# PCCP

## PAPER

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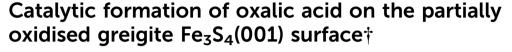
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## 1. Introduction

Oxalic acid  $(H_2C_2O_4)$ , which is the smallest dicarboxylic low molecular weight organic acid (LMWOA),<sup>1</sup> has traditionally been regarded as a major player in the chemical industry<sup>2,3</sup> and natural systems.<sup>4</sup> Oxalic acid is widely used for the treatment of metallic surfaces<sup>5-8</sup> and textiles,<sup>9-11</sup> as a bleaching agent,<sup>12-15</sup> for the preparation of esters,<sup>16-18</sup> as a reagent in chemical synthesis<sup>19-21</sup> and for separation of rare-earth elements.<sup>22-25</sup> The most sophisticated methods currently used in the industrial production of oxalic acid involve the oxidation of carbohydrates,<sup>26-29</sup> ethylene glycol<sup>30,31</sup> and carbon monoxide.<sup>32</sup> Moreover, oxalic acid is the metabolic product of plants,<sup>33-35</sup> soil fungi<sup>36-38</sup> and bacteria,<sup>39-41</sup> and to a lesser extent of animals,<sup>42-44</sup> playing a key role in modulating the interactions between these organisms.<sup>4,45,46</sup> Three main pathways have been suggested for the biosynthesis of oxalic acid, including the cytoplasmatic



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Greigite ( $Fe_3S_4$ ), with its ferredoxin-like 4Fe-4S redox centres, is a naturally occurring mineral capable of acting as a catalyst in the conversion of carbon dioxide (CO<sub>2</sub>) into low molecular-weight organic acids (LMWOAs), which are of paramount significance in several soil and plant processes as well as in the chemical industry. In this paper, we report the reaction between  $CO_2$  and water (H<sub>2</sub>O) to form oxalic acid  $(H_2C_2O_4)$  on the partially oxidised greigite Fe<sub>3</sub>S<sub>4</sub>(001) surface by means of spin-polarised density functional theory calculations with on-site Coulomb corrections and long-range dispersion interactions (DFT+U-D2). We have calculated the bulk phase of Fe<sub>3</sub>S<sub>4</sub> and the two reconstructed Tasker type 3 terminations of its (001) surface, whose properties are in good agreement with available experimental data. We have obtained the relevant phase diagram, showing that the  $Fe_3S_4(001)$  surface becomes 62.5% partially oxidised, by replacing S by O atoms, in the presence of water at the typical conditions of calcination [Mitchell et al. Faraday Discuss. 2021, 230, 30-51]. The adsorption and co-adsorption of the reactants on the partially oxidised  $Fe_3S_4(001)$  surface are exothermic processes. We have considered three mechanistic pathways to explain the formation of  $H_2C_2O_4$ , showing that the coupling of the C-C bond and second protonation are the elementary steps with the largest energy penalty. Our calculations suggest that the partially oxidised  $Fe_3S_4(001)$  surface is a mineral phase that can catalyse the formation of  $H_2C_2O_4$  under favourable conditions, which has important implications for natural ecosystems and is a process that can be harnessed for the industrial manufacture of this organic acid.

pathway,  $^{47}$  the tricarboxylic acid pathway  $^{48}$  and the glyoxylate pathway.  $^{36,49,50}$ 

From a catalytic point of view, ferredoxins have gained renewed significance owing to their biological role in electron transfers in several metabolic reactions.<sup>51,52</sup> Ferredoxins are iron-sulfur proteins, with one of the most notable families containing cubane Fe<sub>4</sub>-S<sub>4</sub> catalytic centres.<sup>53</sup> The catalytic centre of ferredoxins can be either exposed or hidden to solvent, leading to low reduction potentials with a minimum of -600 mV or high potentials with a maximum of +350 mV, respectively. 53,54 Structurally, ferredoxins contain Fe ions in the oxidation states +2 and +3, which are forming tetrahedrally coordinated FeS4 units, whereas each S atom is bonded to three Fe ions.<sup>54</sup> Ferredoxin oxidoreductases are responsible for the coupling of the C-C from CO<sub>2</sub> at cellular level in the reductive tricarboxylic acid cycle.<sup>55</sup> Attempts to synthesise biomimetic Fe<sub>4</sub>-S<sub>4</sub> clusters have been successful in controlling the binding of substrate through remote steric effects, but their preparation requires carefully controlled conditions, toxic chemicals and complex steps.56

Greigite (Fe<sub>3</sub>S<sub>4</sub>) is a naturally occurring mineral that contains Fe<sub>4</sub>–S<sub>4</sub> clusters with the cubane structure,<sup>57</sup> very similar to the catalytic centre in ferredoxins. Unsurprisingly, this mineral phase has been found to be catalytically active towards the

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activation and conversion of  $CO_2$  into small organic molecules, including formic acid, acetic acid, pyruvic acid and methanol.<sup>58–60</sup> Recent studies have suggested that the surfaces of  $Fe_3S_4$  become partially oxidised easily in air or wet conditions, forming core–shell iron sulfide–iron oxide nanoparticles,<sup>61,62</sup> which enhances the catalytic activity.

The important biocatalytic role of ferredoxins and the structural similarities with Fe<sub>3</sub>S<sub>4</sub> have led us to study the catalytic conversion of CO<sub>2</sub> and H<sub>2</sub>O into H<sub>2</sub>C<sub>2</sub>O<sub>4</sub> over the partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface by means of spin polarised density functional theory (DFT) calculations. We have modelled the bulk phase of Fe<sub>3</sub>S<sub>4</sub>, as well as the two reconstructed Tasker type 3 terminations of its (001) surface, and discuss the changes in several structural, electronic and magnetic properties. We have also calculated the effect of the ratio of the partial pressures of H<sub>2</sub>O, *i.e.* the reactant, and H<sub>2</sub>S, *i.e.* the product of the oxidation, and temperature on the oxygen coverage of the Fe<sub>3</sub>S<sub>4</sub>(001) surface. Next, we have considered the adsorption of the single CO<sub>2</sub> and H<sub>2</sub>O molecules at different sites on the partially oxidised  $Fe_3S_4(001)$  surface and assessed a number of descriptors of the chemical activation. We have calculated the structures and energies of possible surface-bound intermediates and saddle points and constructed the reaction profiles for three mechanisms, i.e. via (i) oxalate, (ii) carboxylate and (iii) bicarbonate pathways.

## 2. Computational methods

#### 2.1. Calculation details

The bulk phase, pristine and partially oxidised (001) surfaces of Fe<sub>3</sub>S<sub>4</sub>, as well as the reaction mechanisms were calculated using unrestricted DFT calculations as implemented in the Vienna ab initio simulation package (VASP).63-66 The strongly constrained and appropriately normed (SCAN) functional, which meets all 17 known exact constraints within the meta-generalised gradient approximation (meta-GGA), was used for the exchangecorrelation energy functional.<sup>67</sup> Meta-GGA functionals, which comprise up to the second order derivative of the electron density, *i.e.* the non-interacting kinetic energy density term, provide energies and structures that are in excellent agreement with experiments.<sup>68–72</sup> The projector augmented wave (PAW) formalism was used to treat the core states of Fe: [Ar], O: [He], C: [He] and S: [Ne], their kinetic energies and the interactions with the valence orbitals.<sup>73,74</sup> The aspherical components of the density gradient were also considered within the PAW onecentre terms, which is required by meta-GGA functionals to describe properly the strongly directional Fe 3d states. The D2 semiempirical method of Grimme was included in our calculations to correct the long-range dispersion interactions,<sup>75</sup> which is particularly important for an appropriate description of materials and interface properties.<sup>59,68,76–85</sup> A periodic plane-wave basis set with an upper kinetic energy threshold fixed at 400 eV was employed to expand the Kohn-Sham valence states. The electronic ground state was determined using a preconditioned conjugate gradients minimisation algorithm, which optimises completely and self-consistently the total (free) energy, which is

the variational quantity within this methodology.<sup>86–88</sup> This stable and robust electronic minimisation technique is preferred for modelling surface slabs with vacuum gaps when using meta-GGA functionals, as it applies an all band simultaneous update of orbitals. The time step needed to carry out the line optimisation of the energy along the preconditioned conjugate gradient was scaled automatically. The optimisation of the electronic density was terminated when the energy difference between two consecutive self-consistent loop steps was smaller than  $10^{-5}$  eV. The internal coordinates were relaxed to their equilibrium positions using a Newton line optimiser, an efficient conjugate gradients technique,<sup>89,90</sup> which was stopped when the Hellmann–Feynman forces were below 0.01 eV Å<sup>-1</sup>.

We have also used a mean-field Hubbard model, i.e. the so-called DFT+U method, to enhance the calculation of the delocalised Fe 3d states.<sup>91-93</sup> We have applied a simplified rotationally invariant strong intra-atomic Coulomb interaction<sup>94</sup> and developed the value for the effective parameter  $U_{\rm eff}$  = 5.26 eV following the linear response approach of Cococcioni et al.,95 which has been used successfully for the simulation of (Ni,Fe) oxyhydroxides (Ni<sub>1-r</sub>Fe<sub>r</sub>OOH)<sup>96</sup> and defective ceria CeO<sub>2</sub>.<sup>97</sup> We have carried out a series of static calculations to determine the  $U_{\rm eff}$  value, where we used the conventional cubic unit cell of Fe<sub>3</sub>S<sub>4</sub> with ferrimagnetic ordering with the experimental lattice parameter and internal positions.<sup>57</sup> We broke the symmetry of the tetrahedral (Fe<sub>A</sub>) and octahedral Fe (Fe<sub>B</sub>) sublattices one at a time, by treating one of these atoms differently to the rest. We calculated the number of d electrons  $(N_d)$  for (i) a standard DFT, (ii) a non-self-consistent response function (NSCRF) and (iii) a self-consistent response function (SCRF) to various spherical potentials (V) acting on both channels of the spin of that cation site. The NSCRF and SCRF were found by fitting linearly  $N_{\rm d}$  vs. V, see Fig. SI1 (ESI<sup>+</sup>). The optimal  $U_{\rm eff}$  value was obtained by calculating the weighted arithmetic mean of the difference between the reciprocals of the gradients of the linear fittings.

#### 2.2 Surface and molecular models

The (001) surface was investigated using the periodic  $p(1 \times 1)$ supercell, which was created from the bulk Fe<sub>3</sub>S<sub>4</sub> using the METADISE code.<sup>98</sup> A vacuum of 18 Å was added in the direction perpendicular to the surface to prevent interaction with the periodically repeated images and to ensure that the surface can accommodate, in any conformation, the H<sub>2</sub>C<sub>2</sub>O<sub>4</sub> molecule, which has a length of 4.7 Å. We considered four formula unit (f.u.) layers for the simulation of the (001) surface slab, with an area of 102.517 Å<sup>2</sup>. The atoms in the two topmost layers were allowed to relax during geometry optimisations, to mimic the surface, while those atoms in the remaining two bottom layers were kept at their optimised bulk positions. The Brillouin zone of the surface was sampled using a  $\Gamma$ -centred Monkhorst-Pack (MP) mesh of  $5 \times 5 \times 1$  k-points.<sup>99</sup> For the geometry optimisations, the partial occupancies were determined using the finite temperature Gaussian smearing method87 with 0.01 eV for the width of the smearing, which was tested to ensure that the electronic entropy (TS) vanished. The free energy (F), which is the variational quantity in the finite temperature Gaussian

smearing approach differs from  $E(\sigma)$  by TS.<sup>87,100</sup> However, we employed the tetrahedron method with Blöchl corrections<sup>101</sup> for the calculation of accurate energies as well as the electronic and magnetic properties in static simulations of the optimised structures. Convergence of energy differences within 1 meV per atom was further tested for the simulation supercell using different vacuum thicknesses, cut off energy values as well as various numbers of total and relaxed atomic layers. Dipole corrections were also included perpendicular to the surface plane to compensate for any dipole created by the chemical species added onto the relaxed side of the slab and to enhance the electronic convergence.<sup>102,103</sup>

The isolated CO<sub>2</sub> and H<sub>2</sub>O molecules were modelled in a periodic box of  $14 \times 15 \times 16$  Å<sup>3</sup> to ensure negligible interaction with their images in the neighbouring cells. The Gaussian scheme was used to determine the electronic partial occupancies during geometry optimisations and energy calculations, sampling only the  $\Gamma$  point of the Brillouin zone.

