

Article

Engineering Topological Nodal Line Semimetals in Rashba Spin-Orbit Coupled Atomic Chains

Paola Gentile ^{1,2,*}, Vittorio Benvenuto ² , Carmine Ortix ^{2,3}, Canio Noce ^{1,2} and Mario Cuoco ^{1,2,*}¹ CNR-SPIN, I-84084 Fisciano, Salerno, Italy; cnoce@unisa.it² Dipartimento di Fisica “E.R. Caianiello”, Università degli Studi di Salerno, Via G. Paolo II, 132, I-84084 Fisciano, Salerno, Italy; benvenutovittorio@virgilio.it (V.B.); C.Ortix@uu.nl (C.O.)³ Institute for Theoretical Physics, Center for Extreme Matter and Emergent Phenomena, Utrecht University, Princetonplein 5, 3584 CC Utrecht, The Netherlands

* Correspondence: paola.gentile@spin.cnr.it (P.G.); mario.cuoco@spin.cnr.it (M.C.); Tel.: +39-089-969149 (P.G.); +39-089-969328 (M.C.)

Received: 30 January 2019; Accepted: 15 February 2019; Published: 16 February 2019



Abstract: In this paper, we study an atomic chain in the presence of modulated charge potential and modulated Rashba spin-orbit coupling (RSOC) of equal periods. We show that for commensurate periodicities, $\lambda = 4n$ with integer n , the three-dimensional synthetic space obtained by sliding the two phases of the charge potential and RSOC features a topological nodal-line semimetal protected by an anti-unitary particle-hole symmetry. The location and shape of the nodal lines strongly depend on the relative amplitude between the charge potential and RSOC.

Keywords: topological phases; spin-orbit coupled systems; semimetals

1. Introduction

In the past few years, the discovery of time-reversal invariant topological insulators [1–4] has triggered huge interest in these new quantum states of matter [5–12]. One of the distinguishing features of topological insulators is the existence of conducting boundary states within a bulk energy gap [9]. The existence of these topologically protected states can be inferred from the estimation of a topological quantity, such as the Chern number or the Z_2 index [13–15], which is only determined by the topology of the electronic wavefunctions. On general grounds, one therefore expects that band-structure engineering can be employed to possibly induce topological phase transition [1,2]. Indeed, several approaches have been theoretically proposed to drive topological phase transition using strong doping [16], electric fields [17,18], high pressure [19], etc.

Alternative proposals have demonstrated the possibility to drive a trivial system into a topological insulator by introducing a superlattice structure. Generally speaking, the concept of superlattice introduced by Esaki [20] and Tsu [21] has been widely employed as a powerful method to engineer the electronic band structures of conventional semiconductors for various technological applications [22–24].

In the specific context of topological phase transitions, it has been demonstrated that the application of a spatial periodic charge potential can drive a metallic system into a Chern insulator, characterized by gapped regions in the energy spectrum having non-zero Chern numbers [25]. A spatial periodic charge potential has been also demonstrated to turn a conventional insulator into a quantum spin Hall system. Considering the prototype case of HgTe/CdTe quantum wells, it has been demonstrated [26]

that for a critical strength of the charge potential, a conventional band insulator with strong spin-orbit coupling is driven into a quantum spin Hall system associated with band inversion and, consequently, spin-momentum locked edge states.

Insulating phases with a non-trivial topology can be also induced in one-dimensional atomic chains by a periodic canting of the Rashba spin-orbit field [27]. In fact, for certain corrugation periods, the system possesses topologically non-trivial insulating phases at half-filling, with topologically protected zero-energy modes. Relevantly, such a system, under the application of a rotating magnetic field, can realize the Thouless topological pumping protocol in an entirely novel fashion [28].

Furthermore, when the sublattice structure of the charge potential and Rashba spin-orbit coupling is mirror-point invariant, a class of one-dimensional time-reversal invariant insulators beyond the standard Altland-Zirnbauer classification can be realized [29].

The next step is to understand how the effects of a spatially periodic charge potential combine with that of a spatially modulated Rashba spin-orbit coupling in an atomic chain. The interplay between these two modulated fields is expected to be highly non-trivial, particularly from a symmetry perspective. Indeed, charge potential and RSOC satisfy different symmetries, and act on distinct electron degrees of freedom. The periodic charge potential behaves like a local source of chemical potential modulation, while the RSOC comes from inversion symmetry breaking and leads to electron spin and momentum locking. Considering the fundamental role played by symmetries in determining the existence and the nature of topological phases, the simultaneous action of charge potential and RSOC, especially in the presence of additional space symmetries related to periodicity, can offer new possibilities to manipulate topological states and eventually induce novel topological phases.

In this paper, we address this problem by focusing in particular on the character of topological states emerging at half-filling in the case of periodicity $\lambda = 4n$, with integer n . We show that in the three-dimensional synthetic space obtained by sliding the phases of the charge potential and RSOC, such periodicity leads, at half-filling, to a topological nodal-line phase protected by particle-hole symmetry. The relative amplitude between the charge potential and RSOC strongly affects the shape of the nodal lines, also determining the regimes where the system is topologically trivial or topologically non-trivial. After introducing the model Hamiltonian for the considered one-dimensional system, we investigate the effects of spatial periodicity in the charge potential and RSOC on the system energy spectrum, showing the appearance of systematic energy gap closing and reopening at half-filling in the space defined by the slide phases of the two periodic fields. We also discuss the topological character of the emerging insulating phases in terms of the Hamiltonian symmetries and topological invariants.

