



## Precession and atmospheric CO<sub>2</sub> modulated variability of sea ice in the central Okhotsk Sea since 130,000 years ago

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### ABSTRACT

Recent reduction in high-latitude sea ice extent demonstrates that sea ice is highly sensitive to external and internal radiative forcings. In order to better understand sea ice system responses to external orbital forcing and internal oscillations on orbital timescales, here we reconstruct changes in sea ice extent and summer sea surface temperature (SSST) over the past 130,000 yrs in the central Okhotsk Sea. We applied novel organic geochemical proxies of sea ice (IP<sub>25</sub>), SSST (TEX<sub>186</sub><sup>L</sup>) and open water marine productivity (a tri-unsaturated highly branched isoprenoid and biogenic opal) to marine sediment core MD01-2414 (53°11.77'N, 149°34.80'E, water depth 1123 m). To complement the proxy data, we also carried out transient Earth system model simulations and sensitivity tests to identify contributions of different climatic forcing factors. Our results show that the central Okhotsk Sea was ice-free during Marine Isotope Stage (MIS) 5e and the early-mid Holocene, but experienced variable sea ice cover during MIS 2–4, consistent with intervals of relatively high and low SSST, respectively. Our data also show that the sea ice extent was governed by precession-dominated insolation changes during intervals of atmospheric CO<sub>2</sub> concentrations ranging from 190 to 260 ppm. However, the proxy record and the model simulation data show that the central Okhotsk Sea was near ice-free regardless of insolation forcing throughout the penultimate interglacial, and during the Holocene, when atmospheric CO<sub>2</sub> was above ~260 ppm. Past

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sea ice conditions in the central Okhotsk Sea were therefore strongly modulated by both orbital-driven insolation and CO<sub>2</sub>-induced radiative forcing during the past glacial/interglacial cycle.

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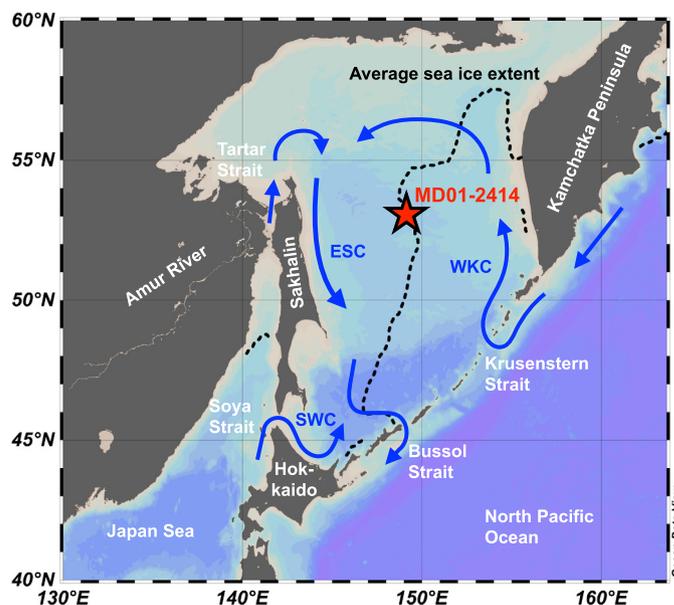
## 1. Introduction

Sea ice is one of the crucial components of the Earth's climate system, in part, due to its high reflectance or albedo, which influences energy budgets at both high and low latitudes (Serreze et al., 2016; Turner et al., 2016). In addition, brine rejection during seasonal sea ice formation supplies dense and well ventilated water to global deep/intermediate water circulation, while sea ice melt in spring causes stratification between near-surface and deeper water masses. Furthermore, the area bound by the retreating sea ice margin during spring and summer (the so-called marginal ice zone) represents a region of significant open water (pelagic) productivity.

Major changes in the extent and thickness of sea ice across the Arctic-subarctic and Antarctic regions during the last three to four decades have been revealed by direct and remote sensing observations (Serreze et al., 2016; Turner et al., 2016). In the Arctic, the average reduction rate in September sea ice extent, 13.4% per decade during 1981–2010, is higher than predicted by most model simulations (Serreze et al., 2016). This discrepancy emphasizes the limited knowledge of the high-latitude climate system to radiative perturbations and natural variability. In addition, a slow overall increase in annual mean sea ice extent in the Southern Ocean (ca. 1.6% per decade during 1979–2013), raises further questions regarding the relationships between anthropogenic radiative forcings, temperature, winds, and sea ice cover (Turner et al., 2016).

The Okhotsk Sea in the subarctic Pacific Ocean has responded rapidly to recent climate change (Mesquita et al., 2011; Kashiwase et al., 2014) and has been shown previously to be dynamically connected to Northern Hemispheric cooling events (e.g. Heinrich and Dansgaard–Oeschger (D/O) events) during the last glacial period (Ono et al., 2005; Sakamoto et al., 2005; Harada et al., 2008; Max et al., 2012, 2014). For example, Harada et al. (2008) demonstrated a strong link between a  $U_{37}^K$ -derived sea surface temperature (SST) record and Greenland Ice Sheet Project 2 ice core D/O events back to ~120 ka in the southwestern Okhotsk Sea. Further, sedimentary magnetic mineral and composition data have been used to reconstruct sea ice derived ice rafted debris (IRD) in the same region (Sakamoto et al., 2005) with larger fluctuations during the last glacial period (Marine Isotope Stage, MIS 2–4) compared to interglacial periods (Holocene and MIS 5) for the southwestern Okhotsk Sea. Also within the study region, freshwater input from the Amur River and polar atmospheric dynamics are potential candidates responsible for controlling sea ice dynamics in the southwestern Okhotsk Sea (Sakamoto et al., 2005; Harada et al., 2008). Previous low resolution sea ice reconstructions based on the Arctic sea ice biomarker proxy IP<sub>25</sub> (Ice Proxy with 25 carbon atoms, Belt et al., 2007; Belt and Müller, 2013), when combined with compiled SST data, have shown that sea ice extent variations in the central-west subarctic Pacific Ocean are tightly link to Atlantic meridional overturning circulation and atmospheric circulation between North Atlantic and North Pacific during the last termination (Max et al., 2012, 2014). More recently, Méheust et al. (2016) used IP<sub>25</sub> and other geochemical proxies to show that sea ice expanded significantly during Heinrich event 1 (H1) and the Younger Dryas (YD) in the western Bering Sea, in contrast to the Bölling-Allerød (B/A) and early Holocene, which experienced low/absent sea ice.

Despite the importance of its location and role in the subarctic sea ice system, very few studies have been reported from the cen-



**Fig. 1.** Site location. Core MD01-2414 (53°11.77'N, 149°34.80'E, water depth 1123 m) is located in the Okhotsk Sea. The blue arrows indicate surface water circulation (WKC: West Kamchatka Current, ESC: East Sakhalin Current, and SWC: Soya Warm Current) and the dashed black line denotes the average position of modern seasonal sea ice extent, during the months of November to June. This map was generated with Ocean Data View (GMT) version 5 (Schlitzer, 2017).

tral region of the Okhotsk Sea (Liu et al., 2006; Wang and Wang, 2008; Chou et al., 2011) and no detailed sea ice reconstructions have been conducted on orbital timescales. As such, the roles of insolation (external) and greenhouse gas radiative forcing (internal) on sea ice variation remain poorly understood. Therefore, long-term reconstruction of sea ice extent in the central Okhotsk Sea would be especially informative in understanding the interaction of sea ice and external/internal climatic forcings.

