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# Survival and causes of death in extremely preterm infants in the Netherlands

Pauline van Beek <sup>1</sup>, Floris Groenendaal <sup>2</sup>, Lisa Broeders,<sup>3</sup> Peter H Dijk,<sup>4</sup> Koen P Dijkman <sup>1</sup>, Frank A M van den Dungen,<sup>5</sup> Arno F J van Heijst,<sup>6</sup> Jacqueline L van Hillegersberg,<sup>7</sup> René F Kornelisse,<sup>8</sup> Wes Onland,<sup>9</sup> Frank A B A Schuerman,<sup>10</sup> Elke van Westering-Kroon,<sup>11</sup> Ruben S G M Witlox,<sup>12</sup> Peter Andriessen<sup>1,13</sup>

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For numbered affiliations see end of article.

## Correspondence to

Pauline van Beek, Department of Neonatology, Máxima Medical Centre, Veldhoven, The Netherlands; [pauline.van.beek@mmc.nl](mailto:pauline.van.beek@mmc.nl)

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## ABSTRACT

**Objective** In the Netherlands, the threshold for offering active treatment for spontaneous birth was lowered from 25<sup>+0</sup> to 24<sup>+0</sup> weeks' gestation in 2010. This study aimed to evaluate the impact of guideline implementation on survival and causes and timing of death in the years following implementation.

**Design** National cohort study, using data from the Netherlands Perinatal Registry.

**Patients** The study population included all 3312 stillborn and live born infants with a gestational age (GA) between 24<sup>0/7</sup> and 26<sup>6/7</sup> weeks born between January 2011 and December 2017. Infants with the same GA born between January 2007 and December 2009 (N=1400) were used as the reference group.

**Main outcome measures** Survival to discharge, as well as cause and timing of death.

**Results** After guideline implementation, there was a significant increase in neonatal intensive care unit (NICU) admission rate for live born infants born at 24 weeks' GA (27%–69%,  $p<0.001$ ), resulting in increased survival to discharge in 24-week live born infants (13%–34%,  $p<0.001$ ). Top three causes of in-hospital mortality were necrotising enterocolitis (28%), respiratory distress syndrome (19%) and intraventricular haemorrhage (17%). A significant decrease in cause of death either complicated or caused by respiratory insufficiency was seen over time (34% in 2011–2014 to 23% in 2015–2017,  $p=0.006$ ).

**Conclusions** Implementation of the 2010 guideline resulted as expected in increased NICU admissions rate and postnatal survival of infants born at 24 weeks' GA. In the years after implementation, a shift in cause of death was seen from respiratory insufficiency towards necrotising enterocolitis and sepsis.

## INTRODUCTION

In the past two decades, the Dutch guideline for active treatment of extremely preterm infants has been modified twice. Until 2006, the guideline had been very restrictive, and in the majority of the centres, infants born below 26<sup>+0</sup> weeks of gestation were not actively treated unless they were considered viable. In 2006, this guideline was changed to include active treatment of infants born with a gestational age (GA) between 25<sup>+0</sup> and 25<sup>+6</sup>.<sup>1</sup> In 2010, the perinatal guideline was revised again,

## What is already known on this topic?

- The Dutch approach towards preterm infants treatment is more conservative compared with other countries; therefore, survival outcomes of international studies may not be applicable.
- In 2010, the Dutch guideline on treatment of extreme preterm birth was revised to offer active treatment of infants born from 24<sup>+0</sup> weeks onwards.
- Respiratory distress syndrome, necrotising enterocolitis, intraventricular haemorrhage and infection have been reported earlier as main causes of death in extremely preterm infants.

## What this study adds?

- Implementation of the 2010 guideline, supporting active treatment of infants born at 24<sup>+0</sup> weeks' gestation, resulted in increased neonatal intensive care unit admission rates and postnatal survival.
- Cause-specific survival curves show different periods of vulnerability of death for different causes of death.
- A decrease in death complicated or caused by respiratory insufficiency was seen in the last 3 years.

lowering the threshold to offer active treatment from 25<sup>+0</sup> to 24<sup>+0</sup> weeks of gestation.

