



# Evaluation of mitral regurgitation by an integrated 2D echocardiographic approach in patients undergoing transcatheter aortic valve replacement

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## Abstract

The purpose of this study was to evaluate mitral regurgitation (MR) severity in patients undergoing transcatheter aortic valve replacement (TAVR) by standardized assessment of two-dimensional (2D) transthoracic echocardiography (TTE) and 1-year echocardiographic and clinical outcomes. Pre- and post-procedural TTE's of patients undergoing TAVR between 2008 and 2014 were analyzed. MR was graded according to current guidelines with a systematic and integrated approach. Longitudinal echocardiographic and clinical results were analyzed. Regression analysis was performed for change in MR grade at follow-up, using pre-determined variables and confounders. Pre- and post-procedural TTE were available in 213 subjects. Significant MR was seen in 22% at baseline and 15% at follow-up; MR grade  $\geq 3$  in  $< 10\%$ . Severity did not change in 61%, and decreased in 20% of the patients. Overall, the prevalence of MR grades pre- and post TAVR was not significantly different, nor influenced by MR etiology or TAVR prosthesis type. However, higher MR grades and pacemaker absence at baseline, were independently correlated to more improvement of MR after TAVR. Regarding clinical outcomes, NYHA class improved in two-thirds of the patients, irrespective of the baseline MR grade. Overall survival was not significantly different amongst MR grades post-TAVR. MR grading using an systematic 2D echocardiographic approach in patients undergoing TAVR is feasible in clinical practice. Our data revealed a relatively frequent prevalence of significant MR (although grade  $\geq 3$  was scarce), overall no change in the MR grade at 1 year follow-up, improvement of functional NYHA class, and no significant differences in long-term survival amongst the post-TAVR MR grades.

**Keywords** Mitral regurgitation · Transcatheter aortic valve replacement · 2D transthoracic echocardiography · Scoring index

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## Introduction

Aortic stenosis (AS) is the most frequent heart valve disease in Europe, followed by mitral regurgitation (MR) [1]. Both have become a major cause of morbidity and mortality among a growing and aging population [2, 3]. Especially in high risk patients surgical treatment is challenging. In response to this clinical challenge, percutaneous treatment options have rapidly evolved. The actual transcatheter aortic valve replacement (TAVR) rate has already increased to more than 100,000 replacements worldwide [4].

In addition to the individual burden of valve disease, significant AS is frequently associated with MR of varying degrees. The etiology is mostly functional due to left ventricular (LV) remodeling and increased afterload, but may also be organic from mitral annular calcification, or myxomatous

and rheumatic degeneration. Mild MR is common in patients with severe AS (60–90%) [5], although reported prevalence of significant MR disease varies between 19 and 33% [6–12]. This variation may result from differences in MR grading. The importance of correct and consistent MR grading in patients with AS is related to previous studies in conventional aortic valve surgery, in which concomitant moderate MR has demonstrated to be an independent risk factor for mortality and morbidity, and may improve significantly in a selected group of patients avoiding unnecessary double valve surgery [13–15]. However, the predictive value of MR on clinical outcome after TAVR is still controversial, and discrepant results have been reported in terms of direction, magnitude and predictors of MR changes following TAVR [11, 12, 16–19].

Two-dimensional (2D) transthoracic echocardiography (TTE) still is the principal examination in daily practice. Accurate MR grading is challenging, especially in patients with severe AS due to the high LV pressure afterload influencing qualitative echo parameters, and technical difficulties in determining the quantitative variables. Unfortunately assessment of concomitant MR in patients with AS is still based on visual color analysis of jet characteristics, whilst

an integrated approach involving both quantitative and qualitative measurements is advisable [12, 20–22]. Studies that claim to use the guideline recommendations for MR grading neither give insight in the number of variables that could be determined, nor in the actual number of parameters that were evaluated [11, 16, 17].