In this study, we reconstruct variations in sea ice extent and summer SST (SSST) using organic geochemical proxies and compare the proxy-derived records with Earth system modeling results in order to identify controlling mechanisms and interactions between sea ice and atmospheric–oceanic forcings in the Okhotsk Sea during the past 130,000 yrs. Our results reveal a strong precession control and a potential greenhouse gas induced radiative forcing threshold on sea ice variations since the penultimate peak interglacial period.

## 2. Regional setting

The Okhotsk Sea represents the southernmost region of contemporary seasonal sea ice formation in the Northern Hemisphere and has experienced a large decline rate of 11.4% per decade in sea ice extent during the past three decades (Kashiwase et al., 2014). The regional current system is affected by the north- and south-ward flowing West Kamchatka Current (WKC), East Sakhalin Current (ESC), salty-warm Soya Warm Current (SWC) and freshwater input from the Amur River (Fig. 1). The SSST is in the range 5–13 °C, while salinity varies between 31.5 to 33.2‰, being influenced mostly by the Amur River discharge (Luchin et al., 2009). Sea ice easily forms on the shallow continental shelves in the north-west Okhotsk Sea and is also influenced by the large fresh

water input by the Amur River. As a result, the Okhotsk Sea is the southernmost region of subarctic sea ice distribution in the world (Kimura and Wakatsuchi, 2004; Nishioka et al., 2014).

### 3. Material and methods

#### 3.1. Sediment core MD01-2414 and surface sediments

Marine sediment core MD01-2414 (53°11.77'N, 149°34.80'E, water depth 1123 m, total sediment length 52.76 m, Chou et al., 2011, Supplementary Fig. 1) was drilled from the Deryugin Basin during the circum-Pacific initiative cruise in 2001 as part of the IMAGES project (Fig. 1). The upper 235-cm segment was not suitable for XRF scanning due to its high water content (Liu et al., 2006). The main sediment composition is terrestrial detritus (from sand to silty clay) with diatom and rare calcareous (nannofossil and foraminifera) oozes (Liu et al., 2006; Wang and Wang, 2008; Chou et al., 2011). The ash layers and core gaps resulting from the coring process were eliminated to prevent bias when performing sedimentation rate calculations (Chou et al., 2011). In this study, we used sediment of the upper 700 cm to generate sea ice and SST histories covering the past 130,000-yr. To complement the long-term records, we also examined biomarker content in a number of surface sediments from the region (Supplementary Fig. 2, Supplementary Table 3).

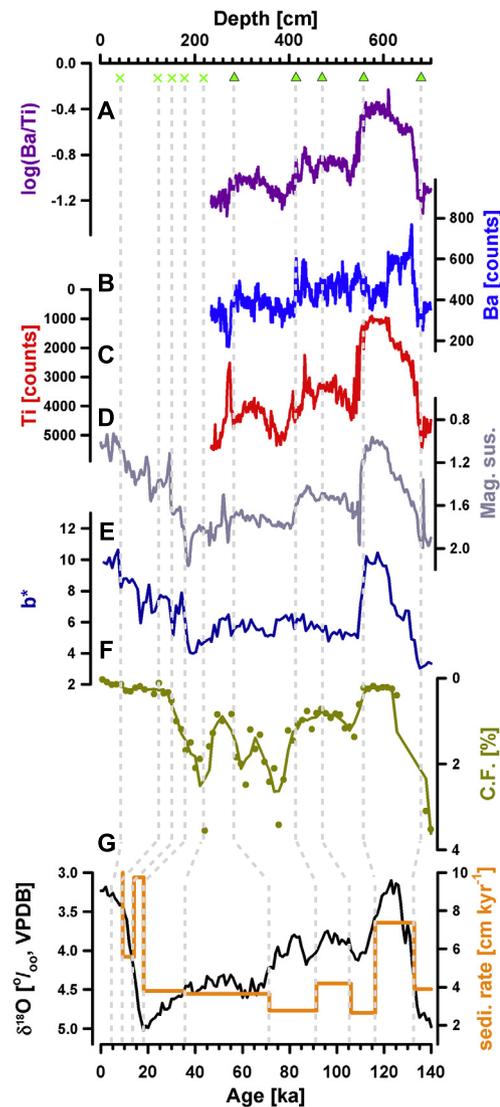
#### 3.2. Sediment core XRF scanning

Non-destructive X-ray fluorescence (XRF) scanning was performed by the ITRAX @ COX company. Continuous downcore measurements of elemental variations were done in the ITRAX-XRF Core Scanner Laboratory, Department of Geosciences, National Taiwan University (Huang et al., 2016). A U-channel of core MD01-2414 was scanned using the 3 kW Mo source. The XRF measurements were analyzed at 30 kV, 24 mA, 2 mm resolution with a 30 s exposure time. The original XRF spectra were processed by the Q-Spec software provided by COX Analytical Systems to obtain element peak areas in counts.

#### 3.3. Age model

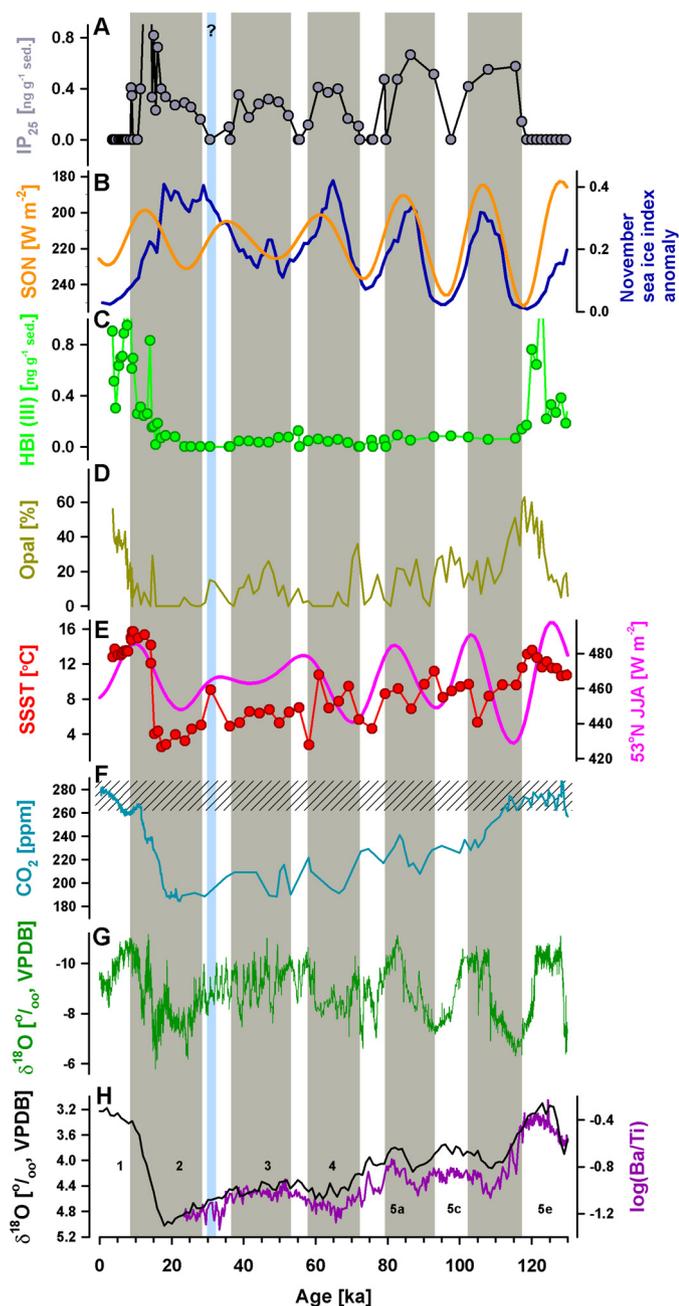
The MD01-2414 age model was established based on accelerator mass spectrometry radiocarbon (AMS  $^{14}\text{C}$ ) dates and XRF data correlation to the global composite benthic foraminiferal oxygen isotope curve (LR04, Fig. 3H; Lisiecki and Raymo, 2005). Samples of the planktonic foraminifera *Neogloboquadrina pachyderma* (>125  $\mu\text{m}$ , *sinistral*) were picked from five depths (33, 113, 143, 170, and 210 cm). AMS  $^{14}\text{C}$  dates were obtained by the College of Urban and Environmental Sciences, Peking University. AMS  $^{14}\text{C}$  dates were calibrated to calendar ages using CALIB 7.1 software (Reimer et al., 2013) with a reservoir age calculated from the Marine Reservoir Correction Database (<http://calib.qub.ac.uk/marine/>). Four sites near the Okhotsk Sea were selected (Reimer et al., 2013). The calculated weighted mean  $\Delta R$  value was  $450 \pm 90$  yrs. Radiocarbon results are listed in Supplementary Table 1.

