



Recognizing hyperelliptic graphs in polynomial time[☆]

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ABSTRACT

Based on analogies between algebraic curves and graphs, Baker and Norine introduced *divisorial gonality*, a graph parameter for multigraphs related to treewidth, multigraph algorithms and number theory. Various equivalent definitions of the gonality of an algebraic curve translate to *different* notions of gonality for graphs, called *stable gonality* and *stable divisorial gonality*.

We consider so-called *hyperelliptic graphs* (multigraphs of gonality 2, in any meaning of graph gonality) and provide a safe and complete set of reduction rules for such multigraphs. This results in an algorithm to recognize hyperelliptic graphs in time $O(m + n \log n)$, where n is the number of vertices and m the number of edges of the multigraph. A corollary is that we can decide with the same runtime whether a two-edge-connected graph G admits an involution σ such that the quotient $G/\langle\sigma\rangle$ is a tree.

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1. Introduction

Motivation In this paper, we consider a graph theoretic problem that finds its origin in algebraic geometry, and can be formulated in terms of a specific type of graph search, namely *monotone chip firing*. The case with two chips is of special interest in the application, and we show that we can decide this case in $O(n \log n + m)$ time on a multigraph with n vertices and m edges.

In algebraic geometry, a special role is played by so-called *hyperelliptic* curves; these are smooth projective algebraic curves possessing an involution, i.e. an automorphism of order two, for which the quotient is the projective line. Such curves can be described by an affine equation $y^2 = f(x)$, for some one-variable polynomial $f(x)$ without repeated roots. They are widely studied and used, for example in the study of moduli spaces of abelian surfaces, invariants of binary quadratic forms, Diophantine problems (finding integer or rational solutions to such equations), and in so-called hyperelliptic curve cryptography (see, e.g., [17] and [32]).

Recognizing hyperelliptic curves is an important, decidable problem in algorithmic algebraic geometry; an algorithm has been implemented when the curve is given by some set of polynomial equations, e.g., in the computer algebra package

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MAGMA [15]. No exact runtime analysis is available, but, the method being dependent on Gröbner basis computations, worst-case performance is expected to be more than exponential in the input size.

In recent work of Baker and Norine [6], the notion of a “hyperelliptic graph” was introduced, based on an analogy between algebraic curves and multigraphs. We show that the recognition problem for hyperelliptic graphs can be solved in quasilinear time. This can be applied to the recognition of certain hyperelliptic curves, since if an algebraic curve has a non-hyperelliptic stable reduction graph, the curve itself cannot be hyperelliptic (see [5, 3.5]).

Divisorial gonality Hyperelliptic graphs are graphs with *divisorial gonality* at most two. The notion of divisorial gonality has several equivalent definitions; intuitively, we use a chip firing game: we have a graph and some initial configuration that assigns a non-negative number of “chips” to each vertex. We can fire a subset of vertices by moving a chip along each outgoing edge of the subset, if every vertex has sufficiently many chips. We say that an initial configuration reaches a vertex if a sequence of firings results in that vertex having at least one chip. The divisorial gonality of a graph is the minimum number of chips needed for an initial configuration to reach each vertex of the graph. It actually suffices to consider a ‘monotone’ variant of the chip firing procedure, in which the sequence of subsets that are fired to reach a vertex is increasing; this is similar to several other graph search games, where the optimal number of searchers does not increase when we require the search to be monotone, see e.g., [8,29].

Different notions of graph gonality An equivalent definition of the gonality of an algebraic curve is the minimal degree of a morphism onto the projective line. A good analogue of this notion for graphs is the so-called *stable gonality* introduced in [18] as the minimal degree of a harmonic morphism from a refinement of the graph onto a tree (cf. Section 2.2 infra). In contrast to the case of algebraic curves, the stable gonality of a graph is not always equal to its divisorial gonality. In [18], refinements of the graph arises from the theory of reductions of algebraic curves and tropical geometry [1], and it makes equal sense to consider the notion of *stable divisorial gonality*, defined as the minimal divisorial gonality of a refinement of the graph.

Known results The termination of similar chip-firing games was discussed by Björner, Lovász and Shor [9]. A polynomial bound on the minimal number of required firings to terminate the Björner, Lovász and Shor-game was given by Tardos [34]. In the guise of “abelian sandpile model”, chip-firing games play an important role in the study of self-organized criticality in statistical physics [4,20]. The chip firing game introduced by Baker and Norine is relevant for classical combinatorial problems about graphs, relating to spanning trees [16], the uniqueness of graph involutions [6], and potential theory on electrical network graphs [7].

In [23], a lower bound for the divisorial gonality of a graph is given in terms of its expansion. The gonality of a graph G (in any sense) is larger than or equal to its treewidth $\text{tw}(G)$ [22]. Since treewidth is insensitive to the presence of multiple edges while gonality is not, the parameters are different; actually, they are not “tied” in the sense of Norin [31]; for example, there exists G with $\text{tw}(G) = 2$ but $\text{dgon}(G)$ arbitrarily high [28]. The relation between the various notions of gonality is expounded in [24, Section 1 and 5].

We study all three kinds of gonality of graphs from the point of view of computational complexity. The analogous problem of computing the gonality of an algebraic curve is decidable [33]. From the definition of divisorial gonality, it follows that divisorial gonality is computable. For stable gonality and stable divisorial gonality, this does not follow from the definition, but both notions are computable as well [25], [14]. We know that treewidth is FPT, and that computing all three kinds of gonality is NP-hard and APX-hard [24]. Moreover, divisorial gonality is in XP [21, Section 5].

Our results Our main result is the following.

Theorem A (=Theorem 6.1). *There is an algorithm that decides whether a graph G is hyperelliptic in $O(n \log n + m)$ time.*

To obtain our algorithm, we provide a safe and complete set of reduction rules. We do this for all three notions of gonality. Similar to recognition algorithms for graphs of treewidth 2 or 3 (see [2]), in our algorithm the rules are applied to the graph until no further rule application is possible; we decide positively if and only if this results in the empty graph. One novelty is that some of the rules introduce constraints on pairs of vertices, which we model by colored edges. To deal with the fact that some of the rules are not local, we use a data structure that allows us to find an efficient way of applying these rules, leading to the stated running time.

Application to detecting special involutions on graphs There is no known polynomial time algorithm for the GRAPH AUTOMORPHISM PROBLEM, the question whether a graph admits a non-trivial automorphism, a problem that is known to be in NP; recently, a quasi-polynomial time algorithm was given by Babai [3] (compare [27]).

The question of the computational complexity of the problem is known to be very sensitive to alterations of the question. For example, deciding whether a graph has a *fixed point free* automorphism of order two is NP-complete (see Lubiw [30]). Our main result implies the following result as corollary.

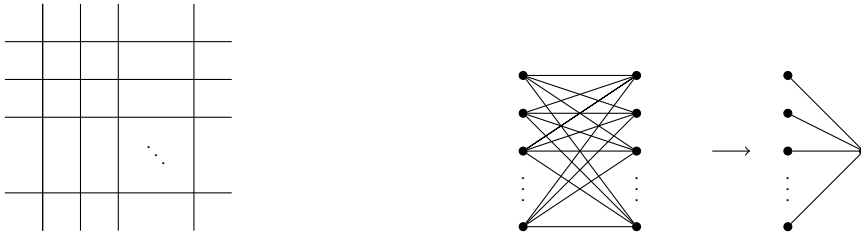


Fig. 1. The reduction of $X: (x^p - x)(y^p - y) = p$ modulo p and the intersection dual graph $K_{p,p}$.

Corollary A (=Corollary 6.4). *There is an algorithm that, given a two-edge-connected graph G , decides in $O(n \log n + m)$ time whether G admits an involution σ such that the quotient $G/\langle \sigma \rangle$ is a tree.*

Relation to number theory We briefly elucidate the relevance of gonality for number theoretical problems. This paragraph can safely be skipped, but provides some motivation for the interest in computing gonality of graphs.

If an algebraic curve X is defined over the rational numbers and has gonality γ , then we have a so-called “uniform boundedness” result for X : the total number of points on X with coordinates in any number field of degree $(\gamma - 1)/2$, is finite. Now the gonality of X is bounded below by the gonality of the dual graph of a reduction of the curve modulo a prime [18, §11]. We illustrate this with an example.

For a prime number p , consider the algebraic curve $X: (x^p - x)(y^p - y) = p$ in the (x, y) -plane over the field of rational numbers \mathbf{Q} . Reducing the curve modulo p , the equation becomes a union of lines $x(x - 1) \dots (x - (p - 1)) \cdot y(y - 1) \dots (y - (p - 1)) = 0$ (see also Fig. 1). The *intersection dual graph* is given by a vertex for each component of this reduction, where two vertices are connected by an edge if and only if the corresponding components intersect; in the example, it is the complete bipartite graph $K_{p,p}$. The stable gonality of $K_{p,p}$ is p (since $\text{tw}(K_{p,p}) = p$ and there is an obvious map of degree p from $K_{p,p}$ to a tree, see Section 2.2). From [18, 4.5 & 11.1] one concludes that the set $\bigcup X(K)$ is finite, where K runs over all the (infinitely many for $p \geq 5$) number fields of degree bounded above by $(p - 1)/2$.

2. Preliminaries

Whenever we write “graph” we refer to a multigraph $G = (V, E)$, where V is the set of vertices and E is a multiset of edges.

Let G be a graph and u and v two vertices. Let C be the connected component of $G \setminus \{v\}$ that contains u . By $G_v(u)$ we denote the induced subgraph of G on $C \cup \{v\}$.

2.1. Divisorial gonality

There is a number of different definitions of divisorial gonality. The one we use is shown to be equivalent to the chip firing procedure without the ‘monotonicity’ property by [21]. The definition given here allows us to prove correctness of the reduction rules in our algorithm, and avoids more heavy algebraic terminology.

A *divisor* D in a graph $G = (V, E)$ is a mapping $D: V \rightarrow \mathbb{Z}$ (a divisor represents a distribution of chips, see Section 1). We call a divisor D *effective* (notation $D \geq 0$) if $D(v) \geq 0$ for all $v \in V$. The degree, $\deg(D)$, of a divisor D equals $\sum_{v \in V} D(v)$.

Given an effective divisor D and a set of vertices $W \subseteq V$, we call W *valid for D* , if for each $v \in W$, $D(v) \geq |E(v, V \setminus W)|$ (i.e., v has at least as many chips as it has neighbors in $V \setminus W$). If W is valid for D , we can *fire W* starting from D , this yields another divisor: for $v \in W$, $D(v)$ is decreased by the number of edges from v to $V \setminus W$, and for $x \in V \setminus W$, $D(x)$ is increased by the number of edges from W to x . Intuitively, firing W means moving a chip along all edges from W to $V \setminus W$. Note that the divisor obtained by firing is effective as well.

We call two effective divisors D and D' *equivalent*, in notation $D \sim D'$, if there is a sequence of non-empty subsets $A_1 \subseteq A_2 \subseteq \dots \subseteq A_{k-1} \subset A_k = V$, such that for all i the set A_i can be fired when A_1, \dots, A_{i-1} are fired starting from D , and the divisor obtained by firing A_1, \dots, A_k is D' . This defines an equivalence relation on the set of effective divisors [21, Chapter 3]. For two equivalent effective divisors D and D' , we call the difference of functions $D' - D$ the *transformation* from D to D' , and the sequence $A_1 \subseteq A_2 \subseteq \dots \subseteq A_{k-1} \subset A_k = V$ the *level set decomposition* of this transformation. This level set decomposition is unique [21, Remark 3.8].

We say that an effective divisor D *reaches* a vertex v , if there exists a D' such that $D \sim D'$ and $D'(v) \geq 1$. The *divisorial gonality*, $\text{dgon}(G)$, of a graph G is the minimum degree of an effective divisor D that reaches each vertex of G .

Example 2.1. Let T be a tree. Then T has divisorial gonality 1. Let v be a vertex of T and consider the divisor D with $D(v) = 1$ and $D(x) = 0$ for all $x \neq v$. This divisor has degree 1 and reaches each vertex of T : Let w be a vertex of T . Let vu be the first edge on the unique path from v to w . Let A_v be the component that contains v of the cut induced by vu . Firing A_v yields the divisor $D(u) = 1$ and $D(x) = 0$ for all $x \neq u$, thus we moved a chip from v to u . Repeating this process yields a divisor with a chip on w .

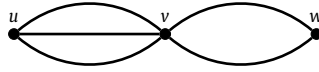


Fig. 2. Graph with divisorial gonality 3 and treewidth 1 (see Example 2.3).

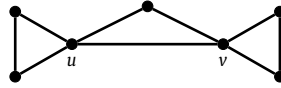


Fig. 3. Graph with divisorial gonality 3 and treewidth 2 (see Example 2.4).

Example 2.2. Let G be a cycle, then G has divisorial gonality 2. First note that every set of vertices of G induces a cut of size at least 2. Hence for all degree 1 divisors, there are no valid sets. Hence a degree 1 divisor does not reach every vertex. To see that there is a divisor with 2 chips that reaches every vertex, number the vertices v_1, v_2, \dots, v_n and consider the divisor D with a chip on v_1 and a chip on v_n . To reach a vertex v_k with $k \leq \frac{n}{2}$, fire the set $\{v_i \mid 1 \leq i \leq j\} \cup \{v_i \mid n - j + 1 \leq i \leq n\}$ for $j = 1, 2, \dots, k$. Analogous for a vertex v_k with $\frac{n}{2} \leq k \leq n$.

Example 2.3. Consider the graph G in Fig. 2. This graph has treewidth 1 and divisorial gonality 3. A divisor that reaches all vertices either has a chip on u and 2 more chips to reach both v and w , or has at least 3 chips to move along the three edges from v to u . See also [18, Table 3].

Example 2.4. Consider the graph G in Fig. 3. This graph has treewidth 2 and divisorial gonality 3. A divisor that reaches all vertices needs two chips to traverse the left cycle and 2 chips to traverse the right cycle. But we cannot move two chips from u to v , so these two chips on the left side cannot be the same as the two on the right side. Hence we need at least three chips.

For a disconnected graph, the divisorial gonality is equal to the sum of the divisorial gonalitys of the connected components.

2.2. Stable gonality

We define stable gonality as in [18, Definition 3.6].

