

## RESEARCH PAPER

# Multicentre, cross-cultural, population-based, case–control study of physical activity as risk factor for amyotrophic lateral sclerosis

Anne E Visser,<sup>1</sup> James P K Rooney,<sup>2</sup> Fabrizio D'Ovidio,<sup>3</sup> Henk-Jan Westeneng,<sup>1</sup> Roel C H Vermeulen,<sup>4</sup> Ettore Beghi,<sup>5</sup> Adriano Chiò,<sup>3</sup> Giancarlo Logroscino,<sup>6,7</sup> Orla Hardiman,<sup>2</sup> Jan H Veldink,<sup>1</sup> Leonard H van den Berg,<sup>1</sup> for the Euro-MOTOR consortium

For numbered affiliations see end of article.

## Correspondence to

Professor Leonard H van den Berg, Department of Neurology, Brain Centre Rudolf Magnus, University Medical Centre Utrecht, Utrecht 3508 GA, The Netherlands; L.H.vandenBerg@umcutrecht.nl

JHV and LHB contributed equally.  
AEV, JPKR and FD'O contributed equally.

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## ABSTRACT

**Objective** To investigate the association between physical activity (PA) and amyotrophic lateral sclerosis (ALS) in population-based case–control studies in three European countries using a validated and harmonised questionnaire.

**Methods** Patients with incident ALS and controls were recruited from five population-based registers in The Netherlands, Ireland and Italy. Demographic and data regarding educational level, smoking, alcohol habits and lifetime PA levels in both leisure and work time were gathered by questionnaire, and quantified using metabolic equivalent of task scores. Logistic regression models adjusting for PA-related factors were used to determine the association between PA and ALS risk, and forest plots were used to visualise heterogeneity between regions.

**Results** 1557 patients and 2922 controls were included. We found a linear association between ALS and PA in leisure time (OR 1.07, P=0.01) and occupational activities (OR 1.06, P<0.001), and all activities combined (OR 1.06, P<0.001), with some heterogeneity between regions: the most evident association was seen in the Irish and Italian cohorts. After adjustment for other occupational exposures or exclusion of patients with a *C9orf72* mutation, the ORs remained similar.

**Conclusion** We provide new class I evidence for a positive association between PA and risk of ALS in a large multicentre study using harmonised methodology to objectively quantify PA levels, with some suggestions for population differences.

## INTRODUCTION

Ever since American baseball player, Lou Gehrig, and later many other professional athletes developed amyotrophic lateral sclerosis (ALS), physical activity (PA) has been hypothesised as a risk factor for this fatal neurodegenerative disease.<sup>1</sup> Numerous studies have reported on the association between PA and ALS with conflicting results, describing PA as either increasing or decreasing the risk of developing ALS.<sup>2</sup> It is important to elucidate the direction of this association, since this could support pathophysiological mechanisms underlying ALS, such as oxidative stress and glutamate excitotoxicity or glial activation and altered levels of antiapoptotic proteins or neurotrophic factors.<sup>3,4</sup>

The previous conflicting results may be due to differences in study design and methods.<sup>2</sup> These include various types of PA quantification, no specification of activities to allow a distinction to be made between leisure-related and job-related activities, hospital-based cohorts or case studies without (matched) controls, or different ways of considering confounding PA-related factors such as smoking and alcohol. Moreover, PA may only be a risk factor in certain populations, depending on their genetic background or environmental or lifestyle factors and may (partly) explain the observed phenotypic heterogeneity between populations.<sup>5</sup>

We, therefore, investigated the association between PA and ALS in a multicentre and cross-cultural setting, in ongoing population-based case–control studies in The Netherlands, Ireland and Italy, using a validated and methodologically harmonised questionnaire.

## METHODS

### Study population

Participants were recruited as part of a case–control study undertaken by the Euro-MOTOR consortium (FP7/2007–2013; grant agreements no. 259867) initiated in February 2011 and concluded in February 2014. Incident cases (18 years and older) representing patients with definite, (laboratory-supported) probable or possible ALS, according to the revised El Escorial Criteria,<sup>6</sup> were matched to controls based on age, gender and residency. Controls were identified and enrolled by local general practitioners during routine visits. The study consists of a written survey carried out in three European countries: The Netherlands, Ireland and Italy (in particular Apulia, Lombardy and Piedmont and Valle d'Aosta Italian regions). The aim and a more extensive description of this project are presented elsewhere.<sup>7</sup> All participants gave written informed consent.