ITRAX data show that Ba and Ti are negatively correlated with each other through the whole study section ( $r^2 = 0.58$ , Fig. 2). Ba and Ti intensities vary from 340–680 counts and 1100–4800 counts, respectively. ITRAX data have also been confirmed by traditional XRF measurements with lower time resolution (Liu et al., 2006). The  $\log(\text{Ba}/\text{Ti})$  ratio was correlated with LR04 for the upper 7-m and shows a good correlation with other physical parameters, including magnetic susceptibility (Chou et al., 2011), color reflectance (Bassinot and Chen, 2002) and coarse fraction (C.F., this study; Fig. 2). We assumed the  $\log(\text{Ba}/\text{Ti})$  ratio to represent a biological/terrestrial input ratio for our study site and



**Fig. 2.** Age model of Site MD01-2414 for the upper 7 m. (A) ITRAX scanned  $\log(\text{Ba}/\text{Ti})$  ratio, (B) Ba, (C) Ti, (D) magnetic susceptibility in log scale (Chou et al., 2011), (E)  $b^*$  (Bassinot and Chen, 2002), (F) coarse fraction (C.F., this study) in weight percent (this study), and (G) global benthic composite oxygen isotope curve (black curve, Lisiecki and Raymo, 2005) and sedimentation rate (orange). Dark yellow line represents 3-point running average. Green crosses and triangles represent radiocarbon dates and age model tie points, respectively.

this ratio varies with glacial/interglacial (G/IG) sea level changes due to global ice volume variations. During glacial periods, the west and north parts of the continental shelf were exposed in the Okhotsk Sea and more terrestrial sediment was transported to the central basin. On the other hand, during intervals of sea level rise, the central Okhotsk Sea became a region associate with a major biogenic bloom. Such biogenic/terrestrial distributions are supported by a previous surface sediment study (Strakhov et al., 1961). The similarity of the  $\log(\text{Ba}/\text{Ti})$  ratio of Site MD01-2414 to the LR04 is shown in Fig. 3H, and it persists for older intervals (Supplementary Fig. 1). The LR04 time is based on comparison to the Imbrie and Imbrie (1980) ice model, and the fit for the last glacial cycle is excellent between the model, LR04, and the  $\log(\text{Ba}/\text{Ti})$  ratio. The Imbrie and Imbrie (1980) model is delayed relative to insolation, and the Okhotsk Sea can be assumed to have reacted to insolation no more than large Northern Hemisphere ice sheets. A shift by half a precession cycle (or more) is, therefore, not considered realistic. Thus, we correlate  $\log(\text{Ba}/\text{Ti})$  directly to LR04, with no lags, and the magnetic susceptibility and major paleo-polar reversal events



**Fig. 3.** Geochemical proxy results from Site MD01-2414 during the past 130 ka. (A) IP<sub>25</sub> concentration in gray. (B) Model-derived Okhotsk Sea November sea ice index, and 53°N September–October–November (SON) insolation in orange (Laskar et al., 2004). (C) HBI (III) (green) concentration. (D) MD01-2414 opal content (dark yellow, Liu et al., 2006). (E) SST derived from TEX<sup>1</sup><sub>86</sub> in red and 53°N June–July–August (JJA) insolation in pink (Laskar et al., 2004). (F) Atmospheric CO<sub>2</sub> (Lüthi et al., 2008) in AICC2012 timescale (Veres et al., 2013). (G) Compiled Chinese speleothem  $\delta^{18}\text{O}$  record (Cheng et al., 2016). (H) XRF scanned log(Ba/Ti) in purple and LR04 benthic oxygen isotope curve in black (Lisiecki and Raymo, 2005). Semi-quantitative sea ice conditions from: sea ice retreat (white), sea ice expand (gray), and possible perennial sea ice (blue with question mark). Slash bar in (E) represents critical CO<sub>2</sub> threshold from 260 to 280 ppm. Marine isotope stages (MIS) are listed in Fig. 3H.

support this age model for the whole sediment section back to 1.55 million years ago (Supplementary Fig. 1).

The average sedimentation rate in core MD01-2414 is 9.5 cm kyr<sup>-1</sup> in the upper 235 cm, which indicates some piston stretching during the coring process. For the rest of the sections, sedimentation rates are 2–4 cm kyr<sup>-1</sup>, similar to that found for nearby sites (Gorbarenko et al., 2010; Nürnberg et al., 2011).

### 3.4. Sea ice and phytoplankton biomarkers

Biomarker analysis was concentrated on the sea ice proxy IP<sub>25</sub> (Belt et al., 2007; Belt and Müller, 2013) and a further highly branched isoprenoid (HBI) lipid associated with certain pelagic diatoms (HBI III; Belt et al., 2015). In the absence of a detailed surface sediment-based calibration, we refrained from using PIP<sub>25</sub> data and, instead, focus on the distributions of the individual biomarkers. Part of the interpretation described here is based around the absence of IP<sub>25</sub> in certain sediment horizons. In some previous investigations, absent IP<sub>25</sub> has been interpreted in terms of representing either ice-free or permanent ice cover, although this is likely an over-simplification, not least as IP<sub>25</sub> has been reported in regions of near permanent ice cover in the central Arctic Ocean (Xiao et al., 2015). To distinguish between these two extreme conditions of sea ice cover, here we use a combination of absent IP<sub>25</sub> alongside HBI III and biogenic opal data (Liu et al., 2006), the latter parameter having been used previously in the neighboring western Bering Sea to identify intervals of higher productivity associated with open water settings (Méheust et al., 2016). More recently, the combined IP<sub>25</sub>, HBI III and biogenic opal approach was used to reveal changes in sea ice dynamics across the Mid-Pleistocene Transition, also from the Bering Sea (Detlef et al., in press). Further indications of ice-free settings have been inferred from accompanying SST data, also described herein.