Definition 2.5. Let G and H be connected graphs. A *finite morphism* is a map $\phi: G \rightarrow H$ such that

- (i) $\phi(V(G)) \subseteq V(H)$,
- (ii) $\phi(uv) = \phi(u)\phi(v)$ for all $uv \in E(G)$,

together with, for every $e \in E(G)$, an “index” $r_\phi(e) \in \mathbb{N}$.

Definition 2.6. We call a finite morphism $\phi: G \rightarrow H$ *harmonic* if for every $v \in V(G)$ it holds that for all $e, e' \in E_{\phi(v)}(H)$

$$\sum_{d \in E_v(G), \phi(d)=e} r_\phi(d) = \sum_{d' \in E_v(G), \phi(d')=e'} r_\phi(d').$$

We write $m_\phi(v)$ for this sum.

Definition 2.7. The *degree* of a finite harmonic morphism $\phi: G \rightarrow H$ is

$$\sum_{d \in E(G), \phi(d)=e} r_\phi(d) = \sum_{u \in V(G), \phi(u)=v} m_\phi(u),$$

for $e \in E(H)$, $v \in V(H)$. This is independent of the choice of e or v ([6], Lemma 2.4).

Example 2.8. For a tree T we can use the identity map $\phi: T \rightarrow T$, and assign index 1 to all edges, to obtain a finite harmonic morphism. This morphism has degree 1.

Example 2.9. Consider the graph G in Fig. 4. Assign index 2 to the edge (v, w) , and 1 to the other edges. Map this graph to a path on 4 vertices. This yields a finite harmonic morphism of degree 2.

We can now prove a lemma about finite harmonic morphisms, that we will need in Section 4.

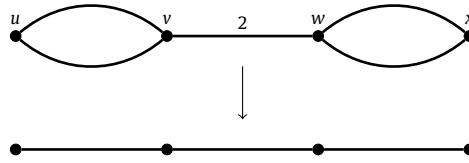


Fig. 4. Graph with stable gonality 2 (see Examples 2.9 and 2.13).

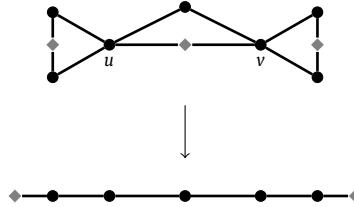


Fig. 5. Graph with stable gonality 3 and treewidth 2 (see Example 2.14).

Lemma 2.10. Let G be a connected graph, and $\phi: G \rightarrow T$ a finite harmonic morphism of degree 2. If $\phi(u) = \phi(v)$, then $\deg(u) = \deg(v)$.

Proof. Notice that $m_\phi(u) = m_\phi(v) = 1$. Let e be an edge incident to $\phi(u)$. By harmonicity, there is exactly one edge e' such that e' is incident to u and $\phi(e') = e$. On the other hand every edge that is incident to u is mapped to an edge that is incident to $\phi(u)$. So we conclude that $\deg_G(u) = \deg_T(\phi(u))$. Analogously we find that $\deg_G(v) = \deg_T(\phi(v))$. Since $\phi(u) = \phi(v)$, it follows that $\deg(u) = \deg(v)$. \square

Before we can define the stable gonality of a graph, we need one last definition: the notion of refinements.

Definition 2.11. A graph G' is a *refinement* of G if G' can be obtained by applying the following operations finitely many times to G .

- (i) Add a leaf, i.e. a vertex of degree 1;
- (ii) subdivide an edge by adding a vertex.

We call a vertex of $G' \setminus G$ from which there are two disjoint paths to vertices of G , *internal added* vertices, we call the other vertices of $G' \setminus G$ *external added* vertices.

Definition 2.12. The *stable gonality* of a connected graph G is

$$\text{sgon}(G) = \min\{\deg(\phi) \mid \phi: G' \rightarrow T \text{ a finite harmonic morphism,} \\ G' \text{ a refinement of } G, T \text{ a tree}\}.$$

Example 2.13. As we have seen in Example 2.8, $\text{sgon}(T) = 1$ for a tree T . On the other hand, if G is not a tree, then any refinement of G contains a cycle. Such a cycle cannot be mapped to a tree injectively. Thus $\text{sgon}(G) > 1$ if G is not a tree.

Since the graph G in Fig. 4 is not a tree and we have seen a morphism of degree 2 in Example 2.9, we conclude that $\text{sgon}(G) = 2$.

Example 2.14. Consider the graph G of Example 2.4, see Fig. 3. This graph has stable gonality 2. Add a vertex to the edge (u, v) , a vertex to left triangle and a vertex to the right triangle (see Fig. 5). This refinement can be mapped to a path on 7 vertices, where u is mapped to the third vertex and v to the fifth vertex of the path. If we assign index 1 to all edges, this is a finite harmonic morphism of degree 2.

For a disconnected graph G its stable gonality is defined to be the sum of the stable gonalitys of its components.

2.3. Stable divisorial gonality

We can combine the previous two notions of gonality, first refine a graph and then consider the divisorial gonality, to obtain a third notion of gonality: stable divisorial gonality.

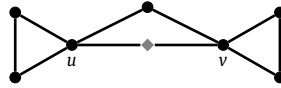


Fig. 6. Graph with divisorial gonality 3 and stable divisorial gonality 2 (see Example 2.16).

Definition 2.15. The *stable divisorial gonality* of G is

$$\text{sdgon}(G) = \min\{\text{dgon}(G') \mid G' \text{ a refinement of } G\}.$$

Example 2.16. Consider the graph G of Example 2.4, see Fig. 3. This graph divisorial gonality 3, but stable divisorial gonality 2. This is because we can refine G to a graph with divisorial gonality 2: add a vertex to the edge (u, v) (see Fig. 6). A divisor with 2 chips on vertex u reaches all vertices.

For a disconnected graph G its stable divisorial gonality is defined to be the sum of the stable divisorial gonalitys of its components.

2.4. Reduction rules, safeness and completeness

A *reduction rule* is a rule that can be applied to a graph to produce a smaller graph. Our final goal with the set of reduction rules is to show that it can be used to characterize the graphs in a certain class, that of the graphs with divisorial gonality two, that of the graphs with stable gonality two, and that of graphs with stable divisorial gonality two, by reduction to the empty graph. For this we need to make sure that membership of the class is invariant under our reduction rules.

Definition 2.17. Let \mathbf{U} be a rule and \mathbf{S} be a set of reduction rules. Let \mathcal{A} be a class of graphs. We call \mathbf{U} *safe* for \mathcal{A} if for all graphs G and H such that H can be produced by applying rule \mathbf{U} to G it follows that $H \in \mathcal{A} \iff G \in \mathcal{A}$. We call \mathbf{S} *safe* for \mathcal{A} if every rule in \mathbf{S} is safe for \mathcal{A} .

Apart from our sets of rules being safe, we also need to know that, if a graph is in our class, it is always possible to reduce it to the empty graph.

Definition 2.18. Let \mathbf{S} be a set of reduction rules and \mathcal{A} be a class of graphs. We call \mathbf{S} *complete* for \mathcal{A} if for any graph $G \in \mathcal{A}$ it holds that G can be reduced to the empty graph by applying some finite sequence of rules from \mathbf{S} .

For any set of rules that is both complete and safe for \mathcal{A} the set is suitable for characterizing \mathcal{A} : a graph G can be reduced to the empty graph if and only if G is in \mathcal{A} . Additionally it is not possible to make a wrong choice early on that would prevent the graph from being reduced to the empty graph: if $G \in \mathcal{A}$ and G can be reduced to H , then H can be reduced to the empty graph.

These properties ensure that we can use the set of reduction rules to create an algorithm for recognition of the graph class.

2.5. Constraints

In the process of applying reduction rules to a graph, we will need to keep track of certain restrictions otherwise lost by removal of vertices and edges. We will maintain these restrictions in the form of a set of pairs of vertices, called constraints, and then extend the notions of gonality to graphs with constraints.

Definition 2.19. Given a graph $G = (V, E)$, a *constraint* on G is an unordered pair of vertices $v, w \in V$, usually denoted as (v, w) , where v and w can be the same vertex.

A graph with constraints consists of a graph $G = (V, E)$ and a set of constraints \mathcal{C} . Constraints are, like edges, pairs of vertices, so we can consider them as an extra set of edges. The conditions that a constraint places on the divisors and firing sets for divisorial gonality and the morphisms for stable gonality, are described in Sections 3 and 4, respectively.

3. Reduction rules for divisorial gonality

We will now show that there exists a set of reduction rules that is safe and complete for the class of graphs with divisorial gonality at most two. We will assume that our graph is loopless and connected. Loops can simply be removed from the graph since they never impact the divisorial gonality and a disconnected graph has divisorial gonality two or lower exactly when it consists of two trees, which can easily be checked in linear time.

3.1. Constraints for divisorial gonality

Checking whether a graph has gonality two or lower is the same as checking whether there exists a divisor on our graph with degree two that reaches all vertices. Our constraints place restrictions on what divisors we consider, as well as what sets we are allowed to fire.

Definition 3.1. Given a graph G with set of constraints \mathcal{C} , and two equivalent effective divisors D and D' . We call D and D' \mathcal{C} -equivalent (in notation $D \sim_{\mathcal{C}} D'$), if for every set A_i of the level set decomposition of $D' - D$ and every constraint $(u, v) \in \mathcal{C}$, either $u, v \in A_i$ or $u, v \notin A_i$.

Note that this defines a finer equivalence relation. Now we can extend the definition of *reach* using \mathcal{C} -equivalence: a divisor D *reaches* a vertex v , if there exists a D' such that $D \sim_{\mathcal{C}} D'$ and $D'(v) \geq 1$.

Definition 3.2. Given a graph G with a set of constraints \mathcal{C} . A divisor D *satisfies* \mathcal{C} if for every constraint $(u, v) \in \mathcal{C}$ there is a divisor $D' \sim_{\mathcal{C}} D$ such that $D'(u) \geq 1$ and $D'(v) \geq 1$ if $u \neq v$ and $D'(u) \geq 2$ if $u = v$.

Definition 3.3. Given a graph $G = (V, E)$ with constraints \mathcal{C} , we call a divisor D *suitable* if it is effective, has degree 2, reaches all vertices using the \mathcal{C} -equivalence relation and satisfies all constraints in \mathcal{C} .

Definition 3.4. We will say that a graph with constraints has divisorial gonality 2 or lower if it admits a suitable divisor. Note that for a graph with no constraints this is equivalent to the usual definition of divisorial gonality 2 or lower. We will denote the class of graphs with constraints that have divisorial gonality two or lower as \mathcal{G}_2^d .

Constraints & cycles It will be useful to determine when constraints are non-conflicting locally:

Definition 3.5. Let C be a cycle in a graph G with constraints \mathcal{C} . Let $\mathcal{C}_C \subseteq \mathcal{C}$ be the subset of the constraints that contain a vertex in C . We call the constraints \mathcal{C}_C *compatible* if the following hold.

- (i) If $(v, w) \in \mathcal{C}_C$ then both $v \in C$ and $w \in C$.
- (ii) For each $(v, w) \in \mathcal{C}_C$ and $(v', w') \in \mathcal{C}_C$, the divisor given by assigning a chip to v and w must be equivalent to the one given by assigning a chip to v' and w' on the subgraph consisting of C .

3.2. The reduction rules

We are given a connected loopless graph $G = (V, E)$ and a yet empty set of constraints \mathcal{C} . The following rules are illustrated in Fig. 7, where a constraint is represented by a red dashed edge.

We start by covering the two possible end states of our reduction:

Rule E_1^d . Given a graph consisting of exactly one vertex, remove that vertex.

Rule E_2^d . Given a graph consisting of exactly two vertices, u and v , connected to each other by a single edge, and $\mathcal{C} = \{(u, v)\}$, remove both vertices.

Next are the reduction rules to get rid of vertices with degree one. These rules are split by what constraint applies to the vertex:

Rule T_1^d . Let v be a leaf, such that v has no constraints in \mathcal{C} . Remove v .

Rule T_2^d . Let v be a leaf, such that its only constraint in \mathcal{C} is (v, v) . Let u be its neighbor. Remove v and add the constraint (u, u) if it does not exist yet.

Rule T_3^d . Let v_1 be a leaf, such that its only constraint in \mathcal{C} is (v_1, v_2) , where v_2 is another leaf, whose only constraint is also (v_1, v_2) . Let u_1 be the neighbor of v_1 and u_2 be the neighbor of v_2 (these can be the same vertex). Then remove v_1 and v_2 and add the constraint (u_1, u_2) if it does not exist yet.

Finally we have a set of reduction rules that apply to cycles containing at most 2 vertices with degree greater than two. The rules themselves are split by the number of vertices with degree greater than two.

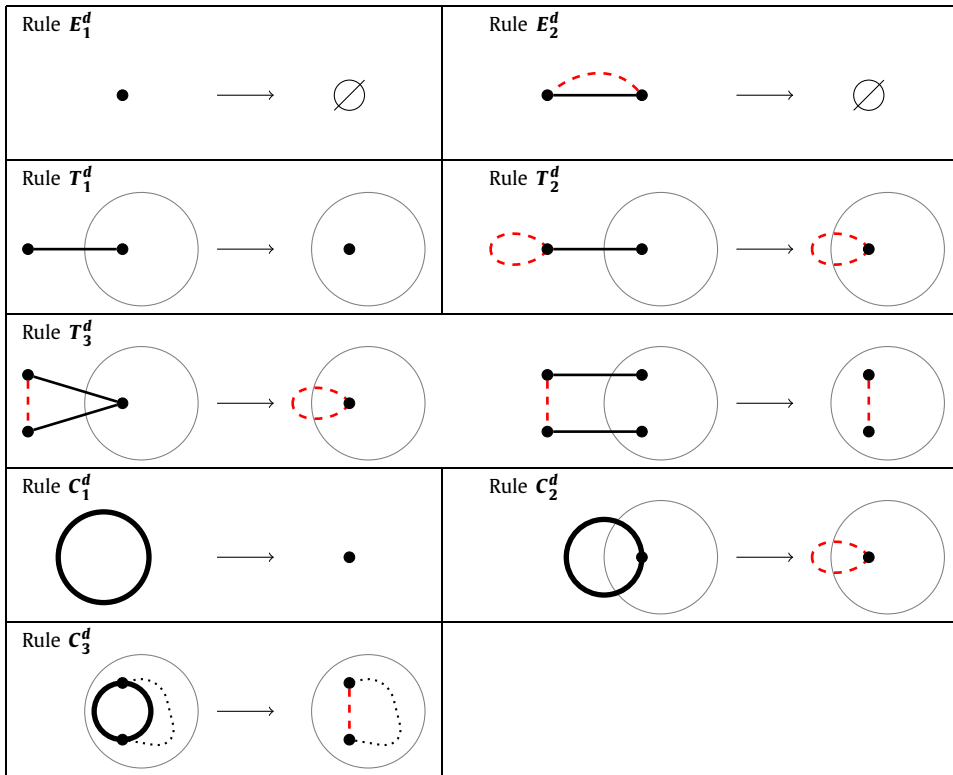


Fig. 7. The reduction rules for divisorial gonality. Constraints are represented by red dashed edges.