### Data collection

The same structured questionnaire was used by the different study centres (Dublin, Utrecht, Bari, Milan and Turin) to collect demographic characteristics of participants and data on their educational level, smoking and alcohol history and lifetime



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physical activities. Highest educational level completed was categorised into International Standard Classification of Education (ISCED) 0–4 and ISCED 5–8 according to the ISCED 2011<sup>8</sup> in order to allow comparability between countries. Detailed data were collected on age at the start and cessation of smoking and alcohol drinking. Smoking and alcohol status were categorised as never, former or current. Additionally, participants were asked to state the numbers of cigarettes smoked daily. Lifetime cigarette smoking was expressed in pack-years (number of packs of cigarettes, years spent smoking, defining a pack as 20 cigarettes and including periods of light and heavy smoking).

Participants were asked to recall all their jobs and to describe the various work activities they had to perform. They were also asked to list all their leisure time activities, consisting of sports and hobbies, and to state the number of years and how many hours per week each activity had been performed.

In Ireland and Italy, face-to-face interviews were held to gather the data. In The Netherlands, due to feasibility reasons, participants filled in the questionnaire on paper. Participants were approached by telephone to complete or clarify the data. In cognitively impaired individuals, the data were obtained by an informed caregiver (1.6% of all participants). In addition to the above-mentioned topics, the questionnaire contained questions on several other exogenous factors. Participants were, therefore, blinded to the specific hypotheses being tested. Clinical data were collected through the patients' medical records.

### Classification of physical activities

In an attempt to quantify the cumulative lifetime PA level of participants objectively, all reported activities were scored and coded based on the Compendium of Physical Activities.<sup>9</sup> The Compendium provides a coding scheme that links specific activities performed in various settings with their respective metabolic equivalent of task (MET), an expression of the energy expenditure as a ratio of the standard resting metabolic rate (sitting quietly). A MET score of 1.0 is defined as 1 kcal/kg body weight/h. The lifetime scores per participant were calculated as follows:

$$\sum_{k=1}^n (\text{MET score}_k \times \text{duration in years}_k \times \text{hours per week}_k)$$

where  $k$  represents an activity from the lifetime PA history. Because of the magnitude of the lifetime score, it was divided by 1000. Military service, for which specific tasks were not available, or periods spent as a homemaker were excluded because of difficulties quantifying these activities.

### Statistical analysis

All exposures were calculated up to 3 years prior to survey participation for both cases and controls. Logistic regression for lifetime MET scores for the different categories of activities, that is, leisure time (sport and hobbies), occupational and all combined, was used to determine the association between PA and risk of ALS. We adjusted for confounding variables age, gender, education, smoking and alcohol (current, former and never) and the five regions. We visualised possible heterogeneity between regions using forest plots. For the category of occupation-related activities, we also created a model adjusting for never/ever occupational exposure to: mineral dusts, asbestos and silica, organic dusts, animal contacts and endotoxin, pesticides,

herbicides, insecticides and fungicides, gasses and fumes, diesel motor exhaust and polycyclic aromatic hydrocarbons, metals, chromium and nickel, solvents, aromatic solvents and chlorinated solvents, extremely low-frequency magnetic fields, electric shocks and benzene. These exposures were assessed through job exposure matrices (JEMs) and methodologically described elsewhere, with the exception of the JEM for benzene, which has been newly developed by RCH Vermeulen.<sup>10–13</sup> Restricted cubic spline regression models were used to study how the dose–response relationship between PA and ALS could best be described.