IP<sub>25</sub> and HBI III concentration data were obtained using methods described previously (e.g. Belt et al., 2015). Briefly, 2–3 g of freeze dried sediment material was extracted (dichloromethane/methanol; 3 × 12 mL; 2:1 v/v) by ultrasonication (15-min) and centrifugation (2500 rpm; 1 min) following addition of internal standards (9-octylheptadec-8-ene, 9-OHD, 10  $\mu\text{L}$ ; 10  $\mu\text{g mL}^{-1}$ ) for quantification purposes. Dried (nitrogen) total organic extracts were re-dissolved in hexane (ca. 1 mL) and purified using column chromatography (silica), with IP<sub>25</sub> and HBI III (hexane; 6 mL) collected as a single fraction. Non-polar lipid fractions were further separated into saturated and unsaturated hydrocarbons using glass pipettes containing silver ion solid phase extraction material (Supelco Discovery® Ag-Ion). Saturated hydrocarbons were eluted with hexane (1 mL), while unsaturated hydrocarbons (including IP<sub>25</sub> and HBI III) were eluted with acetone (2 mL). All fractions were analyzed using gas chromatography–mass spectrometry (GC–MS) and operating conditions were as described previously (Belt et al., 2015). Mass spectrometric analyses were carried out either in total ion current or selected ion monitoring mode. Identification of individual lipids was achieved by comparison of their characteristic GC retention times and mass spectra with those of reference compounds. Lipid quantification was achieved by dividing peak area integrations of selected ions ( $m/z$  350 (IP<sub>25</sub>); 346 (HBI III)) by those of the internal standard ( $m/z$  350 (9-OHD)) in selected ion monitoring mode, with these ratios then normalized according to their respective instrumental response factors and sediment masses (Belt et al., 2015). Analytical reproducibility was monitored by co-analyzing homogenized sediment material with known biomarker abundance (every 14–16 sediment samples extracted, analytical error 7%,  $n = 6$ ). In surface sediment samples from the Okhotsk Sea, the occurrence of IP<sub>25</sub> and HBI III reliably reflect modern seasonal sea ice extent (Fig. 1, and Supplementary Fig. 2). Similar concentrations of HBI III in Holocene and MIS 5e indicate that the preservation is good throughout the study period (Fig. 3C).

### 3.5. TEX<sup>1</sup><sub>86</sub> summer sea surface temperature proxy

Sediment material (1–10 g) was freeze-dried and homogenized by mortar and pestle. The sediments were extracted by Dionex accelerated solvent extraction (DIONEX ASE 200) using a mixture of

dichloromethane (DCM)/methanol (MeOH) (9:1, v/v) at a temperature of 100 °C and a pressure of  $7.6 \times 10^6$  Pa. The extracts were separated by Al<sub>2</sub>O<sub>3</sub> column chromatography using hexane/DCM (9:1, v/v), hexane/DCM (1:1, v/v) and DCM/MeOH (1:1, v/v) as subsequent eluents. The polar fraction (DCM/MeOH) was dried under N<sub>2</sub>, dissolved in hexane/isopropanol (99:1, v/v), and filtered using a 0.4 μm Polytetrafluoroethylene filter prior to injection as described by Hopmans et al. (2016).

Glycerol dialkyl glycerol tetraethers (GDGTs) were analyzed using an Agilent 1260 ultra high performance liquid chromatography (UHPLC) coupled to a 6130 quadrupole mass selective detector in selected ion monitoring mode. Separation was achieved on two UHPLC silica columns (unbonded ethylene bridged hybrid hydrophilic interaction chromatography columns,  $2.1 \times 150$  mm, 1.7 μm; waters) in series, fitted with a  $2.1 \times 5$  mm pre-column of the same material (waters) and maintained at 30 °C. GDGTs were eluted isocratically using a two solvent gradient system (solvent A = hexane; solvent B = hexane:isopropanol (9:1, v/v)). Initial conditions employed 18% solvent B for 25-min followed by a linear gradient to 35% B over the next 25-min, then a linear gradient to 100% B over 30-min. Flow rate was 0.2 ml min<sup>-1</sup>, resulting in a maximum back pressure of 230 bar for this chromatographic system. Total run time was 90-min with a 20-min re-equilibration. Source settings were identical to Schouten et al. (2007). Detection was achieved using atmospheric pressure positive ion chemical ionization mass spectrometry analysis of the eluent. Conditions were: nebulizer pressure 60 psi, vaporizer temperature 400 °C, drying gas (N<sub>2</sub>), flow 6 L min<sup>-1</sup>, temperature 200 °C, capillary voltage -3 kV, corona 5 μA (~3.2 kV). GDGTs were detected via single ion monitoring of their [M + H]<sup>+</sup> ions ( $m/z = 1022, 1036, 1050, 1292, 1296, 1298, 1300, 1302$ ) and quantified by integration of the peak areas.

The TEX<sub>86</sub><sup>L</sup> proxy was proposed as a proxy to estimate SSST in the central Okhotsk Sea (Kim et al., 2010) and equations are listed as below:

$$\begin{aligned} \text{TEX}_{86}^L &= \log([\text{GDGT} - 2]) / ([\text{GDGT} - 1] + [\text{GDGT} - 2] + [\text{GDGT} - 3]) \\ \text{SSST-TEX}_{86}^L &= 46.9 + 67.5 \times \text{TEX}_{86}^L \end{aligned}$$

### 3.6. Time series analyses

Cross spectral analysis was done using AnalySeries (Paillard et al., 1996) and its implemented Blackman–Tukey method using a Bartlett window. Cyclic variations in the IP<sub>25</sub> and SSST data were identified (Supplementary Table 2; Fig. 5; Taner, 1992; Meyers, 2014). IP<sub>25</sub> lags precession by ca. 6-kyr (and lead Northern Hemisphere (NH) summer (June–July–August) insolation by a similar amount, Supplementary Table 2). Precession filters represent Taner filters using cut-off frequencies of 0.041 and 0.054 and a roll-off rate of 10<sup>54</sup> (Fig. 5; Taner, 1992; Meyers, 2014). Note that the limited length of the time series limits precise phase statements.

### 3.7. Model simulations

To study the time-evolving aspects of orbital and greenhouse gas-driven climate change during glacial cycles, we conducted transient numerical modeling experiments using the earth system model LOVECLIM (Goose et al., 2010). LOVECLIM is a coupled ocean–atmosphere–sea ice–vegetation model. The ocean–sea ice component of LOVECLIM consists of a free-surface Ocean General Circulation Model with a 3° × 3° horizontal resolution coupled to a dynamic-thermodynamic sea-ice model (Fichefet and Morales Maqueda, 1997). The ocean and the sea-ice model use identical horizontal grids. The sea-ice model allows for the presence of open

water areas (leads and polynyas) within an ice-covered ocean grid box. The fraction of a grid box covered by ice (sea-ice index) is computed from the heat budget of the open water area in this grid cell (Fichefet and Morales Maqueda, 1997). The sea-ice index is typically given as a number between zero and one with zero referring to ice-free conditions and one to a fully ice-covered grid cell.