Since the national guideline implementation in 2010, knowledge on the impact of change in perinatal treatment approach on survival is limited.<sup>2</sup> International studies published during the last decade have shown variability in survival rates and outcomes among healthcare settings as well as within countries.<sup>3–13</sup> Furthermore, survival data of international studies may not be generalisable due to differences in practices, healthcare system, outcome definitions and study period.<sup>9 13–15</sup> The availability of up-to-date longitudinal and GA stratified data on survival is important as this influences antenatal counselling, resuscitation policies in the delivery room or future revision of the perinatal guideline.



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In the presurfactant era, most extremely preterm infants died within a few days after birth due to immaturity and respiratory failure. A recent study has shown that changes in neonatal care may have led to death at a later time point after birth.<sup>16</sup> Also changes and innovations in neonatal care may have led to a shift from death attributable to pulmonary causes towards death attributable to non-pulmonary causes.<sup>16</sup> To further evaluate survival rates in preterm infants, more insight in causes and timing of death of extremely preterm infants is necessary.

This study aimed to evaluate survival in extremely preterm infants in the Netherlands in the past decade. As a first aim, the impact of the guideline implementation in 2010 on survival was assessed. As secondary aims, causes and timing of death in the years following guideline implementation were evaluated.

## METHODS

### Patient population

This population-based study included all stillborn and live born extremely preterm infants born between 24<sup>+0</sup> and 26<sup>+6</sup> weeks of gestation between 1 January 2011 and 31 December 2017 in the Netherlands. As a reference group, all infants born between 24<sup>+0</sup> and 26<sup>+6</sup> weeks of gestation in the period 1 January 2007 until 31 December 2009 in the Netherlands were used. Infants born in 2010 were excluded, since this was the year the guideline was implemented.

### Data collection

For this study, data from the Netherlands Perinatal Registry (Perined) were used.<sup>17</sup> This registry contains linked population-based information regarding pregnancy, delivery, (re)admissions and pregnancy outcomes, as registered by midwives (LVR1 registration), obstetricians (LVR2 registration) and paediatricians/neonatologists (LNR registration). All 10 perinatal centres with a level III neonatal intensive care unit (NICU) facility export data to this national registry. The LVR1-2 covers approximately 99% of all births  $\geq 16^{0/7}$  weeks' gestation in the Netherlands, including delivery room deaths and stillbirths. The LNR covers 100% of all live-born infants admitted to one of the ten Dutch intensive care units, as these units are obligated to register all admissions. Variables used from the registry for this study included information on birth weight, sex, method of birth and multiplicity of birth. Small for gestational age was defined as a birth weight below 10th percentile.<sup>18</sup>

### Outcome measurements

Several outcome measurements were used, including live births (as a percentage of total births), NICU admission rate (defined as having at least 1 day of NICU admission registered in the

LNR as a percentage of live births) and survival to discharge (as a percentage of live birth as well as NICU admissions). In all NICU admitted infants who died, cause of death was classified according to Patel *et al.*<sup>16</sup> The primary cause of death was identified and defined as the single underlying, proximate disease that initiated the series of events leading to the final cause of death. The principal investigator of each centre classified cause of death for each subject based on information in the medical records. If the principal investigator could not decide on the primary cause of death, consensus was reached through a discussion in an expert panel group consisting of three neonatologists (JLvH, WO and PA).

### Statistical analysis

Continuous variables were compared between the study period and reference period using the Student's t-test. Categorical and dichotomous variables were compared using the  $\chi^2$  test. To evaluate possible shifts in causes of death, two periods (2011–2014 and 2015–2017) were compared using a  $\chi^2$  test. Kaplan-Meier curves were used to show 3-month survival, and a proportional hazard test was performed to analyse difference in survival between 2011–2014 and 2015–2017. A p value <0.05 was considered statistically significant. Calculations were performed using R V.3.5.1.

## RESULTS

### Patient population

The total study population (stillborn and live born, gestation between 24<sup>0/7</sup> and 26<sup>6/7</sup> weeks, 2011–2017) consisted of 3312 infants, with 2569 live born infants (78%). Table 1 shows outcome measurements of all infants born with a GA between 24<sup>+0</sup> and 26<sup>+6</sup> weeks of gestation between 2011 and 2017, compared with infants born in the reference period 2007–2009. A total of 2121 infants (83% of live born infants) were admitted to a NICU in 2011–2017, compared with 694 infants (70% of live born infants) in 2007–2009 (p value <0.001). Table 2 shows the neonatal baseline characteristics for the admitted infants. Of the 2121 admitted infants in 2011–2017, 1518 (72%) infants survived until discharge home, compared with 491 (71%) of the admitted infants in 2007–2009 (p value 0.714).