Rule C_1^d . Let C be a cycle of vertices with degree two. If the set of constraints \mathcal{C}_C on C is compatible, then replace C by a new single vertex.

Rule C_2^d . Let C be a cycle with one vertex v with degree greater than two. If the set of constraints \mathcal{C}_C on C plus the constraint (v, v) is compatible, then remove all vertices except v in C and add the constraint (v, v) if it does not exist yet.

Rule C_3^d . Let C be a cycle with exactly two vertices v and u of degree greater than two. If there exists a path from v to u that does not share any edges with C and the set of constraints \mathcal{C}_C on C plus the constraint (v, u) is compatible, then remove all vertices of C except v and u , remove all edges in C and add the constraint (v, u) if it does not exist yet.

We denote by \mathcal{R}^d the set consisting of all the above reduction rules: $E_1^d, E_2^d, T_1^d, T_2^d, T_3^d, C_1^d, C_2^d$ and C_3^d .

We will now state the main theorem stating that this set of reduction rules has the desired properties. After this we will build up the proof.

Theorem 3.6. *The set of rules \mathcal{R}^d is safe and complete for \mathcal{G}_2^d .*

3.3. Safeness

In this section it is assumed there is a graph G and another graph H that follows from G by applying a rule. Now we first make an observation on the connectivity of our graphs:

Lemma 3.7. *Let G and H be graphs. If G is connected and H can be produced from G by applying some rules, then H is connected.*

Proof. We observe that the only rule that removes a path between two remaining vertices is C_3^d . In the case of C_3^d however we demand that there is a path between v and w outside of C so this path will still exist and it follows that H is still connected. \square

Since we assume our graph G is connected it follows that each produced graph H is also connected. Now we will show for each of the rules in \mathcal{R}^d that it is safe.

Lemma 3.8. Rules E_1^d and E_2^d are safe.

Proof. For both rules it should be clear that their starting states as well as the empty graph have divisorial gonality two or lower. From this it follows they both are safe. \square

Lemma 3.9. Rules T_1^d and T_2^d are safe.

Proof. Let v be our vertex with degree 1 and u its neighbor. We know that the only constraint on v can be the constraint (v, v) .

Note that if $H \in \mathcal{G}_2^d$ then there is a divisor on H that puts at least one chip on u . Considering this divisor on G , note that we can move chips to v by firing $G - \{v\}$, it follows that this divisor is also suitable for G .

Given that $G \in \mathcal{G}_2^d$ note that we can find a suitable divisor that has no chips on v by firing v until it contains no chips. This divisor will also be suitable on H .

For T_2^d the proof is analogous, except with two chips on v . \square

Lemma 3.10. Rule T_3^d is safe.

Proof. Let v_1 and v_2 be the vertices with degree one, such that their only constraint is (v_1, v_2) and let u_1 and u_2 be their (possibly equal) neighbors. We first assume that $H \in \mathcal{G}_2^d$, then there is a suitable divisor on H with one chip on u_1 and another chip on u_2 . Consider this divisor on G . Then by firing $V(G) \setminus \{v_1, v_2\}$ we can move a chip to v_1 and v_2 . For every vertex $v \in V(G) \setminus \{v_1, v_2\}$ there is a sequence $A_1, A_2, \dots, A_k \subseteq V(H)$ such that firing this sequence yields a divisor D' with a chip on v . Now add v_i to every set A_j that contains u_i for $i = 1, 2$. Firing these sets on G starting from D results in D' on G , so D reaches v . Moreover, every set we fired contains either both v_1 and v_2 , or neither. We conclude that D is also suitable on G .

Assume that $G \in \mathcal{G}_2^d$, then the divisor on G with one chip on v_1 and v_2 is suitable. By firing $\{v_1, v_2\}$ we can create a divisor with a chip on u_1 and u_2 (or two on u_1 if $u_1 = u_2$). It follows that this divisor is suitable when considered on H . \square

Lemma 3.11. Rule C_1^d is safe.

Proof. We start by assuming that $H \in \mathcal{G}_2^d$. Note that by Lemma 3.7 we have that H is connected. Therefore it follows that H must consist of a single vertex, and G consists of a single cycle. It follows that $G \in \mathcal{G}_2^d$, since all constraints are compatible.

Assume then that $G \in \mathcal{G}_2^d$ instead. Since G is connected it must consist exactly of the cycle C , thus H consists of a single point and $H \in \mathcal{G}_2^d$. \square

Lemma 3.12. Rule C_2^d is safe.

Proof. Let C be our cycle with one vertex v with degree greater than 2. Assume that $H \in \mathcal{G}_2^d$; then there is a suitable divisor on H with two chips on v . Consider this divisor on G . Note that if we fire $V(G) - C + \{v\}$ then we move the two chips onto the two neighbors of v in C . Since all constraints on C are compatible with the constraint (v, v) it follows that we can move the chips along C while satisfying the constraints on C . From this it follows that our divisor is suitable on G .

Assume now that $G \in \mathcal{G}_2^d$. Since all constraints on C are compatible with (v, v) , it follows that we can find a suitable divisor with two chips on v . Considering this divisor on H gives a suitable divisor there. Thus, $H \in \mathcal{G}_2^d$. \square

Lemma 3.13. Rule C_3^d is safe.

Proof. Let C be our cycle and v, w the two vertices with degree greater than two in C . We first assume that $H \in \mathcal{G}_2^d$. From this it follows that the divisor on H with a chip on v and a chip on w is suitable. Consider this divisor on G . It is clear that from v and w we can move chips along either of the two arcs between v and w in C . We know that in G all constraints on C plus (v, w) are compatible. Therefore the divisor is also suitable on G and thus $G \in \mathcal{G}_2^d$.

Let us now assume that instead $G \in \mathcal{G}_2^d$. Clearly there exists a suitable divisor D on G that has a chip on v . We will show that there is a suitable divisor that has a chip on both v and w : Assume that $D(w) = 0$, then there should be a suitable divisor D' with $D'(w) = 1$ and $D \sim_e D'$. This implies there is a level set decomposition A_1, \dots, A_k of the transformation from D to D' .

Let A_i be the first subset that contains v and D_i the divisor before firing A_i . Notice that $D_i(v) \geq 1$, since $D(v) \geq 0$ and v is not fired yet. Notice that we have $D_i(a) \geq |E(a, V(G) \setminus A_i)|$ for all $a \in A_i$, since all firing sets are valid. Since $\deg(D_i) = 2$ it follows that $\sum_{a \in A_i} |E(a, V(G) \setminus A_i)| \leq 2$. This is the same as the cut induced by A_i having size two or lower. The minimum cut between v and w is at least three, since they are both part of C and there exists an additional path outside of C between them. Therefore it follows that A_i can only induce a cut of size two or lower if $w \in A_i$ as well. But

this implies that $D_i(w) \geq 1$, since a vertex can not receive a chip after entering the firing set. We conclude that $D_i(v) = 1$ and $D_i(w) = 1$.

Also by the fact that the minimum cut between v and w is at least three it follows that a subset firing can only be valid if the subset contains either both v and w or neither (since otherwise the subset would have at least three outgoing edges). It follows we can satisfy the set of constraints including (v, w) .

Therefore the divisor D_i gives us a suitable divisor when considered on H . We conclude that $H \in \mathcal{G}_2^d$. \square

Since we have shown that each of the rules in \mathcal{R}^d is safe, we conclude:

Lemma 3.14. *The set of rules \mathcal{R}^d is safe for \mathcal{G}_2^d . \square*

3.4. Completeness

By Lemma 3.14 we have that membership in \mathcal{G}_2^d is invariant under the reduction rules in \mathcal{R}^d . For the reduction rules to be useful however we will also need to confirm that any graph can be reduced to the empty graph by a finite sequence of rule applications.

Lemma 3.15. *Let G be a graph and $v \in V(G)$ a vertex. If there are two different constraints on v , so $(v, w), (v, w') \in \mathcal{C}$, with $w \neq w'$, then $G \notin \mathcal{G}_2^d$.*

Proof. Any suitable divisor should be equivalent to the divisor D with $D(v) = 1$, $D(w) = 1$ (or $D(v) = 2$ is $w = v$), and equivalent to the divisor D' with $D'(v) = 1$, $D'(w') = 1$. This means that these divisors are equivalent to each other. Notice that any firing set that contains v also contains both w and w' by our constraints. Moreover, any firing set containing w contains v and w' by our constraints. Starting with divisor D , notice that any valid firing set must contain v or w (they are the only vertices with chips). It follows that it also contains w' . This implies that the number of chips on w' cannot increase, so no level set decomposition from D to D' exists, thus D and D' cannot be equivalent. We conclude no suitable divisor exists and therefore $G \notin \mathcal{G}_2^d$. \square

Lemma 3.16. *Let $G \in \mathcal{G}_2^d$ be a graph where none of the Rules $E_1^d, E_2^d, T_1^d, T_2^d$ or T_3^d can be applied. Then G contains no vertices of degree 1.*

Proof. Assume on the contrary that G does contain a vertex v with degree 1. By Lemma 3.15 and the fact that $G \in \mathcal{G}_2^d$ we have that at most one constraint contains v . If there is no constraint on v , we could apply Rule T_1^d to it, therefore there is exactly one constraint on v . If this constraint is (v, v) we would be able to apply Rule T_2^d to v . If the constraint is (v, w) , where w is another vertex of degree 1, Rule T_3^d could be applied to v . The only remaining possibility is that the constraint on v is the constraint (v, w) where w is a vertex with degree greater than 1. We will use D to denote the divisor with $D(v) = D(w) = 1$. Since we have the constraint (v, w) and $G \in \mathcal{G}_2^d$, D is a suitable divisor.

We first consider the case where w is not a cut-vertex. Let u be the neighbor of v . Consider the transformation from D to a divisor D' with $D'(u) = 1$. Let A_1 be the first firing set in the level decomposition of this transformation. Note that we have $v, w \in A_1$ and $u \notin A_1$. Since w is not a cut-vertex, it follows for each neighbor w_i of w that either there is a path from w_i to u that does not contain w or $w_i = u$. Note that if a neighbor $w_i \neq u$ is in A_1 , then somewhere on its path to u must be an edge that crosses between A_1 and its complement A_1^c . But such a crossing edge would imply that the firing set is not valid, since no vertex on this path contains a chip. Since w has degree at least two, and none of its neighbors are in A_1 , it follows that the firing set is not valid, since w would lose at least two chips. We have a contradiction.

We proceed with the case where w is a cut-vertex. Let C_x be a connected component not containing v after removing w . Consider the subset C_x in G . Note that from D we can never obtain an equivalent divisor with two chips on C_x . Since the chip from v would have to move through w to get to C_x , this would require D to be equivalent to a divisor with two chips on w , which is impossible by Lemma 3.15 if $G \in \mathcal{G}_2^d$. Since D reaches all vertices, it follows that C_x must be a tree. This means C_x must contain a vertex x of degree one, we know however that since we cannot apply Rules T_1^d, T_2^d or T_3^d to G , x must have a constraint (x, y) where y is a vertex with degree greater than one. We now consider the possible locations of y .

If $y \in C_x$, then D must be equivalent to a divisor with a chip on x and a chip on y . As mentioned before, D cannot be equivalent to a divisor with two chips on C_x , so it follows that $y \notin C_x$.

Since $y \notin C_x$, D has to be equivalent to the divisor D'' with $D''(x) = D''(y) = 1$. Let C_y be the component containing y . Let A_1 be the first subset of the level set decomposition of the transformation of D into D'' . Note that $v, w \in A_1$ and $x, y \notin A_1$. But this implies that w has at least one neighbor w_1 in C_y , with $w_1 \notin A_1$, namely the first vertex on the path from w to y . But w also has at least one neighbor w_2 in C_x , with $w_2 \notin A_1$, namely the first vertex on the path from w to x . This means w has two neighbors that it will send a chip to, but w only has one chip. This yields a contradiction.

We conclude that no vertices with degree 1 can exist in G . \square

Lemma 3.17. Let G be a graph with a set of constraints \mathcal{C} and let C be a cycle in G with \mathcal{C}_C the set of constraints that contain a vertex in C . If $G \in \mathcal{G}_2^d$ then the constraints \mathcal{C}_C are compatible.

Proof. We start by showing that the first property of a compatible constraint set holds. Let $(v, w) \in \mathcal{C}_C$ be a constraint and let $v \in C$ without loss of generality. We show that $w \in C$. Assume on the contrary that $w \notin C$, then let D be the suitable divisor with $D(v) = D(w) = 1$. Let x be a vertex in C with $x \neq v$. Let $D' \sim_{\mathcal{C}} D$ be a divisor with $D'(x) \geq 1$. Let A_1 be the first firing set of the level set decomposition of the transformation of D into D' . Note that $v, w \in A_1$ and $x \notin A_1$. Note there are two disjoint paths from v to x , since they are on the same cycle. This implies a chip will be sent along both these paths by A_1 , but since $w \notin C$, both these chips must come from v . However, v only has one chip, a contradiction. We conclude that $w \in C$.

For the second property, let $(v, w), (v', w') \in \mathcal{C}_C$ be two constraints on C . By our first property we have that $v, w, v', w' \in C$. Let D be the divisor with $D(v) = D(w) = 1$ and D' the divisor with $D'(v') = D'(w') = 1$. We know D and D' must be equivalent, since $G \in \mathcal{G}_2^d$ and both correspond to constraints on G . Let A_1, \dots, A_k be the level set decomposition of the transformation of D into D' . Note that $v, w \in A_1$ and $v', w' \notin A_1$. We observe that v and w split C into two arcs. Note that both v' and w' must be on the same arc: if they are not on the same arc, there exist disjoint paths from v to v' and to w' that do not contain w . This implies that A_1 sends two chips along these paths, but v has only one chip.

