pathophysiological mechanisms have (partly) been elucidated, which may provide new leads for therapeutic strategies.<sup>4</sup> Immune dysregulation is one of these leads, although it is not a well-established etiological factor for SSD, given several negative results.<sup>5–7</sup> Nevertheless, increased levels of the pro-inflammatory cytokines IL-6, TNF $\alpha$ , IFN, IL-1, and acute-phase protein CRP, have repeatedly been reported in early-stage SSD patients.<sup>4</sup> In postmortem brain tissue, the expression of pro-inflammatory genes was also increased.<sup>8</sup> Such immune mechanisms mediate the interaction between neurons and glial cells, regulating neurodevelopmental processes such as synaptic pruning and neurogenesis, as well as neurotransmission.<sup>9</sup> Maternal bacterial infection and childhood infections, important risk factors for schizophrenia,<sup>10,11</sup> elicit an immune response, which may result in functional changes that are retained into adulthood.<sup>9</sup> Indeed, a meta-analysis showed increased activity of microglia cells in schizophrenia,<sup>12</sup> but a later review could not replicate this finding.<sup>13</sup> Application of anti-inflammatory drugs may correct this immune activation and enable normal neurodevelopment.<sup>14</sup>

Several studies targeted this increased pro-inflammatory status in SSD, with heterogeneous results.<sup>15</sup> Multiple studies opted for minocycline, a broad-spectrum antibiotic that crosses the blood-brain barrier and decreases microglia activation.<sup>16</sup> In 10 studies, this drug was administered (100–300 mg) for 2–12 months with an overall effect size of 0.4 ( $P = .007$ ).<sup>15</sup> However, the largest study found a significant negative effect of minocycline.<sup>17</sup> Potential deleterious actions of minocycline were also demonstrated in animal models<sup>18</sup> and patient-derived organoids using high doses,<sup>19</sup> urging the need for safer and more tolerable anti-inflammatory drugs. Like minocycline, simvastatin crosses the blood-brain barrier. Importantly, statins lower cardiovascular mortality, an effect not only caused by its cholesterol lowering actions.<sup>20</sup> Reduced cholesterol levels deplete the cholesterol-rich membrane domains known as lipid rafts, which affect cellular signaling,<sup>21</sup> translating into neuroprotective effects: improved blood-flow, reduced coagulation, reduced oxidative damage and reduced inflammatory status.<sup>22</sup> As simvastatin is used chronically by millions of people world-wide, its long-term effects are well-known. Moreover, simvastatin reduces metabolic syndrome, a pivotal factor increasing mortality in SSD. A study in patients with multiple sclerosis showed that 24 months of simvastatin use slowed down progression of cognitive dysfunction and loss of cerebral gray matter.<sup>23</sup> Three small studies provided 40 mg of statins (ie, simvastatin and pravastatin) to SSD patients for 8<sup>24</sup> to 12<sup>25,26</sup> weeks. Although the mean weighted effect size of these studies was 0.5, significance was not reached due to low power.<sup>15</sup>

In this study, we provided simvastatin 40 mg/d to SSD patients for 12 months and investigated the effects on symptom severity and cognitive decline. We included only recent-onset patients, as this group is reported to benefit most from augmentation with anti-inflammatory drugs.<sup>27</sup> To be robust against false-negative findings, we recruited a relatively large group of 127 patients.

## Methods

### *Design and Registration*

This is a double-blind randomized placebo-controlled multi-center trial. Trial registration: ClinicalTrials.gov:NCT01999309; EudraCT-number:2013-000834-36.