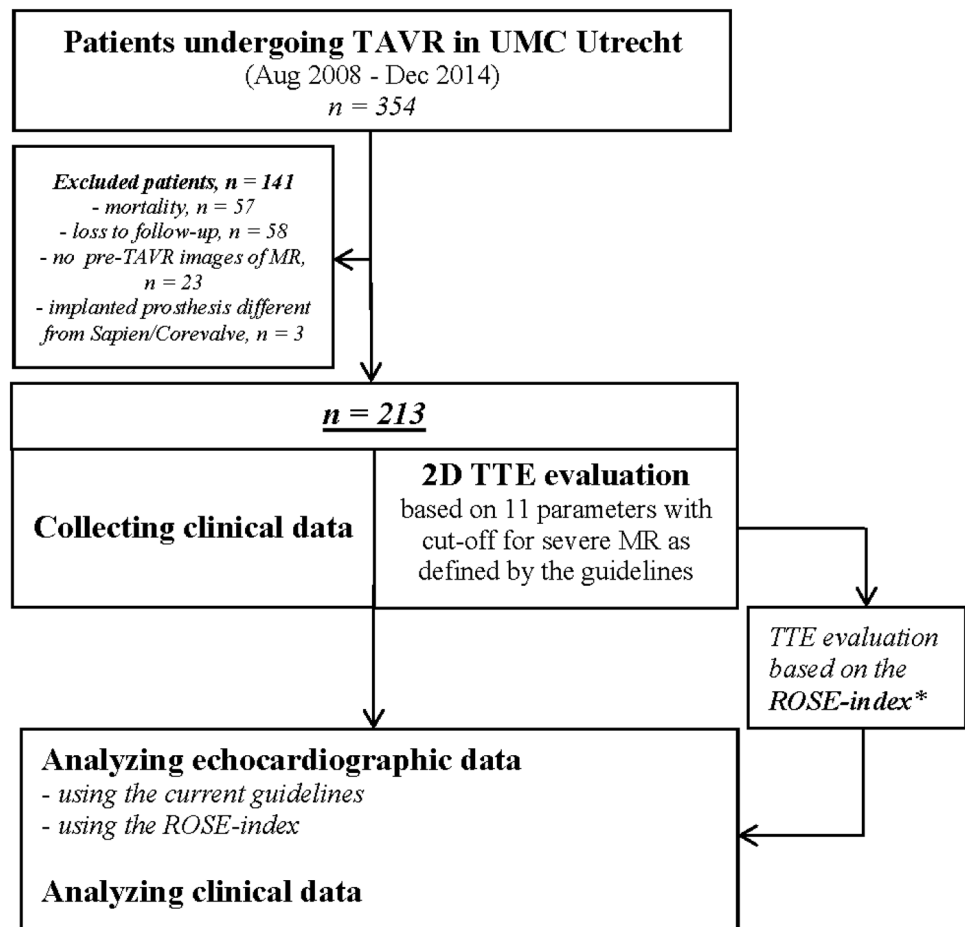
Overall, systematic grading of MR severity may have important clinical implications in patients undergoing TAVR and thus the aim of our study was to (1) assess MR severity pre- and post TAVR by an integrated approach for 2D echo and evaluate grading in daily practice, (2) determine variables that are related to change in MR at 1 year post TAVR, and (3) evaluate clinical outcome after TAVR.

## Materials and method

### Study population

Figure 1 depicts the flowchart of the study design. Follow-up data of 354 patients that underwent TAVR in the University Medical Center of Utrecht (UMCU) between 2008 and 2014 were collected. We excluded 138 patients with incomplete

**Fig. 1** Flowchart of the study design. TAVR transcatheter aortic valve replacement, 2D two-dimensional, TTE transthoracic echocardiogram, MR mitral regurgitation, ROSE-index mitral Regurgitation Severity grading by an Easy-to-use index. \*ROSE-index score = (valve morphology \* 1) + (jet characteristics \* 2) + (vena contracta \* 2) + (systolic reversal \* 2) + (LV dimensions \* 1)



TTE data (57 died). Patients that underwent TAVR with an implanted prosthesis different from Edwards Sapien (Edwards Lifescience, Irvine, CA, USA), or Corevalve (3) (Medtronic, Minneapolis, MN, USA) were also excluded, leaving 213 subjects for retrospective echo analysis.