Now note that C is biconnected, which implies that for a firing set A with $w \in A$ and $w' \notin A$ to be valid there must be at least two chips on vertices in C . This follows since there are at least two edges crossing between A and its complement A^c in C . Since each of the firing sets A_1, \dots, A_k is valid, it follows this transformation leaves two chips on C at each intermediate divisor. It follows that if we restrain these firing sets to C , we have a sequence of firing sets that transforms D into D' on C . Therefore D and D' are equivalent on C , so our second property is also fulfilled. \square

Lemma 3.18 (Folklore, see e.g., [13, Lemma 4]). Let G be a simple graph of treewidth 2 or lower and containing at least 4 vertices, then G has at least two vertices with degree 2 or lower.

Lemma 3.19. Given a non-empty graph $G \in \mathcal{G}_2^d$, there is a rule in \mathcal{R}^d that can be applied to G .

Proof. Let $G \in \mathcal{G}_2^d$ be such a graph and assume that no rule in \mathcal{R}^d can be applied to G . By Lemma 3.16 it follows that all vertices of G have degree at least 2. Consider the minor H of G created by contracting each path of degree 2 vertices to an edge. Then any edge in H was either created by contraction of a path of any number of vertices with degree 2 in G or it already was an edge in G .

If H contains a loop, there is a path of degree 2 vertices in G going from a degree 3 or greater vertex to itself (since G contains no loops). So this path plus the vertex it is attached to forms a cycle with exactly one vertex of degree 3 or greater. Since we cannot apply Rule \mathcal{C}_2^d to G , it follows that the constraints \mathcal{C}_C are not compatible. This contradicts Lemma 3.17. Hence H contains no loops.

Now we find a subgraph H' of H with no multiple edges. If H contains no multiple edges, simply let $H' = H$. Otherwise let v and w be two vertices such that there are at least two edges between v and w . Suppose that v and w are still connected to each other after removing two edges e_1, e_2 between them. The removed edges each represent a single edge or a path of degree 2 vertices in G . Thus v, w plus these paths form a cycle C in G with exactly two vertices of degree 3 or greater, where there is also a path between v and w that does not share any edges with C . Since we cannot apply Rule \mathcal{C}_3^d to G , it follows that the constraints \mathcal{C}_C are not compatible. Again, this contradicts Lemma 3.17. It follows that G must be disconnected after removing e_1 and e_2 . So any multiple edge in H is a double edge, whose removal splits the graph in two connected components. Let H' be the connected component of minimal size over all possible removals of a double edge in H . Note that H' cannot contain any double edge, since this would imply a smaller connected component.

We now have a minor H' of G , which is a simple graph since it has no loops or multiple edges. Also, each vertex of H' has degree at least 3 with at most one exception, namely the vertex that was incident to the two parallel edges that were removed to obtain H' . Since a graph with treewidth at most two has at least two vertices of degree at most two, it follows by Lemma 3.18 that $\text{tw}(H') \geq 3$. Since treewidth is closed under taking minors we get $\text{tw}(G) \geq 3$. But then, since treewidth is a lower bound [22], it follows that $\text{dgon}(G) \geq 3$, creating a contradiction, since $G \in \mathcal{G}_2^d$. We conclude that our assumption must be wrong and there must be a rule in \mathcal{R}^d that can be applied to G . \square

Now we have everything required to prove our main theorem:

Proof of Theorem 3.6. By Lemma 3.14 we have that \mathcal{R}^d is safe. It remains to prove that \mathcal{R}^d is also complete.

Assume that $G \in \mathcal{G}_2^d$. By Lemma 3.19 and Lemma 3.14 we can keep applying rules from \mathcal{R}^d to G as long as G has not been turned into the empty graph yet. Observe that each rule removes at least one vertex or at least two edges, while never adding more vertices or edges. Since G is finite, rules from \mathcal{R}^d can only be applied a finite number of times. When no more rules can be applied, it follows that the graph has been reduced to the empty graph. Therefore \mathcal{R}^d is complete. \square

Hence \mathcal{R}^d has the properties we want it to have so that we are able to use it for characterization of the graphs with divisorial gonality two or lower.

4. Reduction rules for stable gonality

In this section, we give a complete set of safe reduction rules to recognize stable hyperelliptic graphs, i.e. graphs with stable gonality 2. We will first introduce the constraints for stable gonality and then we will state all rules. Next we will show that all rules are safe for graphs with stable gonality at most 2 and that those graphs can be reduced to the empty graph. It is not hard to see that the set of rules implies a polynomial time algorithm to test if a graph has stable gonality at most 2; in Section 6, we discuss how we can obtain an algorithm with a running time of $O(m + n \log n)$.

4.1. Constraints for stable gonality

For a given graph G , we want to know whether there exists a finite harmonic morphism of degree 2 from a refinement of G to a tree. We will do this by reducing G to the empty graph. During this process we sometimes add constraints to our graph. The set of constraints gives restrictions to which morphisms we allow.

Definition 4.1. Let G be a graph, G' a refinement of G , T a tree. Let $\phi: G' \rightarrow T$ be a map. We call ϕ a *suitable morphism* if it is a finite harmonic morphism of degree 2 and it satisfies the following conditions.

- (i) For all pairs $(v, v) \in \mathcal{C}$ it holds that $m_\phi(v) = 2$.
- (ii) For all pairs $(u, v) \in \mathcal{C}$ with $u \neq v$ it holds that $\phi(u) = \phi(v)$ and $m_\phi(u) = m_\phi(v) = 1$.

We say that a graph with constraints has stable gonality at most 2 if there exists a suitable morphism from a refinement of G to a tree. Let \mathcal{G}_2^s be the class of graphs with constraints that have stable gonality at most 2. We define the empty graph to have stable gonality 0 and thus $\emptyset \in \mathcal{G}_2^s$.

We will denote the set of constraints that contain a vertex v by \mathcal{C}_v .

4.2. Reduction rules

We will now state all rules. Fig. 8 shows all rules in pictures, constraints are showed as green dashed edges. Sometimes it is convenient to think of constraints as an extra set of edges, from now on we will refer to the constraints as green edges. We apply those rules to a given graph G with an empty set of constraints. When a rule adds a constraint uv , and there already exists such a constraint, then the set of constraints does not change.

Rule T_1^s . Let v be a leaf with $\mathcal{C}_v = \emptyset$. Let u be the neighbor of v . Contract the edge uv .

Rule T_2^s . Let v be a leaf with $\mathcal{C}_v = \{(v, v)\}$. Let u be the neighbor of v . Contract the edge uv .

Rule S_1^s . Let v be a vertex of degree 2 with $\mathcal{C}_v = \emptyset$. Let u_1, u_2 be the neighbors of v (possibly $u_1 = u_2$). Contract the edge u_1v .

Rule T_3^s . Let G be a graph where every leaf and every degree 2 vertex is incident to a green edge. Let v_1 and v_2 be two leaves that are connected by a green edge. Let u_1 and u_2 be their neighbors (possibly $u_1 = u_2$). Contract the edges u_1v_1 and u_2v_2 .

Rule S_2^s . Let G be a graph where every leaf and every degree 2 vertex is incident to a green edge. Let v be a vertex of degree 2 with a green loop, such that there exists a path from v to v in G (possibly containing green edges). Let u_1 and u_2 be the neighbors of v (possibly $u_1 = u_2$). Remove v and connect u_1 and u_2 with a green edge.

Rule L^s . Let v be a vertex with a loop. Remove the loop from v and add a green loop to v .

Rule P_1^s . Let uv be an edge such that there also exists a green edge uv . Remove the black edge uv .

Rule P_2^s . Let u, v be vertices, such that $|E(u, v)| > 1$. Let e and f be two of those edges. If there exists another path, possibly containing green edges, from u to v , then remove e and f and add a green edge from u to v .

Rule E_1^s . Let G be the graph consisting of a single vertex v with $\mathcal{C}_v = \emptyset$. Remove v .

Rule E_2^s . Let G be the graph consisting of a single vertex v with a green loop. Remove v .

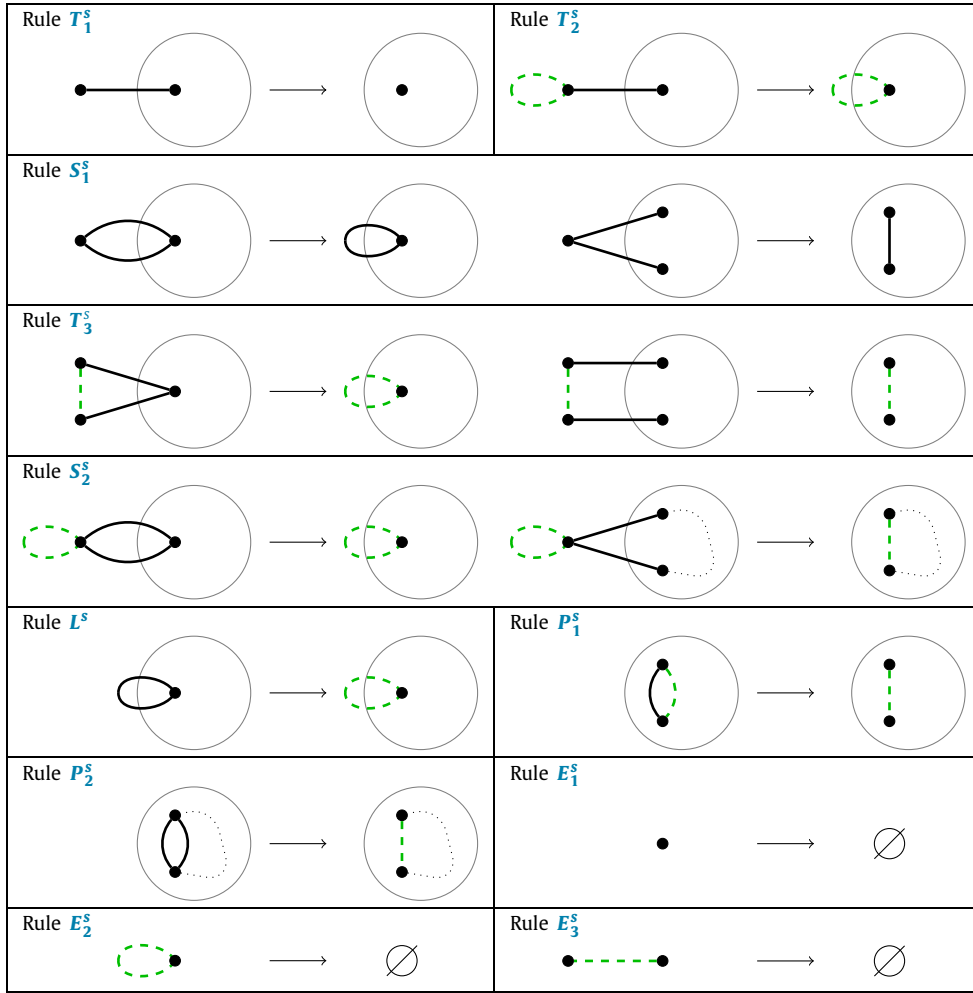


Fig. 8. The reduction rules for recognizing stable hyperelliptic graphs. Constraints are represented by green dashed edges.

Rule E_3^s . Let G be the graph consisting of a two vertices u and v that are connected by a green edge. Remove u and v .

We will write \mathcal{R}^s for this set of reduction rules. We can now state the main theorem; in the next sections we will prove this theorem.

Theorem 4.2. *The set of rules \mathcal{R}^s is safe and complete for \mathcal{G}_2^s .*

4.3. Safeness

Now we will prove that the rules \mathcal{R}^s are safe for \mathcal{G}_2^s , i.e., if G is a graph, and H is obtained from G by applying one of the rules, then $\text{sgon}(G) \leq 2$ if and only if $\text{sgon}(H) \leq 2$. In all proofs we assume that the original graph is called G and the graph obtained by applying a rule is called H .

Lemma 4.3. *Rule T_1^s is safe.*

Proof. Let v be the leaf in G to which the rule is applied.

Suppose that $\text{sgon}(G) \leq 2$. Since G is a refinement of H , it is clear that $\text{sgon}(H) \leq 2$.

Suppose that $\text{sgon}(H) \leq 2$. Then there exists a refinement H' of H and a suitable morphism $\phi: H' \rightarrow T$. Write u for the neighbor of v in G . We distinguish two cases.

Suppose that $m_\phi(u) = 2$. Then add a leaf v to u in H' to obtain G' . Now we see that G' is a refinement of G . Give the edge uv index $r_{\phi'}(uv) = 2$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Add a leaf v' to $\phi(u)$ in T to obtain T' . Then we can extend ϕ to $\phi': G' \rightarrow T'$,

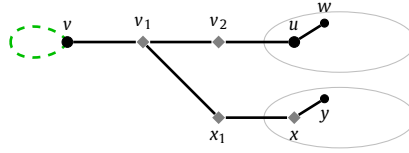


Fig. 9. Proof of Lemma 4.4.

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ v' & \text{if } x = v. \end{cases}$$

It is clear that ϕ' is a suitable morphism, so we conclude that $\text{sgon}(G) \leq 2$.

Suppose that $m_\phi(u) = 1$. Let w be the other vertex such that $\phi(w) = \phi(u)$. Then add leaves v_1 and v_2 to u and w in H' to obtain G' . We see that G' is a refinement of G . Give the edges uv_1 and wv_2 indices $r_{\phi'}(uv_1) = r_{\phi'}(wv_2) = 1$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Add a leaf v' to $\phi(u)$ in T to obtain T' . Then we can extend ϕ to $\phi': G' \rightarrow T'$,

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ v' & \text{if } x = v_1, v_2. \end{cases}$$

It is clear that ϕ' is a suitable morphism, so we conclude that $\text{sgon}(G) \leq 2$. \square

Lemma 4.4. Rule T_2^S is safe.

Proof. Let v be the vertex in G to which the rule is applied.