### *Participants*

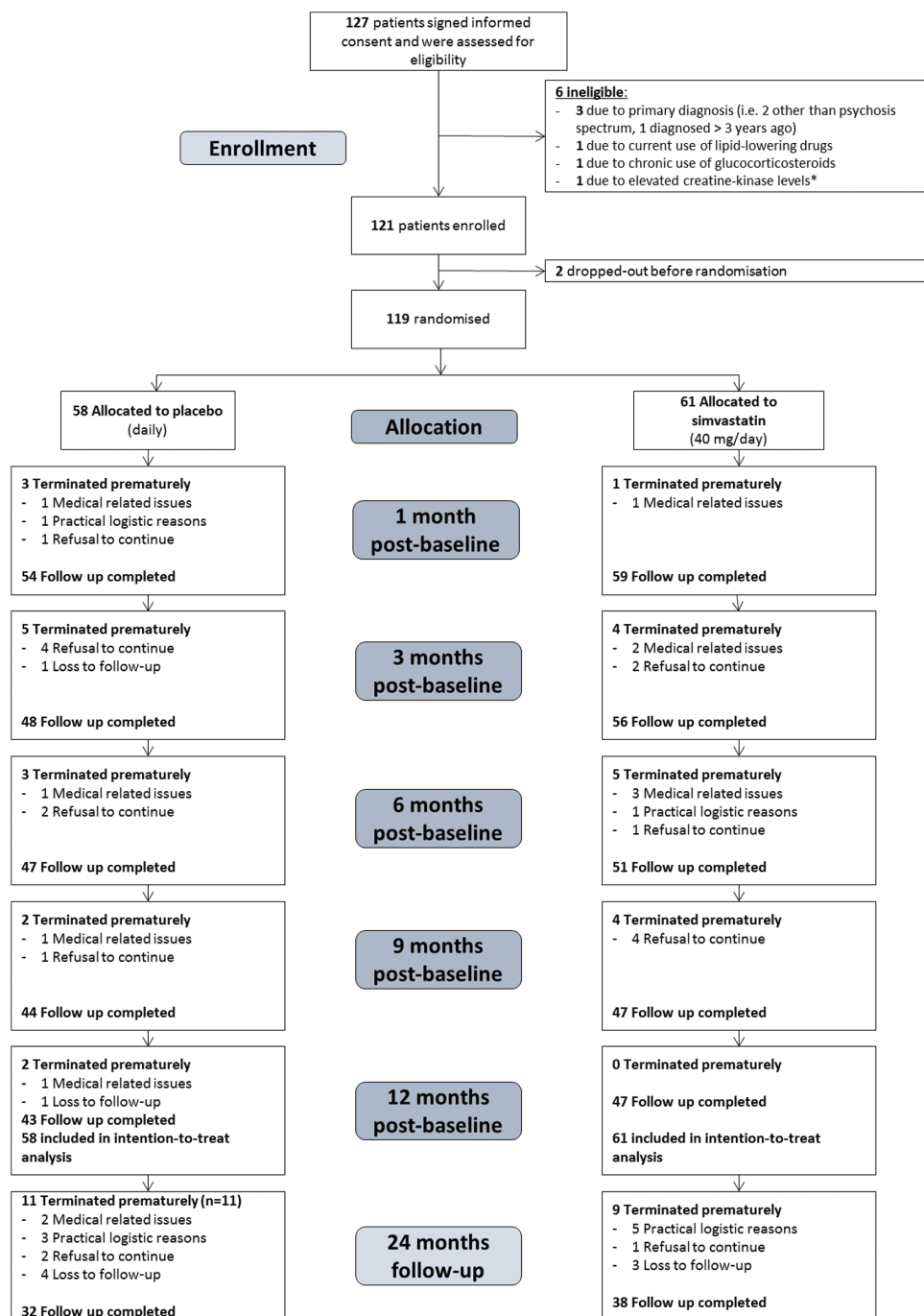
Eligible patients were 18–50 years of age, with a DSM-IV diagnosis of schizophrenia, schizoaffective, schizophreniform disorder (295.x) or psychotic disorder not otherwise specified (298.9), [figure 1](#).<sup>28</sup> First psychosis onset was no longer than 3 years ago. Patients were recruited from Dutch inpatient and outpatient settings between November 2013 and February 2019. Study procedures and instruments are described per visit by Begemann et al<sup>28</sup>.