2. The Model

We considered a one-dimensional system of spin one-half fermions in the presence of a spatially modulated periodic charge potential $V(x) = V_0 \cos(2\pi q_V x + \phi_V)$ and a RSOC described by a field which has periodic amplitude $\alpha_z(x) = \alpha_0 \cos(2\pi q_\alpha x + \phi_\alpha)$ and a fixed \hat{z} direction. We assumed that the modulating periods of the two fields take rational values and are equal, $\lambda = 1/q_V = 1/q_\alpha$. Moreover, ϕ_α and ϕ_V are slide phases for the two periodic fields considered.

The Hamiltonian of this system in a lattice formalism is a generalization of the famous Aubry-André-Harper (AAH) model [30–32], with the inclusion of a spatially periodic RSOC:

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_V + \mathcal{H}_{RSOC} \quad (1)$$

where:

$$\mathcal{H}_0 = -t \sum_{j=1}^{N-1} (c_{j,\sigma}^\dagger c_{j+1,\sigma} + h.c.) - \tilde{t} \left(c_{N,\sigma}^\dagger c_{1,\sigma} + c_{1,\sigma}^\dagger c_{N,\sigma} \right) \quad (2)$$

$$\mathcal{H}_V = \sum_{j=1}^N V(j) c_{j,\sigma}^\dagger c_{j,\sigma} \quad (3)$$

$$\begin{aligned} \mathcal{H}_{RSOC} = & i \left[\sum_{j=1}^{N-1} \alpha_z(j) c_{j,\sigma}^\dagger s_z^{\sigma\sigma'} c_{j+1,\sigma'} - \sum_{j=2}^N \alpha_z(j-1) c_{j,\sigma}^\dagger s_z^{\sigma\sigma'} c_{j-1,\sigma'} \right] \\ & + i\tilde{\alpha} \left(c_{N,\sigma}^\dagger s_z^{\sigma\sigma'} c_{1,\sigma'} - c_{1,\sigma}^\dagger s_z^{\sigma\sigma'} c_{N,\sigma'} \right). \end{aligned} \quad (4)$$

The operators $c_{j,\sigma}^\dagger$ ($c_{j,\sigma}$) created (annihilated) an electron with spin σ ($\sigma = \uparrow, \downarrow$) at the lattice site j , s_z is the Pauli matrix describing the spin operator along the \hat{z} direction, N is the number of total sites of the considered atomic chain, and t is the hopping amplitude between the nearest neighbour sites. \tilde{t} and $\tilde{\alpha}$ are the hopping amplitude and antisymmetric Rashba spin-orbit, respectively, between the last and the first site of the atomic chain, such that they both assume zero-value for an open chain and are $\tilde{t} = t$ and $\tilde{\alpha} = \alpha_z(N)$ for closed-boundary conditions.

The periodic variations of the charge potential and RSOC introduce a superlattice structure which allows for representation of the position of each lattice site in terms of two indices: one (R_I) indicating the position of the supercell, and the other (j) representing the atomic position of the lattice site inside the specified supercell. As a consequence, the contributions in the Hamiltonian of Equation (1) can be written down as:

$$\begin{aligned} \mathcal{H}_0 = & \sum_{\sigma} \left[\sum_{R_I=1}^{N_s} \sum_{j=1}^{\lambda-1} (-t) c_{j,R_I,\sigma}^\dagger c_{j+1,R_I,\sigma} + \sum_{R_I=1}^{N_s-1} (-t) c_{\lambda,R_I,\sigma}^\dagger c_{1,R_I+1,\sigma} \right] \\ & + \sum_{\sigma} (-\tilde{t}) \left(c_{\tilde{N},N_s,\sigma}^\dagger c_{1,1,\sigma} + c_{1,1,\sigma}^\dagger c_{\tilde{N},N_s,\sigma} \right) + h.c. \end{aligned} \quad (5)$$

$$\mathcal{H}_V = \sum_{j=1}^{N_s} \sum_{R_I=1}^{\lambda} V(j) c_{j,R_I,\sigma}^\dagger c_{j,R_I,\sigma} \quad (6)$$

$$\begin{aligned} \mathcal{H}_{RSOC} = & i \sum_{\sigma,\sigma'} \left\{ \left[\sum_{R_I=1}^{N_s} \left(\sum_{j=1}^{\lambda-1} \alpha_z(j) c_{j,R_I,\sigma}^\dagger s_z^{\sigma\sigma'} c_{j+1,R_I,\sigma'} - \sum_{j=2}^{\lambda} \alpha_z(j-1) c_{j,R_I,\sigma}^\dagger s_z^{\sigma\sigma'} c_{j-1,R_I,\sigma'} \right) \right] \right. \\ & \left. + \left[\left(\alpha_z(\lambda) \sum_{R_I=1}^{N_s-1} c_{\lambda,R_I,\sigma}^\dagger s_z^{\sigma\sigma'} c_{1,R_I+1,\sigma'} + \tilde{\alpha} c_{\tilde{N},N_s,\sigma}^\dagger s_z^{\sigma\sigma'} c_{1,1,\sigma'} \right) + h.c. \right] \right\}. \end{aligned} \quad (7)$$

where $N_s = N/\lambda$ is the total number of supercells in the chain and \tilde{N} is the last site of the last supercell in the chain. We will assume that the chain has a number of sites which is an integer multiple of the periodicity λ , such that $\tilde{N} = \lambda$.