Suppose that $\text{sgon}(G) \leq 2$. Then there exists a refinement G' of G and a suitable morphism $\phi: G' \rightarrow T$. Let u be the neighbor of v in G . We distinguish two cases:

Suppose that $m_\phi(u) = 2$. Define H' as the graph G' with a green loop at vertex u and without the green loop at v , then H' is a refinement of H . Now we see that $\phi: H' \rightarrow T$ is a suitable morphism, so $\text{sgon}(H) \leq 2$.

Suppose that $m_\phi(u) = 1$. It follows that there is a vertex $x \neq u$, with $\phi(x) = \phi(u)$. We first show that x is an external added vertex. Let $v_0 = v, v_1, \dots, v_k = u$ be the vertices that are added to the edge uv of G . Let i be the smallest integer such that $m_\phi(v_{i+1}) = 1$. Notice that $i < k$. Since ϕ is harmonic at v_i and $m_\phi(v_i) = 2$ and $m_\phi(v_{i+1}) = 1$, there exists a neighbor $x_1 \neq v_{i+1}$ of v_i such that $\phi(v_{i+1}) = \phi(x_1)$. If $i = 0$, it follows that x_1 is an external added vertex. If $i > 0$, notice that $x_1 \neq v_{i-1}$, since $m_\phi(v_{i-1}) = 2$. Since v_i is an internal added vertex, it follows that x_1 is an external added vertex.

If $v_{i+1} = u$, write $x = x_1$, and we see that x is an external added vertex. If $v_{i+1} \neq u$, it follows by harmonicity of ϕ at x_1 that there is an edge x_1x_2 that is mapped to $\phi(v_{i+1}v_{i+2})$. And since x_1 is an external added vertex, we see that x_2 is external added as well, and $x_2 \neq v_{i+2}$. Inductively, it follows that there exist $x_1 \neq v_{i+1}, x_2 \neq v_{i+2}, \dots, x_{k-i} \neq v_k$ such that $\phi(v_{i+j}) = \phi(x_j)$ for all $1 \leq j \leq k-i$, and x_j is external added for all $1 \leq j \leq k-i$. Write $x = x_{k-i}$, then $\phi(x) = \phi(u)$ and x is external added. See Fig. 9 for an illustration of this.

We will now show that for every vertex w' in $G_{v_i(v_{i+1})} \setminus \{v_i\}$ it holds that $m_\phi(w') = 1$. For the vertices v_{i+1}, \dots, v_k , we have seen that there are vertices $x_1 \neq v_{i+1}, x_2 \neq v_{i+2}, \dots, x_{k-i} \neq v_k$ such that $\phi(v_{i+j}) = \phi(x_j)$ for all $1 \leq j \leq k-i$. It follows that $m_\phi(v_j) = 1$ for $i+1 \leq j \leq k$. Let w be a neighbor of u in G' , that is not equal to v_{k-1} . Then, by harmonicity of ϕ at x , we see that there exists a neighbor y of x such that $\phi(uw) = \phi(xy)$. Since x is an external added vertex, we see that $w \neq y$. We conclude that $m_\phi(w) = 1$. Inductively we see that for every vertex w' in $G_{v_i(v_{i+1})} \setminus \{v_i\}$ it holds that $m_\phi(w') = 1$.

Define H' as $G_{v_i(v_{i+1})} \setminus \{v_i\}$, with a green loop at vertex u . Notice that H' is a refinement of H . Now we can restrict ϕ to H' and give every edge index $r_{\phi'}(e) = 2$ to obtain a suitable morphism: $\phi': H' \rightarrow T'$, where $T' = \phi(G_{v_i(v_{i+1})} \setminus \{v_i\})$. We conclude that $\text{sgon}(H) \leq 2$.

Suppose that $\text{sgon}(H) \leq 2$. Then there exists a refinement H' of H and a suitable morphism $\phi: H' \rightarrow T$. Write u for the neighbor of v in G . We know that $m_\phi(u) = 2$. Then add a leaf with a green loop to u in H' to obtain G' . Now we see that G' is a refinement of G . Give the edge uv index $r_{\phi'}(uv) = 2$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Add a leaf v' to $\phi(u)$ in T to obtain T' . Then we can extend ϕ to $\phi': G' \rightarrow T'$,

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ v' & \text{if } x = v. \end{cases}$$

It is clear that ϕ' is a suitable morphism, so we conclude that $\text{sgon}(G) \leq 2$. \square

Lemma 4.5. Rule S_1^S is safe.

Proof. Let v be the vertex in G to which the rule is applied.



Fig. 10. Proof of Lemma 4.6.

Suppose that $\text{sgon}(G) \leq 2$. Let G' be a refinement of G such that there exists a suitable morphism $\phi: G' \rightarrow T$. Since G' is a refinement of H too, it is clear that $\text{sgon}(H) \leq 2$.

Now suppose that $\text{sgon}(H) \leq 2$. Let H' be a refinement of H such that there exists a suitable morphism $\phi: H' \rightarrow T$. Write u_1 and u_2 for the neighbors of v in G . We distinguish two cases. Suppose that $u_1 = u_2$. It follows that the edge u_1u_2 is subdivided in H' , thus H' is a refinement of G' too. We conclude that $\text{sgon}(G) \leq 2$. Suppose that $u_1 \neq u_2$. If the edge u_1u_2 is subdivided, we see again that H' is a refinement of G , and $\text{sgon}(G) \leq 2$. So suppose that u_1 and u_2 are neighbors in H' . Again, we distinguish two cases.

If $r(u_1u_2) = 2$, then add a vertex v on the edge u_1u_2 to obtain a graph G' . Notice that G' is a refinement of G . Give the edges u_1v and vu_2 index 2, and give all other edges e index $r_{\phi'}(e) = r_{\phi}(e)$. And add a vertex v' on the edge $\phi(u_1)\phi(u_2)$ in T to obtain T' . Now we see that $\phi': G' \rightarrow T'$ given by

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H', \\ v' & \text{if } x = v, \end{cases}$$

is a suitable morphism. We conclude that $\text{sgon}(G) \leq 2$.

If $r(u_1u_2) = 1$, then there exists another edge w_1w_2 such that $\phi(u_1u_2) = \phi(w_1w_2)$. Now add a vertex v_1 on the edge u_1u_2 and a vertex v_2 on the edge w_1w_2 to obtain a graph G' . Notice that G' is a refinement of G . Give the edges u_1v_1 , v_1u_2 , w_1v_2 and v_2w_2 index 1, and give all other edges e index $r_{\phi'}(e) = r_{\phi}(e)$. Add a vertex v' on the edge $\phi(u_1)\phi(u_2)$ in T to obtain T' . Now we see that $\phi': G' \rightarrow T'$ given by

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H', \\ v' & \text{if } x = v_1, v_2, \end{cases}$$

is a suitable morphism. We conclude that $\text{sgon}(G) \leq 2$. \square

Lemma 4.6. Rule T_3^s is safe.

Proof. Let v_1 and v_2 be the vertices in G to which the rule is applied.

“ \Rightarrow ”: Suppose that $\text{sgon}(G) \leq 2$. Let G' be a minimum refinement of G such that there exists a suitable morphism $\phi: G' \rightarrow T$, i.e. for every refinement G'' with less vertices than G' there is no suitable morphism $\phi': G'' \rightarrow T'$ for any tree T' . Let u_1 and u_2 be the neighbors of v_1 and v_2 in G . We distinguish three cases.

Case 1: Suppose that $u_1 \neq u_2$, and that there does not exist a path from v_1 to v_2 in black and green edges, except the green edge v_1v_2 . Let $a_0 = v_1, a_1, \dots, a_k = u_1$ be the subdivision of the edge u_1v_1 and $b_0 = v_2, b_1, \dots, b_l = u_2$ the subdivision of the edge u_2v_2 . (See Fig. 10a.) We know that there exists an edge v_2c such that $\phi(a_0a_1) = \phi(v_2c)$. It is clear that $c \neq a_1$, thus $m_{\phi}(a_1) = 1$. Inductively we find that for every vertex a' in $G'_{a_0}(a_1)$ it holds that $m_{\phi}(a') = 1$. We conclude that $G'_{a_0}(a_1)$ is a tree. Analogously we find that $G'_{b_0}(b_1)$ is a tree. Thus $G_{v_1}(u_1)$ and $G_{v_2}(u_2)$ are trees. Thus H consists of two black trees connected by a green edge.

Now we can construct a refinement H' of H , a tree T' and a suitable morphism $\phi': H' \rightarrow T'$. Copy every branch of u_1 and add them to u_2 and copy every branch of u_2 and add them to u_1 . Write H' for this graph. Now we see that the two trees of H' are the same, say T' . Now we can define $\phi': H' \rightarrow T'$ as the identity map on each of the components, where $\phi'(u_1) = \phi'(u_2)$. Thus ϕ' is a suitable morphism. We conclude that $\text{sgon}(H) \leq 2$.

Case 2: Suppose that $u_1 \neq u_2$ and that there exists a path (possibly containing green edges) from v_1 to v_2 . Assume that $\phi(u_1) \neq \phi(u_2)$. Let $a_0 = v_1, a_1, \dots, a_k = u_1$ be the added vertices on the edge v_1u_1 and let $b_0 = v_2, b_1, \dots, b_l = u_2$ be the added vertices on the edge v_2u_2 . Assume without loss of generality that $k \leq l$. (See Fig. 10b.) It is clear that all vertices $a_0, \dots, a_k, b_0, \dots, b_l$ lie on the path from v_1 to v_2 . Suppose that $\phi(a_1) \neq \phi(b_1)$. The path from a_1 to b_1 is mapped to a walk from $\phi(a_1)$ to $\phi(b_1)$. It is clear that $\phi(v_1)$ is contained in this walk, so there is a vertex x in the path from a_1 to b_1 that is mapped to $\phi(v_1)$. This yields a contradiction. Thus $\phi(a_1) = \phi(b_1)$. Inductively we find that $\phi(a_i) = \phi(b_i)$ for all $i \leq k$. We conclude that $\phi(b_k) = \phi(u_1)$. Notice that $b_k \neq u_2$, since we assumed $\phi(u_1) \neq \phi(u_2)$. It follows by Lemma 2.10 that $\deg(b_k) = \deg(u_1)$. We again distinguish two cases.

Suppose that $\deg(u_1) > 2$. Then b_k has an external added neighbor w . We see that u_1 has a neighbor x such that $\phi(b_k w) = \phi(u_1 x)$. Since w is an external added vertex, it follows that $w \neq x$. Thus $m_{\phi}(w) = 1$. (See Fig. 10b.) Iteratively we

see that for every vertex w' in $G'_{b_k}(w)$, it holds that $m_\phi(w') = 1$. Notice that $G'_{b_k}(w)$ is a tree, since w is an external added vertex. Now let y be a leaf in $G'_{b_k}(w)$, and let y' be such that $\phi(y) = \phi(y')$. Then y' is a leaf. It is clear that y' has no green edge incident to it, thus y' is an added vertex. We conclude that we can remove y and y' from G' and $\phi(y)$ from T and still have a suitable morphism. This yield a contradiction with the minimality of G' .

Suppose that $\deg(u_1) = 2$ in G' . Then the degree of u_1 in G is also 2. It follows that $\mathcal{C}_{u_1} \neq \emptyset$. Let u_1c be a green edge. If $c = u_1$, so if u_1 has a green loop, then $m_\phi(u_1) = 2$. This yields a contradiction. It is clear that $c \neq b_l$, since b_l is an added vertex. It follows that there are 3 distinct vertices that are mapped to $\phi(u_1)$. This yields a contradiction.

Altogether we conclude that $\phi(u_1) = \phi(u_2)$. Define H' as G' with a green edge u_1u_2 . Now H' is a refinement of H , and $\phi: H' \rightarrow T$ is a suitable morphism. We conclude that $\text{sgon}(H) \leq 2$.

Case 3: Suppose that $u_1 = u_2$. Analogous to the second case, we prove that $m_\phi(u_1) = 2$. Define H' as G' with a green loop at vertex u_1 . Now H' is a refinement of H , and $\phi: H' \rightarrow T$ is a suitable morphism. We conclude that $\text{sgon} H \leq 2$.

“ \Leftarrow ”: Suppose that $\text{sgon}(H) \leq 2$. Then there exists a refinement H' of H and a suitable morphism $\phi: H' \rightarrow T$. Write u_1 and u_2 for the neighbors of v_1 and v_2 in G . We know that $\phi(u_1) = \phi(u_2)$. Then add leaves v_1 and v_2 to u_1 and u_2 and a green edge v_1v_2 in H' to obtain G' . Now we see that G' is a refinement of G . Give the edges u_1v_1 and u_2v_2 index $r_{\phi'}(u_1v_1) = r_{\phi'}(u_2v_2) = 1$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Add a leaf v' to $\phi(u_1)$ in T to obtain T' . Then we can extend ϕ to $\phi': G' \rightarrow T'$,

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ v' & \text{if } x = v_1, v_2. \end{cases}$$

It is clear that ϕ' is a suitable morphism, so we conclude that $\text{sgon}(G) \leq 2$. \square

Lemma 4.7. Rule S_2^S is safe.

Proof. This proof is analogous to the proof of the second and third case in the proof of Lemma 4.6, so we omit it. \square

Lemma 4.8. Rule L^S is safe.

Proof. Let v be the vertex in G to which the rule is applied.

Suppose that $\text{sgon}(G) \leq 2$. Then there exists a refinement G' of G and a suitable morphism $\phi: G' \rightarrow T$. Let u be a vertex that is added to the loop vv . We distinguish two cases.

Suppose that $m_\phi(v) = 2$. Define H' as the graph $G' \setminus G'_v(u)$ with a green loop at vertex v , then H' is a refinement of H . Let $T' = T \setminus \phi(G'_v(u))$ we see that the restricted morphism $\phi: H' \rightarrow T'$ is a suitable morphism, so $\text{sgon}(H) \leq 2$.

Suppose that $m_\phi(u) = 1$. Let $v_0 = v, v_1, \dots, v_k = v$ be the vertices that are added to the loop vv of G . Let i be the integer such that $\phi(v_i) = \phi(v)$. Let w be a neighbor of v not equal to v_1 or v_{k-1} . Then there is a neighbor x of v_i , not equal to v_{i-1} and v_{i+1} , such that $\phi(w) = \phi(x)$. Notice that x is an external added vertex, thus $m_\phi(w) = m_\phi(x) = 1$. Inductively we see that for every vertex w' in $G'_v(w)$ it holds that $m_\phi(w') = 1$. We conclude that $G'_v(w)$ is a tree.