### Effect of the guideline implementation

The guideline implementation led to an increase in live births at 24 weeks' gestation (from 63% to 69%, p value 0.035), as well as in NICU admissions (27%–69%, p value <0.001, table 1). Comparing the years before and after guideline implementation in 2010, no statistically significant difference was seen in survival in NICU admitted infants (50% vs 46%, p value 0.66), but more

**Table 1** Outcome measurements for all infants born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2011 and 2017, compared with infants born between 2007 and 2009.

	24 weeks			25 weeks			26 weeks			Total cohort		
	2007–2009	2011–2017	P value	2007–2009	2011–2017	P value	2007–2009	2011–2017	P value	2007–2009	2011–2017	P value
	n=406	n=1013		n=442	n=999		n=522	n=1300		n=1370	n=3312	
Live born	255 (62.8)	697 (68.8)	0.035*	328 (74.2)	757 (75.8)	0.569	405 (77.6)	1115 (85.8)	<0.001*	988 (72.1)	2569 (77.6)	<0.001*
Admitted to NICU	69 (27.1)	480 (68.9)	<0.001*	264 (80.5)	625 (82.6)	0.465	361 (89.1)	1016 (91.1)	0.283	694 (70.2)	2121 (82.6)	<0.001*
Survival (% admissions)	32 (46.4)	240 (50.0)	0.664	181 (68.6)	445 (71.2)	0.479	278 (77.0)	833 (82.0)	0.053	491 (70.7)	1518 (71.6)	0.714
Survival (% live born)	32 (12.5)	240 (34.4)	<0.001*	181 (55.2)	445 (58.8)	0.300	278 (68.6)	833 (74.7)	0.024*	491 (49.7)	1518 (59.1)	<0.001*

\*Significant at a 0.05 level.

NICU, neonatal intensive care unit.

**Table 2** Baseline characteristics of infants admitted to a neonatal intensive care unit (NICU) in the Netherlands, born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2011 and 2017, compared with infants born between 2007 and 2009

	24 weeks			25 weeks			26 weeks			Total cohort		
	2007–2009		P value	2007–2009		P value	2007–2009		P value	2007–2009		P value
	n=69	n=480		n=264	n=625		n=361	n=1016		n=694	n=2121	
Birth weight (g)	705 (96)	687 (98)	0.172	806 (115)	789 (131)	0.068	869 (177)	880 (237)	0.445	829 (158)	810 (200)	0.020*
Sex (male)	38 (55.1)	257 (53.5)	0.913	152 (57.6)	358 (57.3)	0.994	195 (54.0)	560 (55.1)	0.764	385 (55.5)	1175 (55.4)	1.000
SGA	6 (8.7)	53 (11.0)	0.704	35 (13.3)	115 (18.4)	0.076	116 (32.1)	262 (25.8)	0.024*	157 (22.6)	430 (20.3)	0.205
Caesarean section	2 (2.9)	89 (18.5)	0.001*	33 (12.5)	205 (32.8)	<0.001*	166 (46.0)	510 (50.2)	0.140	201 (29.0)	804 (37.9)	<0.001*
Multiple birth	21 (30.4)	166 (34.6)	0.586	91 (34.5)	192 (30.7)	0.309	86 (23.8)	293 (28.8)	0.078	198 (28.5)	651 (30.7)	0.303

Birth weight is presented as mean (SD), and other variables are presented as N (%). SGA defined as birth weight below 10th percentile.<sup>18</sup>

\*Significant at a 0.05 level.

SGA, small for gestational age.

live born infants born at 24 weeks survived (34% vs 13%, *p* value <0.001). Simultaneously, there was an increase in survival in live born infants (63% to 68%, *p* value 0.007) and NICU-admitted infants (73% to 78%, *p* value 0.029) born at 25 and 26 weeks' gestation. There was an increase in caesarean section rate in infants born at 24 week and 25 weeks, from 3% to 19% (24 weeks, *p* value 0.001) and from 13% to 33% (25 weeks, *p* value <0.001), with an increase from 29% to 38% for the total cohort (*p* value <0.001) (table 2). Figure 1 shows survival rates for subgroups of GA in the years following the implementation of the guideline, relative to live born infants (figure 1A) as well as relative to admitted infants (figure 1B).