The effective Bader atomic charges were obtained using an improved grid of charge density values without lattice bias,<sup>104-106</sup> whereas the magnetic moments were integrated within the same atomic basins. The work function  $(\Phi)$ , which is the minimum thermodynamic work required for an electron to leave the Fe<sub>3</sub>S<sub>4</sub>(001) surface, was calculated as  $\Phi = E_{\text{vac}} - E_{\text{F}}$ , where  $E_{\text{vac}}$ is the potential at the vacuum level and  $E_{\rm F}$  is the Fermi level of the slab. The STM images were calculated using the Tersoff-Hamann method<sup>107</sup> in the implementation of Vanpoucke and Brocks.<sup>108</sup> The wavenumbers of the fundamental harmonic vibrational modes were determined using the central finite differences approach, by allowing each atom to move by a small displacement in the three Cartesian directions. The atomic displacements are used to calculate the elements of the Hessian matrix, which are computed by numerical differentiation of the analytical gradients of the energy with respect to the energy. The saddle points and minimum energy pathways (MEP) between initial and final states were calculated using the climbing image nudged elastic band (cNEB) method.<sup>109,110</sup> The cNEB algorithm used for modelling the MEPs has been employed successfully before to obtain the saddle point structures and energies of several processes in heterogeneous catalysis.<sup>59,61,68,78,111</sup> We have used five images to model the MEP, which were optimised globally by means of the limited-memory Broyden-Fletcher-Goldfarb-Shannon (LBFGS) method.<sup>89,112</sup> The saddle points were characterised by a single imaginary frequency along the reaction coordinate, which were determined using the central finite differences method.

#### 2.3 Surface energy diagrams

The surface energies for the slab before ( $\gamma_u$ ) and after relaxation ( $\gamma_r$ ) were evaluated as,

$$\gamma_{\rm u} = \frac{E_{\rm u} - n_{\rm b} E_{\rm b}}{2A} \tag{1}$$

$$\gamma_{\rm r} = \frac{E_{\rm r} - n_{\rm b} E_{\rm b}}{A} - \gamma_{\rm u} \tag{2}$$

where  $E_{\rm u}$ ,  $E_{\rm r}$  and  $E_{\rm b}$  are the energies of the unrelaxed slab, the half-relaxed slab and one Fe<sub>3</sub>S<sub>4</sub> f.u. in the bulk, respectively, whereas  $n_{\rm b}$  and A are the number of Fe<sub>3</sub>S<sub>4</sub> f.u. in the supercell and the surface area, respectively. The degree of relaxation (*R*) was calculated as  $R = 100(\gamma_{\rm u} - \gamma_{\rm r})/\gamma_{\rm u}$ .

The temperature-corrected energy  $[E_M(T,p^\circ)]$  for the adsorbate molecule (M) was calculated according to,

$$E_{\mathbf{M}}(T,p^{\circ}) = E_{\mathbf{M}} - TS(T,p^{\circ})$$
(3)

where  $E_{\rm M}$  is the calculated DFT energy for the isolated adsorbate, *T* is the temperature and  $S(T,p^{\circ})$  is the experimental entropy in the standard state, extracted from thermodynamic tables.<sup>113</sup> The average adsorption energy for the adsorbates  $[E_{\rm ads}(T,p^{\circ})]$  was obtained *via*,

$$E_{\rm ads}(T,p^{\circ}) = \frac{E_{\rm c} - (E_{\rm r} + \sum n_{\rm M} E_{\rm M}(T,p^{\circ}))}{\sum n_{\rm M}}$$
(4)

where  $E_c$  is the energy of the slab with the adsorbed species and  $n_M$  is the number of surface-bound adsorbates.

The process of partial oxidation of the  $Fe_3S_4(001)$  surface can be represented by the following chemical reaction,

$$Fe_{24}S_{32}(001) + nH_2O(g) = Fe_{24}S_{32-n}O_n(001) + nH_2S(g)$$
(5)

where n is the number of O atoms oxidising the surface.

For the partially oxidised surfaces, the effect of temperature on the surface free energy  $[\sigma(T,p)]$  was introduced as follows,<sup>85,114–116</sup>

$$\sigma(T,p) = \gamma_{\rm r} + C \left( E_{\rm ads}(T,p^\circ) - RT \ln \frac{p_{\rm H_2O}}{p_{\rm H_2S}} \right)$$
(6)

where *C* is the coverage of oxygen and *R* is the ideal gas constant. The last term represents the change in free energy of the  $H_2O$  and  $H_2S$  gases (assuming ideal gas behaviour) in equilibrium with the surface at constant temperature when the ratio of their partial pressures is allowed to change from an arbitrarily small to an arbitrarily large value.

The activation free energy  $(F_{ai})$  was calculated as the difference between the free energy of the saddle point and the free energy of the reactants, where the index *i* refers to each of the three elemental steps that we calculated. The free energy of the elemental step  $(\Delta F_i)$  was obtained as the difference of the free energy of the products and the free energy of the reactants. The energy diagrams were prepared using the most stable binding modes of the reactants, intermediates and final products, which were connected by saddle points and MEPs. The Helmholtz free energy  $(\Delta F)$  was calculated as  $\Delta F = \Delta E - T\Delta S_{\rm vib}$  where  $S_{\rm vib}$  is the vibrational entropy, which was obtained as follows for the adsorbed species,

$$S_{\text{vib}} = R\left(\sum_{i} \frac{\hbar\omega_i/k_{\text{B}}T}{\exp(\hbar\omega_i/k_{\text{B}}T) - 1} - \sum_{i} \ln(1 - \exp(-\hbar\omega_i/k_{\text{B}}T))\right)$$
(7)

where  $\hbar$  is the reduced Planck constant,  $\omega_i$  are the vibrational frequencies and  $k_{\rm B}$  is the Boltzmann constant. We have used the entropies reported in thermodynamic tables for the isolated

 $CO_2$ ,  $H_2O$ ,  $H_2C_2O_4$  and  $O_2$ , to avoid calculating the significant translational and rotational components of the entropy for these gas phase molecules.<sup>117</sup>

## 3. Results and discussion

#### 3.1. Bulk phase of greigite $(Fe_3S_4)$

We first investigated the optimised bulk structure of  $Fe_3S_4$ , which is later used to create the (001) surface for the calculation of the phase diagrams, molecular adsorptions and reaction mechanisms. Our starting point for Fe<sub>3</sub>S<sub>4</sub> is the bulk structure characterised by Li and collaborators using room temperature powder X-ray diffraction (XRD).<sup>57</sup> Fe<sub>3</sub>S<sub>4</sub> has the typical facecentred cubic (fcc) lattice of the spinel structure and crystallises in the space group  $Fd\bar{3}m$  (no. 227). Fig. 1 depicts the conventional cubic unit cell of Fe<sub>3</sub>S<sub>4</sub> containing 8 f.u. and the periodically repeated images of those ions located at the edges and corners of the cell. The sulfide anions are formally in the 2- oxidation state and exhibit a nearly regular cubic closepacked (ccp) distribution along the [111] direction. The S atoms occupy the tetrahedrally distorted Wyckoff 32e positions with coordinates (u, u, u) and are surrounded by 12 other equidistant nearest-neighbour anions. The difference of the sulfur parameter u from  $\frac{1}{4}$ , in direct coordinates, represents the shift of the anions in the [111] direction to accommodate cations of different coordination number and radii in the interstitial sites.  $Fe_3S_4$  is an inverse 2–3 type spinel, as it contains two 3+ and one 2+ cations per formula unit. The tetrahedral Fe<sub>A</sub> atoms are in the highest 3+ oxidation state and occupy the 8a crystallographic positions at (1/8, 1/8, 1/8). The octahedral Fe<sub>B</sub> cations, which have two equal populations in the 2+ and 3+ valence states each, fill the 16d octahedral holes with coordinates (1/2,1/2, 1/2). However, only 1/8 of the total number of tetrahedral positions and half of the total number of octahedral holes are

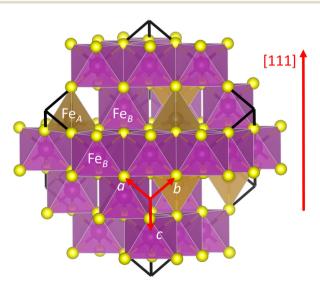


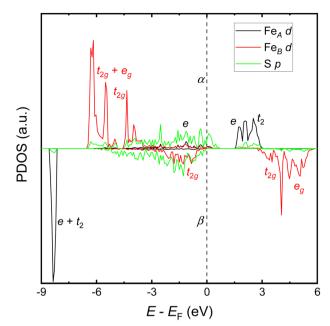
Fig. 1 Polyhedral model of the face-centred cubic (fcc) conventional unit cell containing 8 formula units (f.u.) of  $Fe_3S_4$ . Crystallographic directions are indicated.  $Fe_A$  atoms are in dark yellow,  $Fe_B$  atoms are in magenta and S atoms are in light yellow.

filled by the cations. The cations form two different types of alternate layers in the [111] direction, one composed only by  $Fe_B$  ions and the other by both  $Fe_A$  and  $Fe_B$ .

Table 1 summarises the calculated and previously reported structural, electronic and magnetic properties for the Fe<sub>3</sub>S<sub>4</sub> bulk material. Our calculations reveal that the lattice parameter for the cubic unit cell of Fe<sub>3</sub>S<sub>4</sub> was overestimated by 0.25 Å with respect to experiment,<sup>57</sup> but is in excellent agreement with the value reported by Devey et al. when using PW91+U where  $U_{\rm eff}$  = 5 eV.<sup>118</sup> The atomic positions were allowed to relax fully, but the cations remained in their ideal crystallographic sites. However, the value obtained for the sulfur u parameter is just slightly larger than in experiment, indicating that the anions suffer a relatively larger displacement in the simulation cell. The anion-cation bond distances, which are mathematically related to the sulfur parameter by  $d(\text{Fe}_{\text{A}} - \text{S}) = \sqrt{3}a(u - 1/8)$ and  $d(\text{Fe}_{\text{B}}-\text{S}) = a(1/2 - u)$ , were overestimated by 0.08 and 0.05 Å, respectively, compared to a previous study<sup>119</sup> but in agreement with a larger lattice parameter. Our computational setup provides better atomic Bader charges (q) and magnetic moments  $(m_s)$  than calculations using small values of  $U_{\rm eff}$ ,<sup>118,120</sup> and our results are in better agreement for comparable Hubbard parameters to the one used in this study.<sup>118</sup> Although the atomic charges of  $1.371e^-$  for Fe<sub>A</sub>,  $1.189e^-$  for Fe<sub>B</sub> and  $-0.937e^{-1}$  for S are still underestimated with regards to their oxidation states, this is a common finding with Bader charges, and they do provide evidence that our Fe<sub>3</sub>S<sub>4</sub> is indeed an inverse spinel as  $q(Fe_A) > q(Fe_B)$ , which has been observed before.<sup>57,121</sup> The larger magnetic moment of  $-4.177\mu_{B}$  atom<sup>-1</sup> for Fe<sub>A</sub> refers to the high-spin electronic distribution  $e_{\parallel}^{2}t_{\perp}^{3}e_{\uparrow}^{x}$ , whereas we can assign the configuration  $t_{2g\uparrow}{}^{3}e_{eg\uparrow}{}^{2}t_{2g\downarrow}{}^{1+x}$  to the antiparallel aligned Fe<sub>B</sub>, implying that the conduction mechanism involves both cation sublattices. Our total spin magnetisation of saturation ( $M_{\rm S}$ ) of 2.00 $\mu_{\rm B}$  f.u.<sup>-1</sup> is in excellent agreement with the early works of Morrish and collaborators using Mössbauer spectroscopy.<sup>121,122</sup> Note that a wide range of different values have been reported for Fe<sub>3</sub>S<sub>4</sub>, corresponding to other electronic and magnetic properties.<sup>119,123-127</sup> Fig. 2 displays the electronic density of states (DOS) for the bulk phase of