Define H' as $G'_v(w)$, with a green loop at vertex v . Notice that H' is a refinement of H . Now we can restrict ϕ to H' and give every edge index $r_{\phi'}(e) = 2$ to obtain a suitable morphism: $\phi': H' \rightarrow T'$, where $T' = \phi(G'_v(w))$. We conclude that $\text{sgon}(H) \leq 2$.

Suppose that $\text{sgon}(H) \leq 2$. Then there exists a refinement H' of H and a suitable morphism $\phi: H' \rightarrow T$. We know that $m_\phi(v) = 2$. Then add a vertex u to H' with two black edges to v to obtain G' . Now we see that G' is a refinement of G . Give both edges uv index $r_{\phi'}(uv) = 1$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Add a leaf v' to $\phi(u)$ in T to obtain T' . Then we can extend ϕ to $\phi': G' \rightarrow T'$,

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ v' & \text{if } x = u. \end{cases}$$

It is clear that ϕ' is a suitable morphism, so we conclude that $\text{sgon}(G) \leq 2$. \square

Lemma 4.9. Rule P_1^S is safe.

Proof. Let uv be the edge in G to which the rule is applied.

Suppose that $\text{sgon}(G) \leq 2$. Let G' be a refinement of G and $\phi: G' \rightarrow T$ a suitable morphism. Let G_{uv} be all internal and external added vertices to the edge uv . Now define $H' = G' \setminus G_{uv}$ and $T' = T \setminus \phi(G_{uv})$. Write ϕ' for the restriction of ϕ to H' . Notice that ϕ' is a suitable morphism and that H' is a refinement of H . Thus $\text{sgon}(H) \leq 2$.

Suppose that $\text{sgon}(H) \leq 2$. Let H' be a refinement of H and $\phi: H' \rightarrow T$ a suitable morphism. Add an edge uv and a vertex w on this edge to H' , to obtain a refinement G' of G . Add a vertex w' to T with an edge to $\phi(u)$, to obtain tree T' . Give the edges uw and vw index $r_{\phi'}(uw) = r_{\phi'}(vw) = 1$, and give all other edges e index $r_{\phi'}(e) = r_\phi(e)$. Look at $\phi': G' \rightarrow T'$, defined as

$$\phi'(x) = \begin{cases} \phi(x) & \text{if } x \in H' \\ w' & \text{if } x = w. \end{cases}$$

Notice that $\phi(uw) = \phi(vw)$, since there is a green edge uv . We conclude that ϕ' is a suitable morphism, thus $\text{sgon}(G) \leq 2$. \square

Lemma 4.10. Rule P_2^s is safe.

Proof. Let u and v be the vertices in G to which the rule is applied.

Suppose that $\text{sgon}(G) \leq 2$. Let G' be a refinement of G and $\phi: G' \rightarrow T$ a suitable morphism. If $\phi(u) \neq \phi(v)$, then there are at least three paths that are mapped to the path from $\phi(u)$ to $\phi(v)$ in T . This yields a contradiction. Thus $\phi(u) = \phi(v)$. Now we see, analogous to the proof of Lemma 4.9, that $\text{sgon}(H) \leq 2$.

Suppose that $\text{sgon}(H) \leq 2$. Then we find analogous to the proof of Lemma 4.9, that $\text{sgon}(G) \leq 2$. \square

Lemma 4.11. Rules E_1^s , E_2^s and E_3^s are safe.

Proof. All these graphs have stable gonality at most 2, so the statement holds true. \square

Now we have proven that all rules are safe, thus we have the following lemma:

Lemma 4.12. The set of rules \mathcal{R}^s is safe for \mathcal{G}_2^s . \square

4.4. Completeness

Now we will prove that the set of rules is complete. If G is a disconnected graph, then $\text{sgon}(G) = 2$, if and only if G is a forest with two components. This can easily be checked. So we need to show that every connected graph can be reduced to the empty graph. We will do this by showing that a rule can be applied to every connected graph with stable gonality 2 (Lemma 4.16). Since applying rules maintains connectivity (Lemma 4.13), this suffices.

Lemma 4.13. Let G and H be graphs. If G is connected in the sense of black and green edges, and H can be produced from G by applying some rules, then H is connected in the sense of black and green edges.

Proof. Notice that contracting an edge maintains connectivity, so for Rules T_1^s , T_2^s , S_1^s and T_3^s this lemma holds. The lemma is also clearly true for Rules E_1^s , E_2^s and E_3^s . All the other rules introduce a green edge that makes sure that the graph H is connected. \square

First, we show two lemmas about constraints, that we need to show that we can apply a rule to every connected graph in \mathcal{G}_2^s .

Lemma 4.14. Let G be a graph with constraints. If there is a vertex v with $|\mathcal{C}_v| > 1$, then $\text{sgon}(G) \geq 3$.

Proof. Let G be a graph with $\text{sgon}(G) = 2$. Suppose that $|\mathcal{C}_v| > 1$. Let (u, v) and (v, w) be two constraints that contain v . We know that $u \neq w$. Suppose that ϕ is a suitable morphism of degree 2. We distinguish two cases. Suppose that $u = v$. Then we know that $m_\phi(v) = 2$. On the other hand we have that $m_\phi(v) = m_\phi(w) = 1$. This yields a contradiction. Now suppose that $u \neq v$ and $w \neq v$. Notice that $\phi(u) = \phi(v) = \phi(w)$, thus there are at least three vertices mapped to $\phi(v)$. We conclude that $\deg(\phi) \geq 3$. This yields a contradiction. We conclude that $|\mathcal{C}_v| \leq 1$. \square

Lemma 4.15. Let G be a graph where every leaf is incident to a constraint, so if $\deg(u) = 1$ then $\mathcal{C}_u \neq \emptyset$ for all u . Suppose that $(u, v) \in \mathcal{C}$. If $\deg(u) \neq \deg(v)$, then $\text{sgon}(G) \geq 3$.

Proof. Suppose that $\deg(u) \neq \deg(v)$. Assume without loss of generality that $\deg(u) > \deg(v)$. Suppose that $\text{sgon}(G) = 2$. Let G' be a refinement of G with a minimal number of vertices such that there exists a suitable morphism of degree 2. Let $\phi: G' \rightarrow T$ be such a morphism.

We know that $\phi(u) = \phi(v)$, thus, by Lemma 2.10, $\deg_{G'}(u) = \deg_{G'}(v)$. So there is a neighbor x of v which is an external added vertex. Now we look at $\phi(x)$. Notice that there is a neighbor y of u such that $\phi(x) = \phi(y)$. It is clear that $y \neq x$, since x is an external added vertex. Thus $m_\phi(x) = m_\phi(y) = 1$.

Let x' be a neighbor of x , not equal to v . Suppose that $m_\phi(x') = 2$. We know that the edge $e = (x, x')$ has index 1, so there exists another neighbor of x' that is mapped to $\phi(x)$. We know that y is the unique vertex other than x that is mapped to

$\phi(x)$, it follows that y is a neighbor of x' . This yields a contradiction, since x' is an external added vertex. We conclude that $m_\phi(x') = 1$. Inductively we find that $m_\phi(x'') = 1$ for all vertices $x'' \in G_v(x)$.

Because $G_v(u)$ is an external added tree, there is a leaf $x' \neq v$ in $G_v(x)$; then $m_\phi(x') = 1$. Let y' be the vertex such that $\phi(x') = \phi(y')$. Now it follows, by Lemma 2.10, that $\deg(x') = \deg(y')$, thus y' is a leaf. Since x' is an added vertex, it also follows that $\mathcal{C}_y = 0$. Since every leaf G was incident to a constraint, we conclude that y' is added to G . It follows that $G' \setminus \{y', x'\}$ is a refinement of G and that $\phi': G' \setminus \{y', x'\} \rightarrow T \setminus \{\phi(y')\}$ is a suitable morphism of degree 2. This yields a contradiction with the minimality of G' .

We conclude that $\text{sgon}(G) \geq 3$. \square

Let G be a graph in \mathcal{G}_2^s , that is connected in the sense of black and green edges. We will show that we can apply a rule to G .

We define the graphs H_1 , H_2 and H_3 as a single vertex, a vertex with a green loop and two vertices connected by a green edge respectively. These are exactly the graph that can be reduced to the empty graph by Rules E_1^s , E_2^s and E_3^s .

Lemma 4.16. *Given a non-empty connected graph $G \in \mathcal{G}_2^s$, there is a rule in \mathcal{R}^s that can be applied to G .*

Proof. Let G be a non-empty connected graph with $\text{sgon}(G) \leq 2$. Suppose that no rule can be applied to G .

We first say something about the structure of G . If there is a double edge between two vertices u and v , then removing these two edges yields a disconnected graph, otherwise we could apply Rule P_2^s . Let $u_1 v_1, \dots, u_k v_k$ be all double edges in G . Let $G_{i,1}, G_{i,2}$ be connected components after removing the edges $u_i v_i$. If there is a degree 2 vertex with a green loop, then removing this vertex yields a disconnected graph, otherwise we could apply Rule S_2^s . Let v_1, \dots, v_l be all degree two vertices with a green loop. Let $G'_{i,1}, G'_{i,2}$ be the connected components after removing v_i . Let H be the element of

$$\{G_{i,j} \mid 1 \leq i \leq k, j \in \{1, 2\}\} \cup \{G'_{i,j} \mid 1 \leq i \leq l, j \in \{1, 2\}\}$$

with the minimum number of vertices. Notice that there is at most one vertex v in H with $\deg_H(v) \neq \deg_G(v)$, namely the vertex that was adjacent to the removed edge or edges. Now we can say the following about H .

- If H contains only one vertex, then we could have applied Rule T_1^s , T_2^s , S_1^s , S_2^s , E_1^s or E_2^s . Thus H contains at least 2 vertices.
- If there is a vertex that is incident to more than one green edge, then $\text{sgon}(G) \geq 3$ by Lemma 4.14. So we can assume that no vertex is incident to more than one green edge.
- If H contains a vertex $u \neq v$ of degree 0, then $\deg_G(u) = \deg_H(u) = 0$. We see that $\mathcal{C}_u = \{(u, w)\}$ with $u \neq w$, because H is connected in black and green edges and contains at least two vertices. By Lemma 4.15 it follows that $\deg_G(u) = \deg_G(w) = 0$. Since G is connected it follows that $G = H_3$, so we can apply Rule E_3^s . This yields a contradiction. So we can assume that G does not contain vertices with degree 0.
- If G contains a leaf $u \neq v$, then $\deg_H(u) = \deg_G(u) = 1$. We see that u is incident to a green edge uw , with $\deg_G(w) \neq 1$, otherwise we could apply Rule T_1^s , T_2^s or T_3^s . By Lemma 4.15, it follows that $\text{sgon}(G) \geq 3$. So we can assume that G does not contain leaves.
- If G contains a vertex $u \neq v$ of degree 2, then we see that $\mathcal{C}_u = \{(u, w)\}$ with $u \neq w$, by Rules S_1^s and S_2^s and by the choice of H .
- We see that H does not contain black loops because of Rule L^s .
- By Rules P_1^s and P_2^s and by the choice of H it follows that H has no multiple edges.

Write H' for the graph obtained from H by removing all green loops and coloring all green edges of H black. Altogether we see that H' is a simple graph with at least two vertices and every vertex, except at most one, has degree at least 3. It follows that H' has treewidth at least 3.

If we change the color of all green edges to black, we see that all rules are deletions of vertices or edges, contractions of edges and/or additions of loops. Since the set of graphs with treewidth at most k is closed under these operations, we see that $\text{tw}(G) \geq \text{tw}(H') \geq 3$. But then it follows that $\text{sgon}(G) \geq \text{tw}(G) \geq 3$. This yields a contradiction.

We conclude that there is a rule in \mathcal{R}^s that can be applied to G . \square

Now we have everything required to prove our main theorem:

Proof of Theorem 4.2. By Lemma 4.12 we have that \mathcal{R}^s is safe. By Lemma 4.16 we can keep applying rules from \mathcal{R}^s to any graph $G \in \mathcal{G}_2^s$ as long as G has not been turned into the empty graph yet. It remains to prove that we can apply rules from \mathcal{R}^s only a finite number of times.

Consider the following potential function f : let $f(G) = n + 2m + g$ for a graph G with n vertices, m (black) edges, and g green edges. Each rule decreases $f(G)$ by at least one. Thus rules from \mathcal{R}^s can only be applied a finite number of times. When no more rules can be applied, it follows that the graph has been reduced to the empty graph. Therefore \mathcal{R}^s is complete. \square

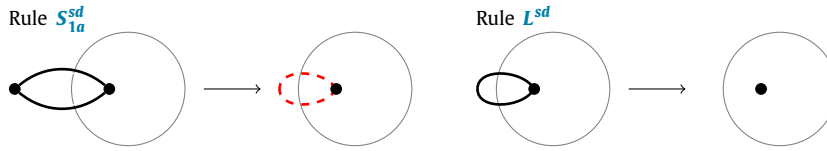


Fig. 11. The reduction rules different from the rules for sgon.

So, we can use this set of rules to recognize graphs with stable gonality at most 2.

5. Reduction rules for stable divisorial gonality

In this section we show a set of reduction rules to decide whether stable divisorial gonality is at most two. This set is similar to the set of rules for stable gonality, and it uses the concept of constraints of divisorial gonality.

5.1. Constraints for stable divisorial gonality

We use the notion of constraints as in the section about divisorial gonality. We will refer to them as red edges. We call an effective divisor of degree 2 that satisfies all conditions given by the constraints a *suitable* divisor. Again, let \mathcal{G}_2^{sd} be the set of all graphs with constraints with stable divisorial gonality at most 2.

5.2. Reduction rules

We will now state all rules. When a rule adds a red edge uv , and there already exists such a red edge, then the set of constraints does not change. The reduction rules for stable divisorial hyperelliptic graphs are almost the same as the rules for stable hyperelliptic graphs. Instead of green edges we use red edges, and we replace Rule S_1^s and L^s by new Rules S_{1a}^{sd} , S_{1b}^{sd} and L^{sd} , see Fig. 11 for the new rules.