Then, in the case of closed-boundary conditions ($\tilde{t} = t$ and $\tilde{\alpha} = \alpha_z(\lambda)$), we can Fourier transform the creation and annihilation operators $c_{j,R_I,\sigma}^\dagger$ $c_{j,R_I,\sigma}$ with respect to the supercell index R_I :

$$c_{j,R_I,\sigma}^\dagger = \frac{1}{\sqrt{N_s}} \sum_k e^{i k R_I} c_{j,k,\sigma}^\dagger \quad (8)$$

$$c_{j,R_I,\sigma} = \frac{1}{\sqrt{N_s}} \sum_k e^{-i k R_I} c_{j,k,\sigma} \quad (9)$$

with $-\frac{\pi}{\tilde{a}} \leq k \leq \frac{\pi}{\tilde{a}}$, being $\tilde{a} = \lambda a$ the lattice spacing between the nearest neighbour supercells and a is the lattice constant of the original atomic lattice.

After Fourier-transforming, the Hamiltonian in momentum space reads as:

$$\begin{aligned} \mathcal{H} = & \sum_{k,\sigma} \sum_{j=1}^{\lambda-1} (-t) \left[\left(c_{j,k,\sigma}^\dagger c_{j+1,k,\sigma} + c_{j+1,k,\sigma}^\dagger c_{j,k,\sigma} \right) + \left(c_{\lambda,k,\sigma}^\dagger c_{1,k,\sigma} e^{-i k \tilde{a}} + c_{1,k,\sigma}^\dagger c_{\lambda,k,\sigma} e^{i k \tilde{a}} \right) \right] \\ & + i \sum_k \sum_{\sigma,\sigma'} \left[\left(\sum_{j=1}^{\lambda-1} \alpha_z(j) c_{j,k,\sigma}^\dagger \sigma_z^{\sigma\sigma'} c_{j+1,k,\sigma'} \right) - \left(\sum_{j=2}^{\lambda} \alpha_z(j-1) c_{j,k,\sigma}^\dagger \sigma_z^{\sigma\sigma'} c_{j-1,k,\sigma'} \right) + \right. \\ & + \left. \alpha_z(\lambda) \sum_{k,\sigma,\sigma'} \left(c_{\lambda,k,\sigma}^\dagger \sigma_z^{\sigma\sigma'} c_{1,k,\sigma'} e^{-i k \tilde{a}} - c_{1,k,\sigma}^\dagger \sigma_z^{\sigma\sigma'} c_{\lambda,k,\sigma'} e^{i k \tilde{a}} \right) \right] \\ & + \sum_{k,\sigma} \sum_{j=1}^{\lambda} V(j) c_{j,k,\sigma}^\dagger c_{j,k,\sigma}. \end{aligned} \quad (10)$$

which can be put in the following compact form:

$$\mathcal{H} = \sum_k \psi_k^\dagger \mathcal{H}_k \psi_k \quad (11)$$

where $\psi_k = (c_{1,k,\uparrow}, \dots, c_{\lambda,k,\uparrow}, c_{1,k,\downarrow}, \dots, c_{\lambda,k,\downarrow})$ and \mathcal{H}_k is a $2\lambda \times 2\lambda$ matrix.

3. Metal-Insulator Transition Induced by Periodicity

Due to the Abelian character of the RSOC, the Hamiltonian commutes with the spin operator s_z and, consequently the matrix \mathcal{H}_k can be brought in the block diagonal form for every periodicity λ :

$$\mathcal{H}_k = \begin{pmatrix} \mathcal{H}_{\uparrow k} & 0 \\ 0 & \mathcal{H}_{\downarrow k} \end{pmatrix}, \quad (12)$$

where each sub-block $\mathcal{H}_{\sigma k}$ corresponds to one of the two electron spin projections $\sigma = \uparrow, \downarrow$ along the \hat{z} direction. Thus, the full energy spectrum can be derived by diagonalizing the two sub-blocks $\mathcal{H}_{\sigma k}$ separately. These sub-blocks are related each other, at fixed ϕ_V, ϕ_α , by time-reversal symmetry $\mathcal{T} = i s_y \mathcal{K}$, where s_y is the Pauli matrix describing the spin operator along the \hat{y} direction, and \mathcal{K} is the complex conjugation operator. The Kramers theorem then guarantees the symmetry of the energy spectrum of the full Hamiltonian matrix \mathcal{H}_k about $k = 0$ for all λ values. In addition, for every periodicity λ , the energy spectrum is always twofold degenerate in the k -space. For even periodicities, the energy spectrum is also symmetric about zero energy.

A very interesting case occurs when periodicity is $\lambda = 4n$, with integer n , since for such periodicities the full Hamiltonian matrix \mathcal{H}_k has a chiral symmetry described by a momentum-dependent operator, which gives an energy spectrum symmetric about zero-energy for all k values. For instance, in the case of

$\lambda = 4$, the chiral symmetry of the full Hamiltonian is described by the following momentum dependent operator:

$$\mathcal{S}_{\lambda=4} = \begin{pmatrix} 0 & \rho_z \\ \rho_z e^{i k \bar{a}} & 0 \end{pmatrix} \otimes s_x \quad (13)$$

where

$$\rho_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

and s_x is the Pauli matrix describing the spin operator along the \hat{x} direction.

As already demonstrated, starting from a fully metallic system, the presence of a periodic charge potential, and analogously, of a periodic Rashba spin-orbit field, induces a metal-insulator transition. However, charge potential and RSOC act in different ways, gapping out different regions of the energy spectrum, as shown in Figure 1a,b, referring to the case of $\lambda = 4$. When only the periodic charge potential is considered (Figure 1a), and $\phi_V = 0$, a gap opens at zone boundaries at fillings $\nu = 1/4, 3/4$, while in the presence of the periodic Abelian RSOC only (with $\phi_\alpha = 0$), the energy crossing at $k = 0$ and half-filling is removed (Figure 1b). The simultaneous presence of both periodic charge potential and periodic RSOC opens gapped regions at fillings $\nu = 1/4, 1/2, 3/4$ (Figure 1c).