Several sensitivity analyses were performed. First, we tested whether the association between PA and ALS was different for men and women using an interaction term and used the likelihood ratio test to compare the models with and without the interaction term. Second, since *C9orf72* repeat expansions are the most common genetic abnormality in ALS, and patients with this mutation may represent a subgroup with different lifestyle and environmental factors, we performed a separate analysis excluding ALS patients with a *C9orf72* repeat expansion, determined by methods described elsewhere.<sup>14 15</sup> Third, to explore the effect of educational level on the association between PA and risk of ALS, we matched one patient to one control based on age, gender, region and educational level, ending up with 1487 matched pairs. In these 'matched' analyses, all exposures were calculated up to onset (of the patient) for both the patient and the matching control. The models were adjusted for smoking and alcohol (current, former and never). Fourth, above-mentioned models were based on complete case analyses, but we also multiply imputed the raw data of all PA-related data in the 'original' dataset, such as hours per week, start and stop year, as well as the confounding variables using multivariate imputation by chained equations and used Rubin's rules to combine the estimates.<sup>16 17</sup> Lastly, we investigated whether PA modifies the phenotype of ALS. Using bulbar or spinal site of onset as dependent variable in a multivariate model with the same confounders as previously described, we determined if the association between PA and ALS is different for bulbar and spinal patients. Additionally, we formally tested whether PA is associated with age at onset in patients using an interaction term between age of survey (for patients this is highly correlated with age at onset) and the lifetime MET scores. To calculate the MET scores for this specific analysis, we used the previously described formula without the activity duration (in years), because the duration of participation in an activity could be influenced when PA results in a younger age at onset.

The level of significance was set at  $P < 0.05$ , and hypothesis tests were two sided. The analyses were performed in R with the stats and psych package for the descriptive statistics, the lmtest package for the main analyses and the survival package for the 'matched' analyses.

## RESULTS

### Baseline characteristics and confounding factors

In total, 1557 patients and 2922 controls were included. Baseline characteristics of the study population per region can be found in [table 1](#). Since PA of military activities were difficult to quantify, these were not included in the MET calculation in the 28.7% of patients and 29.7% of controls who were engaged in active military service ( $\chi^2$  test:  $P = 0.51$ ). Compared with controls, patients were more often smokers, they also smoked more (in pack-years) and drank less alcohol ([table 1](#)).

**Table 1** Baseline characteristics

	Ireland		The Netherlands		Apuglia		Lombardy		Piedmont and Valle d'Aosta	
	Patient	Control	Patient	Control	Patient	Control	Patient	Control	Patient	Control
n	177	349	791	1880	141	213	186	190	262	290
Male gender, %	59.9	60.5	60.4	59.9	57.4	53.1	53.8	53.2	52.7	52.8
Bulbar site of onset, %	23.7		35.3		26.5		29.6		37.0	
Age at onset, years	63.4 (11.5)		62.8 (10.4)		61.8 (10.8)		63.6 (10.6)		64.2 (10.8)	
Age at diagnosis, years	64.6 (11.5)		63.9 (10.4)		63.3 (10.8)		64.6 (10.6)		65.3 (10.8)	
Age at survey, years	65.1 (11.5)	65.4 (11.0)	64.2 (10.3)	64.0 (9.6)	63.9 (10.8)	63.8 (11.7)	65.3 (10.6)	65.5 (11.1)	65.8 (10.8)	64.2 (11.7)
EEC, %										
Definite	52.4		18.8		33.3		21.1		26.7	
Probable	28.6		41.1		36.2		41.6		28.6	
Laboratory supported probable	0		25.0		2.2		17.3		17.2	
Possible	19.0		15.1		28.3		20.0		27.5	
Education, %										
ISCED 5–8	16.4	21.8	26.0	28.3	7.6	9.9	9.3	36.4	6.3	15.9
ISCED 0–4	83.6	78.2	74.0	71.7	92.4	90.1	90.7	63.6	93.7	84.1
<i>C9orf72</i> repeat expansion*, %	9.2		9.7		NA		8.8		8.8	
Smoking, %										
Current	19.4	11.2	21.5	13.8	22.1	21.0	19.8	18.0	18.9	15.6
Former	36.6	40.2	45.9	52.6	33.6	26.7	36.3	34.4	28.7	34.6
Never	44.0	48.6	32.6	33.6	44.3	52.4	44.0	47.6	52.4	49.8
Pack-years	15.6 (25.8)	13.5 (22.0)	13.0 (16.8)	11.1 (15.1)	15.3 (25.4)	14.5 (22.9)	13.9 (19.0)	10.2 (18.6)	12.0 (18.9)	11.5 (18.4)
Alcohol, %										
Current	72.7	75.4	78.1	87.7	54.3	40.5	59.6	62.7	56.4	61.3
Former	10.5	5.9	8.0	4.0	7.9	2.4	7.6	3.0	6.8	6.3
Never	16.9	18.6	13.9	8.3	37.8	57.1	32.7	34.3	36.8	32.4
Lifetime MET scores										
Leisure time activities†	1.82 (2.29)	1.35 (1.50)	1.39 (1.99)	1.34 (1.47)	0.46 (0.98)	0.37 (0.83)	0.81 (1.41)	0.75 (1.62)	1.01 (1.20)	0.98 (1.32)
Occupational activities	4.67 (3.90)	3.93 (3.02)	3.22 (2.52)	3.21 (2.53)	4.28 (4.33)	2.88 (2.96)	3.52 (2.88)	2.32 (1.85)	4.03 (2.58)	3.37 (2.33)
All activities‡	6.23 (4.51)	5.28 (3.68)	4.64 (3.34)	4.57 (3.01)	4.87 (4.30)	3.32 (3.18)	4.43 (3.39)	3.16 (2.57)	5.05 (2.85)	4.41 (2.81)