The transient simulations were forced by time-dependent boundary conditions for orbital parameters, atmospheric greenhouse gas concentrations, NH ice sheet-orography and albedo following the methodology described in Timmermann et al. (2014). The time- and latitude-dependent orbital forcing was calculated according to Berger (1978). Atmospheric greenhouse gas concentrations (GHG) are prescribed according to reconstructions from EPICA Dome C for CO<sub>2</sub> (Lüthi et al., 2008) as well as CH<sub>4</sub> and N<sub>2</sub>O (EPICA community members, 2004). Orbital forcing and atmospheric GHG concentrations are updated every model year. The ice sheets in the NH are prescribed according to Ganopolski and Calov (2011). The forcing is applied with an acceleration factor of 5 which compresses 784,000 yrs into 156,000 model years. This acceleration factor is appropriate for quickly equilibrating surface variables. The model simulation presented here is an updated version of the one presented in Timmermann et al. (2014) and uses a higher climate sensitivity (~4 K per CO<sub>2</sub>-doubling). As a result, glacial–interglacial surface temperature amplitudes are simulated more realistically (Timmermann and Friedrich, 2016).

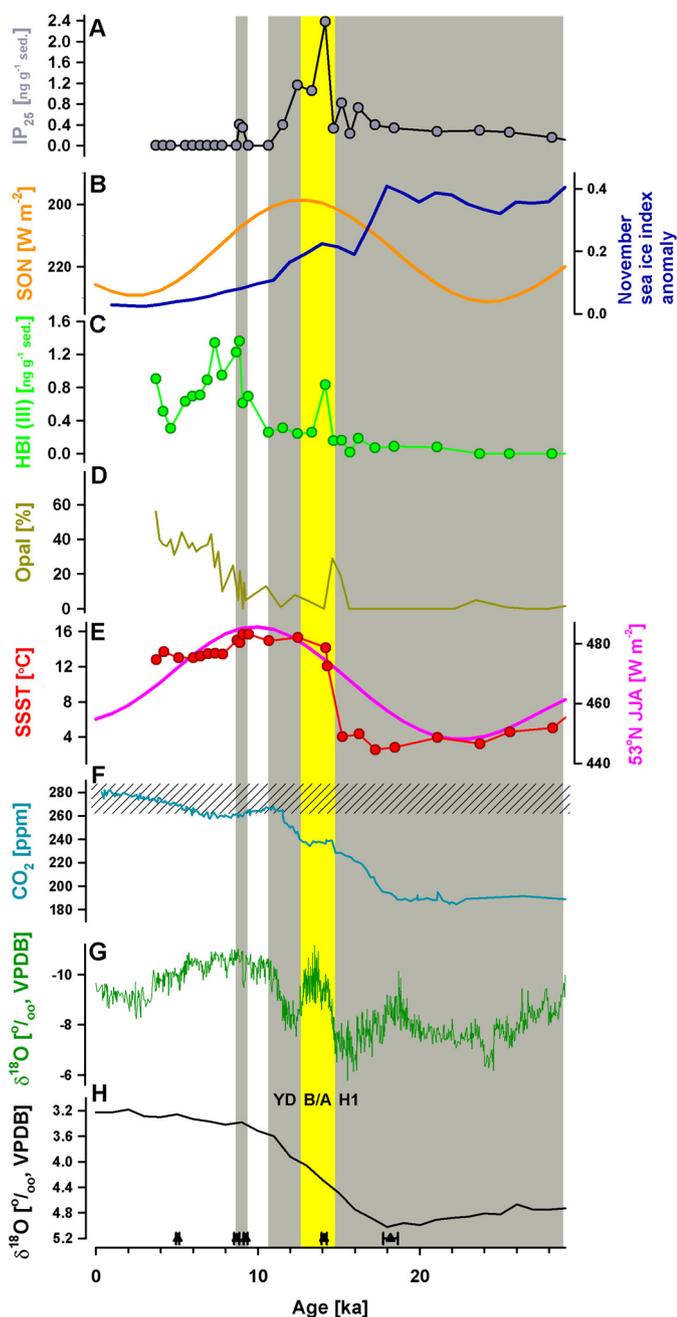
In addition to the full-forcing simulation described above, four sensitivity simulations were designed to elucidate the individual contributions by GHGs, NH ice sheets and orbital parameters to glacial–interglacial climate change. The first sensitivity simulation used transient forcing as described above but constant preindustrial atmospheric GHG concentrations. The “GHG effect” was then calculated as the difference between the simulation using the full forcing and this simulation. The second sensitivity simulation used transient forcing as described above but constant preindustrial NH ice sheets (extent and albedo). The “NH ice sheet effect” was calculated as the difference between the full-forcing simulation and this simulation.

The two remaining simulations were designed to study the role of orbital forcing under warm and cold climate respectively. For both simulations, transient orbital parameters are used. However, one simulation was run under constant pre-industrial atmospheric CO<sub>2</sub> concentration of 280 ppm whereas the second simulation uses a constant atmospheric CO<sub>2</sub> concentration of 200 ppm. It should be noted, however, that the forcing contributions cannot be deconvoluted entirely. Since variations in atmospheric GHG concentrations and NH ice sheets are actually feedbacks of the earth system, their temporal evolutions are ultimately driven by changes in orbital forcing.

## 4. Results and discussion

### 4.1. Subarctic Pacific summer sea surface temperature and sea ice variations

Organic geochemical proxy analysis shows dramatic G/IG cycles in both sea ice extent and SSST (Fig. 3A, E). The absence of IP<sub>25</sub> during MIS 5e (130–118 ka, Fig. 3B) and the majority of the Holocene (10–3 ka, Fig. 4), coupled with highest TEX<sub>86</sub><sup>L</sup>-derived SSST (10.7–13.6 °C, Fig. 3E) and open water productivity indicators (HBI III and biogenic opal; Fig. 3C, D), provide clear indication of ice-free conditions during these two interglacials. TEX<sub>86</sub><sup>L</sup>-based SSST values for the late Holocene section of MD01-2414 (12.8–13.7 °C) are similar to that of the modern temperature of 13 °C (Fig. 4) (Luchin et al., 2009; Seki et al., 2014),



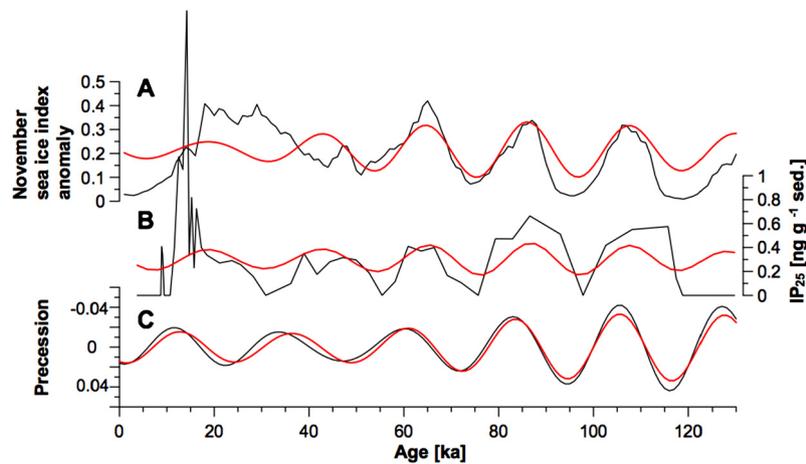
**Fig. 4.** Geochemical proxy results from Site MD01-2414 during the past 29 ka. Captions and color bars are the same as Fig. 3. Black triangles represent AMS  $^{14}\text{C}$  dates with  $2\sigma$  error bars in Supplementary Table 1. YD, B/A, and H1 represent Younger Dryas, Bølling-Allerød (yellow bar), and Heinrich stadial 1 periods, respectively.