**Table 1** Unit cell lattice parameter (*a*), sulfur parameter (*u*), interatomic distances (*d*), atomic charges (*q*), atomic magnetic moments ( $m_s$ ) and total spin magnetisation ( $M_s$ ) for the thiospinel greigite Fe<sub>3</sub>S<sub>4</sub> from this work and previous reports. Negative atomic magnetic moments indicate antiparallel alignment

Property	This work	Previous reports	Ref.
a (Å)	10.1251	9.8719	57
u	0.2586	0.2546	57
$d(Fe_A-S)$ (Å)	2.29	2.21	119
$d(Fe_{B}-S)(Å)$	2.47	2.42	119
$q(Fe_A)$ $(e^{-} atom^{-1})$	1.371	1.1	120
$q(Fe_B)(e^- \text{ atom}^{-1})$	1.189	1.0	120
$\hat{q}(S)$ $(e^{-} \text{ atom}^{-1})$	-0.937	-0.8	120
$m_{\rm s}({\rm Fe}_{\rm A}) \ (\mu_{\rm B} \ {\rm atom}^{-1})$	-4.177	-2.8	120
$m_{\rm s}({\rm Fe}_{\rm B})$ ( $\mu_{\rm B}$ atom <sup>-1</sup> )	3.614	3.0	120
$m_{\rm s}({\rm S}) \ (\mu_{\rm B} \ {\rm atom}^{-1})$	-0.263	0.1	120
$M_{\rm S}({\rm Fe_3S_4}) \ (\mu_{\rm B} \ {\rm f.u.}^{-1})$	2.000	2.00	121

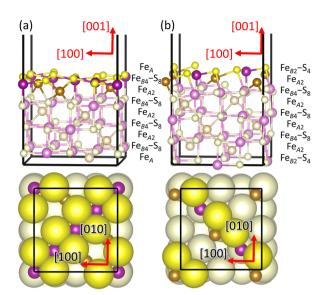


**Fig. 2** Atomic projections of the spin decomposed total density of states (PDOS) for the bulk phase of Fe<sub>3</sub>S<sub>4</sub>.  $\alpha$  and  $\beta$  stand for the majority and minority channel of the spins, respectively. Fe<sub>A</sub> and Fe<sub>B</sub> contributions are from the 3d bands, whereas S contributions are from the 3p orbitals. Fe<sub>A</sub> projections are in black, Fe<sub>B</sub> projections are in red and S projections are in green.

Fe<sub>3</sub>S<sub>4</sub>. The occupied e and t<sub>2</sub> states of the Fe<sub>A</sub> ions appear strongly hybridised as a narrow single peak in the minority channel of spins at around -8.5 eV. The Fe<sub>A</sub> cations also have a small e band crossing the Fermi level in the  $\alpha$  channel, illustrative of the itinerant electron provided by the t<sub>2g</sub> valence band of the Fe<sub>B</sub> sublattice in the minority channel of the spins. The t<sub>2g</sub> and e<sub>g</sub> valence bands of the Fe<sub>B</sub> cations are located between -7.0 and -3.0 eV in the majority channel of the spins. The virtual e and t<sub>2</sub> states of Fe<sub>A</sub> and the t<sub>2g</sub> and e<sub>g</sub> conduction bands of Fe<sub>B</sub> appear above 1.5 eV. Importantly, the hybridisation of the S p levels with the t<sub>2g</sub> states of the Fe<sub>B</sub> cations observed in the minority spin channel of the DOS of the bulk of Fe<sub>3</sub>S<sub>4</sub> explains the small magnetic moment of  $-0.263\mu_{\rm B}$  calculated for the anion.

#### 3.2. Pristine Fe<sub>3</sub>S<sub>4</sub>(001) surface

We have also simulated the two non-polar stoichiometric and symmetric terminations of the pristine Fe<sub>3</sub>S<sub>4</sub>(001) surface, where we have kept the cell borders fixed at the parameters calculated for the bulk, represented in Fig. 3. The stacking of the atomic planes is (Fe<sub>A</sub>)–(Fe<sub>B4</sub>–S<sub>8</sub>)–(Fe<sub>A</sub>) and (Fe<sub>B2</sub>–S<sub>4</sub>)–(Fe<sub>A2</sub>)– (Fe<sub>B2</sub>–S<sub>4</sub>) for the terminations Fe<sub>A</sub> and Fe<sub>B</sub>–S, respectively, where the atoms within parenthesis are located approximately within the same layers. We have employed single surface unit cells to simulate terminations Fe<sub>A</sub> and Fe<sub>B</sub>–S of the pristine Fe<sub>3</sub>S<sub>4</sub>(001) surface, which are the smallest periodically repeating surface unit cells that we can use to model the stacking sequences of these systems. Both terminations Fe<sub>A</sub> and Fe<sub>B</sub>–S are reconstructed Tasker type 3 surfaces,<sup>128</sup> where the dipole



**Fig. 3** Side (top panels) and top (bottom panels) views of terminations (a) Fe<sub>A</sub> and (b) Fe<sub>B</sub>-S of the Fe<sub>3</sub>S<sub>4</sub>(001) surface after geometry optimisation. Layers containing atoms with dangling bonds are highlighted. Crystallographic directions and stacking sequence of the atomic layers before geometry optimisation are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta and S atoms are in light yellow.

moment was removed before geometry optimisation by displacing half of the ions from the exposed stoichiometric stacking sequence at the top of the slab to the bottom of the slab. Termination Fe<sub>A</sub> has a bulk-like structure comprising a full monolayer (ML) of S atoms, where 25% are 4-fold and the remaining 75% have one dangling bond. The topmost plane of termination Fe<sub>A</sub> also contains 0.5 ML of 5-fold Fe<sub>B</sub> cations, which form alternating rows in the [110] direction, on top of which are 0.125 ML of 2-fold Fe<sub>A</sub> ions with the ( $\sqrt{2} \times \sqrt{2}$ ) *R*45° symmetry, according to the vectorial notation of Wood.<sup>129</sup> Termination Fe<sub>B</sub>-S presents 0.5 ML of 2-fold S atoms, unsurprisingly also forming alternating rows in the [110] direction, alongside 0.25 ML of Fe<sub>B</sub> with 3 dangling bonds, whereas the layer beneath contains 0.25 ML of 2-fold Fe<sub>A</sub> ions.

Fig. 4 shows the relaxation of the interplanar distances, which was calculated as  $\Delta_{ij} = 100 (d_{ij} - d_{ij}^{\circ}) / d_{ij}^{\circ}$ , where  $d_{ij}$  is the separation between the relaxed *i* and j = i + 1 layers and  $d_{ii}^{\circ}$  is the separation for the equivalent planes in the bulk.<sup>130</sup> Following the geometry optimisation of both terminations, we found a relaxation behaviour that was increasingly attenuated towards the bulk. Each pair of layers  $Fe_A$ -*i* and  $Fe_B$ -S-*j* that were allowed to relax showed a preference to move together in alternating directions. For termination  $Fe_A$ , the protruding twofold  $Fe_A-1$ ions suffered a strong inward relaxation of 141%, recovering their bulk tetrahedral coordination, when they merged with the subsurface layer FeA-3 where 0.25 ML of fourfold FeA are located, see Fig. 3(a) and 4. The negatively charged subsurface plane Fe<sub>B</sub>-S-2 experienced only a moderate 13% shift towards the bulk, as it was pulled electrostatically by the merged layer beneath containing a larger number of Fe<sub>A</sub> ions and therefore more positive charge carriers than in the bulk. The outward

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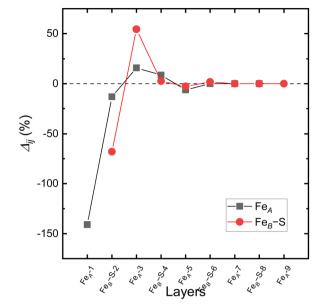


Fig. 4 Relaxation  $(\Delta_{ij})$  of the interplanar distance for the terminations (a) Fe<sub>A</sub> and (b) Fe<sub>B</sub>-S of the Fe<sub>3</sub>S<sub>4</sub>(001) surface in black and red, respectively.

displacement of 16% of the subsurface layer Fe<sub>A</sub>-3 can also be rationalised in terms of the Coulomb attraction exerted by the plane Fe<sub>B</sub>–S-2 above, which lies closer as a result of its inward relaxation. The subsurface layer Fe<sub>B</sub>–S-4 shifted by 9% towards the surface, to compensate for the expansion of the plane Fe<sub>A</sub>-3 above, whereas Fe<sub>A</sub>-5 preferred to reduce its interplanar distance to the closer Fe<sub>B</sub>–S-6 layer that was not allowed to relax. The relaxation pattern of termination Fe<sub>A</sub> for the Fe<sub>3</sub>S<sub>4</sub>(001) surface is in excellent agreement with the relaxation reported for the same termination of the magnetite Fe<sub>3</sub>O<sub>4</sub>(001) surface.<sup>116</sup> Moreover, the contractions and expansions of the interplanar distances Fe<sub>B</sub>– S-2 and Fe<sub>A</sub>-3 are considerably larger for termination Fe<sub>B</sub>–S that than for termination Fe<sub>A</sub>, see Fig. 4. However, the inward shift of the topmost layer Fe<sub>B</sub>–S-2 of termination Fe<sub>B</sub>–S is only half of the contraction of the exposed layer Fe<sub>A</sub>-1 of termination Fe<sub>A</sub>.

From our calculations of the surface energies before and after geometry optimisation, we have found that termination  $Fe_A$  is the most stable of the  $Fe_3S_4(001)$  planes, see Table 2. The

**Table 2** Surface energies before  $(\gamma_u)$  and after relaxation  $(\gamma_r)$ , percentage of relaxation (*R*), average atomic charges (*q*), average magnetic moments (*m*<sub>s</sub>) and work function ( $\Phi$ ) for terminations Fe<sub>A</sub> and Fe<sub>B</sub>-S of the pristine Fe<sub>3</sub>S<sub>4</sub>(001) surface. Negative atomic magnetic moments indicate antiparallel alignment

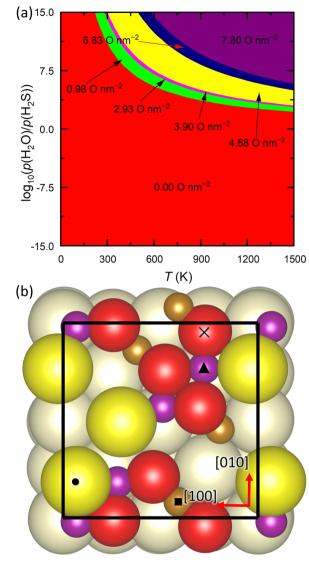
Termination	Fe <sub>A</sub>	Fe <sub>B</sub> -S
$\gamma_{\rm u}  ({\rm meV}  {\rm \AA}^{-2})$	66.3	118.7
$\gamma_r (\text{meV Å}^{-2})$	32.7	75.2
R (%)	50.68	36.69
$q(Fe_A)$ ( $e^-$ atom <sup>-1</sup> )	1.187	1.004
$q(Fe_B)$ $(e^- \text{ atom}^{-1})$	1.257	1.022
$q(S) (e^{-} \text{ atom}^{-1})$	-0.845	-0.919
$m_{\rm s}({\rm Fe}_{\rm A})$ ( $\mu_{\rm B}$ atom <sup>-1</sup> )	-3.858	-3.679
$m_{\rm s}({\rm Fe}_{\rm B})$ ( $\mu_{\rm B}$ atom <sup>-1</sup> )	4.040	3.713
$m_{\rm s}({\rm S})$ ( $\mu_{\rm B}$ atom <sup>-1</sup> )	0.318	0.139
$\Phi$ (eV)	5.613	5.558

largest relaxation of R = 50.68% obtained for termination Fe<sub>A</sub> is a testament of the strong inward displacement of the protruding FeA ion to the subsurface layer. We have calculated the atomic Bader charges, which are lower for the exposed Fe<sub>A</sub> and S ions than for their bulk counterparts. The (001) surface cations,  $Fe_A$  and  $Fe_B$ , are more ionic at termination  $Fe_A$  than at termination Fe<sub>B</sub>-S, whereas we observed the opposite trend for the S anion. We also found an increment by  $0.068e^{-1}$  atom<sup>-1</sup> in the positive charge of the Fe<sub>B</sub> ions in termination Fe<sub>A</sub> compared to their bulk values. The magnetic moments calculated for all the under-coordinated atoms are larger in termination Fe<sub>A</sub> than in termination Fe<sub>B</sub>-S. Our calculations only predict larger magnetic moments than in the bulk for the Fe<sub>B</sub> ions with dangling bonds in both terminations, FeA and FeB-S, and for the exposed S atoms in the termination Fe<sub>A</sub>. In contrast to the bulk, we found that the charges and magnetic moments are larger for the exposed Fe<sub>B</sub> cations than for the FeA ions for the two terminations considered in this study. We also noted that the small magnetic moments of the S atoms, which are aligned parallel to the FeA ions in the bulk, prefer to align parallel to the Fe<sub>B</sub> cations in both terminations of the  $Fe_3S_4(001)$  surface. The work function indicates that termination Fe<sub>A</sub> is marginally less reactive, by 0.055 eV, than termination Fe<sub>B</sub>-S, which can provide more easily the loosest held electron required for the catalytic formation of H<sub>2</sub>C<sub>2</sub>O<sub>4</sub>. From here, we do not consider the Fe<sub>B</sub>-S termination for further analysis due to its large relaxed surface energy, which makes it unlikely to be expressed in the crystal morphology of Fe<sub>3</sub>S<sub>4</sub>.