Values are expressed as mean±SD when appropriate.

\*Missings in *C9orf72*: 4 (2.3%) in Ireland, 57 (7.2%) in The Netherlands, 139 (98.6%) in Apuglia, 106 (57.0%) in Lombardy, 0 (0%) in Piedmont and Valle d'Aosta.

†Leisure time activities: combination of sports and hobbies.

‡All activities: combination of sports, hobbies and occupations.

EEC, El Escorial Criteria; ISCED, International Standard Classification of Education; MET, metabolic equivalent of task; NA, not applicable.

## Physical activity and ALS risk

Table 2 shows that lifetime PA was found to increase ALS risk in the complete case dataset, for leisure time (sports and hobbies; OR 1.07, 95% CI 1.02 to 1.12, P=0.01), occupational activities (OR 1.06, 95% CI 1.03 to 1.09, P<0.001) and for all activities combined (OR 1.06, 95% CI 1.04 to 1.09, P<0.001). In addition, excluding smoking and alcohol from the model, we found similar effects: leisure time: OR 1.05, 95% CI 1.00 to 1.10, P=0.06; occupational activities: OR 1.06, 95% CI 1.03 to 1.09, P<0.001; and

all activities combined: OR 1.06, 95% CI 1.03 to 1.09, P<0.001. As shown in figure 1, the ORs from the different regions point consistently in the same direction, but results are heterogeneous: an effect of PA increasing the risk of developing ALS is most evident in the Irish and Italian cohorts and less in the Dutch cohort. Adding other occupational exposures to the model for occupational activities left the risk estimates relatively unchanged (OR 1.04, 95% CI 1.00 to 1.07, P=0.05). The association between PA and ALS was found to be linear: the more active a person has been, the higher their risk of having ALS (figure 2).

**Table 2** ORs for lifetime physical activities

	Main analyses*		One-to-one matched analyses†	
	OR (95% CI)	P Value	OR (95% CI)	P Value
Leisure time activities	1.07 (1.02 to 1.12)	0.01	1.11 (1.04 to 1.18)	0.002
Occupational activities	1.06 (1.03 to 1.09)	<0.001	1.08 (1.03 to 1.12)	<0.001
All activities	1.06 (1.04 to 1.09)	<0.001	1.07 (1.03 to 1.12)	0.001

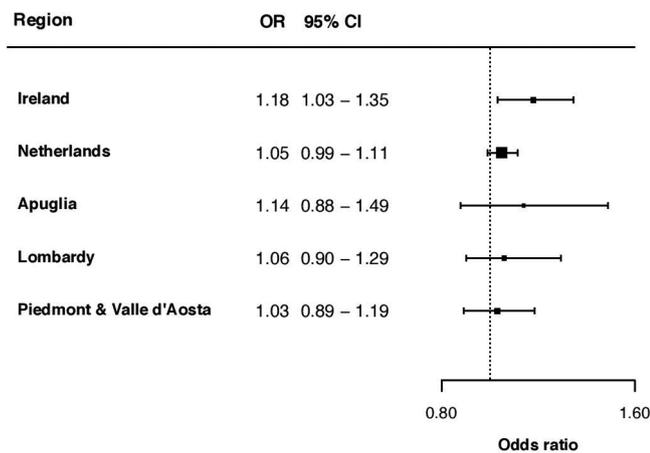
\*Main analyses adjusted for age, gender, education, smoking, alcohol and region.

†One-to-one match on age, gender, education and region, adjusted for smoking and alcohol.