### Timing of death

Figure 2A shows 90-day survival in admitted infants for subgroups of GA, showing better survival for each additional completed week of gestation. Comparing the periods 2011–2014 and 2015–2017, no statistically significant difference in 90-day survival could be seen (HR 0.88 (0.75–1.04) for those born in 2015–2017 compared with 2011–2014, data not shown). Figure 2B shows cause-specific survival curves, showing different periods of vulnerability of death for each cause of death. Deaths due to respiratory distress syndrome (RDS) and severe intracranial haemorrhage (IVH) are likely to occur early in life, followed by deaths due to sepsis or necrotising enterocolitis (NEC) in the subsequent weeks, while bronchopulmonary dysplasia (BPD) is main cause of death in the second and third months.

### Causes of death

Table 3 shows causes of death for all NICU admitted infants, for the subgroups of GA. No statistically significant difference in causes of death was detected between all GAs, with NEC (28%), RDS (19%), IVH (17%) and infection (16%) as main causes of death. Comparing the time periods 2011–2014 and 2015–2017, no differences were seen in main cause of death between the two periods (online supplemental 1). Combining RDS and BPD to respiratory problems showed that there was a decrease from 2011 to 2014 to 2015–2017 in deaths complicated or caused by respiratory problems (34%–23%, *p* value 0.006).

### DISCUSSION

In this study, survival in extremely preterm infants in the past decade in the Netherlands was evaluated following a major policy change in 2010 concerning treatment of infants born at 24 weeks. After guideline implementation, more infants born at 24 weeks' GA were live born and admitted to the NICU, leading to an increased survival of live born infants born at 24 weeks' GA.

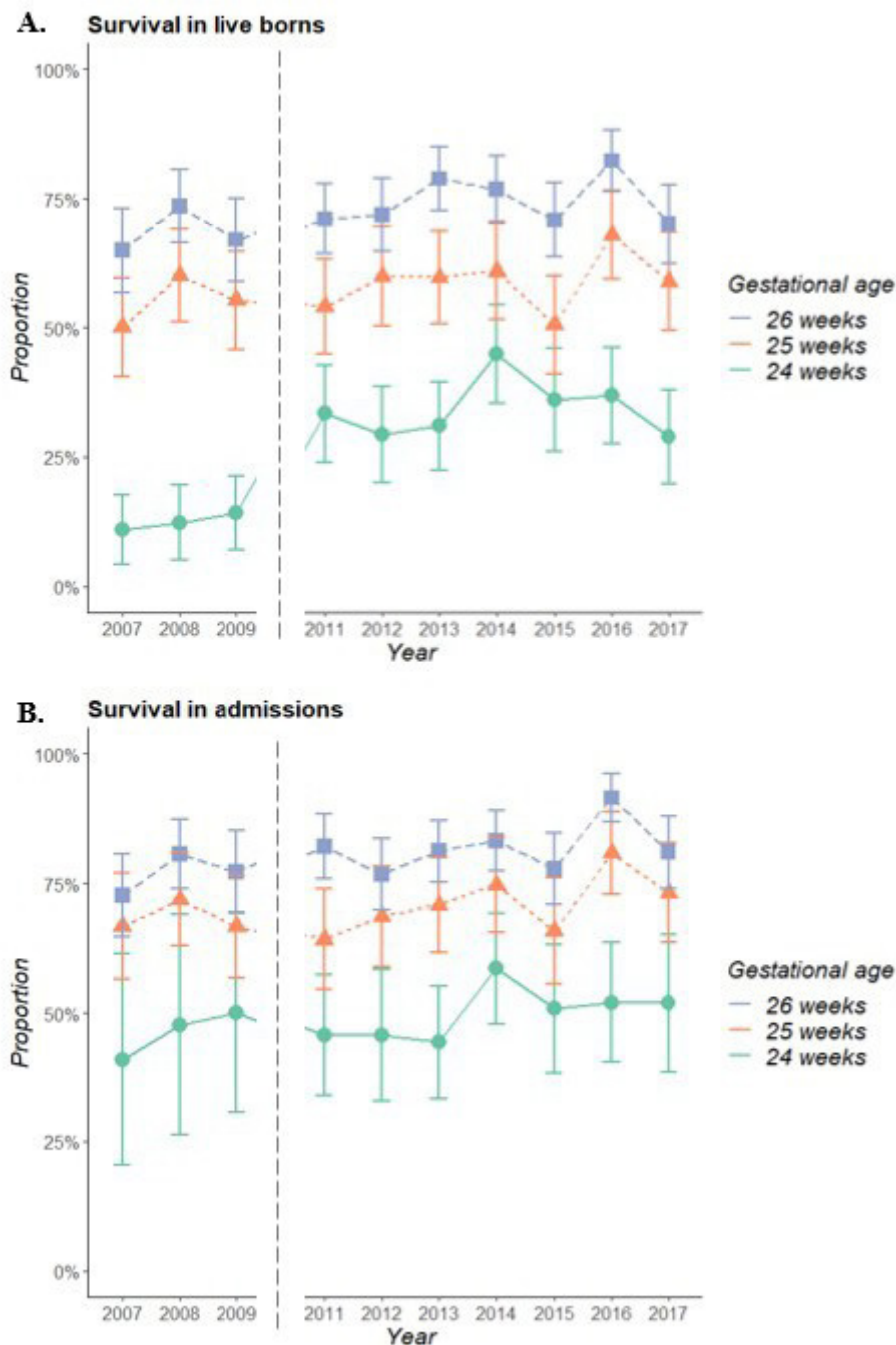
Top three causes of death were NEC, RDS and IVH. Compared with 2011–2014, less infants died because of respiratory problems in the period 2015–2017.