#### 3.3. Partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface

We next analysed the relative stabilities of termination Fe<sub>A</sub> of the  $Fe_3S_4(001)$  surface containing different coverages of oxygen as a function of the ratio of the partial pressures of H2O and H2S and temperature. We have successfully used these ab initio thermodynamic techniques previously to model the oxidation<sup>115</sup> and hydrogenation<sup>78</sup> of cobalt, the hydration of platinum<sup>85</sup> and the redox behaviour of Fe<sub>3</sub>O<sub>4</sub>.<sup>116</sup> We have calculated several coverages, by replacing in turn each of the S atoms with dangling bonds by O atoms. There is strong experimental evidence, backed up by calculations, that different iron and nickel sulfide phases, including Fe<sub>3</sub>S<sub>4</sub>,<sup>61,62</sup> troilite (hexagonal FeS),<sup>131</sup> pyrrhotite (hexagonal  $Fe_{1-r}S$ ,  ${}^{68,132}_{7,1}$  polydymite  $(Ni_3S_4)^{68}$  and violarite  $(FeNi_2S_4)^{68}$ oxidise partially and easily when they are in contact with water, forming catalytically active core-shell sulfide-oxide nanoparticles. The dimension of our computational slab allows the substitution of up to eight S atoms from termination FeA of the  $Fe_3S_4(001)$  surface. We have considered several distributions of the S and O atoms for each O coverage and used eqn (6) to calculate their surface free energies. The atomic configurations with the lowest surface free energies for each ratio of the two anions were selected to build the surface phase diagram. The surface free energies for each O coverage are represented by nonplanar potential energy surfaces that intersect each other at specific temperatures and ratios of partial pressures of H2O and H<sub>2</sub>S, as shown in a bird's eye view in Fig. SI2 (ESI<sup>+</sup>). The curves formed where two potential energy surfaces cross correspond to the conditions required to modify the extent of the

PCCP



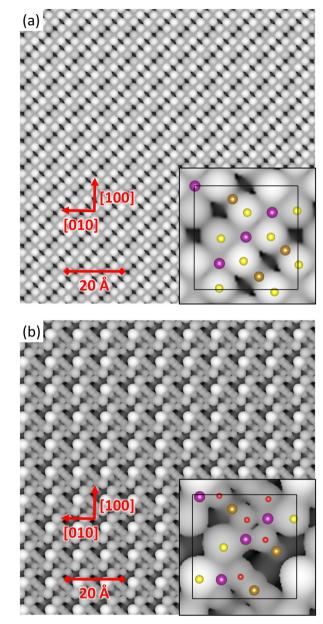
**Fig. 5** (a) Phase diagram for the partially oxidised termination Fe<sub>A</sub> of the Fe<sub>3</sub>S<sub>4</sub>(001) surface as a function of the ratio of the partial pressures of H<sub>2</sub>O and H<sub>2</sub>S and temperature. The O coverages (*C*) are indicated for each region. (b) Top view of the Fe<sub>3</sub>S<sub>4</sub>(001) surface with an oxygen coverage of  $C = 4.88 \text{ O mm}^{-2}$ . Layers containing atoms with dangling bonds are highlighted. Crystallographic directions and the adsorption sites Fe<sub>A</sub> (**■**), Fe<sub>B</sub> (**▲**), O (×) and S (**●**) are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta, S atoms are in light yellow and O atoms are in red.

partial oxidation of the Fe<sub>3</sub>S<sub>4</sub>(001) surface. However, the most convenient way to depict and analyse this type of diagram is by making a bi-dimensional projection along the surface free energy axis onto the plane formed by the temperature and ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S, see Fig. 5(a). The surface phase diagram shows that termination Fe<sub>A</sub> of the Fe<sub>3</sub>S<sub>4</sub>(001) surface requires temperatures above 230 K and a ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S below 100 to remain pristine. The lowest possible oxygen coverage of C = 0.98 O nm<sup>-2</sup> is achieved for a maximum temperature of 300 K and a minimum ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S of 10<sup>2.2</sup>. The coverages 2.93 and 3.90 O nm<sup>-2</sup>, with very limited ranges of stabilities and equivalent to three and four O atoms, respectively, appear at higher temperatures and higher

ratio of partial pressures of  $H_2O$  and  $H_2S$  than the 0.98 O nm<sup>-2</sup> coverage. The surface is capable of accommodating five O atoms, *i.e.* a coverage of 4.88 O  $nm^{-2}$  if the temperature is increased up to 530 K for a ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S smaller than  $10^{5.5}$ . Fig. 5(b) displays this coverage of O atoms, which is consistent with a 62.5% partial oxidation, and is in good agreement with our previous work on  $\text{FeNi}_2S_4$ , where we found that 75% of the surface S could be replaced by  $O.^{68}$  Our results for the  $Fe_3S_4(001)$ surface suggest that a coverage of C = 4.88 O nm<sup>-2</sup> has a relatively wide range of stabilities between 300 and 530 K when H<sub>2</sub>O is the predominant gas. For comparison, FeNi2S4 suffers spontaneous surface oxidation when it is calcined at 473 K in air or moisture.68 The coverage is expanded to  $6.83 \text{ O mm}^{-2}$ , or seven O atoms, if the ratio of partial pressures of H2O and H2S is increased by a further  $10^{0.5}$  with respect to C = 4.88 O nm<sup>-2</sup> and the temperature does not exceed 600 K. The full coverage of C = 7.80 O nm<sup>-2</sup> only becomes thermodynamically favourable for a temperature and ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S larger than the values required for the incorporation of seven O atoms in our surface. Coverages C = 1.95and 5.85 O nm<sup>-2</sup>, corresponding to two and six O atoms, respectively, are not accessible, as they are the least energetically stable partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface slab models within the conditions of pressure and temperature of our phase diagram.

The STM images of both the pristine termination  $Fe_A$  of the  $Fe_3S_4(001)$  surface and with an oxygen coverage of  $C = 4.88 \text{ O nm}^{-2}$  are represented in Fig. 6. The negative sample bias of -2.5 eV used to generate these STM images indicates that the electrons hop from the valence band to the probe tip, as expected for the half-metal properties predicted in the DOS for the bulk of  $Fe_3S_4$ . The S atoms appear as the brightest spots in the pristine and oxidised surfaces, whereas the cations are not well resolved. The O atoms can be identified in the S anions, in agreement with their smaller ionic radius. The pristine surface displays a pattern of well-ordered arrays of S atoms along the [110] direction, which is lost after oxidation.

To provide additional insight into the  $Fe_3S_4(001)$  surface, we have also analysed its thermodynamic stability, electronic and magnetic properties, as well as the surface reactivity as a function of the oxygen coverage. The surface free energy ( $\sigma$ ) at 0 K, which quantifies the work needed to create the surface of a material and indicates its stability, increases gradually with the oxygen coverage, indicating that partially oxidising the  $Fe_3S_4(001)$  facet is an energetically unfavourable process, see Fig. 7(a). Our calculations suggest that the surface free energy increases relatively rapidly for coverages from 0.00 O  $\text{nm}^{-2} < C < 1.95$  O  $\text{nm}^{-2}$  and especially for 4.88 O  $\rm{nm^{-2}}$  < C < 5.85 O  $\rm{nm^{-2}}$  and 6.83 O  $\rm{nm^{-2}}$  < C < 7.80 O  $nm^{-2}$ . The surface free energy barely changes for the coverages 2.93 and 3.90 O nm<sup>-2</sup>, explaining the narrow range of conditions which need to be controlled precisely to develop these particular partial degrees of oxidation. Interestingly, the partially oxidised surface with a coverage of 6.83  $O \text{ nm}^{-2}$  is approximately 13 meV Å<sup>-2</sup> more stable than the facet with C = 5.85 O nm<sup>-2</sup>. The atomic charges and magnetic moments do not change noticeably and remain fairly constant for any oxygen coverage, with the exception of the smaller negative charge of the S atom for

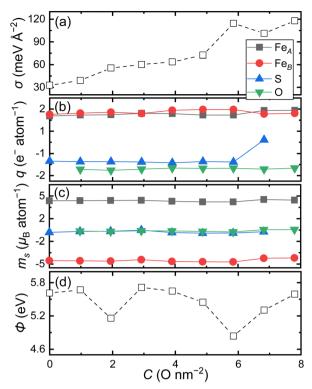


**Fig. 6** Scanning tunnelling microscopy (STM) images of termination Fe<sub>A</sub> of the Fe<sub>3</sub>S<sub>4</sub>(001) surface, where (a) represents the pristine surface and (b) the surface with an oxygen coverage of  $C = 4.88 \text{ O nm}^{-2}$ . The images were generated using a bias of V = -2.5 eV, a tip distance of d = 2.00 Å, and a density of (a)  $\rho = 0.0101 \text{ e Å}^{-3}$  and (b)  $\rho = 0.0120 \text{ e Å}^{-3}$ . Crystallographic directions are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta, S atoms are in light yellow and O atoms are in red.

 $C = 6.83 \text{ O nm}^{-2}$  as shown in Fig. 7(b) and (c). The work function shows two minima at the coverages C = 1.95 and 5.85 O nm<sup>-2</sup>, suggesting that these partial degrees of oxidation make the Fe<sub>3</sub>S<sub>4</sub>(001) surface considerably more reactive, see Fig. 7(d).

## 3.4. Molecular adsorptions on the partially oxidised $Fe_3S_4(001)$ surface

We have investigated the interaction of the single molecules  $CO_2$ and  $H_2O$  on the  $Fe_3S_4(001)$  surface with a coverage 4.88 O nm<sup>-2</sup>,



**Fig. 7** (a) Surface free energies ( $\sigma$ ) at 0 K, (b) average atomic charges (q), (c) average magnetic moments ( $m_s$ ) and (d) work function ( $\Phi$ ) for different oxygen coverages of the Fe<sub>3</sub>S<sub>4</sub>(001) surface. Negative atomic magnetic moments indicate antiparallel alignment.

which from hereon is the surface model of our catalyst. In order to simplify the notation used in this paper, we will refer to the Fe<sub>3</sub>S<sub>4</sub>(001) surface with a 62.5% degree of partial oxidation as "62.5O–Fe<sub>3</sub>S<sub>4</sub>(001)." We have assessed the effect of these molecular adsorptions on the surface free energy, charge transfer, atomic magnetic moment and work function of the 62.5O– Fe<sub>3</sub>S<sub>4</sub>(001) surface. We also discuss the geometries of the most favourable adsorption modes and the shifts of the fundamental scaled vibrational modes of the adsorbates. Fig. 5(b) shows the four adsorption sites investigated in this study, including atop the (i) Fe<sub>A</sub>, (ii) Fe<sub>B</sub>, (iii) S and (iv) O atoms.