## Data collection

Pre-, peri- and postoperative information was retrieved from the electronic patient record system of the UMCU. Echocardiographic examinations were collected from the hospital server where they were archived as video loops and freeze frames in a digital format (DICOM). Off line analysis was performed using Xcelera software. Systematic and standardized 2D TTE assessment was performed in all included subjects utilizing multiple parasternal and apical views. Echocardiography was performed by a member of an experienced team of sonographers, and supervised by one of the cardiologists with special interest for cardiac imaging. Based on the echocardiographic information each patient was classified as predominantly functional, organic or absence of MR. MR was considered to be organic in case of morphological abnormalities of the mitral apparatus. Valvular regurgitation caused by secondary changes due to abnormal ventricular size and deformation, or MR in absence of structural changes, was regarded as functional.

## Echocardiographic evaluation

Echocardiographic measurements were obtained in accordance with the international guidelines, with focus on the European recommendations [20, 21, 23, 24]. All the analyzable parameters were systematically scored, focused on the recommended 11 echo parameters: mitral valve (MV) morphology, regurgitant jet characteristics, MV inflow (E-wave), ratio of the time–velocity integral (TVI) MV over the TVI left ventricular outflow tract (LVOT), mentioned as TVI MV/TVI LVOT, vena contracta (VC), effective regurgitant orifice (ERO), regurgitant volume, left atrial volume indexed (LAVI), LV dimensions, systolic reversal of the pulmonary vein flow, and systolic artery pulmonary pressure (SPAP). In Table 1 the definitions and cut-off values for severe MR are depicted. MV morphology resembled severe MR in case of flail leaflet, papillary muscle rupture, annular dilatation (end-diastolic diameter > 3.5 cm), significant tethering of the valves, rheumatic etiology, presence of a cleft and/or (previous) endocarditis. More severe MR was to be expected in case regurgitation jet characteristics with presence of a swirling jet, jet reaching the left atrial posterior wall, and/or jet to left atrial surface ratio of > 40% [20, 21, 23, 24]. Analysis of jet characteristics was done in multiple views. The VC was imaged in the parasternal long-axis or apical 4-chamber view and measured as the narrowest part of the

**Table 1** Cut-off values for severe MR per parameter as defined by the current European guidelines [21, 25]

Parameter	Cut-off values based on the European guidelines
1. Valve morphology	
Annular dilatation	3.5 cm
2. Jet characteristics	–
3. VC	0.70 cm
4. ERO	
Organic MR	0.40 cm <sup>2</sup>
Functional MR	0.20 cm <sup>2</sup>
5. Regurgitant volume	
Organic MR	60 ml
Functional MR	30 ml
MV inflow pattern	
6. TVI MV/TVI LVOT ratio	1.4
7. E-wave	150 cm/s
8. Systolic flow in pulmonary veins	Systolic reversal
9. SPAP	50 mmHg
10. LAVI	60 ml/m <sup>2</sup>
11. LV dimensions	
Organic MR (LVESD)	4.5 cm <sup>a</sup>
Functional MR (LVEDD)	6.5 cm

VC vena contracta, ERO effective regurgitant orifice, MR mitral regurgitation, MV mitral valve, TVI time–velocity integral, LVOT left ventricular outflow tract, SPAP systolic pulmonary artery pressure, LAVI left atrial volume index, LV left ventricular, LVESD left ventricular end systolic dimension, LVEDD left ventricular diastolic dimension