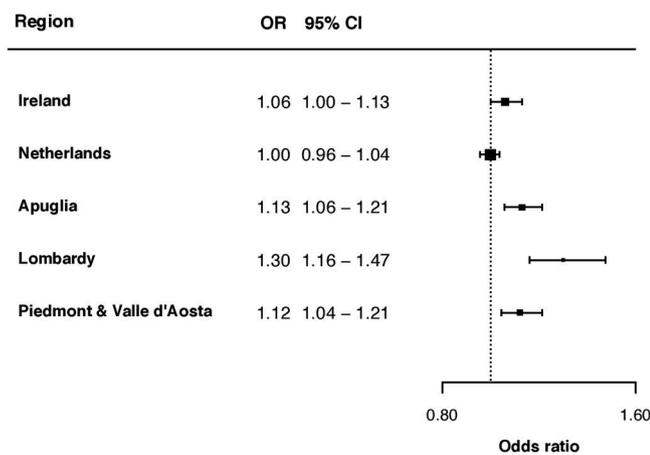
## Sensitivity analyses

We found no effect modification by gender as indicated by the absence of model improvements when an interaction term between PA and gender was included (P values for the different categories of PA >0.05; data not shown). Excluding *C9orf72* patients, we found similar effect sizes for leisure time activities (OR 1.07, 95% CI 1.02 to 1.13, P=0.01), occupations (OR 1.06, 95% CI 1.03 to 1.09, P<0.001) and for all activities combined (OR 1.07, 95% CI 1.04 to 1.10, P<0.001). Maximal adjustment for educational differences between patients and controls using the one-to-one matched model resulted in a

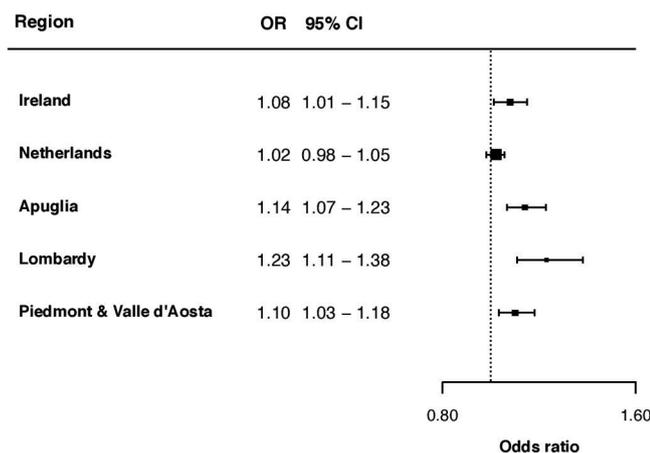
A. Leisure time



B. Occupations

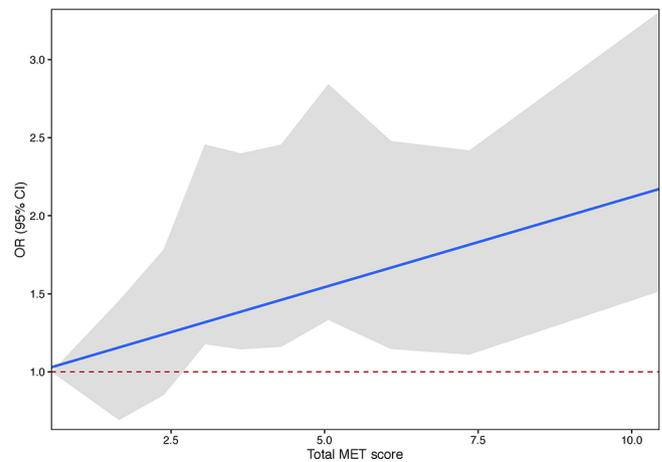


C. All



**Figure 1** Forest plot showing centre-specific ORs and 95% CIs for physical activity and ALS, adjusted for age, gender, education, smoking, alcohol and centre. (A) Leisure time activities: combination of sports and hobbies. (B) Occupations. (C) All activities: combination of sports, hobbies and occupations. ALS, amyotrophic lateral sclerosis.

minimal increase in ORs for leisure time (OR 1.11, 95% CI 1.04 to 1.18,  $P=0.002$ ), occupational activities (OR 1.08, 95% CI 1.03 to 1.12,  $P<0.001$ ) and all activities combined (OR 1.07, 95% CI 1.03 to 1.12,  $P=0.001$ ; [table 2](#)). Moreover, after imputation, the ORs remained similar for leisure time activities (OR



**Figure 2** The risk of amyotrophic lateral sclerosis (OR) associated with a certain lifetime MET score of all activities combined (in the complete case dataset) using a lifetime MET score of zero as the reference. MET, metabolic equivalent of task.