Table 3 lists the calculated bond distances (d), bond angles  $(\angle)$  as well as the harmonic (unscaled) and scaled asymmetric stretching ( $\nu_{asym}$ ), symmetric stretching ( $\nu_{sym}$ ) and bending ( $\delta$ ) fundamental vibrational modes for the CO2 and H2O adsorbates, which we have used to benchmark our computational SCAN-D2 setup. Our calculations indicate that we have obtained, up to the second decimal, the correct equilibrium bond distances for the CO2 and H2O molecules compared to the experimental values.<sup>133,134</sup> The bond angle of  $180^{\circ}$  predicted for the CO<sub>2</sub> molecule is in perfect agreement with the value inferred from electronic spectroscopy,<sup>133</sup> whereas the bond angle calculated for the H<sub>2</sub>O molecule is only slightly overestimated with respect to experiment.<sup>134</sup> Despite their different point groups, *i.e.* C<sub>2v</sub> for H<sub>2</sub>O and  $D_{\infty h}$  for CO<sub>2</sub>, both molecules are characterised by only three fundamental vibrational modes. Compared to previous reports, our calculated asymmetric stretching, symmetric stretching and

**Table 3** Calculated and previously reported intramolecular bond distances [d(C=O) and d(H-O)], bond angles [(O=C=O) and (H-O-H)] and wavenumbers for the fundamental vibrational modes for the isolated CO<sub>2</sub> and H<sub>2</sub>O molecules, respectively. The presented harmonic vibrational modes, both unscaled and scaled, are the asymmetric stretching ( $\nu_{asym}$ ), symmetric stretching ( $\nu_{sym}$ ) and bending ( $\delta$ ) modes for the O=C=O and H-O-H groups in the CO<sub>2</sub> and H<sub>2</sub>O molecules, respectively

		$CO_2$	H <sub>2</sub> O
d (Å)	Calculated	1.165	0.964
	Experimental	1.162 (ref. 133)	0.958 (ref. 134)
∠ (°)	Calculated	180.00	105.09
	Experimental	180.00 (ref. 133)	104.48 (ref. 134)
$\nu_{\rm asym}~({\rm cm}^{-1})$	Unscaled	2447	3923
	Scaled	2363	3770
	Experimental	2349 (ref. 133)	3756 (ref. 135)
$\nu_{\rm sym}  (\rm cm^{-1})$	Unscaled	1366	3807
-) ( <i>)</i>	Scaled	1319	3658
	Experimental	1333 (ref. 133)	3657 (ref. 136)
$\delta (\mathrm{cm}^{-1})$	Unscaled	669	1623
( )	Scaled	646	1560
	Experimental	667 (ref. 133)	1595 (ref. 136)

bending harmonic vibrational modes are red-shifted for CO2<sup>133</sup> and  $H_2O$ ,<sup>135,136</sup> although the computational description is better for the former adsorbate than for the latter. The smallest deviation from the experimental value was calculated for the bending mode of CO<sub>2</sub> and the largest difference was calculated for the asymmetric stretching mode of H<sub>2</sub>O, which were overestimated by 2 and 167  $\text{cm}^{-1}$ , respectively. The discrepancies with experiment are larger for H<sub>2</sub>O than for CO<sub>2</sub> due to the larger anharmonicity of the vibrations for the hydrogen-containing bonds with respect to the C=O bonds. Our harmonic vibrational frequencies are calculated as the second derivative of the potential energy with respect to the atomic positions in the vicinity of the minimum of the well. This section of the potential energy surface shows quadratic behaviour, leading to harmonic vibrational energy levels that are equally separated. However, experimental fundamental modes represent the transition between the ground state and the first state vibrational energy levels of an anharmonic potential energy surface. We have also determined the empirical scaling factors needed to convert our harmonic frequencies calculated for the isolated molecules into anharmonic vibrational modes, which can be compared directly with experiment.<sup>137–141</sup> The scaling factor (c) was estimated as

$$c = \frac{\sum \omega_{\exp} \omega_{\text{calc}}}{\sum \omega_{\text{calc}}^2}$$
(8)

where  $\omega_{exp}$  are the experimental wavenumbers and  $\omega_{calc}$  are the calculated harmonic vibrational wavenumbers. The uncertainty (*u*) of the scaling factors is calculated as

$$u = \frac{\sum \left[\omega_{\text{calc}}^2 \left(c - \omega_{\text{exp}} / \omega_{\text{calc}}\right)^2\right]}{\sum \omega_{\text{calc}}^2}$$
(9)

We obtained the values of  $c = 0.9655 \pm 0.0001$  for CO<sub>2</sub> and 0.96089  $\pm$  0.00004 for H<sub>2</sub>O, which supports the excellent performance of the meta-GGA SCAN functional for the calculation of vibrational frequencies. After scaling the wavenumbers to account for anharmonicity effects, we found that the asymmetric

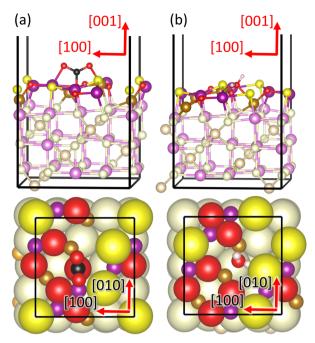
stretching for both molecules and the symmetric stretching of  $H_2O$  were overestimated, whereas the remaining vibrational frequencies were underestimated with respect to the experimental values. Unsurprisingly, the largest difference of 35 cm<sup>-1</sup> between scaled and experimental wavenumbers was obtained for the bending mode of  $H_2O$  and the smallest shift of only 1 cm<sup>-1</sup> was calculated for the symmetric stretching mode of  $H_2O$ . In Sections 3.4.1 and 3.4.2 only the scaled vibrational numbers are discussed to facilitate comparison with future experiments.

3.4.1.  $CO_2$  interaction with the partially oxidised  $Fe_3S_4(001)$ surface. We have probed the adsorption of a single  $CO_2$  molecule in a pre-activated configuration, where we reduced its point group from  $D_{\infty h}$  to  $C_{2v}$ , by bending the apex angle to  $\angle$  (O=C=O) = 130°. 58,59,76,111,142-146 To characterise the adsorption configurations of our CO<sub>2</sub> molecule, we have borrowed the notation widely used in organometallics to describe the bonding and structural types of metal-CO<sub>2</sub> complexes, which is based on the hapticity of the ligand  $(\mu_n)$  and the number of surface atoms (m) it can bridge  $(\eta^m)$ .<sup>147–149</sup> We approached the bent CO<sub>2</sub> molecule in the  $\mu_3$ - $\eta^3$  configuration, with both the  $C_2$  rotational axis and the vertical mirror plane  $\sigma_{\rm v}$  perpendicular to the surface, *i.e.* with the C atom coordinating the exposed O or S atoms of the catalyst and the molecular O atoms bonding the Fe cations with dangling bonds. We have also considered the initial  $\eta^{1}$ -O adsorption modes, where the symmetry elements  $C_2$  and  $\sigma_v$  of the adsorbate lie parallel and perpendicular, respectively, to the surface and the molecular O atom is interacting with the under-coordinated Fe<sub>A</sub> or Fe<sub>B</sub> ions. We placed the CO<sub>2</sub> molecule at 1.8 Å from the partially oxidised surface of the thiospinel before allowing the atomic positions of the interface to relax.

Our calculations indicate that the CO<sub>2</sub> molecule energetically prefers to interact molecularly with the O ion of the 62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface in the  $\mu_3$ – $\eta^3$  configuration, where it releases 0.746 eV, see Table 4 and Fig. 8(a). We also found evidence that

**Table 4** Structural type, adsorption energies ( $E_{ads}$ ) at 0 K, average interatomic distances (d), bond angle ( $\angle$ ), scaled wavenumbers for the fundamental vibrational modes and charge transfers ( $\Delta q$ ) calculated for a single CO<sub>2</sub> molecule interacting with the different adsorption sites considered for the partially oxidised 62.50–Fe<sub>3</sub>S<sub>4</sub>(001) surface. The presented vibrational modes are the asymmetric stretching ( $\nu_{asym}$ ), symmetric stretching ( $\nu_{asym}$ ) and average bending ( $\delta$ ) modes. The surface free energies ( $\sigma$ ) at 0 K and work functions ( $\Phi$ ) are also reported. Negative values of  $\Delta q$  indicate charge transfer from the surface to the adsorbate

Adsorption site	0	S	Fe <sub>A</sub>	$\mathrm{Fe}_\mathrm{B}$
Structural type	$\mu_3 - \eta^3$	$\mu_2 - \eta^2 - (C,O)$	$\eta^1$ –O	$\eta^1$ –O
$E_{\rm ads}$ (eV)	-0.746	0.016	-0.188	0.589
$d(O_{mol}-Fe)$ (Å)	2.047	1.997	2.308	2.157
$d(C-O_{surf})$ (Å)	1.353			_
$d(C-S_{surf})$ (Å)	_	1.899		_
$d(C = O_{mol})$ (Å)	1.277	1.255	1.171	1.177
$\angle (O = C = O) (^{\circ})$	127.98	130.47	177.46	172.67
$\nu_{\text{asym}}(O = C = O) (cm^{-1})$	1520	1690	2329	2272
$\nu_{\rm sym}(O = C = O) (cm^{-1})$	1225	1088	1297	1278
$\delta(O = C = O) (cm^{-1})$	795	671	581	594
$\Delta q \ (e^{-})$	-0.209	-0.537	0.003	0.003
$\Phi$ (eV)	5.172	5.687	4.662	4.221
$\sigma ({ m meV}{ m \AA}^{-2})$	65.1	72.5	70.5	78.1



**Fig. 8** Molecular adsorption of (a) CO<sub>2</sub> and (b) H<sub>2</sub>O on the O and Fe<sub>A</sub>, Fe<sub>A</sub> sites, respectively, of the partially oxidised 62.50–Fe<sub>3</sub>S<sub>4</sub>(001) surface. Side (top panels) and top (bottom panels) views are displayed. Layers containing atoms with dangling bonds are highlighted. Crystallographic directions are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta, S atoms are in light yellow, O atoms are in red, H atoms are in white and C atoms are in black.

the CO<sub>2</sub> molecule binds exothermically to the Fe<sub>A</sub> ion in the endon  $\eta^1$ -O configuration, with an adsorption energy  $E_{ads} = -0.188 \text{ eV}$ , which is less favourable than at the O site. Despite starting in the  $\mu_3$ - $\eta^3$  configuration, the optimised structure of the adsorption of CO<sub>2</sub> on the S site is the side-on  $\mu_2 - \eta^2 - (C,O)$  mode, which is a slightly endothermic process requiring 0.016 eV. The  $\eta^1$ -O interaction configuration with the exposed Fe<sub>B</sub> atoms of the 62.50-Fe<sub>3</sub>S<sub>4</sub>(001) surface displays the largest positive energy at  $E_{ads} = 0.589$  eV. The trend of binding energies on the anion sites can be rationalised in terms of the number of interactions that the CO<sub>2</sub> molecule forms with the surface, *i.e.* three in the  $\mu_3 - \eta^3$ configuration on the most exothermic O position, and two in the  $\mu_2 - \eta^2 - (C,O)$  mode on the S atom. However, the trend of the adsorption strength on the cation sites depends on their total number of dangling bonds, *i.e.* two and one for the  $Fe_A$  and  $Fe_B$ atoms, respectively. Quesne and collaborators reported that chemisorption of the activated CO2 molecule on the surfaces of transition metal carbides occurs either directly, spontaneously and barrierless, or via a linearly physisorbed intermediate with a small energy barrier.<sup>150</sup> Both the chemisorption and physisorption processes of CO2 on the surfaces of transition metal carbides are exothermic, with the former releasing more energy than the latter.<sup>150</sup> Thus, regardless of the adsorption mechanism of CO<sub>2</sub> on the partially oxidised 62.50-Fe<sub>3</sub>S<sub>4</sub>(001) surface, we expect this process to take place on the O site, given its relatively large exothermic adsorption energy of -0.746 eV.