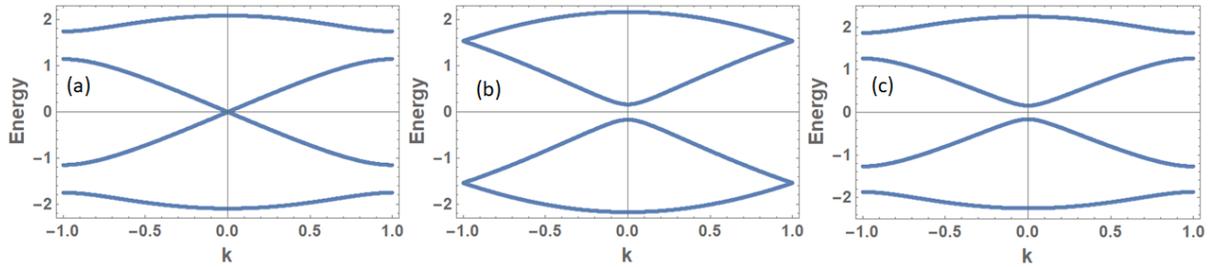


Figure 1. Energy spectrum of the Hamiltonian matrix \mathcal{H}_k , for the case $\lambda = 4$, as a function of the momentum k (measured in units of π/\bar{a}) at (a) $V_0 = 0.6t, \phi_V = 0$, and $\alpha_0 = 0$; (b) $\alpha_0 = 0.6t, \phi_\alpha = 0$ and $V_0 = 0$ and (c) $V_0 = \alpha_0 = 0.6t, \phi_V = 0, \phi_\alpha = 0$. All energies are measured in the unit of the hopping parameter t .

The energy gap at half-filling is very sensitive to the value of the slide parameters ϕ_V and ϕ_α , whose variations can be used to drive a gap closing and reopening in the energy spectrum at zero momentum (Figure 2). In particular, in the presence of a periodic charge potential without RSOC, the energy crossings at half-fillings occur when the corresponding slide phase assumes the values $\phi_V^* = m\pi/2$ being an m integer, as shown in Figure 2a. On the other hand, a periodic Abelian RSOC induces an energy closing/reopening at $k = 0$ when its slide value is moved across $\phi_\alpha^* = (m + 1)\pi/4$, with integer m (see Figure 2b). Such specific values do not depend on the amplitude of V_0 or α_0 .

Conversely, when the spatially modulated charge potential and RSOC both act in the system, we found that it is still possible to induce a gap-closing at half-filling and $k = 0$, but the values of the slide phases which give rise to gap-closing at half-filling strongly depend on the relative amplitude of the charge potential with respect to the RSOC. For instance, in Figure 2c we show the behavior of the energy spectrum of the system as a function of ϕ_α at $\lambda = 4$ for $V_0 = \alpha_0 = 0.6t$ and $\phi_V = 0.2\pi$. As can be seen, the energy at half-filling closes at values of ϕ_α which does not correspond to the slide phase values for the limit case of RSOC only, nor to those of the case with periodic charge potential only.

Thus, if we analyze the energy gap at half-filling in the synthetic plane (ϕ_V, ϕ_α) , we find that energy assumes a nematic nodal structure in this plane, such that the topology of the energy nodal lines strongly

depends on the ratio V_0/α_0 , as can be seen in Figure 3, where we have presented a density plot of the energy gap at half-filling for the case $\lambda = 4$ as a function of the two slide phases ϕ_V, ϕ_α for three different values of the ratio V_0/α_0 : $V_0/\alpha_0 = 0.5$ in (a), $V_0/\alpha_0 = \sqrt{2}$ in (b), and $V_0/\alpha_0 = 2$ in (c). For values of the ratio V_0/α_0 lower than $\sqrt{2}$, there are almost horizontal stripes in the plane (ϕ_V, ϕ_α) . They have insulating character and are separated by each other by nodal lines which are, in turn, mainly constant as a function of ϕ_α .

Moving towards $V_0/\alpha_0 = \sqrt{2}$, the insulating stripes, as well as the nodal lines, gradually deform until they merge, forming a checkerboard-like structure of confined squared insulating plaquettes. By further increasing the ratio V_0/α_0 , the stripe structure starts to reappear, but is rotated by 90° .

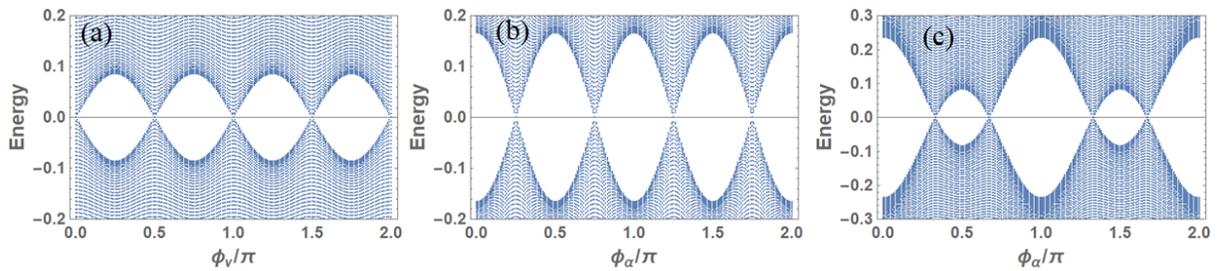


Figure 2. Energy spectrum of Hamiltonian matrix \mathcal{H}_k for the case $\lambda = 4$ (a) at $V_0 = 0.6t$ and $\alpha_0 = 0$ as a function of the charge potential phase ϕ_V , (b) at $\alpha_0 = 0.6t$ and $V_0 = 0$ as a function of the RSOC phase ϕ_α , and (c) $V_0 = \alpha_0 = 0.6t$, $\phi_V = 0.2\pi$ as a function of the RSOC phase ϕ_α .