while the absence of  $\text{IP}_{25}$  reflects that the study site was located beyond the position of recent average sea ice extent (Fig. 1; Supplementary Fig. 2). The modeled November sea ice index is also lowest during late MIS 5e and during the Holocene, while SSST data extracted from the simulations also reflect the trend of the  $\text{TEX}^{\text{L}}_{86}$ -derived SSST during the past 130 ka, albeit with a slight shift in absolute values (Supplementary Fig. 3). In contrast, the variable occurrence of  $\text{IP}_{25}$  and substantially lower SSST (3–4 °C) and concentrations of open water productivity indicators during 117–30 ka indicates that seasonal (at least) sea ice cover prevailed during most of the MIS 5d–5a and last glacial periods (Fig. 3A, E), supported further by the observation of maxima in the modeled November sea ice index (Fig. 3B).

#### 4.2. Precession-cycle control of Okhotsk sea ice change

When examined in more detail, the proxy and model-based sea ice extent in the central Okhotsk Sea during 117–30 ka shows a cycle of sea ice expansion and retreat that follows generally the same trend as local autumn insolation (53°N September–November, SON). In contrast, no satisfactory trend was identified between proxy/model data and other seasonal insolation (i.e. spring/summer/autumn). The general occurrence of  $\text{IP}_{25}$  throughout this interval is, however, punctuated by four intervals where  $\text{IP}_{25}$  is absent. For three of these (i.e. MIS 5c (~97 ka), 5a (~75 ka) and early MIS 3 (~55 ka)), HBI III is also relatively low (but quantifiable) and coincides with low-intermediate values of biogenic opal and SSST (Fig. 3). We interpret these findings as indicative of extremely low or absent sea ice at these times, with generally lower SST and productivity, at least in comparison with the relatively warm and productive MIS 5e and Holocene. In support of this conclusion, relatively low (November) sea ice extent is also observed in the modeled data (Figs. 3B, 4B). Although the boundary conditions associated with  $\text{IP}_{25}$  presence are not currently fully understood, the production of this biomarker by certain sympagic diatoms during the spring bloom (Brown et al., 2014) likely requires that sea ice cover extends at least beyond the winter months in order for this biomarker to provide a positive signature in underlying sediments. Further,  $\text{IP}_{25}$  concentration in surface sediments from other regions is generally positively related to the extent of the overlying spring sea ice cover, even though a lower limit threshold has not, as yet, been identified. Indeed, the majority of the previously reported  $\text{IP}_{25}$  data show lowest concentrations (bordering on limits of quantification in some cases) for subarctic locations characterized by low (e.g. <20%) spring sea ice extent, including the Okhotsk Sea (Supplementary Fig. 2), the neighboring Bering Sea (Méheust et al., 2013) and the Barents Sea in the eastern subarctic (Köseoglu et al., 2018). As such, it is feasible that some regions experiencing extremely low spring sea ice concentration (e.g. <10%), or sea ice of relatively short seasonality, may not be amenable for study using the  $\text{IP}_{25}$  method. Further, not all sea ice diatoms produce  $\text{IP}_{25}$ , even if the known producers are considered widespread (Brown et al., 2014). This potentially explains, in part, the absence of  $\text{IP}_{25}$  in the Holocene record of MD01-2414 despite previous reports of some sea ice diatoms during this interval from the same core (Wang and Wang, 2008).

An alternative interpretation of the biomarker data for the three intervals MIS 5c, 5a and early MIS 3 is that permanent sea ice cover prevailed at these times. However, we consider this suggestion unlikely for the following reasons. First, we consider this end-member interpretation for absent  $\text{IP}_{25}$  to be less robust than that of ice-free conditions, especially since  $\text{IP}_{25}$  has been identified in some regions of the central Arctic Ocean experiencing near permanent sea ice cover (Xiao et al., 2015). As such, there are a number of reasons why the interpretation of  $\text{IP}_{25}$  absence for sea ice reconstruction purposes is more challenging than that of its presence. Second, improvements to understanding the  $\text{IP}_{25}$  absence scenario can generally be made through parallel measurement of proxies characteristic of open water conditions, including some phytoplankton biomarkers (e.g. Müller et al., 2009; Belt and Müller, 2013; Belt et al., 2015; Hoff et al., 2016; Méheust et al., 2016). Here, non-zero concentrations of phytoplankton-derived HBI III (and moderate biogenic opal content) are observed during MIS 5c (~97 ka), 5a (~75 ka) and early MIS 3 (~55 ka) (Supplementary Fig. 4), which is difficult to rationalize in terms of permanent ice conditions. In fact, despite their relatively low values, the individual HBI III concentrations during these intervals are all higher than the mean value for the interval 117–30 ka (ca.  $0.05 \text{ ng g}^{-1}$ ), which is characterized mainly by variable seasonal sea ice cover (Supplementary Fig. 4). Such an observation is, there-



**Fig. 5.** Precession filters comparison between model and proxy data. Data (all black) of the modeled November Sea ice index (A), the  $IP_{25}$  (B) and precession (C), together with respective precession filters (all red, Taner filters using cut-off frequencies ( $1 \text{ kyr}^{-1}$ ) of 0.041 and 0.054 and a roll-off rate of  $10^{24}$ , Taner, 1992; Meyers, 2014) plotted versus time.

fore, more consistent with intervals of low/absent sea ice during MIS 5c, 5a and 3, and with generally lower SSST and productivity compared to MIS 5e and the Holocene (vide supra), each of which are bracketed by longer intervals of more extensive sea ice extent. Third, we note that although HBI III was present in all surface sediments from across the Okhotsk Sea (Supplementary Fig. 2), its concentration was highly dependent on location (Supplementary Table 3). However, the HBI III concentrations in two surface sediments from sites in the central Okhotsk Sea (i.e. near MD01-2414) that experience low sea ice cover in modern times, and where  $IP_{25}$  was also absent (i.e. stations 55-19-2 and 55-24-2; Supplementary Fig. 2 and Supplementary Table 3), are similar (ca.  $0.2\text{--}0.3 \text{ ng g}^{-1}$ ) to those found for intervals MIS 5c ( $\sim 97 \text{ ka}$ ), 5a ( $\sim 75 \text{ ka}$ ) and early MIS 3 ( $\sim 55 \text{ ka}$ ) in MD01-2414. These core-top data thus provide some potential context to those found for during MIS 5c, 5a and 3 in MD01-2414.