1.03, 95% CI 1.00 to 1.06), occupations (OR 1.06, 95% CI 1.03 to 1.08) and the activities combined (OR 1.04, 95% CI 1.02 to 1.06). PA did not influence the ALS phenotype as expressed by the site of onset or age at onset (data not shown).

**DISCUSSION**

In this large, multicentre, population-based case-control study, we found a linear association between lifetime PA and the risk of ALS. The increase of ALS risk associated with PA was independent of important confounders, such as age, gender, education, smoking and alcohol, gathered using a validated and methodologically harmonised questionnaire.

Through this multicentre collaboration and by examining different categories of PA (leisure time or occupational), we were able to gain greater insight into population heterogeneity and specific categories of activities as possible explanations for the conflicting earlier reported results. We found the association between PA and ALS to be most evident in the Irish and Italian cohorts. Although the forest plots showed some heterogeneity between the five regions, the patterns among the different categories of activities were divergent. This observation makes heterogeneity due to a systematic measurement bias, such as face-to-face interviews in Ireland and Italy and self-administered questionnaires in The Netherlands, unlikely.

The effect of occupational PA on ALS is similar after correction for other occupational exposures and also leisure time activities increase the risk of having ALS. These findings indicate that PA is not simply a proxy for exposures that increase the risk of ALS in active jobs, for example, exposure to pesticides when performing heavy work as a farmer. However, unaccounted confounding by medical events (trauma) or diet<sup>18–20</sup> cannot be ruled out, nor some metabolic or energy deficit that is activity induced, which might render motor neurons susceptible.

We found a linear association between PA and ALS risk supporting the hypothesis that vigorous PA increases the risk of ALS most significantly. This is in line with reports that describe a higher prevalence of patients with ALS among (former) professional athletes.<sup>21–26</sup> Previous studies, showing a positive association between leisure time or occupational PA and ALS risk or mortality,<sup>21 27–30</sup> mostly found associations with strenuous activities only.<sup>21 29–31</sup> In our multicentre, cross-cultural, population-based study including 1557 patients with ALS and 2922 controls, however, we also found a

significant increase in ALS risk for persons who exercise recreationally (moderate activity).

We could only speculate on the mechanism by which PA leads to ALS. As is becoming increasingly recognised, ALS is a disorder of the brain (neocortex) and more specifically of cortical networks.<sup>32,33</sup> The hypothesis about the role of cortical mechanisms underlying the association between PA and ALS is clinically supported by the finding of concordance between hand dominance (relatively greater use than the other hand) and upper limb onset.<sup>34</sup>

Our findings are at variance with recent analyses within a large European cohort study, for which a weakly inverse association between total PA and ALS mortality was reported.<sup>35</sup> Also in a previous case-control study, including 652 patients and 1166 controls, without overlap of included participants with this study, done in five European countries (Italy, Ireland, UK, France and Serbia),<sup>36</sup> an inverse correlation was found between leisure time PA and ALS. Data were adjusted for age, education, smoking, alcohol and centre as in the current study, and for traumatic events. The difference could, at least in part, be explained by the adverse effects of trauma. Traumatic injury acted as effect modifier in that study: those who were not physically active, but did experience traumatic injuries, were at risk of developing ALS. As history of trauma has been associated with an increased risk of ALS in some studies,<sup>37</sup> a possible role of trauma as a risk factor cannot be entirely excluded. However, adjusting for ever having experienced a traumatic injury in our study did not change the odds ratios for PA (data not shown). This could be investigated further in prospective studies not tainted by recall bias.

Strengths of our study are the relatively large sample size of clinically confirmed patients and population-based controls, availability of the *C9orf72* genotype in most patients, the use of the same definitions of exposures and the harmonised methods for data collection across centres.