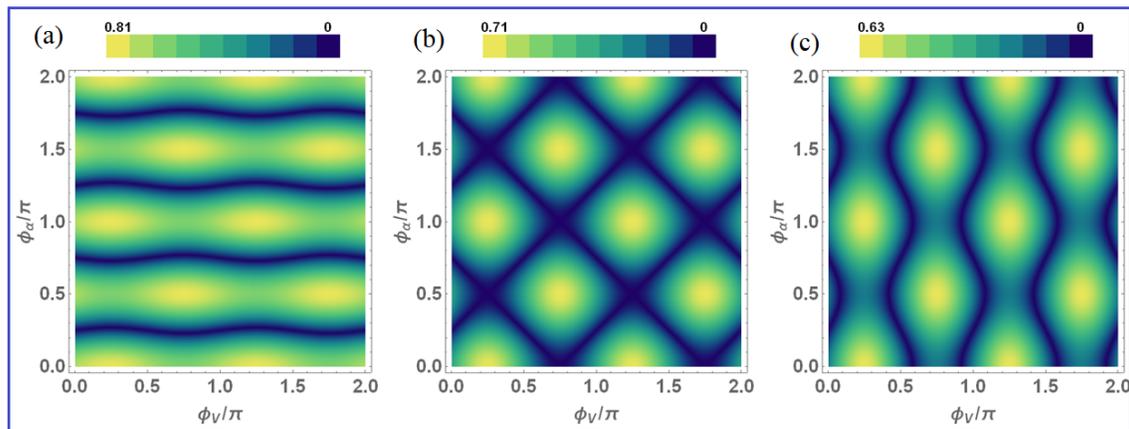


Figure 3. Density plot of the energy gap between the fourth and fifth energy bands (counted starting from the lowest one in energy) for periodicity $\lambda = 4$ at $k = 0$ in the synthetic space (ϕ_V, ϕ_α) at $\alpha_0 = t$ and $V_0 = \alpha_0/2$ in (a), $V_0 = \sqrt{2}\alpha_0$ in (b) and $V_0 = 2\alpha_0$ in (c).

Such “nematic” character only depends on the relative value V_0/α_0 , and can be observed at all periodicity values $\lambda = 4n$, for integer values of n . The number of nodal lines, and, correspondingly, the number of separated insulating stripes in the synthetic plane (ϕ_V, ϕ_α) scale with the periodicity λ and is actually given by the value of the integer n , as can be seen in Figure 4, which shows the density plot of the energy gap at half-filling and $k = 0$ for periodicity $\lambda = 8$.

We have verified that for other periodicities $\lambda = 4n$, this nodal structure still holds.

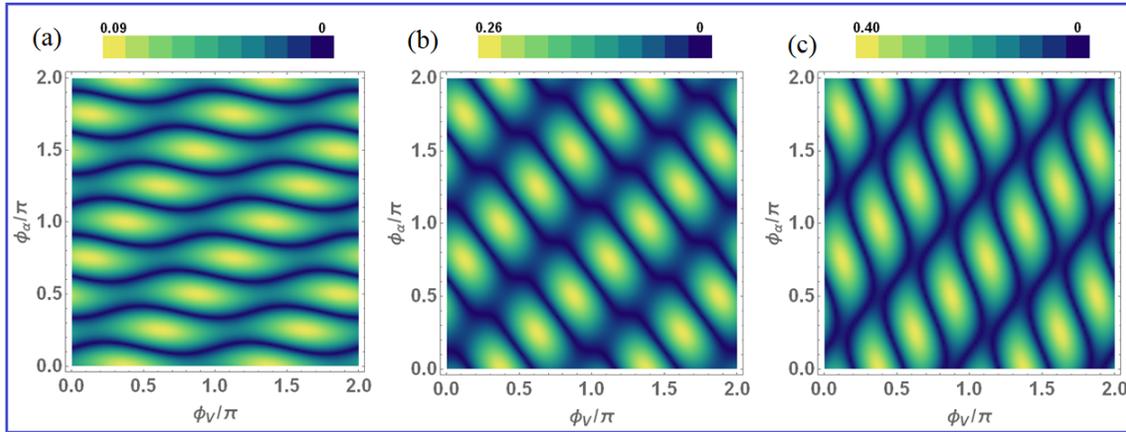


Figure 4. Density plot of the energy gap between the eighth and ninth bands (counted starting from the lowest one in energy) for periodicity $\lambda = 8$ at $k = 0$ in the synthetic space (ϕ_V, ϕ_α) at $\alpha_0 = t$ and $V_0 = \alpha_0/2$ in (a), $V_0 = \sqrt{2}\alpha_0$ in (b) and $V_0 = 2\alpha_0$ in (c).

4. Symmetry Protection of the Nodal Lines

The symmetry analysis of the full Hamiltonian matrix \mathcal{H}_k shows that each spin sub-matrix $\mathcal{H}_{\sigma k}$ breaks time-reversal symmetry while preserving particle-hole symmetry. In the case of $\lambda = 4$, the particle-hole operator is:

$$C_k = \begin{pmatrix} 0 & e^{-i k \tilde{a}/2} \rho_z \\ e^{i k \tilde{a}/2} \rho_z & 0 \end{pmatrix} \mathcal{K}, \quad (14)$$

and it is such that $C_k^2 = 1$ for all k values and

$$C_k^{-1} \mathcal{H}_{\sigma k}(\phi_V, \phi_\alpha) C_k + \mathcal{H}_{-\sigma k}^*(\phi_V, \phi_\alpha) = 0$$

being \mathcal{A}^* the complex conjugate of a generic operator, \mathcal{A} .