### *Power Analysis*

Following our unplanned interim analyses (at  $n = 97$ ) to reevaluate our power analysis and recruitment target, performed by a blinded independent researcher, we adjusted our power analysis using the standard deviation of 14.3 instead of 20 (based on our previous RCT), while the expected difference in mean total PANSS scores remained at 7.5 (based on previous studies).<sup>17,29,30</sup> Correlation between PANSS baseline and 12 months was corrected to 0.6 instead of 0.4.<sup>28</sup> Dropout rate of 24% was also lower than the anticipated 30%,<sup>28</sup> resulting in an adjusted power calculation of  $n = 120$ .

### *Randomization and Masking*

A web-based randomization table was generated by the Julius Center (Utrecht, the Netherlands). Patients were randomized 1:1 to either simvastatin or placebo, using block-randomization (blocks of 4) with stratification for study site and sex. Randomization codes were not available to the study staff. Emergency unblinding was only allowed in case of serious concerns about patient safety. The data management group was not involved in patient recruitment. All study staff and patients were blind to treatment allocation. Study drugs were manufactured by ACE pharmaceuticals (Zeewolde, the Netherlands), being simvastatin 40 mg or placebo, with indistinguishable appearance, shape, smell, mass, and taste. Drug accountability was performed by documenting drug shipment, storage conditions, drug dispense, return, and destruction.



**Fig. 1.** Trial profile. \*In case of familial risk for muscular disorders or previously experienced muscle toxicity when taking medication similar to simvastatin, creatine kinase (CK) levels will be checked (as recommended by the Dutch Farmacotherapeutisch Kompas).

### Procedures

Participants visited the research center 8 times during 2 years. Medical history and medication use were checked to (re)assess in- and exclusion criteria. Blood was screened for cholesterol and when levels exceeded >8 mmol/L at any visit, patients were excluded as they had a clinical indication to start statin treatment. To prevent the possibility of unblinding due to the cholesterol-lowering properties of simvastatin, raters did not have

access to cholesterol results. During participation, patients continued their regular (antipsychotic) medication treatment. The institutional review board of the University Medical Center Utrecht approved the study (NL43806.041.13; Clinicaltrials.gov: NCT01999309).

### Primary Outcomes

Symptom severity was evaluated using the Positive and Negative Syndrome Scale (PANSS)<sup>31</sup> by trained and

certified raters. Neurocognitive functioning was assessed with the Brief Assessment of Cognition in Schizophrenia (BACS).<sup>32</sup> The PANSS and BACS total score at the end of 12 months of treatment time point were defined as the primary outcomes.

### Secondary Outcomes

We evaluated PANSS positive, negative, and general subscales at all time points as secondary outcomes. General functioning was assessed using the Global Assessment of Functioning scale (GAF).<sup>33</sup> The presence and severity of movement disorders was evaluated using St. Hans Rating Scale for extrapyramidal syndromes (SHRS)<sup>34</sup> and the Barnes Akathisia Rating Scale (BARS).<sup>35</sup> Depressive symptoms were rated using the Calgary Depression Scale for Schizophrenia (CDSS).<sup>36</sup> Metabolic syndrome was assessed by measuring blood pressure, waist circumference, fasting glucose, triglycerides, and HDL-C and was defined as having 3 or more symptoms (American Heart Association/National Heart, Lung, and Blood Institute).<sup>37</sup>

### Safety

Patients were asked if they experienced any side effects or health problems since the last visit, with special focus on myalgia and dark-colored urine. We compared incidences (number and % of subjects with at least one occurrence) of serious adverse events (SAEs) and adverse events (AE) between both groups (eg, hospitalizations).