Quick implementation of the guideline occurred nationwide, with an admission rate of approximately 29% in infants born at 24 weeks before, compared with approximately 69% after the implementation of the guideline. Relative to the number of live born infants, almost three times more infants survived to discharge after implementation of the guideline, although survival in admitted infants did not change. However, no further increase in survival was seen after the first year of implementation of the guideline. It is known that the current Dutch approach towards treatment decisions for extremely preterm infants is more restrictive than the approach in other countries.<sup>19</sup> In addition to lowering the GA of active treatment, the new guideline also stated the need for parental consent when initiating early intensive care at 24 weeks' GA and taking individual factors into account when counselling parents.<sup>20</sup> Above factors might explain the flattening of survival rates of extremely preterm infants in the Netherlands.

The survival rates for extremely preterm infants presented in this study in the period 2011–2017 are within the range of survival rates in other developed countries.<sup>21</sup> Other national population-based cohorts reporting on survival to discharge in live born infants showed survival rates varying from 31% to 67% in 24-week infants and varying from 59% to 81% in 25-week infants, compared with 34% (24 weeks) and 59% (25 weeks) in our cohort.<sup>6 8 9 22</sup> Nevertheless, international comparison of survival in extremely preterm infants remain limited by differences in data collection, time span of survival and selection of denominator.<sup>23</sup> To illustrate, several examples of international comparisons of preterm mortality can be found on national and regional levels.<sup>24 25</sup> Such efforts on international collaboration should ensure more consistent reporting of outcomes in extremely preterm infants.<sup>23</sup>

As demonstrated in our study, an additional result of lowering the GA threshold is increased survival in higher GA's too. Active management of extremely preterm infants seems to improve also survival for those born at higher GAs.<sup>6</sup> This is also reflected by higher survival rates in countries that also offer active treatment to infants born below 24 weeks' GA, which underlies that decision regarding treatment have major influence on outcome data.<sup>26</sup> When the threshold of active treatment is lowered, probably also more mature infants might benefit.

In 2016, another Dutch paper was published, evaluating the previous guideline implementation in 2006.<sup>27</sup> They reported overall results comparable with our study, with an increased