Thus, in the plane (ϕ_V, ϕ_α) at $k = 0$, the system behaves like a two-dimensional nodal superconductor. According to the classification of gapless topological phases [33], this is a class D system with codimension 1, which thus allows a topological phase characterized by a Z_2 topological index.

Therefore, we can characterize the insulating regions found in the plane (ϕ_V, ϕ_α) at $k = 0$ in terms of the fermion parity \mathcal{P} of the ground state [34]—that is, as the sign of the Pfaffian of the Hamiltonian in Majorana representation. The fermion parity labels the topological inequivalent ground states: the trivial state has $\mathcal{P} = 1$ (even parity) while the non-trivial state has $\mathcal{P} = -1$ (odd parity).

The fermion parity of the topological quantum system described by the Hamiltonian $H_{\sigma 0}$ can be evaluated analytically, as $\mathcal{P} = \text{Sign} \left[\sqrt{\text{Det}(C_0 H_{\sigma 0})} \right]$. The points where the fermion parity changes from +1 to -1 and vice versa correspond to the gapless lines where zero-energy states occur. By performing the analysis of the fermion parity for the case $\lambda = 4$, we established the topological character of the insulating regions of the energy spectrum at $k = 0$ and half-filling, as shown in Figure 5, for three different values of the ratio V_0/α_0 .

We would like to point out that the zero-energy states driven by the slide phase of a single periodic field in the two limit cases (periodic charge field only or periodic RSOC only), have a topological origin totally different from that of the zero-energy states in the case of periodic charge potential and RSOC together. Indeed, although the occurrence of the energy crossings for the periodic charge potential only

and for the periodic Abelian RSOC only happens at different slide values, in both cases, their origin can be understood in terms of the symmetries characterizing the corresponding Hamiltonian matrix sub-blocks $\mathcal{H}_{\sigma k}$.

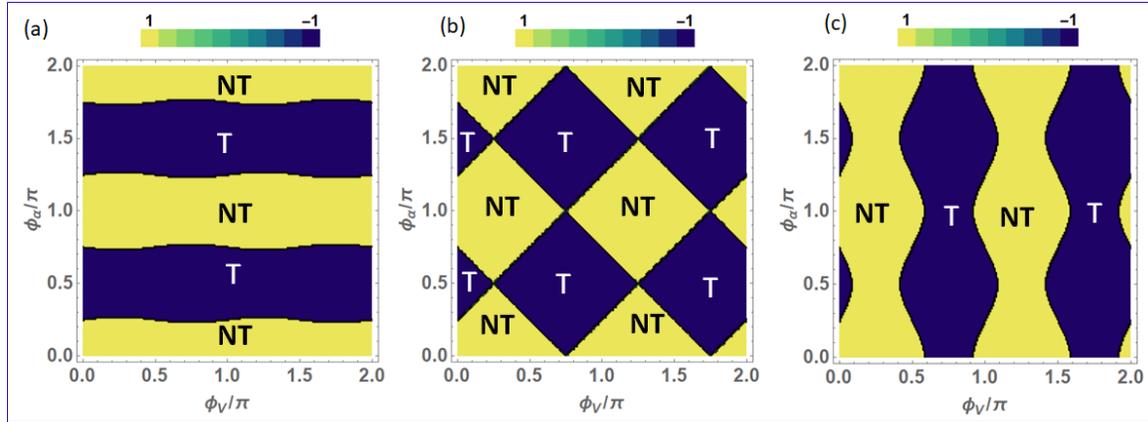


Figure 5. Density plot of the topological invariant and corresponding classification of the different regions as topological (T) and non-topological (NT) at $\alpha_0 = t$ and $V_0 = \alpha_0/2$ in (a), $V_0 = \sqrt{2}\alpha_0$ in (b), and $V_0 = 2\alpha_0$ in (c).

Indeed, in both cases, at the phases' values which give the energy gap closing at $k = 0$ and half-filling, we found that $\mathcal{H}_{\sigma k=0}$ is characterized by chiral S and mirror \mathcal{M} symmetry, which are represented by operators commuting each other. In such a case, it is possible to determine the possible presence of zero-energy states in the energy spectrum through the definition of a topological invariant, given by the sum of the trace of the chirality sub-blocks labeled by the different mirror eigenvalues [35]. In both cases of periodic charge field only and periodic RSOC only, we found that this topological number is finite only at the slide phase values where energy crossings at half-fillings happen, thus demonstrating that they are topologically protected by chirality and mirror symmetries.

5. Conclusions

In this paper, we analyzed the combined effect of the application of modulated charge potential and modulated Rashba spin-orbit coupling on a metallic atomic chain. We have demonstrated a feasible way to drive the system into a topological nodal-line semimetal by sliding the phases of charge potential and RSOC, for periodicity values $\lambda = 4n$ with integer n , in the half-filling regime. The topological insulating regime we found is characterized by topological insulating stripes, separated by non-topological ones through nodal lines within the synthetic space (ϕ_V, ϕ_α) . The resulting pattern has a “nematic” structure, which strongly depends on the relative amplitude of the charge potential and RSOC field. Indeed, by tuning this parameter, it is possible to modify the structure of the nodal lines, as well as the topological phase transitions in the space (ϕ_V, ϕ_α) , and thus change on demand the shape and the extension of the area in the synthetic space where the topological phase exists. The emerging topological nodal-line semi-metallic phase is protected by particle-hole symmetry. Our results show an interesting and simple way to dynamically switch on and off the topological insulating phases by exploiting spatially modulated charge potential and RSOC.