### Statistical Analysis

Statistical analyses were performed using IBM SPSS for Windows (version 25). Continuous primary and secondary outcomes were analyzed with a linear mixed model for repeated measurements. To model the effect of simvastatin, we included time point, treatment, sex and study site as fixed factors, age and baseline scores as covariates and subject as random intercept factor. To evaluate whether group differences varied over time, we also assessed the time\*treatment interaction effect. When significant, group differences were compared at the individual time points. Full analyses are shown in [supplementary material, p1](#). An SP\_POWER structure was used to model the residual covariance matrix. Validity of the model (ie, distributional assumptions, homoscedasticity) was assessed with residual analyses. Moreover, sensitivity analyses were conducted. Considering the assumption of random missing data, we used logistic regression to investigate the association between trial drop-out and baseline patient characteristics. Moreover, we repeated the mixed model analyses by adding completion of the treatment phase as a fixed factor (ie, categorical variable indicating trial completion or drop-out) and interaction terms (ie, treatment\*completer status, time\*completer status,

treatment\*time\*completer status). We also compared the individuals who adequately adhered to simvastatin treatment to those who did not. As simvastatin 40 mg directly decreases lipid levels, especially LDL-cholesterol levels, we used >20% reduction of LDL-cholesterol (compared to baseline) throughout the study as a reflection of adequate treatment adherence.<sup>38</sup> Analysis of covariance (ANCOVA) was applied for group differences in cognitive performance at 6 and 12 months of treatment, including baseline score as a covariate. BACS total composite score was calculated by converting raw test scores of the subtasks into z-scores and averaging these standardized scores. Post-hoc analyses were conducted for the 6 individual subtasks and follow-up measurements 24 months post-baseline. ANCOVA was applied to evaluate depressive symptoms (CDSS), correcting for baseline severity, age and sex. Mixed models were used to analyze PANSS subscales and GAF, as described above, also including follow-up measurements 24 months post-baseline. Metabolic syndrome was analyzed using the linear mixed models described above, with a logit link function for the dichotomous endpoint. For movement disorders, incidence and mean scores were presented per group per time point. Moreover, incidences of SAEs and AEs were presented per group and tested with chi-square analyses. The significance level for all statistical tests was  $P < .050$ , 2-tailed.

## Results

### Demographics

Participants were recruited between November 2013 and February 2019, when the project end date was reached and the required number of participants was met. A total of 127 patients signed informed consent of which 119 were randomized ([figure 1](#)). Ninety subjects completed the total treatment duration of 12 months. The follow-up 1 year after the end of treatment was completed by 70 subjects. Baseline demographic characteristics are summarized in [table 1](#). The simvastatin group was comparable to the placebo group for age, sex, education, diagnosis and time since first SSD diagnosis. Both groups had similar levels of symptom severity on PANSS total, PANSS negative and PANSS general, but the simvastatin group had higher baseline PANSS positive scores. The mixed model analysis corrected for this difference.

### Total Symptom Severity

The linear mixed-effects model of the total PANSS score showed no main effect of simvastatin after 12 months of treatment ( $X^2(1) = 0.01$ ,  $P = .90$ ) (see [supplementary material, p1 and p2](#) for change scores from baseline). The interaction between treatment\*time was significant ( $X^2(4) = 11.2$ ,  $P = .025$ ), indicating that group differences in symptom severity differed over time. The simvastatin

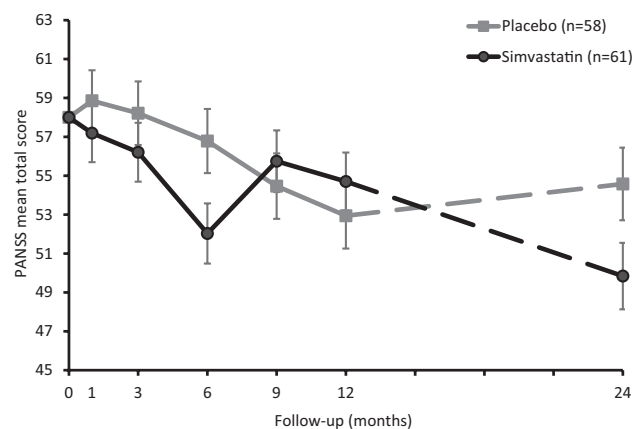
group scored significantly lower on total PANSS scores at 6 months (mean difference =  $-4.8$ ,  $P = .021$ , 95% CI:  $-8.8$  to  $-0.73$ ; [figure 2](#)) and at 24 months post-baseline (mean difference =  $-4.7$ ,  $P = .040$ , 95% CI:  $-9.3$  to  $-0.2$ ).