Rule T_1^{sd} ($=T_1^s$). Let v be a leaf with $\mathcal{C}_v = \emptyset$. Let u be the neighbor of v . Contract the edge uv .

Rule T_2^{sd} ($=T_2^s$). Let v be a leaf with $\mathcal{C}_v = \{(v, v)\}$. Let u be the neighbor of v . Contract the edge uv .

Rule S_{1a}^{sd} . Let v be a vertex of degree 2 with $\mathcal{C}_v = \emptyset$. Let u be the only one neighbor of v . Remove v and add a red loop to u .

Rule S_{1b}^{sd} . Let v be a vertex of degree 2 with $\mathcal{C}_v = \emptyset$. Let u_1 and u_2 be the two neighbors of v , with $u_1 \neq u_2$. Contract the edge u_1v .

Rule T_3^{sd} ($=T_3^s$). Let G be a graph where every leaf and every degree 2 vertex is incident to a red edge. Let v_1 and v_2 be two leaves that are connected by a red edge. Let u_1 and u_2 be their neighbors. Contract the edges u_1v_1 and u_2v_2 .

Rule S_2^{sd} ($=S_2^s$). Let G be a graph where every leaf and every degree 2 vertex is incident to a red edge. Let v be a vertex of degree 2 with a red loop, such that there exists a path from v to v in the black and red graph G . Let u_1 and u_2 be the neighbors of v . Remove v and connect u_1 and u_2 with a red edge.

Rule L^{sd} . Let v be a vertex with a loop. Remove all loops from v .

Rule P_1^{sd} ($=P_1^s$). Let uv be an edge. Suppose that there also exists a red edge from u to v . Remove the black edge uv .

Rule P_2^{sd} ($=P_2^s$). Let u, v be vertices, such that $|E(u, v)| > 1$. Let e and f be two of those edges. If there exists another path, possibly containing red edges, from u to v , then remove e and f and add a red edge from u to v .

Rule E_1^{sd} ($=E_1^s$). Let G be the graph consisting of a single vertex v with $\mathcal{C}_v = \emptyset$. Remove v .

Rule E_2^{sd} ($=E_2^s$). Let G be the graph consisting of a single vertex v with a red loop. Remove v .

Rule E_3^{sd} ($=E_3^s$). Let G be the graph consisting of a two vertices u and v that are connected by a red edge. Remove u and v .

We will write \mathcal{R}^{sd} for the set of these reduction rules. We can now state our main theorem.

Theorem 5.1. *The set of rules \mathcal{R}^{sd} is safe and complete for \mathcal{G}_2^{sd} .*

5.3. Safeness

We will show that the set \mathcal{R}^{sd} is safe for \mathcal{G}_2^{sd} .

Lemma 5.2. *Rule T_1^{sd} is safe.*

Proof. Let v be the vertex in G to which the rule is applied.

Suppose that $\text{sdgon}(G) \leq 2$. Since every refinement of G is a refinement of H , it is clear that $\text{sdgon}(H) \leq 2$.

Suppose that $\text{sdgon}(H) \leq 2$. Then there exists a refinement H' of H and a suitable divisor D . Write u for the neighbor of v in G . There exists a divisor $D' \sim_{\mathcal{C}} D$ such that $D'(u) \geq 1$. Now define G' as H' with a leaf v added to u . Look at the divisor D' on G' . For every vertex $w \in H'$ we can reach a divisor with one chip on w . By adding v to every firing set that contains u , we see that we can still reach w in G' . And we can reach a divisor with a chip on v by firing H' in G' . Thus D' is a suitable divisor on G' . We conclude that $\text{sdgon}(G) \leq 2$. \square

Lemma 5.3. *Rule T_2^{sd} is safe.*

Proof. This proof is analogous to the proof of Lemma 5.2. \square

Lemma 5.4. *S_{1a}^{sd} is safe.*

Proof. Let v be the vertex in G to which the rule is applied.

Let u be the neighbor of v . Suppose that $\text{sdgon}(G) \leq 2$. Let G' be a refinement of G such that there exists a suitable divisor D . Let C be the cycle through v and u . Notice that D is equivalent to a divisor D' with two chips on C . If $G \setminus \{C\} \cup \{u\}$ is a tree, then we are done. Otherwise we see that D' is equivalent with D'' , where $D''(u) = 2$. Let H' be $G' \setminus C \cup \{u\}$ with a red loop at u . We see that H' is a refinement of H and D'' is a suitable divisor for H' , thus $\text{sdgon}(H) \leq 2$.

Suppose that $\text{sdgon}(H) \leq 2$. Then there exists a refinement H' of H such that D , with $D(u) = 2$, is a suitable divisor. Let G' be H' without the red loop on u and with a vertex v with two edges to u . Then G' is a refinement of G . It is clear that D is a suitable divisor for G' too. We conclude that $\text{sdgon}(G) \leq 2$. \square

Lemma 5.5. *S_{1b}^{sd} is safe.*

Proof. Let v be the vertex in G to which the rule is applied.

Let u_1, u_2 be the neighbors of v . We know that $u_1 \neq u_2$. Suppose that $\text{sdgon}(G) \leq 2$. Then there is a refinement G' of G such that there exists a suitable divisor on G' . Notice that G' is a refinement of H too, so $\text{sdgon}(H) \leq 2$.

Now suppose that $\text{sdgon}(H) \leq 2$. Let H' be a refinement of H and D a suitable divisor on H' . If the edge $u_1 u_2$ is subdivided in H' , then H' is a refinement of G too, and we are done. Assume that the edge $u_1 u_2$ is not subdivided in H' . Let D' be the divisor with $D'(u_1) = D'(u_2) = 1$. If $D \sim_{\mathcal{C}} D'$, then we can subdivide $u_1 u_2$ to obtain a refinement G' of G . By starting with the divisor D' , we can reach all vertices of H' as before and we can reach v by firing all vertices of H' .

Suppose that $D \not\sim_{\mathcal{C}} D'$. There exist divisors D_{u_1} and D_{u_2} such that $D_{u_1} \sim_{\mathcal{C}} D \sim_{\mathcal{C}} D_{u_2}$ and $D_{u_1}(u_1) = 1$ and $D_{u_2}(u_2) = 1$. It follows that $D_{u_1}(u_2) = 0$ and $D_{u_2}(u_1) = 0$. Let A_1, \dots, A_k be the level set decomposition of the transformation from D_{u_1} to D_{u_2} . Let D_j be the divisor before firing A_j . Let D_i be the first divisor such that $D_i(u_2) > 0$, then it is clear that $u_2 \notin A_j$ for $j < i$. Since $D_i \sim_{\mathcal{C}} D'$ we see that $D_i(u_1) = 0$. It follows that $u_1 \in A_{i-1}$. We conclude that there is a chip fired along the edge $u_1 u_2$. For every vertex w in H' we can find a divisor D_w with at least one chip on w , let $B_{w,1}, \dots, B_{w,l_w}$ be all sets that occur in the level set decomposition of the transformation from D_{u_1} to D_w . For all w, i , let $E_{w,i}$ be the set of all edges along which a chip is fired by the set $B_{w,i}$. Subdivide all edges that occur in some $E_{w,i}$ to obtain a refinement G' of G . Let $V_{w,i}$ be set of vertices that are added on the edges in $E_{w,i}$. Define $B'_{w,i}$ as $B_{w,i}$ together with all added vertices that are on an edge with both endpoints in $B_{w,i}$. We can replace every set $B_{w,i}$ by two sets $B'_{w,i}, B'_{w,i} \cup V_{w,i}$ in the level set decomposition $B_{w,1}, \dots, B_{w,l_w}$ to see that for every vertex w we can still reach a divisor with at least one chip on w . Notice that we still satisfy all constraints. And for every vertex in $V_{w,i}$ we will encounter a divisor with a chip on that vertex when we transform D_{u_1} in D_w . We conclude that $\text{sdgon}(G) \leq 2$. \square

Lemma 5.6. *T_3^{sd} is safe.*

Proof. Let v_1 and v_2 be the vertices in G to which the rule is applied.

Suppose that $\text{sdgon}(G) \leq 2$. Let G' be a minimum refinement of G and D a suitable divisor on G' . Let u_1 and u_2 be the neighbors of v_1 and v_2 in G . We distinguish three cases.

Case 1: Suppose that $u_1 \neq u_2$, and that there does not exist a path from v_1 to v_2 in black and red edges, except the red edge v_1v_2 . Then we can reach all vertices in $G_{v_1}(u_1)$ with only one chip, thus $G_{v_1}(u_1)$ is a black tree. Thus $G_{v_1}(u_1)$ contains a leaf that is not incident to a red edge. This yields a contradiction with the minimality of G' .

Case 2: Suppose that $u_1 \neq u_2$ and that there exists a path P , possibly containing red edges, from v_1 to v_2 . Assume that $D \approx D'$ where D' is the divisor such that $D'(u_1) = D'(u_2) = 1$. Let $a_0 = v_1, a_1, \dots, a_k = u_1$ be the added vertices on the edge v_1u_1 and let $b_0 = v_2, b_1, \dots, b_l = u_2$ be the added vertices on the edge v_2u_2 . Assume without loss of generality that $k < l$. It is clear that all vertices $a_0, \dots, a_k, b_0, \dots, b_l$ lie on P . Notice that firing the sets $\{a_i, b_i \mid i \leq j\}$ for $j = 0, 1, \dots, k$ results in the divisor D_k with $D_k(a_k) = D_k(b_k) = 1$. Thus $D_k(u_1) = 1$. Since b_k is an internal added vertex and G' is a minimum refinement, we see that $\deg(b_k) = 2$.

Suppose that u_1 is incident to a red edge u_1x . We know that $x \neq b_k$, since b_k is an added vertex. Let D'' be the divisor with $D''(u_1) = D''(x) = 1$. Let A_1, \dots, A_s be the level set decomposition of the transformation from D_k to D'' . We see that u_1 cannot lose its chip. Thus b_k fires its chip to one of its neighbors when we fire A_1 . But then we see that the cut of A_1 is at least two, and we can only fire one chip. This yields a contradiction. We conclude that u_1 is not incident to a red edge.

By the conditions of the rule it follows that $\deg(u_1) \geq 3$. Let $w \notin P$ be a neighbor of u_1 . Now we see that $G'_{u_1}(w)$ is a black tree. It follows that $G'_{u_1}(w)$ contains a leaf that is not incident to a red edge. Since G' is a minimum refinement, this yields a contradiction. Altogether we conclude that $k = l$.

Let P_1, P_2 be the two arcs of P between u_1 and u_2 . Notice that, if there are two chips on P , then they are either on u_1 and u_2 or on the same arc P_i . Suppose that there are divisors E, E' such that $E \sim_{\mathcal{C}} E'$ and that there is a set A in the level set decomposition of $E' - E$ such that $u_1 \in A$ and $u_2 \notin A$. It follows that there is a chip fired along each of the arcs P_1 and P_2 . This yields a contradiction. We conclude that for every firing set it holds that either u_1 and u_2 are both fired or they are both not fired.

Now let H' be G' without the red edge v_1v_2 and with a red edge u_1u_2 . We see that D is a suitable divisor for H' as well. Thus $\text{sdgon}(H) \leq 2$.

Case 3: Suppose that $u_1 = u_2$. This case is analogous to case 2.

Suppose that $\text{sdgon}(H) \leq 2$. Then it is clear that $\text{sdgon}(G) \leq 2$. \square

Lemma 5.7. Rule S_2^{sd} is safe.

Proof. This proof is analogous to the proof of cases two and three in the proof of Lemma 5.6, so we omit it. \square

Lemma 5.8. Rule L^{sd} is safe.

Proof. There will never be a chip fired over a loop, so loops do nothing for the stable divisorial gonality. Thus $\text{sdgon}(G) \leq 2$ if and only if $\text{sdgon}(H) \leq 2$. \square

Lemma 5.9. Rule P_1^{sd} is safe.

Proof. Let uv be the edge in G to which the rule is applied.

Suppose that $\text{sdgon}(G) \leq 2$. Let G' be a refinement of G and D a suitable divisor with $D(u) = D(v) = 1$. Let G_{uv} be all internal and external added vertices to the edge uv . Now define $H' = G' \setminus G_{uv}$. Look at the divisor D on H' and notice that D is a suitable divisor. Observe that H' is a refinement of H . Thus $\text{sdgon}(H) \leq 2$.

Suppose that $\text{sdgon}(H) \leq 2$. Let H' be a refinement of H and D a suitable divisor. Add an edge uv to H' , to obtain a refinement G' of G . We see that D' is a suitable divisor for G' , thus $\text{sdgon}(G) \leq 2$. \square

Lemma 5.10. Rule P_2^{sd} is safe.

Proof. This proof is analogous to the proof of Lemma 3.13. \square

Lemma 5.11. Rules E_1^{sd} , E_2^{sd} and E_3^{sd} are safe.

Proof. All those graphs have stable gonality at most 2, so the statement holds true. \square

Now we have proven that all rules are safe, so we can conclude the following.

Lemma 5.12. The set of rules \mathcal{R}^{sd} is safe for G_2^{sd} . \square

5.4. Completeness

Now we will prove that \mathcal{R}^{sd} is complete for \mathcal{G}_2^{sd} , i.e. if $G \in \mathcal{G}_2^{sd}$, then G can be reduced to the empty graph by applying finitely many rules.

Lemma 5.13. *Let G and H be graphs. If G is connected in the sense of black and red edges, and H can be produced from G by applying some rules, then H is connected in the sense of black and red edges.*

Proof. See the proof of Lemma 4.13. \square

Lemma 5.14. *Let G be a graph. If there is a vertex v with $|\mathcal{C}_v| > 1$, then $\text{sdgon}(G) \geq 3$.*

Proof. See the proof of Lemma 3.15. \square

Lemma 5.15. *Let G be a graph where every leaf is incident to a red edge, so if $\deg(u) = 1$ then $|\mathcal{C}_u| > 0$ for all u . Suppose that u is a leaf and (u, v) is a red edge. If $\deg(v) \neq 1$, then $\text{sdgon}(G) \geq 3$.*

Proof. Analogous to the proof of Lemma 3.16. \square

We define the graphs H_1 , H_2 and H_3 as a single vertex, a vertex with a red loop and two vertices connected by a red edge respectively, these are the graphs that can be reduced to the empty graph by Rules E_1^{sd} , E_2^{sd} and E_3^{sd} .