Physical platforms where such possibilities can be realized and tested are represented by Fermi gases loaded in a periodic optical lattice, as well as in semiconductor nanowires with perpendicular modulated voltage gates, or with complex geometrical shapes, obtainable via nanostructuring methods such as, for

instance, electron beam lithography or adhesion of ribbons on pre-strained substrates. Within this context, low-dimensional systems with non-trivial geometry have been already demonstrated to offer a potential playground for triggering new functionalities through the exploitation of curvature effects, such as, for instance, in tuning the electron spin interference [36] and the superconducting state [37] in closed-loop configurations, as well as the supercurrent in weak links between Rashba coupled superconducting nanowires with geometric misalignment [38]. Our findings, therefore, add a new important piece to the rich puzzle of unique curvature-induced quantum effects in low-dimensional semiconducting systems.

Author Contributions: Conceptualization—P.G., C.O., C.N. and M.C.; Data curation—P.G. and V.B.; Formal analysis—P.G. and M.C.; Investigation—P.G., V.B., C.O., C.N. and M.C.; Methodology—P.G. and M.C.; Writing—original draft—P.G. and M.C.; Writing—review and editing—V.B., C.O. and C.N.

Acknowledgments: C.O. acknowledges support from a VIDI grant (Project 680-47-543) financed by the Netherlands Organization for Scientific Research (NWO).

Conflicts of Interest: The authors declare no conflict of interest.

References

1. Bernevig, B.A.; Hughes, T.L.; Zhang, S.-C. Quantum Spin Hall Effect and Topological Phase Transition in HgTe Quantum Wells. *Science* **2006**, *314*, 1757–1761. [[CrossRef](#)] [[PubMed](#)]
2. König, M.; Wiedmann, S.; Brüune, C.; Roth, A.; Buhmann, H.; Molenkamp, L.W.; Qi, X.-L.; Zhang, S.-C. Quantum Spin Hall Insulator State in HgTe Quantum Wells. *Science* **2007**, *318*, 766–770. [[CrossRef](#)] [[PubMed](#)]
3. König, M.; Buhmann, H.; Molenkamp, L.W.; Hughes, T.; Liu, C.-X.; Qi, X.-L.; Zhang, S.-C. The Quantum Spin Hall Effect: Theory and Experiment. *J. Phys. Soc. Jpn.* **2008**, *77*, 031007. [[CrossRef](#)]
4. Roth, A.; Brüne, C.; Buhmann, H.; Molenkamp, L.W.; Maciejko, J.; Qi, X.-L.; Zhang, S.-C. Nonlocal Transport in the Quantum Spin Hall State. *Science* **2009**, *325*, 294–297. [[CrossRef](#)] [[PubMed](#)]
5. Xia, Y.; Qian, D.; Hsieh, D.; Wray, L.; Pal, A.; Lin, H.; Bansil, A.; Grauer, D.; Hor, Y.S.; Cava, R.J.; Hasan, M.Z. Observation of a large-gap topological-insulator class with a single Dirac cone on the surface. *Nat. Phys.* **2009**, *5*, 398–402. [[CrossRef](#)]
6. Zhang, H.; Liu, C.-X.; Qi, X.-L.; Dai, X.; Fang, Z.; Zhang, S.-C. Topological insulators in Bi₂Se₃, Bi₂Te₃ and Sb₂Te₃ with a single Dirac cone on the surface. *Nat. Phys.* **2009**, *5*, 438–442. [[CrossRef](#)]
7. Jiang, H.; Cheng, S.G.; Sun, Q.F.; Xie, X.C. Topological Insulator: A New Quantized Spin Hall Resistance Robust to Dephasing. *Phys. Rev. Lett.* **2009**, *103*, 036803. [[CrossRef](#)] [[PubMed](#)]
8. Hasan, M.Z.; Kane, C.L. *Colloquium*: Topological insulators. *Rev. Mod. Phys.* **2010**, *82*, 3045–3067. [[CrossRef](#)]
9. Qi, X.-L.; Zhang, S.-C. Topological insulators and superconductors. *Rev. Mod. Phys.* **2011**, *83*, 1057–1110. [[CrossRef](#)]
10. Qiao, Z.H.; Tse, W.K.; Jiang, H.; Yao, Y.G.; Niu, Q. Two-Dimensional Topological Insulator State and Topological Phase Transition in Bilayer Graphene. *Phys. Rev. Lett.* **2011**, *107*, 256801. [[CrossRef](#)]
11. Chang, C.Z.; Zhang, J.S.; Feng, X.; Shen, J.; Zhang, Z.C.; Guo, M.H.; Li, K.; Ou, Y.B.; Wei, P.; Wang, L.L.; et al. Experimental Observation of the Quantum Anomalous Hall Effect in a Magnetic Topological Insulator. *Science* **2013**, *340*, 167–170. [[CrossRef](#)] [[PubMed](#)]
12. Wang, Z.F.; Liu, Z.; Liu, F. Quantum anomalous Hall effect in 2D organic topological insulators. *Phys. Rev. Lett.* **2013**, *110*, 196801. [[CrossRef](#)] [[PubMed](#)]
13. Thouless, D.J.; Kohmoto, M.; Nightingale, M.P.; den Nijs, M. Quantized Hall Conductance in a Two-Dimensional Periodic Potential. *Phys. Rev. Lett.* **1982**, *49*, 405–408. [[CrossRef](#)]
14. Kane, C.L.; Mele, E.J. Z₂ Topological Order and the Quantum Spin Hall Effect. *Phys. Rev. Lett.* **2005**, *95*, 146802. [[CrossRef](#)] [[PubMed](#)]
15. Sheng, D.N.; Weng, Z.Y.; Sheng, L.; Haldane, F.D.M. Quantum Spin-Hall Effect and Topologically Invariant Chern Numbers. *Phys. Rev. Lett.* **2006**, *97*, 036808. [[CrossRef](#)] [[PubMed](#)]
16. Li, J.; Chu, R.L.; Jain, J.K.; Shen, S.Q. Topological Anderson Insulator. *Phys. Rev. Lett.* **2009**, *102*, 136806. [[CrossRef](#)] [[PubMed](#)]