### Cognitive Functioning

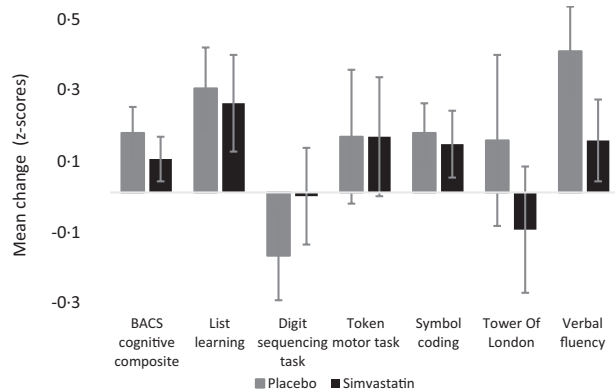
The simvastatin group did not differ from placebo in cognitive performance (BACS composite score) after 12 months ( $F(1,0.1) = 0.4$ ,  $P = .55$ ). Post-hoc analyses did not show effects for any of the cognitive subtasks ( $P$ -values  $\geq .21$ ) ([figure 3](#), [supplementary material, p2](#)).

### Secondary Outcomes

None of the secondary outcomes showed a significant main effect for treatment at 12 months. The treatment\*time interaction was only significant for PANSS general subscores ( $X^2(5) = 13.7$ ,  $P = .017$ ), which was lower in the



**Fig. 2.** Mean symptom severity (PANSS total score) for the simvastatin and placebo group. Means are estimated by a linear mixed model and displayed with standard errors. Data are displayed per visit for the active treatment phase (0–12 mo; continuous line) and follow up phase (24 mo; dashed line).



**Fig. 3.** Mean change of cognitive functioning during the active treatment phase (0–12 mo). Mean Z-scores with standard errors are displayed for the composite cognition score and for the 6 subtasks of the BACS.

simvastatin group at 6 months (mean difference =  $-2.7$ ,  $P = .017$ , 95% CI:  $-4.9$  to  $-0.5$ ). When evaluating general functioning (GAF), the main treatment effect was not significant ( $X^2(5) = 1.0$ ,  $P = .97$ ). There was a significant time\*treatment interaction effect ( $X^2(5) = 11.0$ ,  $P = .052$ ), with higher general functioning in the simvastatin group at 24 months (mean difference =  $4.9$ ,  $P = .048$ , 95% CI:  $0.04$  to  $9.8$ ). ANCOVA showed that the simvastatin group had lower depressive symptoms (CDSS) than the placebo group at 6 months ( $F(1,38.4) = 5.5$ ,  $P = .021$ ). Movement disorders were largely absent in both groups, except for Parkinsonism ([supplementary material, p6](#)). Metabolic syndrome did not show a significant time\*treatment interaction effect ( $X^2(6) = 1.5$ ,  $P = .96$ ).