On the other hand, absent  $IP_{25}$  and low HBI III during certain intervals in MIS 2–4 may, instead, reflect increased biomarker degradation downcore. While this may be a contributing factor, the general occurrence of both  $IP_{25}$  and HBI III throughout MIS 2–4 and the subsequent large increase in HBI III concentration during MIS 5e to values similar to those seen in the late Holocene, suggests that any climatic influence likely exceeds that resulting from diagenesis (Stein et al., 2016). Higher concentrations of  $IP_{25}$  and HBI III in older sedimentary sequences (relative to those found in younger sections of the same cores) have also been reported in previous studies (e.g. Belt et al., 2015), where climatic influences were considered to be the controlling factors of biomarker distributions. As such, we conclude that the three intervals of absent  $IP_{25}$  during MIS 5c ( $\sim 97 \text{ ka}$ ), 5a ( $\sim 75 \text{ ka}$ ) and early MIS 3 ( $\sim 55 \text{ ka}$ ) represent periods of low/absent sea ice (as also seen in the modeled data), but otherwise relatively cool sea surface conditions and low productivity. These intervals coincide with precession minima and maximum insolation during autumn (Fig. 3B), which contrasts intervals of  $IP_{25}$  occurrence when insolation was lower.

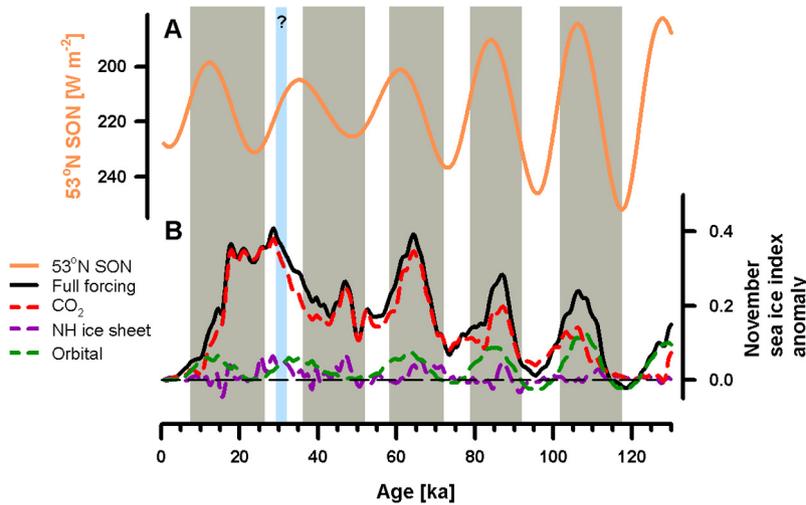
In contrast, we suggest that the absence of sea ice ( $IP_{25}$ ) and open water (HBI III) biomarkers at  $\sim 30 \text{ ka}$  is more consistent with the presence of perennial (or near-perennial) sea ice cover at this time, based on related findings and interpretations in previous studies from other regions (e.g. Müller et al., 2009; Hoff et al., 2016). Although our observation is based on only one data point, and the related SSST and biogenic opal data were not obtained from exactly the same sediment horizons (albeit both were relatively low), our interpretation is consistent with earlier records of lithological, magnetic, and floral assemblages

from the Okhotsk Sea (Sakamoto et al., 2005; Khim et al., 2012; Nürnberg et al., 2011) at  $\sim 30 \text{ ka}$  and from the neighboring Bering Sea (Max et al., 2014) based on  $IP_{25}$  and other geochemical data. Spatial and time differences within the Okhotsk Sea could be attributable to the different sources of IRD sediment source dynamics (Nürnberg et al., 2011) and further combined organic proxy, sedimentological, and inorganic geochemical analyses need to be conducted in different areas to evaluate the source dynamics during the past G/IG cycles in the Okhotsk Sea.

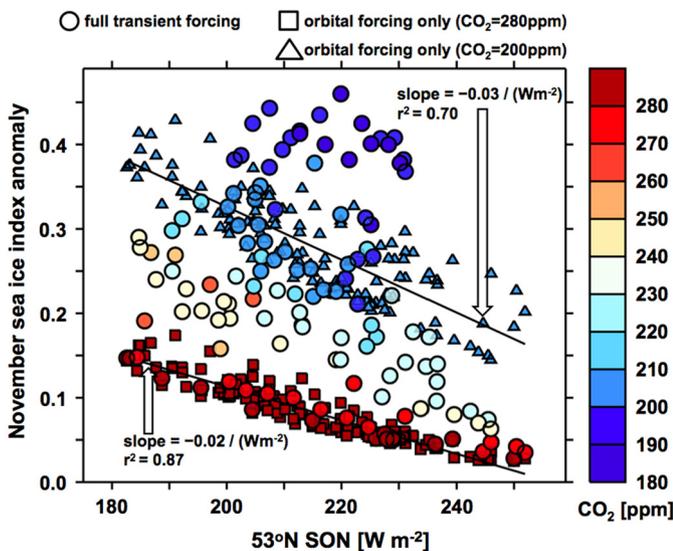
The variability in  $IP_{25}$  from 120 to 30 ka, therefore, likely reflects reversible transitions between very low ( $IP_{25}$  absent), seasonal ( $IP_{25}$  present and variable), and possibly near-perennial ( $IP_{25}$  absent) sea ice cover, with a cyclicity trend identified in the 20-kyr precession forcing (Fig. 5; Supplementary Table 2). These transitions are supported by previous and related observations in the northern Fram Strait during the last 22 ka (Müller et al., 2009) and Heinrich events in the Nordic Seas over the last 90 ka (Hoff et al., 2016).

Overall, the apparent local autumn insolation control on the sea ice extent in the Okhotsk Sea suggests sensitivity to external (insolation) forcing. Further, since current sea ice formation in the Okhotsk Sea generally occurs somewhat later than autumn (typically in December), a further outcome of these findings is that any positive identification of seasonal sea ice in the proxy (i.e.  $IP_{25}$ ) and modeled data likely implies intervals of earlier freeze-up, at least compared to modern times. Consistent with this, although  $IP_{25}$  is produced by certain diatoms during the spring, its sedimentary occurrence normally reflects regions of autumn sea ice presence (see Belt and Müller, 2013 for a review). Precession filters also align well between  $IP_{25}$  data and the model-derived November sea ice index (Fig. 5). However, the limited length and resolution of the time series make quantification of (cross-)spectral analyses challenging, and results rely mainly on the clear cyclic expression of proxy data as observed, filtered, and compared to autumn insolation (Figs. 5, 6, 8).

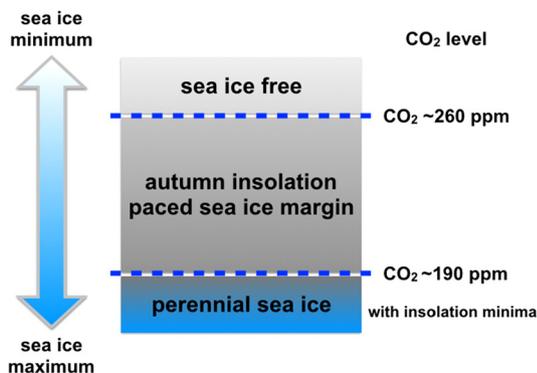
Freshwater input from the Amur River has also been proposed as a potential controlling factor of sea ice formation in the Okhotsk Sea in modern and last G/IG cycles (Sakamoto et al., 2005; Harada et al., 2008). Further, it has been suggested that there may have been a strong coupling between the East Asian summer monsoon (EASM) and the amount of freshwater input on millennial-orbital timescales. However, there is no clear relationship between the composite speleothem  $\delta^{18}\text{O}$  record reported by Cheng et al. (2016) and our  $IP_{25}$  record from the central Okhotsk Sea during the past 130 ka (Fig. 3A, G), even though both records exhibit a



**Fig. 6.** Individual contributions by variations in GHG, orbital parameters and NH ice sheets. (A) 53°N SON insolation (Laskar et al., 2004). (B) Transient model results for Okhotsk Sea November sea ice index anomaly with respect to 1-kyr for full-forcing (black solid), GHG-effect (red dashed), orbital effect (green dashed) and effect of NH ice sheet (purple dashed). Background shading and MIS numbers are the same as Fig. 3.