The increased risk associated with PA found in our study may suggest that this is not a major factor in the pathogenesis of ALS. An OR of 1.06 for all activities combined can be translated in a 26% increase in risk when comparing a person who is more active than average (as defined by the 75th percentile) and a person who is less active than average (as defined by the 25th percentile). PA may have a larger effect in presently unidentified, potentially genetically enriched, subgroups of patients. The ORs are consistent between sensitivity analyses, which we performed to study the effect of educational level, *C9orf72* genotype or missing data on the association between PA and ALS risk. The fact that we found similar effect sizes in the complete case analyses and the analyses with the imputed data suggests that the missings are not merely dependent on how active a person has been, and although we had missing data on *C9orf72* for 41.6% of the Italian patients (missings per region/centre: 98.6% in Apulia/Bari, 57.0% in Lombardy/Milan, 0% in Piedmont and Valle d'Aosta/Turin), the ORs did not change for the Irish (2.3% missing) and Dutch (7.2% missing) cohorts after exclusion of patients with a *C9orf72* repeat expansion (data not shown). The genetic background may differ between the five cohorts studied, for example, mutations in the gene encoding Cu/Zn superoxide dismutase (*SOD1*) are common in Italy but rare in Ireland and The Netherlands.<sup>38–40</sup> Differences in the effect of gene-environment interaction due to variable genetic background of the five regions could explain the heterogeneity of results between the five regions in our study.

A limitation of this study could be a possible influence of recall bias on the results, which might explain the variance in the results when compared with previous studies. However, to minimise the role of recall bias, patients were kept unaware of the objectives of the study. For example, instead of asking participants directly

how active they have been in leisure time or occupational activities, recall bias was reduced by using the Compendium of Physical Activities to quantify PA objectively. Another limitation could be the presence of interview bias as interviews were done by research assistants. Interview bias is difficult to control for because a blind interview is not feasible when one is in direct contact with patients with ALS. To minimise interview bias, research assistants did not participate in research meetings or analyses of results. In addition to the strengths mentioned above, other strengths are the use of a questionnaire carefully validated in Dutch, Italian and English, the population-based setting with controls being representative of the general population and relatively complete data on a number of possible confounders gathered by using the same methods of collection.

In this study, we provide new class I evidence for a linear association between PA and risk of ALS in a large multicentre, population-based, cross-cultural, case-control study using harmonised methodology to objectively quantify PA levels, with some suggestions of population differences. Overall, PA has been demonstrated to be protective against many diseases including cardiovascular disease, diabetes and a variety of cancers. Decreasing the risk of these common conditions may be a trade-off with increasing the risk of a relatively rare disease such as ALS.

#### Author affiliations

<sup>1</sup>Department of Neurology, Brain Centre Rudolf Magnus, University Medical Centre Utrecht, Utrecht, The Netherlands

<sup>2</sup>Academic Unit of Neurology, Trinity Biomedical Sciences Institute, Trinity College, Dublin, Ireland

<sup>3</sup>"Rita Levi Montalcini" Department of Neuroscience, University of Torino, Turin, Italy

<sup>4</sup>Division of Environmental Epidemiology, Institute for Risk Assessment Sciences (IRAS), Utrecht University, Utrecht, The Netherlands

<sup>5</sup>Department of Neuroscience, IRCCS – Istituto di Ricerche Farmacologiche "Mario Negri", Milan, Italy

<sup>6</sup>Unit of Neurodegenerative Diseases, Department of Clinical Research in Neurology, University of Bari "Aldo Moro", Pia Fondazione Cardinale G. Panico, Lecce, Italy

<sup>7</sup>Department of Basic Medical Sciences, Neurosciences and Sense Organs, University of Bari "Aldo Moro", Bari, Italy