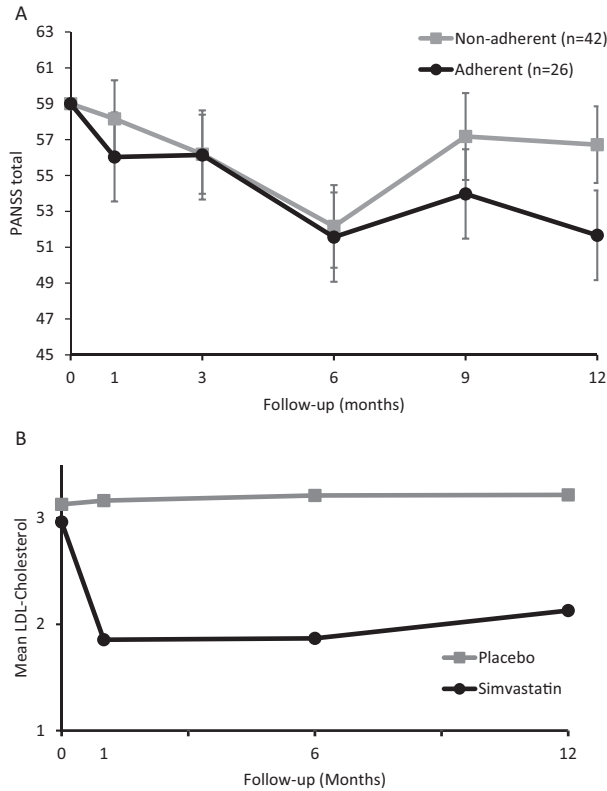
Trial drop-out was not associated with age, sex, education, treatment group, duration of illness, antipsychotic dose, GAF or PANSS scores ([supplementary material, p1](#)). Adding completion of the treatment phase and the respective interaction effects to the linear mixed model, did not improve model fit ( $X^2(10) = 7.5$ ,  $P = .68$ ). When comparing adherent participants (ie,  $>20\%$  reduction of LDL-cholesterol at all measurements)<sup>38</sup> to non-adherent participants within the simvastatin group, no significant main effect of adherence group ( $X^2(1) = 0.5$ ,  $P = .49$ ) and no interaction effect (time\*adherence group) were found ( $X^2(4) = 3.0$ ,  $P = .56$ ) ([figure 4a](#) and [4b](#)).

### Laboratory Assay

There was a significant decrease in total cholesterol ( $F(2.7,216.4) = 26.0$ ,  $P = .000$ ), LDL cholesterol ( $F(2.5,188.8) = 28.9$ ,  $P < .001$ ; [figure 4a](#)) and non-HDL cholesterol ( $F(2.4,128.6) = 20.1$ ,  $P < .001$ ) in the simvastatin group over time compared to placebo ([supplementary material](#)). There was no significant main effect or time\*treatment interaction effect for HDL cholesterol levels ( $F(3.2) = 0.83$ ,  $P = .48$ ).

### Safety Assessments

During the treatment phase, the number of individuals experiencing SAE(s) was higher in the placebo group compared to simvastatin (11 [19%] vs. 4 [6.6%], respectively;  $X^2(1) = 4.2$ ,  $P = .042$ ). The incidences were similar during the follow-up phase (4 [12.5%] vs. 6 [15.8%],  $X^2(1) = 0.2$ ,  $P = .70$ ). Two adverse events (AEs) were specifically screened for during the treatment phase. Myalgia was reported by 13 (21%) participants in the simvastatin group and 13 (22%) in the placebo group. Dark-colored urine was reported by 4 patients in the simvastatin group (7%) and by 7 (12%) in the placebo group ([supplementary material](#)). In total, the number of individuals reporting AE(s) was similar in both groups during the treatment phase (50 [86.2%] vs. 57 [93.4%], respectively;  $X^2(1) = 1.7$ ,  $P = .19$ ) and follow-up (5 [15.6%] vs. 12 [31.6%],  $X^2(1) = 2.4$ ,  $P = .12$ ).



**Fig. 4.** (a) Mean symptom severity (PANSS total score) for the adherent and non-adherent participants within the simvastatin group. Means are estimated by a linear mixed model, with standard error. Data are displayed per visit for the active treatment phase (0–12 mo). Adherence to study medication (simvastatin) was defined as >20% reduction of LDL-cholesterol, compared to baseline, throughout the study. (b) Mean LDL-cholesterol levels for the simvastatin and placebo group. Means are depicted, with standard error. Data are displayed per visit for the active treatment phase (0–12 mo).