The interactions have a noticeable impact on the geometry of the interface, the vibrational properties of the  $CO_2$  molecule,

as well as on the electronic properties and thermodynamic stability of the partially oxidised surface of our catalyst. The largest interfacial O–Fe distances, with the values d = 2.308 and 2.157 Å were calculated for the  $\eta^1$ –O structures on the Fe<sub>A</sub> and Fe<sub>B</sub> sites, respectively, indicating that the only coordination bond for these adsorption modes is weak. The CO<sub>2</sub> molecule is more strongly attached to the anion sites, and particularly to the surface O atom, since the distances for both the interfacial secondary O-Fe and main C-S (C-O) coordination bonds are the smallest overall. The intramolecular C=O bond distances, which experience minor elongations for the  $\eta^1$ -O interactions with the two types of Fe cation sites, are stretched by 0.090 and 0.112 Å for the  $\mu_3 - \eta^3$  adsorption on the O and  $\mu_2 - \eta^2 - (C,O)$ configuration on the S positions, respectively. Despite introducing a pre-activated bent CO2 molecule, which remained in this geometry on the anion sites during geometry optimisation, we observed that the adsorbed molecule became approximately linear on the cation positions, similar to the molecule in its isolated state. This has also been found in other iron sulfide and oxide catalytic materials, where a pre-activated molecule is introduced but allowed to relax unconstrainedly during geometry optimisation.58,60,111,146

The changes observed in the intramolecular C=O bond distances are directly proportional to the red-shift of the asymmetric stretching vibrational modes compared to the isolated adsorbate, with the smallest value of 1520 cm<sup>-1</sup> obtained for the ground state  $\mu_3 - \eta^3$  binding configuration on the surface O site. Our results suggest that the variations in the symmetric stretching and average bending vibrational modes cannot be rationalised in terms of the reduction of the intramolecular C=O bond length. As expected, the symmetric stretching vibrational modes for the CO<sub>2</sub> molecule adsorbed on the anion sites are smaller than on the cation positions, but the largest wavenumbers at each type of site were calculated for the most stable adsorption modes on the O and Fe<sub>A</sub> positions. The interaction of CO2 with the surface O and S atoms leads to a blue-shift of the average bending vibrational mode with regard to the isolated adsorbate, whereas the  $\eta^1$ -O coordination to the Fe sites causes a red-shift. Table SI1 (ESI<sup>+</sup>) summarises both the unscaled and scaled vibrational frequencies calculated for the adsorbed CO<sub>2</sub> molecule.

The charge transfers denote that the cation sites, which are deficient in electrons, receive a minor charge  $\Delta q = 0.03e^-$  from the adsorbate. However, the electron-rich O and S positions donate -0.209 and  $-0.537e^-$ , respectively, to the  $\sigma^*$  antibonding molecular orbital of the CO<sub>2</sub> molecule, which weakens the intramolecular C=O bond, forcing a bent configuration. The adsorption of the CO<sub>2</sub> molecule reduces the work function, which makes the 62.50–Fe<sub>3</sub>S<sub>4</sub>(001) surface of our catalyst more reactive, except for the  $\mu_2-\eta^2-(C,O)$  interaction with the S site. The lowest work function values  $\Phi = 4.662$  and 4.221 eV required to remove the loosest held electron were predicted when the adsorbate binds the Fe<sub>A</sub> and Fe<sub>B</sub> sites, respectively, as the surfaces have increased marginally their electron density after adsorption of CO<sub>2</sub>. The exothermic interactions of a single CO<sub>2</sub> molecule enhance the thermodynamic stability of our

62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface, with the lowest value of the surface free energy  $\sigma$  = 65.087 meV Å<sup>-2</sup> computed for the  $\mu_3$ - $\eta^3$  adsorption on the O site.

Previous studies have shown that the (001) and (111) surfaces of the thiospinels Fe<sub>3</sub>S<sub>4</sub><sup>58,111</sup> and FeNi<sub>2</sub>S<sub>4</sub><sup>76</sup> are unable to activate the CO<sub>2</sub> molecule, which only remains physisorbed. Among the pure sulfides, the (111) surface of mackinawite (tetragonal FeS) is the only catalyst able to dissociate a chemically activated CO<sub>2</sub> molecule into stable fragments.<sup>111,146</sup> The Fe<sub>3</sub>O<sub>4</sub>(001) and (111) surfaces are also capable of strongly chemisorbing the adsorbate, but the dissociation reaction has large activation energies, while the CO and O fragments are at least 1.5 eV less stable than the CO<sub>2</sub> molecule.<sup>111</sup> To date, the catalytic activity towards the reduction of bicarbonate has been reported for the major surfaces of the partially oxidised sulfides Fe<sub>3</sub>S<sub>4</sub>,<sup>58,62</sup> hexagonal  $Fe_{1-x}S_{1}^{68,132}$  Ni<sub>3</sub>S<sub>4</sub><sup>68</sup> and FeNi<sub>2</sub>S<sub>4</sub><sup>68</sup> with the latter described as the most efficacious system due to the synergism between Fe and Ni and the O content. Our DFT calculations show that the activation of the adsorbed CO2 molecule can be enhanced via partial oxidation of the least reactive  $Fe_3S_4(001)$  surface.

**3.4.2.** H<sub>2</sub>O interaction with the partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface. In this section, we focus on discussing the molecular and dissociative adsorption of a single H<sub>2</sub>O molecule on the partially oxidised 62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface, which are competing processes that have been reported on the surfaces of oxides,<sup>151–159</sup> sulfides<sup>160–164</sup> and metals.<sup>85</sup> For the molecular binding modes, we initially placed the adsorbate in the  $\mu_3$ - $\eta^3$  configuration, with both symmetry elements  $C_2$  and  $\sigma_v$  perpendicular to the surface of our catalyst, *i.e.* with the molecular O atom coordinating the under-coordinated cations and the H atoms interacting with the exposed anions. We also explored the possibility that the  $C_2$  axis and  $\sigma_v$  plane of the H<sub>2</sub>O molecule are oriented parallel and perpendicular to the surface, respectively, with the H atoms forming hydrogen-bonds with the

surface O anions in the  $\eta^1$ –O structure. For the dissociative adsorption configurations, we introduced one OH group coordinating to the exposed Fe<sub>A</sub> and Fe<sub>B</sub> ions and forming hydrogen-bonds with the surface anions in the  $\mu_2$ – $\eta^2$ –(O, H) configuration and with the dissociated H binding a nearby O atom. The O (H) interacting atoms from the adsorbate were located at 1.8 (1.00) Å from the surface of our catalyst before carrying out a full geometry optimisation. However, we have not investigated the  $\eta^1$ –O adsorption configurations *via* H-bonds, as the H<sub>2</sub>O rotated during geometry optimisation leading to the same binding modes considered for the surface Fe ions.

Table 5 lists the exothermic adsorption energies calculated for H<sub>2</sub>O, which are clearly more favourable than for the interaction of the  $CO_2$  molecule with the 62.5O-Fe<sub>3</sub>S<sub>4</sub>(001) surface. The dissociative adsorption modes release 0.507 and 0.219 eV less than the molecular binding configurations at the (Fe<sub>A</sub>, Fe<sub>A</sub>) and Fe<sub>B</sub> sites, respectively, suggesting that  $H_2O$ prefers to remain undissociated at the surface of our catalyst. The order of decreasing stabilities are  $E_{ads}$  (Fe<sub>A</sub>, Fe<sub>A</sub>)  $< E_{ads}$  $(Fe_A) \ll E_{ads}$   $(Fe_B)$  and  $E_{ads}$   $(Fe_A, Fe_A) \ll E_{ads}$   $(Fe_B)$  for the molecular and dissociative binding modes, respectively, showing that the adsorption energies have a strong dependence on the type of Fe site. The trend of adsorption energies can be explained in terms of the total number of dangling bonds of the cation sites. The most stable Fe<sub>A</sub>, Fe<sub>A</sub> binding site with the  $\mu_4$ - $\eta^4$  configuration has a total of three dangling bonds, see Fig. 8(b), whereas the Fe<sub>A</sub> and Fe<sub>B</sub> sites with the  $\mu_3$ - $\eta^3$  structure have two and one dangling bonds, respectively.

The average interfacial O–Fe binding distances for H<sub>2</sub>O, which are marginally smaller than in the interaction of CO<sub>2</sub>, are inversely proportional to the adsorption energies. The smallest distances  $d(O_{mol}$ –Fe) = 1.909 and 2.060 Å were calculated for the thermodynamically least stable dissociative adsorptions on the Fe<sub>B</sub> and (Fe<sub>A</sub>, Fe<sub>A</sub>) sites, respectively, as the OH group is a

<b>Table 5</b> Structural type, adsorption energies ( $E_{ads}$ ) at 0 K, average interatomic distances ( $d$ ), bond angle ( $\angle$ ), scaled wavenumbers for the fundamental
vibrational modes and charge transfers ( $\Delta q$ ) calculated for a single H <sub>2</sub> O molecule interacting with the different adsorption sites considered for the
partially oxidised 62.50–Fe <sub>3</sub> S <sub>4</sub> (001) surface. The presented vibrational modes are the asymmetric stretching ( $\nu_{asym}$ ), symmetric stretching ( $\nu_{asym}$ ) and
average bending ( $\delta$ ) modes. The surface free energies ( $\sigma$ ) at 0 K and work functions ( $\Phi$ ) are also reported. Negative values of $\Delta q$ indicate charge transfer
from the surface to the adsorbate

Adsorption site	Fe <sub>A</sub>	Fe <sub>A</sub> , Fe <sub>A</sub>		Fe <sub>B</sub>	
Туре	Molecular	Molecular	Dissociative	Molecular	Dissociative
Structural type	$\mu_3 - \eta^3$	$\mu_4$ – $\eta^4$	$\mu_3 - \eta^3$	$\mu_3 - \eta^3$	$\mu_3 - \eta^3$
$E_{\rm ads}$ (eV)	-1.094	-1.318	-0.811	-0.510	-0.291
$d(O_{mol}-Fe)$ (Å)	2.151	2.301	2.060	2.089	1.909
$d(H_1 - O_{surf})$ (Å)	2.436	2.800	2.704	_	—
$d(H_2-O_{surf})(Å)$	_	_	0.980	1.466	1.023
$d(H_1-S)(Å)$	_	_	—	2.599	2.753
$d(H_2-S)(Å)$	2.242	1.963	2.650		_
$d(H_1 - O_{mol})$ (Å)	0.981	0.977	0.973	0.979	0.974
$d(H_2-O_{mol})(Å)$	1.001	1.044	2.728	1.069	1.639
∠(H–O–H) (°)	107.73	106.65	175.77	106.77	110.15
$\nu_{asym}(H-O-H) (cm^{-1})  \nu_{sym}(H-O-H) (cm^{-1})$	3461	3549	3602	3511	3578
$\nu_{\rm sym}({\rm H-O-H}) ({\rm cm}^{-1})$	3053	2339	3356	2003	2585
$\delta(H-O-H)$ (cm <sup>-1</sup> )	1514	1468	807	1514	904
$\Delta q (e^{-})$	-0.005	-0.032	0.020	0.010	0.041
$\Phi$ (eV)	5.660	4.996	5.504	5.623	5.405
$\sigma (meV Å^{-2})$	61.7	59.5	64.5	67.4	69.5

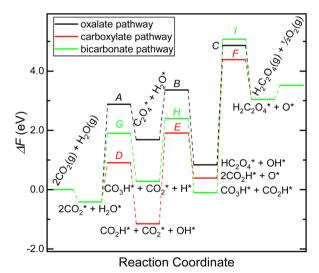
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better ligand than H<sub>2</sub>O. Our calculations indicate that the molecular and dissociated H<sub>2</sub>O molecules are able to form hydrogen-bonds with the surface O and S atoms. The interfacial hydrogen-bonds with S are stronger than with O for the interactions with the Fe<sub>A</sub> and (Fe<sub>A</sub>, Fe<sub>A</sub>) sites, as evidenced by the H-S distances, which are smaller than the H-O<sub>surf</sub> lengths. We also found that the hydrogen-bond lengths with the surface O atoms are directly proportional to the adsorption energies for the molecularly adsorbed H<sub>2</sub>O molecule, with the largest value d(H-O) = 2.800 Å calculated for the ground state  $\mu_4 - \eta^4$  adsorption mode. However, the opposite effect of the binding energies was observed for the hydrogen-bond lengths with the S atoms, with the smallest value d(H-S) = 1.963 Å obtained for the most stable  $\mu_4 - \eta^4$  binding configuration. The H-O<sub>surf</sub> distance of 2.704 Å suggests that the OH group adsorbed on the  $(Fe_A, Fe_A)$  site in the  $\mu_3 - \eta^3$  structure is able to form a hydrogen-bond with the surface, which is not observed for the dissociative adsorption mode on the Fe<sub>B</sub> site. Furthermore, the dissociated H atom prefers to sit slightly closer at 0.043 Å to the surface O atom for the interaction with the  $(Fe_A, Fe_A)$  site than for the  $Fe_B$  site, in agreement with their relative adsorption energies. The adsorption of H<sub>2</sub>O induces minor changes on the intramolecular H-O distances, which are between 0.009 and 0.105 Å larger for the two types of adsorption modes than in the isolated adsorbate. Our calculations show that the dissociated H atom diffuses 1.089 Å further away from the O of the OH group for the interaction with the most stable ( $Fe_A$ ,  $Fe_A$ ) site than with the least favourable Fe<sub>B</sub> position. Note that we did not find evidence of a dissociated H atom binding to a nearby surface S atom. The intramolecular bond angle is less sensitive to adsorption of H<sub>2</sub>O than CO<sub>2</sub>, with the largest value  $\angle$  = 107.73° calculated for the molecular  $\mu_3 - \eta^3$  adsorption on the Fe<sub>A</sub> site. However, this geometrical descriptor can be used to discriminate the dissociative from the molecular adsorption modes of H<sub>2</sub>O, as the intramolecular bond angle values are typically larger for the former than for the latter.