<sup>a</sup>4.0 cm for flail leaflet

regurgitant jet. Regurgitant volume and ERO were obtained using the standard formulas (proximal isovelocity surface area method, as recommended by the guidelines). We interpreted VC, regurgitant volume and ERO as being none-severe in case of no, trace or mild MR. LV ejection fraction (EF) was measured preferably by the biplane method of discs [24]. We measured the LV dimensions in the long parasternal view. Left atrial volume was corrected for BSA to assess LAVI. Pulmonary venous flow pattern was evaluated based on the Doppler signal in the right or left upper pulmonary vein. As atrial fibrillation (AF) can blunt forward systolic pulmonary vein flow, the pulmonary venous flow pattern was considered as ‘not applicable’ in patients with AF. Pulse waved (PW) Doppler was used to obtain the maximum of the E-wave velocity and TVI MV in the apical 4-chamber view (E-wave velocity was not determined in case of AF). The TVI LVOT was calculated using the corresponding PW signal in the 5-chamber or apical long axis view, with an averaged value in case of AF (eye-balling). This averaged value was based on at least 3 beats. We calculated the right ventricular systolic pressure using the modified Bernoulli

equation on the transtricuspid continuous-wave Doppler signal, adding the estimated right atrial pressure. The severity of valvular diseases was graded 0–4 (none = 0, mild = 1, moderate = 2, moderate–severe = 3, or severe = 4) according to current guidelines, including a definition grade 0.5 for trace MR. In addition, we calculated the change in MR grade between pre- and 1 year post-TAVR. MR severity of grade  $\geq 2$  was considered as being significant.

Next, the mitral RegurgitatiOn Severity grading by an Easy-to-use index (ROSE-index) was determined [25]. The ROSE-index is a semiquantitative approach to determine MR severity using 5 instead of 11 recommended echo variables:  $ROSE\text{-index score} = (\text{valve morphology} * 1) + (\text{jet characteristics} * 2) + (\text{vena contracta} * 2) + (\text{systolic reversal} * 2) + (\text{LV dimensions} * 1)$ . Cut-off values of the five parameters (Table 1) were used to score each parameter negative (0) or positive (1) for severe MR. Each score per variable needs to be multiplied by the contribution of that variable (1 or 2 in the formula). By adding up the five scores, the total ROSE-index is calculated. In the formula of the ROSE-index, the \* symbol stands for multiplication, and values 1 and 2 for the contribution per variable. Total ROSE-index score 0 and 1 indicate moderate MR; score  $\geq 4$  is related to severe MR. Patients with index score 2 or 3 need evaluation of the MR severity in a heart valve team or using additional diagnostics. This practical tool showed reliable and reproducible assessment of severe MR (cut-off  $\geq 4$ ) in a retrospective analysis of 145 patients with moderate or severe MR. Expert panel consensus reading was considered as the reference standard [25].

## Statistical analysis

Statistical analysis was done using SPSS (version 21.0, IBM Corporation, New York). Continuous variables were expressed as mean ( $\pm$  SD) and compared using Student's *t* test in case of normally distributed data. In case of data that was not normally distribution, we used median [interquartile range (IQR)] and compared data using Wilcoxon signed rank test or Mann Whitney. Categorical data were described using frequencies and percentages, with comparative evaluations performed with the  $\chi^2$  or McNemar's test for binary results, and  $\chi^2$  or Wilcoxon signed rank test in case of ordinal data. The Kaplan–Meier method was used to calculate long-term survival for the different grades of MR up through to January 2016. The statistical significance between survival curves of patient subgroups was determined by a log-rank test. A P-value of  $< 0.05$  was considered statistically significant. Logistic regression for change in MR grade (between the pre-TAVR and 1-year post-TAVR echocardiogram) was performed based on complete case analysis, with univariable and multivariable linear regression on pre-determined variables of interest at baseline (MR grade, MV etiology, TAVR

prosthesis type, mitral annulus diameter, LV EF, LAVI, AF and pacemaker (PM) implantation) and potential confounders (age, gender, New York Heart Association (NYHA) class, and TAVR approach).