17. Jiang, Z.F.; Chu, R.L.; Shen, S.Q. Electric-field modulation of the number of helical edge states in thin-film semiconductors. *Phys. Rev. B* **2010**, *81*, 115322. [[CrossRef](#)]
18. Kim, M.; Kim, C.H.; Kim, H.S.; Ihm, J. Topological quantum phase transitions driven by external electric fields in Sb_2Te_3 thin films. *Proc. Natl. Acad. Sci. USA* **2012**, *109*, 671–674. [[CrossRef](#)]
19. Bahramy, M.; Yang, B.J.; Arita, R.; Nagaosa, N. Emergent quantum confinement at topological insulator surfaces. *Nat. Commun.* **2012**, *3*, 1159. [[CrossRef](#)]
20. Esaki, L.; Tsu, R. Superlattice and negative differential conductivity in semiconductors. *IBM J. Res. Dev.* **1970**, *14*, 61–65. [[CrossRef](#)]
21. Tsu, R. *Superlattice to Nanoelectronics*; Elsevier: Oxford, UK, 2005.
22. Schneider, H.; Grahn, H.T.; Klitzing, K.V.; Ploog, K. Sequential resonant tunneling of holes in GaAs-AlAs superlattices. *Phys. Rev. B* **1989**, *40*, 10040–10043. [[CrossRef](#)]
23. Wacker, A.; Moscoso, M.; Kindelan, M.; Bonilla, L.L. Current-voltage characteristic and stability in resonant-tunneling n-doped semiconductor superlattices. *Phys. Rev. B* **2003**, *55*, 2466–2475. [[CrossRef](#)]
24. Cheng, Y.-C.; Yang, S.-T.; Yang, J.-N.; Lan, W.-H.; Chang, L.-B.; Hsieh, L.-Z. Fabrication of far-infrared photodetector based on InAs/GaAs quantum dot superlattices. *Opt. Eng.* **2003**, *42*, 119–123.
25. Zheng, Y.; Yang, S.-J. Topological bands in one-dimensional periodic potentials. *Phys. B* **2014**, *454*, 93–97. [[CrossRef](#)]
26. Fu, B.; Zheng, H.; Li, Q.; Shi, Q.; Yang, J. Topological phase transition driven by a spatially periodic potential. *Phys. Rev. B* **2014**, *90*, 214502. [[CrossRef](#)]
27. Gentile, P.; Cuoco, M.; Ortix, C. Edge States and Topological Insulating Phases Generated by Curving a Nanowire with Rashba Spin-Orbit Coupling. *Phys. Rev. Lett.* **2015**, *115*, 256801. [[CrossRef](#)] [[PubMed](#)]
28. Pandey, S.; Scopigno, N.; Gentile, P.; Cuoco, M.; Ortix, C. Topological quantum pump in serpentine-shaped semiconducting narrow channels. *Phys. Rev. B* **2018**, *97*, 241103. [[CrossRef](#)]
29. Lau, A.; van den Brink, J.; Ortix, C. Topological mirror insulators in one dimension. *Phys. Rev. B* **2016**, *94*, 165164. [[CrossRef](#)]
30. Harper, P.G. Single Band Motion of Conduction Electrons in a Uniform Magnetic Field. *Proc. Phys. Soc. Lond. Sect. A* **1955**, *68*, 874–878. [[CrossRef](#)]
31. Aubry, S.; André, G. Analyticity breaking and Anderson localization in incommensurate lattices. *Ann. Isr. Phys. Soc.* **1980**, *3*, 133–151.
32. Ganeshan, S.; Sun, K.; Das Sarma, S. Topological zero-energy modes in gapless commensurate Aubry-André-Harper models. *Phys. Rev. Lett.* **2013**, *110*, 180403. [[CrossRef](#)] [[PubMed](#)]
33. Matsuura, S.; Chang, P.-Y.; Schnyder, A.P.; Ryu, S. Protected boundary states in gapless topological phases. *New J. Phys.* **2013**, *15*, 065001. [[CrossRef](#)]
34. Loring, T.A. *K*-theory and pseudospectra for topological insulators. *Ann. Phys.* **2015**, *356*, 383–416. [[CrossRef](#)]
35. Koshino, M.; Morimoto, T.; Sato, M. Topological zero modes and Dirac points protected by spatial symmetry and chiral symmetry. *Phys. Rev. B* **2014**, *90*, 115207. [[CrossRef](#)]
36. Ying, Z.-J.; Gentile, P.; Ortix, C.; Cuoco, M. Designing electron spin textures and spin interferometers by shape deformations. *Phys. Rev. B* **2016**, *94*, 081406. [[CrossRef](#)]
37. Ying, Z.Y.; Cuoco, M.; Ortix, C.; Gentile, P. Tuning pairing amplitude and spin-triplet texture by curving superconducting nanostructures. *Phys. Rev. B* **2017**, *96*, 100506. [[CrossRef](#)]
38. Ying, Z.-J.; Cuoco, M.; Gentile, P.; Ortix, C. Josephson Current in Rashba-Based Superconducting Nanowires with Geometric Misalignment: Rashba-Based Superconducting Nanowires with Geometric Misalignment. In Proceedings of the 16th International Superconductive Electronics Conference (ISEC), Naples, Italy, 12–16 June 2017.