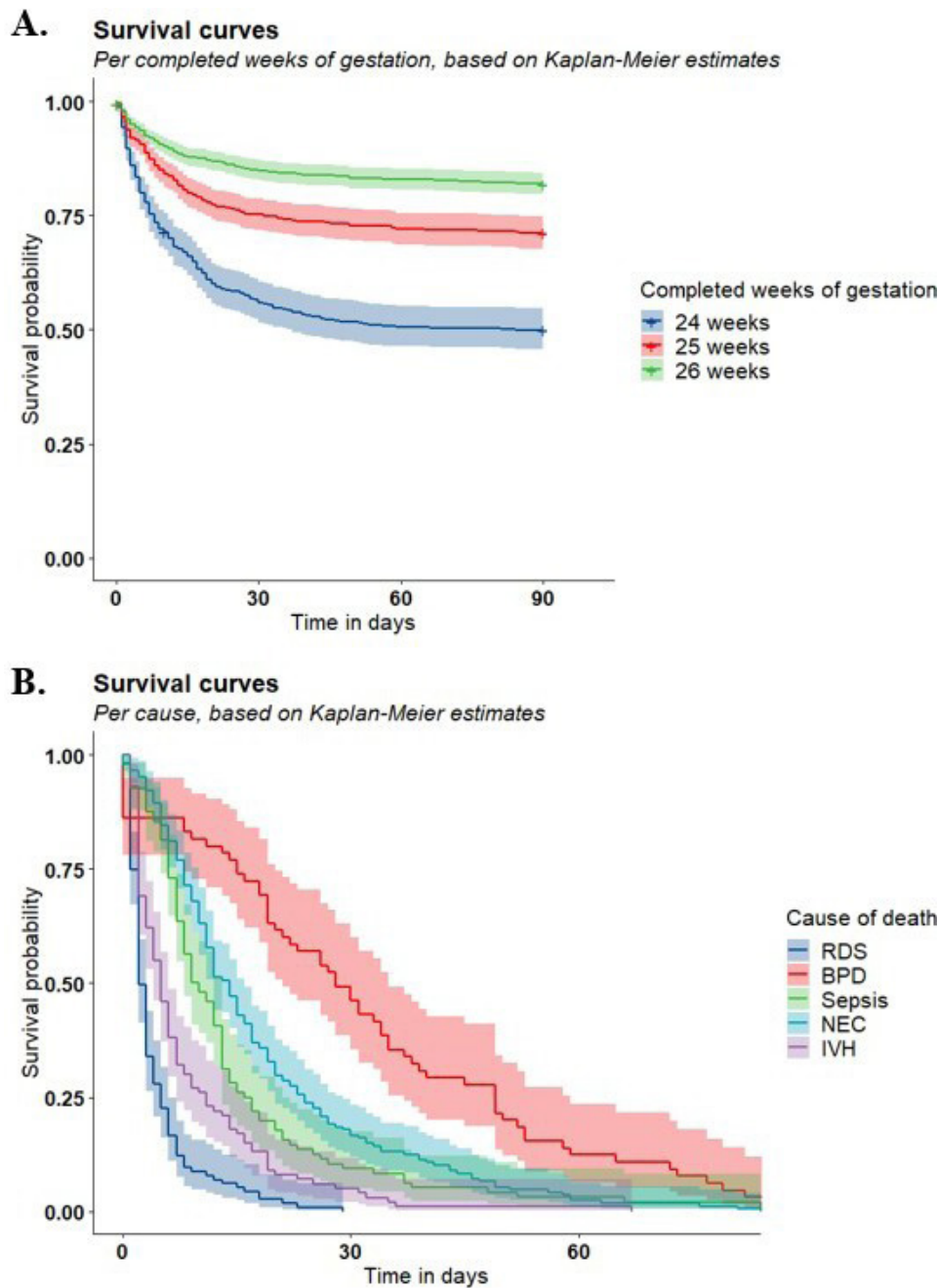
**Figure 1** Survival in all live born infants (A) and NICU-admitted infants (B) born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2007 and 2009 (reference period) and 2011–2017 (study period). Bars reflect 95% CIs. The year 2010 is not presented, as this was the year of implementation of the guideline and was therefore considered as a transition period. NICU, neonatal intensive care unit.

proportion of live births and NICU admission and a decreased survival after guideline implementation. Comparing the current study with this previously published study, we demonstrated further increased proportions of live born and admitted infants for all GAs with similar survival rates in admitted infants.

The low survival rate at 24 weeks' gestation might reflect the lack of consensus and heterogeneity of perinatal management for these infants.<sup>6</sup> Moreover, this may be reflected in the low caesarean section rate, which remained low even after implementation of the guideline, compared with other studies where active care is routinely given at 24 weeks. Caesarean section rates in 24-week infants in countries offering active care to

infants born at 22–23 weeks' GA are comparable or even higher than the caesarean section rate in 26-week infants in this current study.<sup>14 28 29</sup> Recently, in the Netherlands, an evidence-based, nationwide framework for prenatal counselling was developed, with the advantage to exclude interprofessional variance based on different values of doctors and to support personalisation allowing variation in parental preferences in decision making.<sup>30</sup> Counselling recommendations, treatment recommendations and outcome data are inevitably linked. The 2010 guideline on perinatal care in extremely preterm infants is currently being revised, taking all the above factors into account. Outcome data will need evaluation again after implementation of this new guideline.