## Discussion

We investigated the efficacy of simvastatin 40 mg/d augmentation in 127 patients with early-stage SSD. Our predefined primary outcome: symptom severity and cognition at 12 months treatment was negative. Previous studies with statins had a shorter treatment duration,<sup>39</sup> which could explain the different findings. At 6 months, both total and general symptom severity were significantly lower in the simvastatin group. The simvastatin group also had lower PANSS total scores when evaluating 24 months post-baseline measurements. Regarding secondary outcomes, general functioning (GAF) was marginally better functioning in the simvastatin group at 24 months ( $P = .052$ ). No treatment effect was found for depression, presence or severity of metabolic syndrome or presence of movement disorders. SAEs occurred more frequently with placebo (17/27 SAEs) than with simvastatin use (10/27).

This indicates that simvastatin augmentation is not an effective way to clinical presentation of early-phase SSD

patients. There are several reasons why we could not replicate initial positive effects of statins.<sup>24–26</sup> A complicating factor, especially in studies with a long treatment phase, is adherence. Treatment adherence in our sample was lower in the second half of the treatment as monitored by LDL cholesterol levels. However, our comparison between completers and non-completers did not reveal significant differences. Furthermore, as is often the case in randomized controlled trials, participants had relatively high education and showed few cognitive deficits compared to a more general sample with SSD, possibly inducing ceiling effects in cognition.

The most obvious reason for the present negative findings is that lowering the increased pro-inflammatory status in SSD is not an effective treatment for total symptom severity or cognition. This corroborates recent large-scale studies on minocycline,<sup>17</sup> celecoxib,<sup>29</sup> and aspirin<sup>30</sup> that could not replicate previous smaller RCTs showing positive effects of anti-inflammatory augmentation. There is a general trend in scientific developments in which initial publications on new prospectives first feature small studies with positive findings which spark hope, followed by a later wave of larger studies with negative findings that temper that hope.<sup>40</sup> Perhaps we are now in that second wave regarding anti-inflammatory augmentation.

Beneficial effects of simvastatin have been reported for other brain disorders, including stroke, multiple sclerosis, Parkinson’s disease, and Alzheimer’s disease, although the latter association is much disputed.<sup>41</sup> In multiple sclerosis, simvastatin slowed down cognitive decline,<sup>23</sup> a finding that we could not replicate for SSD. In contrast to multiple sclerosis and neurodegenerative disorders, cognitive deficits in SSD are generally stable.<sup>42</sup> Also, the pro-inflammatory status of the brain in schizophrenia is much more subtle compared to multiple sclerosis and neurodegenerative disorders. Apparently, statins are not able to ameliorate stable and subtle cognitive impairment.

Despite several unfounded claims in lay press,<sup>43</sup> statins are safe and generally well tolerated,<sup>44</sup> which was also demonstrated in this study. Although many statin users complain of muscle ache, this is rarely observed in double-blind RCTs, as rhabdomyolysis and statin-induced myopathy are rare.<sup>44</sup> In this trial, myopathy and dark colored urine were at least as common in the placebo group, demonstrating strong nocebo effects for these complaints. Use of statins, especially in individuals with metabolic syndrome, is associated with protection from cardiovascular events: the most important cause of excess mortality in SSD, especially in men.<sup>3</sup> In this trial, simvastatin significantly lowered cholesterol without a decrease in metabolic syndrome severity, due to our ethical requirement to exclude patients with hypercholesterolemia at any time point. Yet, for SSD patients with increased lipids, statins are an effective and well tolerated solution. As metabolic syndrome is common, SSD patients need statin therapy at a younger age than mentally healthy peers.<sup>45</sup>

### Limitations

A clear limitation was treatment adherence, which decreased during the long study duration, especially after the first 6 months. The population of early-onset SSD may not have been optimal, as metabolic syndrome and movement disorders were largely absent.

In conclusion, we could not demonstrate a positive effect of simvastatin 40 mg/d on symptom severity and cognition at 12 months. This study, together with other recent large studies with negative findings,<sup>17,29,30</sup> imply that anti-inflammatory augmentation may not be beneficial for symptom severity and cognition in schizophrenia.

### Supplementary Material

Supplementary material is available at *Schizophrenia Bulletin* online.

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