The three fundamental vibrational modes become smaller as a result of the molecular and dissociative adsorption of H<sub>2</sub>O. The asymmetric stretching vibrational mode is below 3549 cm<sup>-1</sup> for the molecular adsorption configurations, whereas it lies above 3578 cm<sup>-1</sup> for the dissociated OH groups. The symmetric stretching vibrational modes, which appear in a wide range of values between 3356 and 2003 cm<sup>-1</sup>, show no clear correlation with any of the properties discussed. The bending vibrational modes were estimated between 1514 and 1468 cm<sup>-1</sup> for the molecular adsorption modes and the calculated values were smaller than 904 cm<sup>-1</sup> for the dissociative binding configurations. Table SI2 (ESI<sup>†</sup>) displays both the harmonic and scaled vibrational modes observed for the adsorbed H2O molecule. We calculated only minor charge transfers, with the H<sub>2</sub>O molecule receiving no more than  $0.032e^{-}$  for the most stable molecular adsorptions on the Fe<sub>A</sub> and (Fe<sub>A</sub>, Fe<sub>A</sub>) sites. Our results indicate that, unlike the linear CO2 molecule, the activation and adsorption of the angular H<sub>2</sub>O species does not require charge density from the surface. The work functions are  $\Phi\,\sim\,5.5$  eV for all the adsorption modes, except for the molecular  $\mu_4 - \eta^4$  binding configuration on the (Fe<sub>A</sub>, Fe<sub>A</sub>) site, which is around 0.5 eV smaller than the value for the 62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface. The large reduction of the surface free energy after adsorption of the H<sub>2</sub>O molecule demonstrates that the 62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface becomes more stable, even compared to the catalyst interacting with CO<sub>2</sub>. In line with our results, previous calculations have also shown that the molecular adsorption of water is energetically preferred over the dissociative mode for CaO,<sup>156</sup> CaF<sub>2</sub><sup>156,165</sup> and the Fe<sub>3</sub>S<sub>4</sub>(001) surface.<sup>161</sup> Moreover, the partially oxidised 62.5O–Fe<sub>3</sub>S<sub>4</sub>(001) surface also displays an improved activity towards the adsorption of H<sub>2</sub>O than the (001) facet of pure Fe<sub>3</sub>S<sub>4</sub>.

## 3.5 $H_2C_2O_4$ formation on the partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface

We have examined three pathways for the catalytic conversion of CO<sub>2</sub> and H<sub>2</sub>O into  $H_2C_2O_4$  on the 62.5O-Fe<sub>3</sub>S<sub>4</sub>(001) surface, see Fig. 9. Our selection of mechanisms, which describe the combination of the reactants into key intermediates that are transformed into the desired  $H_2C_2O_4$  and by-product  $O_2$ , are discussed in terms of their structural, local electronic and vibrational properties. The Helmholtz free energies ( $\Delta F$ ), which are plotted at the representative temperature of 300 K, are referenced in our energy diagrams compared to the isolated reactants and the heterogeneous catalyst, *i.e.* two CO<sub>2</sub> molecules, one  $H_2O$  molecule and the 62.5O-Fe<sub>3</sub>S<sub>4</sub>(001) surface slab. Note that the vibrational frequencies of the adsorbed species were scaled by 0.9632, which is the average of the scaling factors calculated for the isolated CO2 and H2O molecules, before estimating the vibrational entropy of the adsorbed species, see Tables SI3 and SI4 (ESI<sup>+</sup>). For the purpose of comparison, the Helmholtz free energy profiles at 0 and 600 K are provided in Fig. SI3 and SI4 (ESI<sup>†</sup>). The first step,



**Fig. 9** Minimum energy pathways (MEPs) for the conversion of CO<sub>2</sub> and H<sub>2</sub>O into H<sub>2</sub>C<sub>2</sub>O<sub>4</sub> on the partially oxidised  $62.5O-Fe_3S_4(001)$  surface at 300 K. Minimum states are denoted by bold lines and saddle point are represented by narrow lines linked by dashed lines. Energies are referenced to the isolated  $2CO_2(g) + H_2O(g)$  molecules, including the surface slab. Adsorbed species are presented followed by the symbol \*.

which is common to the three pathways, is the co-adsorption of the reactants to the surface of the catalyst. Note that the adsorbed species are indicated with the symbol \*.

3.5.1. Oxalate pathway. In line with our observations for the  $\mu_3 - \eta^3$  adsorption of the single molecules, the initial co-adsorption configuration comprises CO<sub>2</sub> interacting with two nearby surface O atoms and four Fe cations, whereas the  $H_2O$  species was introduced coordinating the (Fe<sub>A</sub>, Fe<sub>A</sub>) site and forming two hydrogen-bonds in the  $\mu_4$ - $\eta^4$  structure. We had assumed that the (co-)adsorption of the reactants is barrierless, but found that this is actually an exothermic process that releases  $\Delta F = 417$  meV and is therefore 2.39 eV less favourable than the sum of the adsorption energies of the single molecules, as shown in Fig. 9. The co-adsorbed CO2 molecules interact with the O sites at 1.340 and 1.399 Å, and with the  $C_2$ axis remaining perpendicular to the surface only for the closest adsorbed species, see Fig. 10(a). Both, the perpendicular and tilted  $CO_2$  molecules are able to coordinate to the surface  $Fe_A$ and Fe<sub>B</sub> cations at an average distance of  $\sim 2.1$  Å, but the former uses its two O atoms, while the latter employs only one O atom, which explains their different relative orientations with respect to the surface. Our calculations suggest that both CO<sub>2</sub> molecules become activated upon adsorption, with the apex angle  $\angle$  at < 126.34°, which is already smaller than the value calculated for the most stable  $\mu_3 - \eta^3$  adsorption mode of the single molecule. The intramolecular bonds in the CO<sub>2</sub> molecules are elongated to an average value of  $d(C=O) \sim 1.28$  Å, in agreement with the single molecule adsorption. The H<sub>2</sub>O species sits on the (Fe<sub>A</sub>, Fe<sub>A</sub>) site at approximately the same distance calculated for the most stable single molecule  $\mu_4 - \eta^4$ adsorption, with the intramolecular distances and bond angle remaining relatively undisturbed. However, the surface S and

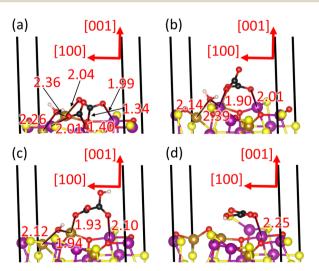


Fig. 10 Side views of the adsorption configurations of (a) reactants  $2CO_2^*$  and  $H_2O^*$ , intermediates (b)  $C_2O_4^*$  and  $H_2O^*$  and (c)  $HC_2O_4^*$  and OH\*, as well as (d) final products  $H_2C_2O_4^*$  and O\* of the oxalate pathway on the partially oxidised  $62.5O-Fe_3S_4(001)$  surface. Interatomic distances are provided in Ångstroms; crystallographic directions are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta, S atoms are in light yellow, O atoms are in red, H atoms are in white and C atoms are in black.

O atoms forming the hydrogen-bonds are 0.120 Å further away and 0.262 Å closer to the H<sub>2</sub>O molecule, respectively, than in the ground state single molecule adsorption configuration. The charge analysis of the co-adsorption state indicates that the perpendicularly adsorbed CO<sub>2</sub> molecule received  $0.027e^-$  less charge than in the case of the single molecule adsorption, which was compensated for by the identical electron density gained by the tilted species. On the other hand, the H<sub>2</sub>O adsorbate received only a negligible charge of  $\Delta q = 0.002e^-$ , which is considerably less than in the case of the single molecule  $\mu_4-\eta^4$  adsorption. We attribute the smaller charges received by the H<sub>2</sub>O and perpendicular CO<sub>2</sub> molecules to the fact that they share the same surface Fe<sub>A</sub> ion.

The oxalate pathway leads to the combination of the two  $CO_2^*$  molecules into the  $C_2O_4^*$  intermediate, which is an endothermic process with a reaction free energy of 2.100 eV and a saddle point A at 3.296 eV, as shown in Fig. 9. The large free energy barrier of this process is required to break the strong covalent bonds within the adsorbed carbonate-like groups, leading to the C atoms moving to at least 3.0 Å away from the nearest surface O atoms, see Fig. 10(b). The product of this elementary step, which is the least stable intermediate, is a staggered  $C_2O_4^*$  group with the dihedral angle  $\angle (O-C-C-O) =$ 66° and the point group of reduced symmetry  $D_{2d}$ . The C<sub>2</sub>O<sub>4</sub>\* species is anchored to the exposed Fe cations of the surface through three O atoms, with  $d(O-Fe) \sim 2.0$  Å, which is slightly smaller than for the co-adsorption state. The C-C bond distance is 1.539 Å for the  $C_2O_4$ \* intermediate, whereas the intramolecular C=O distances and O=C=O angles do not change noticeably with respect to the previous state. The calculated Bader charges show that the  $C_2O_4^*$  species draws  $-1.367e^-$  from the surface, which is considerably larger than for two co-adsorbed CO<sub>2</sub> molecules, explaining the low stability of this intermediate.

The C<sub>2</sub>O<sub>4</sub>\* species accepts one H atom from the H<sub>2</sub>O molecule to form HC<sub>2</sub>O<sub>4</sub>\* in state 4 of our energy diagram, see Fig. 9. The free energy released during this elementary step is 0.846 eV, but it requires 1.679 eV to cross the saddle point B. The protonated O atom, with the typical distance of 0.98 Å for the O-H bond, is the closest to the donor H<sub>2</sub>O molecule, belonging to the bidentate CO<sub>2</sub> moiety in the previous state, as shown in Fig. 10(c). The dihedral angle becomes more orthogonal with  $\angle$  (O–C–C–O) = 81.82° after protonation, whereas the largest intramolecular distances of  $d(C-O) \sim 1.31$  Å were calculated in each molecular moiety for the protonated O and the O coordinating the surface Fe ion. The OH\* fragment moves 0.442 Å closer to the Fe<sub>A</sub> ion that is shared with the  $HC_2O_4^*$ , as the basicity of the former increases and the latter decreases, compared to H2O\* and  $C_2O_4^*$  respectively. However, the shift of the OH<sup>\*</sup> species happens at the cost of an increase in the H...S hydrogen bonddistance by 0.383 Å. The protonation reduces almost by half the charge of the  $HC_2O_4^*$  intermediate to  $q = -0.704e^-$  with respect to  $C_2O_4^*$ , whereas the OH\* is able to retain  $-0.650e^-$  from the proton that it ceded.