**Correction notice** Since this paper was first published online, Nilo Riva has been added as a collaborator.

**Collaborators** SCILLA Working Group collaborators: Andrea Bruno, MD (Department of Nuclear Medicine, Papa Giovanni XXIII Hospital, Bergamo, Italy); Carlo De Fanti, MD, Sara Fascendini, MD, Angela Tomasoni, MD (European Foundation for Biomedical Research, Alzheimer Center of Excellence, Briolini Hospital of Gazzaniga, Bergamo, Italy); Marco Arnaboldi, MD, Manuela Valsecchi, MS (Department of Neurology and Stroke Unit, Sant'Anna Hospital, Como, Italy); Massimo Moleri, MD (Neurology Unit, San Francesco Clinic, Bergamo, Italy); Marco Poloni, MD, Dario Alimonti, MD (Department of Neurology, Papa Giovanni XXIII Hospital, Bergamo, Italy); Fabrizio Tagliavini, MD, Veronica Redaelli, MD, Giuseppe Di Fede, MD, Ilaria Bizzozero, PsyD, Sara Prioni, PsyD (Division of Neurology V/Neuropathology, Scientific Institute for Research, Hospitalization, and Care (IRCCS), Foundation "Carlo Besta" Neurological Institute, Milan, Italy); Euro-MOTORconsortium: Anneke J van der Kooij, MD, PhD (Department of Neurology, Amsterdam Medical Center, University of Amsterdam, Amsterdam, The Netherlands); Joost Raaphorst, MD, PhD (Department of Neurology, Donders Institute for Brain, Cognition and Behavior, Center for Neuroscience, Radboud University Nijmegen Medical Center, Nijmegen, The Netherlands); Andrea Calvo, MD, PhD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Cristina Moglia, MD, PhD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Federico Casale, PhD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Antonio Canosa, MD, PhD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Umberto Manera, MD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Davide Bertuzzo, MD ("Rita Levi Montalcini" Department of Neuroscience, University of Torino, Torino, Italy); Letizia Mazzini, MD (ALS Centre, Department of Neurology, "Maggiore della Carità", University Hospital, Novara, Italy); Enrica Bersano, MD (ALS Centre, Department of Neurology, "Maggiore della Carità", University Hospital, Novara, Italy); Mark Heverin (Academic Unit of Neurology, Trinity Biomedical Sciences Institute, Trinity College, Dublin, Ireland); Alice Vadja, PhD (Academic Unit of Neurology, Trinity Biomedical Sciences Institute, Trinity College, Dublin, Ireland); Elisabetta Pupillo (Department

of Neurosciences, IRCCS, Mario Negri Institute of Pharmacological Research, Milan, Italy); Giancarlo Comi, MD; Nilo Riva MD, (IRCCS San Raffaele Hospital, Milan, Italy); Christian Lunetta, MD (NEMO Clinical Center, Serena Onlus Foundation, Niguarda Ca' Granda Hospital, Milan, Italy); Francesca Gerardi, DrBT (NEMO Clinical Center, Serena Onlus Foundation, Niguarda Ca' Granda Hospital, Milan, Italy); Massimiliano Filosto, MD (Civil Hospital of Brescia, Brescia, Italy); Maria Sofia Cotelli, MD (Civil Hospital of Brescia, Brescia, Italy); Fabrizio Rinaldi, MD (Civil Hospital of Brescia, Brescia, Italy); Luca Chiveri, MD (Ospedale Valduce, Como, Italy); Maria Cristina Guaita, MD (A.O. Civil Hospital, Legnano, Italy); Patrizia Perrone, MD (A.O. Civil Hospital, Legnano, Italy); Ceroni Mauro, MD (IRCCS National Neurological Institute "C.Mondino", Pavia, Italy); Luca Diamanti, MD (IRCCS National Neurological Institute "C.Mondino", Pavia, Italy); Carlo Ferrarese, MD (University of Milano-Bicocca, "San Gerardo" Hospital, Monza, Italy); Lucio Tremolizzo, MD (University of Milano-Bicocca, "San Gerardo" Hospital, Monza, Italy); Maria Luisa Delodovici, MD ("Ospedale di Circolo, Fondazione Macchi", Varese, Italy); Giorgio Bono, MD ("Ospedale di Circolo, Fondazione Macchi", Varese, Italy); Chiara Zecca, DrBiol (Department of Clinical Research in Neurology, University of Bari "A. Moro" at Pia Fondazione "Card. G. Panico", Tricase, Italy); Rosanna Tortelli, MD (Department of Clinical Research in Neurology, University of Bari "A. Moro" at Pia Fondazione "Card. G. Panico", Tricase, Italy).

**Contributors** AEV: study concept and design, data collection and processing, statistical analyses, contribution to the analysis and interpretation of data and writing of the manuscript. JPKR and FD: study concept and design, data collection and processing, statistical analyses, contribution to the analysis and interpretation of data, revision of the manuscript for important intellectual content. HJW: study concept and design, statistical analyses, contribution to the analysis and interpretation of data, revision of the manuscript for important intellectual content. RCHV: study concept and design, contribution to the analysis and interpretation of data, revision of the manuscript for important intellectual content and provided study supervision. EB, AC, GL, OH, JHV and LHvdB: study concept and design, data collection and processing, contribution to the analysis and interpretation of data, revision of the manuscript for important intellectual content, provided study supervision and obtained funding.

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