**Figure 2** (A) Three-month survival with 95% CI for admitted infants born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2011 and 2017 for different completed weeks of gestational age. (B) Three-months survival with 95% CI for NICU admitted infants born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2011 and 2017 for different causes of death. BPD, bronchopulmonary dysplasia; IVH, intracranial haemorrhage; NEC, necrotising enterocolitis; RDS, respiratory distress syndrome.

Most infants die within the first 2 weeks of life, according to our study, but there were clear differences in period of vulnerability for each cause of death. Deaths due to RDS and IVH were more likely to occur at an earlier stage, while NEC mainly occurred in the subsequent weeks. This was in line with two studies reporting that infants who die from acute respiratory illness and IVH usually die within the first two to 3 weeks and that the onset of NEC starts after 2–3 weeks of age.<sup>21 31</sup> In contrast to Patel *et al*, the current study hardly reported any deaths attributed to immaturity, which is the result of different methodological choices. While Patel *et al* included all infants born alive, this study only included infants who survived to 24-hour postnatal age and were admitted to a

NICU, which has resulted in eliminating most deaths attributable to immaturity.

No differences in cause of death were found between all GAs. Top three causes of death were NEC, RDS and IVH, with similar cause-dependent incidences of death compared with other studies.<sup>32–34</sup> Combining RDS and BPD to respiratory problems, similar to the study of Patel *et al*, less infants died because of respiratory insufficiency in the period 2015–2017 compared with 2011–2014. This has been reported before and might be a result of more aggressive respiratory care in the NICU with increased use of high-frequency ventilation.<sup>16</sup> However, new techniques including minimally invasive surfactant therapy may have led to

**Table 3** Causes of death using the classification by Patel *et al*<sup>16</sup> for admitted infants born with a gestational age between 24<sup>+0</sup> and 26<sup>+6</sup> weeks between 2011 and 2017, compared between different completed weeks of gestation

Variable	Total	24 weeks	25 weeks	26 weeks	P value
N admissions	2121	480	625	1016	
N died (%)	603 (28.4)	240 (50.0)	180 (28.8)	183 (18.0)	
Cause of death					0.759
NEC	168 (27.9)	67 (27.9)	51 (28.3)	50 (27.3)	
RDS	115 (19.1)	49 (20.4)	36 (20.0)	30 (16.4)	
Severe intracranial haemorrhage	100 (16.6)	40 (16.7)	31 (17.2)	29 (15.8)	
Infection	96 (15.9)	39 (16.2)	29 (16.1)	28 (15.3)	
BPD	65 (10.8)	23 (9.6)	19 (10.6)	23 (12.6)	
Other	41 (6.8)	16 (6.7)	10 (5.6)	15 (8.2)	
Congenital malformation	8 (1.3)	1 (0.4)	1 (0.6)	6 (3.3)	
Immaturity	5 (0.8)	2 (0.8)	2 (1.1)	1 (0.5)	
Non-classifiable	5 (0.8)	3 (1.2)	1 (0.6)	1 (0.5)	
Death complicated or caused by:					
Infection	210 (34.8)	87 (36.2)	66 (36.7)	57 (31.0)	0.455
Respiratory problems	180 (29.9)	72 (30.0)	55 (30.6)	53 (29.0)	0.944
Central nervous system injury	160 (26.5)	72 (30.0)	45 (25.0)	43 (23.5)	0.278

Results are presented as N (%).

Death complicated or caused by infection includes categories RDS with infection, BPD with infection, suspected sepsis/infection, proven sepsis/infection, NEC with sepsis and severe IVH with infection culture proven or suspected; death complicated or caused by respiratory problems includes all categories with RDS or BPD; death complicated or caused by central nervous system injury includes categories RDS with severe IVH, BPD with severe IVH, severe IVH, severe IVH with infection culture proven or suspected and from category 'other' cPVL, severe cerebral damage, damage due to asphyxia and congenital CMV.