Lemma 5.16. *Given a non-empty connected graph $G \in \mathcal{G}_2^{sd}$ there is a rule in \mathcal{R}^{sd} that can be applied to G .*

Proof. Let G be a connected graph with $\text{sdgon}(G) \leq 2$, and suppose that no rule can be applied to G .

As in the proof of Theorem 4.16, if there are two edges between the vertices u and v , then removing these edges lead to G being disconnected. And if there is a degree 2 vertex v with a red loop, then removing v yields a disconnected graph. Let H be the smallest connected component that can be created by removing two parallel edges or a degree 2 vertex, as in the proof of Theorem 4.16.

Now we color all red edges black and remove all loops to obtain H' , as in the proof of Theorem 4.16. Then we see that H' is a simple graph that contains at least two vertices and all vertices, except at most one, have degree at least three. Thus H' has treewidth at least three. It follows that $\text{sdgon}(G) \geq \text{tw}(G) \geq \text{tw}(H') \geq 3$.

We conclude that a rule can be applied to G . \square

Proof of Theorem 5.1. Lemma 5.12 shows that the set of reduction rules \mathcal{R}^{sd} is safe. We need to show that \mathcal{R}^{sd} is complete as well. Lemma 5.16 shows that to any graph $G \in \mathcal{G}_2^{sd}$ we can keep applying rules from \mathcal{R}^s to as long as G has not been turned into the empty graph yet. We can use the same potential function as in the proof of 4.2 to prove that we can apply rules from \mathcal{R}^{sd} only a finite number of times. Thus \mathcal{R}^{sd} is complete. \square

Thus, we can use the set \mathcal{R}^{sd} to recognize graphs with stable divisorial gonality at most 2.

6. Algorithms

In this section, we discuss how the reduction rules of Sections 3, 4 and 5 lead to efficient algorithms that recognize graphs with divisorial gonality, stable gonality, or stable divisorial gonality 2 or lower.

Theorem 6.1 (=Theorem A). *There are algorithms that, given a graph G with n vertices and m edges, decide whether $\text{dgon}(G) \leq 2$, $\text{sgon}(G) \leq 2$ or $\text{sdgon}(G) \leq 2$ in $O(n \log n + m)$ time.*

For each of the algorithms, we first check whether a given graph is connected or not. The only disconnected graphs with $\text{dgon}(G) \leq 2$, $\text{sgon}(G) \leq 2$ or $\text{sdgon}(G) \leq 2$ are forests that consist of two trees. This can be done in linear time. After that we can assume that our graph is connected, and the algorithms will use the reduction rules to check whether $\text{dgon}(G) \leq 2$, $\text{sgon}(G) \leq 2$ or $\text{sdgon}(G) \leq 2$. Each of the algorithms is of the following form: first, with an additional rule, we can ensure that on each pair of vertices, there are at most two parallel edges and at most one red or green edge; then, we verify that the treewidth of G is at most 2; if not, we can directly decide negatively. After that, we repeatedly apply a rule, until none is possible. By Theorems 3.6, 4.2, and 5.1, the final graph after all rule applications is empty, if and only if $\text{dgon}(G) \leq 2$, $\text{sgon}(G) \leq 2$ or $\text{sdgon}(G) \leq 2$, respectively. It is not hard to see that for each rule, deciding whether the rule can be applied, and if so, applying it, can be done in polynomial time; the fact that we work with graphs of treewidth 2 yields an implementation with $O(n \log n)$ steps, after the $O(m)$ work to remove the extra parallel edges.

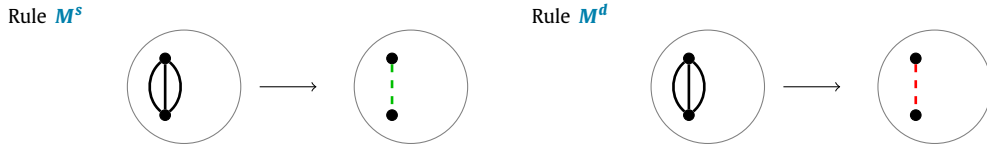


Fig. 12. An extra reduction rule for stable gonality and one for divisorial gonality.

Proof of Theorem 6.1. We now present the details of the algorithm, in various steps.

STEP 1. First we introduce a new rule for each of the gonalitys, cf. Fig. 12.

For divisorial gonality we introduce the following rule:

Rule M^d . Let u, v be vertices, such that $|E(u, v)| \geq 3$. Let $k = \lfloor (|E(u, v)| - 1)/2 \rfloor$, then remove $2k$ edges between u and v and add a constraint (u, v) .

Note that this rule merely shortcuts repeated applications of Rule C_3^d . Now we introduce a new rule for stable gonality.

Rule M^s . Let u, v be vertices, such that $|E(u, v)| \geq 3$. Remove all edges in $E(u, v)$ and add a green edge from u to v .

It is clear that this rule is the same as first applying Rule P_2^s and then applying Rule P_1^s to all remaining edges (u, v) . For stable divisorial gonality we introduce a similar rule.

Rule M^{sd} . Let u, v be vertices, such that $|E(u, v)| \geq 3$. Remove all edges in $E(u, v)$ and add a red edge from u to v .

This is again the same as first applying Rule P_2^{sd} and then applying Rule P_1^{sd} to all remaining edges (u, v) .

All applications of these rules can be done in $\mathcal{O}(m)$ at the start of the algorithm, after which we know that no pair of vertices can have more than two edges between them. For stable and stable divisorial gonality, by application of Rule L^s and L^{sd} we can also ensure in $\mathcal{O}(m)$ time that no loops exist (in the case of divisorial gonality loops can be safely ignored).

STEP 2. Recall that treewidth is a lower bound on divisorial gonality, stable gonality and stable divisorial gonality [22]. Therefore it follows that if $\text{tw}(G) > 2$, the algorithm can terminate. Checking whether treewidth is at most 2 can be done in linear time. Hereafter, we assume our graph has treewidth at most 2.

STEP 3. Recall that graphs of treewidth k and n vertices have at most kn edges. It follows that the underlying simple graph has at most $2n$ edges. By our previous steps there are at most 2 edges between a pair of vertices and no loops, so there are at most $4n$ edges left.

Lemma 6.2. Let G be a graph of treewidth at most 2, with at most 2 parallel edges between each pair of vertices. For each of the three collections of rules, the number of successive applications of rules is bounded by $\mathcal{O}(n)$.

Proof. For the reduction rules for divisorial gonality from Section 3 note that all rules except Rule C_3^d always remove at least one vertex. It follows they can be applied at most n times. For Rule C_3^d note that the only case where it removes no vertex is when the cycle C consists of a double edge between two vertices. Since in this case we remove 2 edges and there are at most $4n$ edges left, it follows this rule can also be applied at most $2n$ times. Therefore at most $3n$ rules can be applied before we reach the empty graph.

For the rules in Section 4, consider the following potential function f : let $f(G) = n + 2m + g$ for a graph G with n vertices, m remaining (black) edges, and g green edges. One easily observes that $f(G) = \mathcal{O}(n)$, and each rule decreases $f(G)$ by at least one.

The same argument holds for the collection of reduction rules of stable divisorial gonality from Section 5. \square

Thus, for dgon , sgon and sdgon , the given sets of rules already lead to polynomial time algorithms: for each of the rules, one can test in polynomial time for a given graph (with constraints) if the rule can be applied to the graph, and if so, apply the rule in polynomial time.

STEP 4. In the remainder of the proof, we will argue that there is an implementation that leads to recognition algorithms running in $\mathcal{O}(n \log n + m)$ time.

For the case of divisorial gonality, by Lemma 3.15, each vertex can only have one constraint that applies to it. Therefore, checking for compatible constraints can be done in time linear in the number of vertices that will be removed by the rule.

To maintain this property it is necessary to check for conflicts whenever a rule adds a new constraint, but this can be done in constant time per rule application.

By keeping track of the degree of each vertex as we apply rules, vertices with degree one or zero can be found in constant time. It is also easy to directly detect when the graph is one induced cycle; e.g., by keeping track of the number of vertices of degree unequal two or with an incident selfloop or colored edge; this takes care of Rule C_1^d . The remaining problem then is efficiently finding applications of the following rules:

$$(*) \quad C_2^d, C_3^d, S_2^s, P_2^s, S_2^{sd} \text{ and } P_2^{sd}.$$

To do this in $O(n \log n)$ time in total, we use a technique, also employed by Bodlaender et al. [11, Section 6]. (See also [26] for more results on dynamic algorithms on graphs of bounded treewidth.) Due to the highly technical aspects, the discussion here is not self-contained, and assumes a knowledge of techniques for monadic second order logic formulas on graphs of bounded treewidth.

We build a data structure that allows the following operations: deletions of vertices, deletions of edges, contractions of edges, adding a (possible colored) self-loop to a vertex changing the color of an edge (e.g., turning an edge into a green edge), and deciding whether the rules $(*)$ can be applied, and if so, yielding the pair of vertices to which the rule can be applied.

First, by [12, Lemma 2.2], we can build in $O(n)$ time a tree decomposition of G of width 8, such that the tree T in the tree decomposition is binary and has $O(\log n)$ depth. We augment G with a number of labels for edges and vertices. Vertices are labeled with a value that can be ‘selfloop’, ‘deleted’, or ‘usual’ (no selfloop, not deleted). Edges are labeled with a value that can be one of the following: ‘usual’ (black edge, without parallel edge); ‘parallel’; ‘red’; ‘green’; ‘deleted’; ‘contracted’. When we perform an operation that changes the multiplicity of an edge, deletes it, or changes its color, we do not change the tree decomposition, but instead only change the label of the edge.

For each of the rules in $(*)$, there is a sentence ϕ in Monadic Second Order Logic (MSOL) with two free vertices variables, such that $\phi(v, w)$ holds if and only if the corresponding rule in $(*)$ is applicable to vertices v and w .

We can modify these sentences ϕ to sentences ϕ' that apply to graphs where edges can be labeled with labels ‘deleted’ or ‘contracted’, i.e., if G' is the graph obtained from G by deleting edges with the label ‘deleted’, then $\phi(v, w)$ holds for G' if and only if $\phi'(v, w)$ holds for G .

First, when we perform a quantification over edges, we add a condition that the edge is not a deleted edge. A quantification $\forall F \subseteq E : \psi(F)$ becomes

$$\forall F \subseteq E : (\forall e \in F : \neg \text{deleted}(e)) \Rightarrow \psi(F);$$

a quantification $\exists F \subseteq E : \psi(F)$ becomes

$$\exists F \subseteq E : (\forall e \in F : \neg \text{deleted}(e)) \wedge \psi(F).$$

Secondly, we modify the sentence to deal with contracted edges, by making the following three changes. For any quantification over sets of edges, we ensure that there are no edges with the label ‘contracted’. For any quantification over sets of vertices, we add the condition that for any edge with the label ‘contracted’, either both endpoints are in the set or both endpoints of the contracted edge are not in the set. Finally, elementary predicates like “ $v = w$ ” or v is incident to e , become a phrase in MSOL: “ $v = w$ ” is translated to an MSOL sentence that expresses that there is a path from v to w (possibly empty) with all edges on the path labeled as ‘contracted’, and “ v incident to e ” is translated to a property that expresses that there is a path with contracted edges from v to an endpoint of e . In the same way, we can further modify the sentences to also deal with vertices with the label ‘deleted’.

A consequence of Courcelle’s theorem [19] is that for a sentence $\phi(v, w)$ with two free vertex variables, we have an algorithm that, given a tree decomposition of a graph G of bounded width, determines if there are vertices v and w for which $\phi(v, w)$ holds, and if so, outputs the vertices v and w , and that uses linear time. Using the techniques from [11], we can modify this algorithm such that we can update the graph by changing labels of vertices and edges, and do these queries, such that each update and query costs time that is linear in the depth of the tree of the tree decomposition, i.e., $O(\log n)$. (For the details, we refer to [11, Section 6].)

We are now ready to wrap up. Each of the rules can be executed by doing $O(1)$ deletions of vertices, edges, contractions of edges, adding or deleting a selfloop, or changing color or multiplicity of an edge. As discussed above, each of such operation costs $O(\log n)$ time to the data structure, and finding (if it exists) a pair of vertices to which we can apply a rule from $(*)$ also costs $O(\log n)$ time. It follows that the total time is $O(\log n)$ times the number of times we apply a rule; as argued earlier in this section, we have $O(n)$ rule applications, giving a total of $O(n \log n)$ time, excluding the $O(m)$ time to remove edges with multiplicity larger than 2. This gives Theorem 6.1. \square

Remark 6.3. The constant factors produced by the heavy machinery of Courcelle’s theorem and tree decompositions of width 8 are very large; a simpler algorithm with a larger asymptotic (but still polynomial) algorithm will be faster in practice.

Corollary 6.4 (=Corollary A). *There is an algorithm that, given a two-edge-connected graph G , decides in $O(n \log n + m)$ time whether G admits an involution σ such that the quotient $G/\langle\sigma\rangle$ is a tree.* \square

Proof. If G is two-edge-connected and $b_1(G) \leq 1$, then G is a cycle. For a cycle such an involution does exist. So we can decide this in linear time.

If $b_1(G) \geq 2$, this follows from Theorem 6.1, since Baker and Norine [6, Thm. 5.12] have shown that a two-edge-connected graph G with $b_1(G) \geq 2$ is divisorial hyperelliptic precisely if G admits an automorphism as stated in the theorem. \square

7. Conclusion

We have provided an explicit set of safe and complete reduction rules for (multi-)graphs of divisorial, stable, and stable divisorial gonality at most 2, and we have shown that stable, divisorial, and stable divisorial hyperelliptic graphs can be recognized in polynomial time (actually, $O(n \log n + m)$).

Finally, we mention some interesting open questions on (divisorial) gonality from the point of view of algorithmic complexity: (a) Can hyperelliptic graphs be recognized in linear time? (b) Does there exist a set of reduction rules to recognize graphs with gonality 3? (c) Is gonality fixed parameter tractable? (d) Which problems become fixed parameter tractable with gonality as parameter? (e) Is there an analogue of Courcelle's theorem for bounded gonality?

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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