**Fig. 7.** Scatter plot of transient model results for Okhotsk Sea November sea ice index versus 53°N SON insolation ( $Wm^{-2}$ ) (Laskar et al., 2004) for full-forcing (circles) and orbital effect for cold and warm climates (squares and triangles). Corresponding atmospheric  $CO_2$  concentrations (ppm) are shaded. The orbital-effect simulations use only transient orbital parameters and constant atmospheric  $CO_2$  concentration of either 280 ppm (squares) or 200 ppm (triangles) respectively. Please see Section 3.7 (Model simulations) for details regarding the model simulations.



**Fig. 8.** Schematic figure of the of  $CO_2$  level and insolation forcings on sea ice variability in the central Okhotsk Sea during the past 130 ka.

strong precession cycle. There is also no clear link between periods of sea ice expansion (high  $IP_{25}$ ) in the central Okhotsk Sea (this study) and intervals of northward shifting of East Asian monsoons/Intertropical Convergence Zone (ITCZ) precipitation during the past 130 ka (Cheng et al., 2016).

During the last termination, however, there is a near synchronous (within the error of current age model) increase in  $IP_{25}$  with a period of stronger EASM during the B/A period ( $\sim 15$  ka). SST and biogenic opal increased slightly earlier and HBI III concentration increased coeval with  $IP_{25}$ . These proxy data suggest rapid fluctuations between open water and seasonal sea ice covered conditions, with high surface productivity during the B/A period, similar to previous studies in the subarctic Pacific Ocean (Max et al., 2012). The relationship between EASM and sea ice variations on millennial-orbital timescales, however, still needs further observational and physical simulation studies.

#### 4.3. $CO_2$ control for precessionally-paced sea ice

The observation that sea ice free conditions existed throughout MIS 5e, even when autumn insolation reached a minimum, together with near-perennial sea ice cover despite only relatively low insolation  $\sim 30$  ka, suggests that forcing(s) other than insolation controlled sea ice dynamics during these intervals. Coincidence of ice-free conditions with atmospheric  $CO_2$  levels in excess of 260 ppm (Figs. 3F, 4F) during both MIS 5e and the Holocene suggests that such concentrations potentially represent an important threshold that influences sea ice retreat in the central Okhotsk Sea. Similarly, maximum sea ice extent in both proxy and simulated records are only attained during periods of lowest  $CO_2$  (180–200 ppm) in combination with relatively low insolation ( $\sim 30$  ka, Figs. 3, 5, 6). In contrast, in the low-to-medium  $CO_2$  range (190–260 ppm), which is present in MIS 3, 5a and 5c, ice-free conditions are paced by precession and controlled by maximum autumn insolation (Fig. 6). Our proxy-model results in the central Okhotsk Sea therefore suggest that the major controlling factors of seasonal sea ice extent are from both external orbital-driven insolation and internal  $CO_2$  concentration forcings on orbital timescales (Fig. 8). On the other hand, the absence of any clear relationship between sea ice and atmospheric  $CH_4$  (not shown) suggests that methane was not a significant factor during these interglacials, at least. This is potentially due to the fact that the total radiative energy variations from atmospheric  $CH_4$  is believed to be less than

5% of the total radiative energy of greenhouse gases in recent G/IG cycles (Lo et al., 2017).

#### 4.4. Orbital pacing and CO<sub>2</sub> threshold mechanisms revealed by transient model simulations

The model sensitivity runs deciphering the contributions of different climatic forcings (orbital, CO<sub>2</sub>, and NH ice sheet) show that sea ice variations can be regarded mainly as a superposition of the CO<sub>2</sub> effect and orbital forcing, with a small contribution from Northern Hemispheric ice sheets (Fig. 6B). During the sea ice-free MIS 5e, (130–117 ka), autumn insolation varied by nearly 70 W m<sup>-2</sup>, yet the November sea ice index varied by less than 0.1 unit, suggesting that orbital forcing was muted during this period of high atmospheric CO<sub>2</sub> concentration (Figs. 6B, 8).

For the sensitivity simulation for orbital forcing under a warm climate (red squares in Fig. 7), a linear regression between insolation and the sea ice index yields a slope of  $-0.02/\text{W m}^{-2}$ , pointing to a relatively weak impact of insolation changes under high atmospheric CO<sub>2</sub> conditions (CO<sub>2</sub> = 280 ppm), even during periods of large insolation changes, such as during MIS 5e (Figs. 6B, 8). However, the impact of orbitally-driven insolation changes on sea ice becomes larger for the lower atmospheric CO<sub>2</sub> condition run (CO<sub>2</sub> = 200 ppm,  $-0.03/\text{W m}^{-2}$ , triangles in Fig. 7).

Under medium CO<sub>2</sub> concentrations during MIS 5a–5d and the last glacial period, insolation forcing and the CO<sub>2</sub> forcing are both important. Thus, precession-paced insolation maxima result in brief episodes of sea ice minima (Fig. 6B), although when the amplitude of the insolation forcing is weak, CO<sub>2</sub> variations appear to be the main driver of the simulated sea ice index during MIS 3 and 4. The maximum in simulated sea ice coverage coincides with minimum CO<sub>2</sub> values of  $\sim 190$  ppm at  $\sim 30$  ka. Local SON insolation increased towards the last glacial maximum, resulting in slightly decreased sea ice extent when compared to  $\sim 30$  ka.

## 5. Conclusions

In this study, we reconstructed SSST and sea ice variations in the central Okhotsk Sea over the last 130 ka by combination of novel organic geochemical proxies including TEX<sub>86</sub><sup>L</sup> and IP<sub>25</sub>. To reveal the physical mechanisms responsible for the near-surface oceanographic changes, numerical simulation data and sensitivity runs were also performed. Our geochemical proxy analyses and transient simulation data show that the precession pacing by local autumn insolation was a major control over variation in sea ice extent during the past 130 ka. Greenhouse gas (mainly CO<sub>2</sub>) induced atmospheric radiative forcing acts as a further threshold for sea ice absence when CO<sub>2</sub> concentration exceeded  $\sim 260$  ppm and for extensive sea ice cover when CO<sub>2</sub> concentration fell below 190 ppm. The dominant driver of, respectively, sea ice free conditions during MIS 5e and perennial sea ice conditions  $\sim 30$  ka is thus atmospheric radiative forcing. Therefore, we suggest a combined orbital and greenhouse gas control over sea ice variations in the central Okhotsk Sea during the past 130 ka.

Near-surface oceanographic conditions (i.e. sea ice and SSST) may also have been influenced by the extent of fresh water input from the Amur River. However, further regional studies including seasonal temperature and more reliable freshwater records before the role of this potential forcing can be fully understood.

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## Appendix A. Supplementary material

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.epsl.2018.02.005>.

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