BPD, bronchopulmonary dysplasia; CMV, cytomegalovirus; cPVL, cystic periventricular leukomalacia; IVH, intraventricular haemorrhage; NEC, necrotising enterocolitis; RDS, respiratory distress syndrome.

increased avoidance of mechanical ventilation, which is known to be associated with lower incidences of BPD.<sup>35</sup> Simultaneously with decreasing respiratory insufficiency, Patel *et al* showed an increase in deaths attributed to NEC. Although not significant, our study also reported a proportion of death attributable to NEC of 25% in 2011–2014, compared with 32% in 2015–2017, and a proportion of death complicated or caused by infection of 33% in 2011–2014 compared with 38% in 2015–2017. Efforts to increase NICU survival in extremely preterm infants should therefore focus on research on optimising therapies that may decrease NEC and infection.

### Strengths and limitations

Strengths of this study include the use of a large national population-based cohort using detailed population information and inclusion of infants over an 8-year period. However, this study has several limitations.

Causes of death have been classified by different people, namely the principal investigator of the centre where infants were born. To maximally reduce interobserver bias, an expert panel group was held to discuss unclear cases. Furthermore, determining a single cause of death when multiple causes may play a role can be difficult and subjective, so misclassifications might have occurred. Lack of postmortem data might also have obscured the causes of death. To minimise bias, we used standard definitions as used by Patel *et al*<sup>16</sup>, we combined pulmonary causes RDS and BPD and combined deaths that were coded either directly attributed to or complicated by infection or CNS injury. It needs to be taken into account that determining cause of death as done in this paper reflects current daily practice of registering cause of death in the Netherlands. Third, as active care also includes interventions prior to delivery such as administration of antenatal corticosteroids, it would have been of great value to include such variables. Unfortunately, this information was not available in the registry. Lastly, for this study, it was decided that infants had to be admitted to a NICU for at least

1 day to be included in this study. Therefore, this study provides no information on cause of death for infants born alive but not admitted to a NICU and does not provide any information on decisions in the delivery room. These decisions might have influenced resuscitation and therefore might have influenced distribution of cause of death.

### CONCLUSIONS

In conclusion, this study showed that offering active treatment from 24 weeks' GA onwards resulted in an increase in NICU admissions rate and postnatal survival of infants born at 24 weeks' GA in the past decade. In the years after implementation, a shift in cause of death was seen from respiratory insufficiency towards NEC and sepsis.

### Author affiliations

<sup>1</sup>Department of Neonatology, Máxima Medical Centre, Veldhoven, The Netherlands

<sup>2</sup>Department of Neonatology, Wilhelmina Children's Hospital, University Medical Center Utrecht, Utrecht, The Netherlands

<sup>3</sup>The Netherlands Perinatal Registry, Utrecht, The Netherlands

<sup>4</sup>Department of Neonatology, Beatrix Children's Hospital, University Medical Center Groningen, Groningen, The Netherlands

<sup>5</sup>Department of Neonatology, VU University Medical Centre, Amsterdam, The Netherlands

<sup>6</sup>Department of Neonatology, Amalia Children's Hospital, Radboud University Medical Center, Nijmegen, The Netherlands

<sup>7</sup>Department of Neonatology, Sint Antonius Ziekenhuis, Nieuwegein, The Netherlands

<sup>8</sup>Department of Pediatrics, Division of Neonatology, Sophia Children's Hospital, Erasmus Medical Centre, Rotterdam, The Netherlands

<sup>9</sup>Department of Neonatology, Emma Children's Hospital, Amsterdam University Medical Center, Amsterdam, The Netherlands

<sup>10</sup>Department of Neonatology, Isala Clinics, Zwolle, The Netherlands

<sup>11</sup>Department of Neonatology, Maastricht University Medical Centre, Maastricht, The Netherlands

<sup>12</sup>Department of Neonatology, Leiden University Medical Center, Leiden, The Netherlands

<sup>13</sup>Department of Applied Physics, Eindhoven University of Technology, Eindhoven, The Netherlands

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**Collaborators** EPI-DAF study group.

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#### ORCID iDs

Pauline van Beek <http://orcid.org/0000-0001-8553-3241>  
 Floris Groenendaal <http://orcid.org/0000-0002-9284-1637>  
 Koen P Dijkman <http://orcid.org/0000-0003-0765-929X>

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