Fig. 9 illustrates that the  $HC_2O_4^*$  intermediate is capable of admitting a second proton from the OH\* group, which is also an endothermic process with a reaction free energy of 2.202 eV

and an activation free energy *C* of 4.027 eV. The protonation increases the symmetry of  $H_2C_2O_4^*$  to point group  $C_{2v}$ , implying that the molecule becomes essentially flat with the dihedral angle  $\angle$  (O–C–C–O) = 164°, see Fig. 10(d). The intramolecular bond distances d(C–C) = 1.548 Å, d(C = O) ~1.22 Å, d(H–O) ~1.00 Å and d(C–OH) ~ 1.341 Å and angle  $\angle$  (O–C–O) = 125.8° of  $H_2C_2O_4^*$  are very similar to the values calculated for the  $C_2O_4^*$ . Our calculations suggest that  $H_2C_2O_4^*$  is still coordinating one Fe<sub>A</sub> and two Fe<sub>B</sub>, with the smallest Fe–O distance of 2.248 Å for the unprotonated O and the largest distances of 2.92 Å for the protonated O atoms. The O\* species moves approximately 0.2 Å towards the bulk after losing its H atom. The transfer of the second proton quenches the negative charge of  $H_2C_2O_4^*$  to  $-0.037e^-$ , whereas O\* has  $-1.108e^-$ , almost duplicating the electron density of OH\* in the previous state.

In the final step, the surface releases one  $H_2C_2O_4$  and 0.5  $O_2$  molecules, leaving the system ready for the next catalytic cycle. These desorptions are endothermic processes that require 0.477 eV. The final state is the overall highest in free energy in our energy diagram, lying 3.518 eV above the reactants. To calculate these energies, we modelled the isolated  $O_2$  molecule in the triplet state and the isolated  $H_2C_2O_4$  in the point group  $C_{2h}$ , which are the electronic and structural ground states, respectively, of these species.

**3.5.2.** Carboxylate pathway. The protonation of one of the  $CO_2^*$  species in the carboxylate pathway leads to the most stable intermediate in this study, which is 1.157 eV below the reactants in our free energy diagram, see Fig. 9. This exothermic process has a reaction free energy of -0.740 eV and a transition state *D* at 1.324 eV. The O-H bond distance is 1.01 Å for the bicarbonate-like group, which also forms a hydrogenbond of 1.727 Å to the neighbouring carbonate-like species, as shown in Fig. 11(a). The intermolecular hydrogenbond is

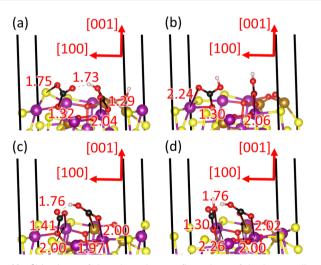


Fig. 11 Side views of the adsorption configurations of the intermediates (a)  $CO_2H^*$ ,  $CO_2^*$  and  $OH^*$  and (b)  $2CO_2H^*$  and  $O^*$  of the carboxylate pathway, as well as intermediates (c)  $CO_3H^*$ ,  $CO_2^*$  and  $H^*$  and (d)  $CO_3H^*$ and  $CO_2H^*$  of the bicarbonate pathway on the partially oxidised 62.50– Fe<sub>3</sub>S<sub>4</sub>(001) surface. Interatomic distances are provided in Ångströms; crystallographic directions are indicated. Fe<sub>A</sub> atoms are in dark yellow, Fe<sub>B</sub> atoms are in magenta, S atoms are in light yellow, O atoms are in red, H atoms are in white and C atoms are in black.

enabled by the rotation of the two intermediates around the  $C_2$  axis perpendicular to the surface, which also reduces their interfacial C-O distance by an average of 0.05 Å and their apex O-C-O angles by at least 5°. The protonation weakens the C-OH bond, as its distance increases by 0.1 Å, which implies that part of the electron density is shared with the newly added H atom. We found that the S atom that formed the hydrogenbond to the H<sub>2</sub>O molecule, moved to 1.751 Å away from the CO<sub>2</sub>\* group during the proton transfer. The Bader analysis assigns  $0.445e^-$  to the CO<sub>2</sub>H\*, which receives a large Coulomb attraction from the negatively charged surface O atom, explaining the stability of these intermediates. Despite the CO2\* species remaining bent, it donates electron density back to the surface S atom and is only able to keep  $-0.032e^{-}$ . Our calculations show that the OH\* species displays very similar Fe-O and O-H bond distances and electron density charge as the  $OH^*$  group that coexists with  $HC_2O_4^*$  in the oxalate pathway.

Fig. 9 depicts the protonation of the second  $CO_2^*$  species, which is an endothermic process with a reaction free energy of 1.547 eV and a saddle point *E* at 3.066 eV. We found that the structural properties of the two  $CO_2H^*$  species, such as the H–O and C–O bond distances and the O–C–O angle are very similar to the protonated group of the previous state, as shown in Fig. 11(b). However, our calculations show that each  $CO_2H^*$  group can only coordinate a single Fe<sub>B</sub> cation *via* the unprotonated O atom at the slightly different distances of 2.058 and 2.245 Å. The two  $CO_2H^*$  species have a positive charge of ~0.5 $e^-$ , whereas the O\* gains approximately half of one electron.

The combination of the two carboxylate groups to form the adsorbed  $H_2C_2O_4^*$  molecule is an endothermic process with a reaction free energy of 2.650 eV, which is 0.448 eV larger than the free energy required to transfer the second proton to the  $HC_2O_4^*$  in the oxalate pathway, see Fig. 9. The free energy of the saddle point *F* is 3.998 eV for the formation of the C–C bond in the carboxylate pathway, whereas it is ~ 0.7 eV smaller for the saddle point *A* in the oxalate pathway.

3.5.3. Bicarbonate pathway. In the bicarbonate pathway, the H<sub>2</sub>O\* molecule dissociates and the H\* atom migrates to a nearby surface O atom, whereas the OH\* group diffuses to one of the CO<sub>2</sub>\* species. This is an endothermic process with a reaction free energy of 0.699 eV, i.e. the lowest in this study, and a transition state G at 2.318 eV, shown in Fig. 9. The  $CO_3H^*$ species moves 1.921 Å away from the surface upon hydroxylation, remaining only bound to the undercoordinated Fe ions at the average distance of 2.0 Å in the  $\mu_2$ - $\eta^2$ -(O,O) configuration, see Fig. 11(c). The C-OH distance is 1.330 Å in the  $CO_3H^*$ species, which tilts to allow the formation of a short hydrogenbond of 1.764 Å with the CO<sub>2</sub>\* molecule. The interfacial Fe<sub>B</sub>–O and C-O bonds between the CO2\* molecule, which prefers to stay in the side-on  $\mu_2 - \eta^2 - (C,O)$  structure, and the surface are 2.000 and 1.413 Å, respectively. The intramolecular C=O bond distance is 1.28 Å for the CO<sub>3</sub>H\* species and 1.300 and 1.233 Å for the O end coordinating the surface Fe<sub>B</sub> and the free O end, respectively, of the CO<sub>2</sub>\* molecule. The dissociated H\* atom, which stays coordinated to the S atom that was part of the hydrogen-bond, diffuses to the subsurface layer to increase its

stability. The incorporation of the OH group into the  $CO_3H^*$  species increases its negative charge to  $-0.672e^-$  with respect to the value of  $-0.213e^-$  for the  $CO_2^*$  molecule, whereas the subsurface H\* atom becomes almost neutral with  $0.053e^-$ .

Fig. 9 depicts the protonation of the second  $CO_2^*$  molecule in our free energy profile. This elementary step releases -0.384 eV, which is the smallest exothermic free energy value reported in this study, and has a saddle point of 2.120 eV. The newly formed  $CO_2H^*$  species remains bound to a surface O anion at 1.295 Å and to a Fe<sub>B</sub> ion at 1.755 Å through the molecular C and OH group, respectively, as shown in Fig. 11(d). Our calculations suggest that the intramolecular OH distance is 0.976 Å. However, we found that the proton diffusion only leads to negligible changes in the structure of the  $CO_3H^*$  species, including the length of the Fe–O and hydrogen-bonds with the surface and  $CO_2H^*$  intermediate, respectively. The protonation provides the  $CO_2^*H$  intermediate with a positive charge of  $0.382e^-$ , whereas  $CO_3H^*$  increases its negative charge by  $0.038e^-$ .

Finally, the coupling of the CO<sub>3</sub>H\* and CO<sub>2</sub>H\* species is an endothermic elementary step with a reaction free energy  $\Delta F = 3.143$  eV and the largest activation energy value of 5.177 eV calculated in this work, see Fig. 9.

### 4. Conclusions

We have used DFT methods to model the catalytic conversion of CO2 and H2O into H2C2O4 on the partially oxidised  $Fe_3S_4(001)$  surface. First, we have modelled the bulk phase of Fe<sub>3</sub>S<sub>4</sub> and found that the structural, electronic and magnetic properties are in good agreement with previous reports. We have elucidated the relaxation patterns of the interplanar distances, as well as the values for the surface energies, atomic charges, atomic magnetic moments and work functions for the two reconstructed Tasker type 3 terminations of the  $Fe_3S_4(001)$ surface. The thermodynamically most stable facet, which terminates in two-fold Fe<sub>A</sub> ions with  $(\sqrt{2} \times \sqrt{2}) R45^{\circ}$  symmetry, has the largest relaxation, work function, ionic character and magnetisation. We have predicted the phase diagram as a function of the ratio of partial pressures of H<sub>2</sub>O and H<sub>2</sub>S and temperature and found that the processes of replacing sequentially each S atom with dangling bonds by an O atom are endothermic. Only selected coverages of O are allowed in the  $Fe_3S_4(001)$  surface, with 62.5% of partial oxidation becoming prominent at the typical experimental conditions in which samples of the catalyst are calcined.

We have also reported the interaction between single molecules of CO<sub>2</sub> and H<sub>2</sub>O and the Fe<sub>3</sub>S<sub>4</sub>(001) surface with 62.5% of partial oxidation. Adsorption at the O site, which is energetically preferred, bends and activates the CO<sub>2</sub> molecule and the  $\sigma^*$  antibonding molecular orbital receives electronic density from the partially oxidised Fe<sub>3</sub>S<sub>4</sub>(001) surface. The H<sub>2</sub>O molecule releases the largest adsorption energy when it coordinates two surface Fe<sub>A</sub> cations and forms hydrogen-bonds with the exposed S and O anions, but no charge transfers were identified. The co-adsorption of two CO<sub>2</sub> and one H<sub>2</sub>O molecule at nearby surface sites is also an exothermic process, although 2390 meV less favourable than the interaction of the single species.

We have calculated three minimum energy pathways for the formation of H<sub>2</sub>C<sub>2</sub>O<sub>4</sub>, where we have considered the formation of an oxalate, carboxylate or bicarbonate intermediate in the first elementary step. The energy profiles show that C2O4\* and HC<sub>2</sub>O<sub>4</sub>\* are particularly unstable intermediates, whose formation requires crossing saddle points of large energy barriers. However, the carboxylate CO<sub>2</sub>H\* intermediate is the most stable species when it is co-adsorbed with  $CO_2^*$ , and to a lesser extent, with the bicarbonate CO<sub>3</sub>H\*. The saddle points with the largest energies were observed for the coupling of the C-C bond, whereas the transfer of protons are the elementary steps with the smallest activation barriers. Our reaction mechanisms suggest that the energy released during the co-adsorption of the reactants is not enough to cross all the saddle points or to reach the final state. We would therefore argue that the feasibility of the conversion of  $CO_2$  into  $H_2C_2O_4$ depends strongly on the source of hydrogen and the reactivity of the surface. The high energy saddle points and intermediate species would become accessible if the catalytic process over the partially oxidised  $Fe_3S_4(001)$  surface is carried out within a continuous electrochemical cell with an appropriate voltage.

Future work will be focused on calculating the catalytic formation of pyruvic acid, which is an important intermediate in several metabolic pathways, on the partially oxidised surfaces of Fe<sub>3</sub>S<sub>4</sub>, including not only the stable (001) surface, but also more reactive surfaces such as the (111) plane. The reaction profiles for the conversion of  $CO_2$  into pyruvic acid will allow us to compare this process and interconnect it into the catalytic formation of acetic acid, formic acid and oxalic acid.

### Conflicts of interest

The authors have no conflicts of interest to declare.

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