## Results

### Patient characteristics

Patient and surgical characteristics at baseline are shown in Table 2. Age ranged from 42 to 94 years. All subjects suffered from important AS before intervention, for which 181 Edwards Sapien (85%) and 32 Corevalve (15%) prosthesis were successfully implanted. The distribution of TAVR prosthesis type over the etiology subgroups (functional vs. organic MR) was equal. Four patients had a mitral prosthesis in situ before TAVR, and 1 patient underwent MV repair prior to TAVR. MR was present at baseline in 181 (85%) patients. Functional MR (45% of 181 subjects) was secondary to severe AS in 70 patients (85%), whereas degenerative annular or valvular calcification was the most frequent cause (92%) for organic MR (99 patients). MR was absent in the remaining 32 patients before intervention. The etiology at 1-year follow-up remained unchanged (81/182 (45%) patients with functional MR, 101/182 (55%) patients with organic MR, and no MR in the remaining 31 patients). Significant mitral stenosis (MS) was only seen in organic MR patients. Forty-six subjects (22%) showed significant MR (grade  $\geq 2$ ). MR grade  $\geq 3$  was present in 7.5% at baseline. Patients with significant MR revealed a higher rate of AF (30% vs. 14%), PM implantation (13% vs. 5%), TR grade  $\geq 2$  (28% vs. 5%), aortic regurgitation (AR) grade  $\geq 2$  (28% vs. 13%) and reduced right ventricular (RV) function (24% vs. 7%) compared to patients with non-significant MR. Also higher values for mean LAVI, LV dimensions, MV annulus diameter, and SPAP were seen in patients with higher MR grades, whereas the LV EF was lower (45% vs. 53%).

### Grading of MR related parameters

In Table 3 the utility of the echo parameters for the semi-quantitative approach in patients undergoing TAVR is depicted, expressed as the percentage of patients that was scored per parameter. Overall, there was no difference in the median number of parameters that could be measured pre- or post TAVR (9 for both). Jet characteristics were determined in almost all patients, and valve morphology, VC and LAVI in  $> 90\%$ . The systolic regurgitant flow in the pulmonary veins was difficult to determine due to poor or absent images. Jet characteristics showed the highest positive score for severe MR (73%), whereas systolic flow

**Table 2** Baseline patient, surgical and echocardiographic characteristics (n = 213)

Patient characteristics	
Age, mean years $\pm$ SD	80.4 $\pm$ 7.3
Male gender, n (%)	94 (44.1)
BSA, m <sup>2</sup> $\pm$ SD	1.9 $\pm$ 0.2
NYHA class $\geq$ III, n (%)	105 (49.2)
Pacemaker, n (%)	14 (6.6)
Rhythm, n (%)	
Sinus rhythm	176 (82.6)
Atrial fibrillation	37 (17.4)
Comorbidities, n (%)	
Hypertension	121 (56.8)
Diabetes	67 (31.5)
Coronary artery disease	110 (51.6)
Peripheral artery disease	38 (17.8)
Prior cerebrovascular accident	40 (20.7)
Pulmonary disease	41 (19.2)
Dialysis	3 (1.4)
Prior cardiac or thoracic intervention	104 (48.8)
Surgical characteristics	
Logistic EuroSCORE, mean $\pm$ SD	17.2 $\pm$ 9.3
Type of TAVR prosthesis, n (%)	
Edward Sapien	181 (85.0)
Corevalve	32 (15.0)
Type of TAVR access, n (%)	
Transfemoral, n (%)	186 (87.3)
Transapical, n (%)	23 (10.8)
Echocardiographic characteristics	
ROSE-index score, median (IQR)	0.0 (0.0–0.5)
MR grade, n (%)	
No (0)	32 (15.0)
Trace (0.5) or mild (1)	135 (63.4)
Moderate (2)	30 (14.1)
Moderate–severe (3) or severe (4)	16 (7.5)
MV etiology in case of MR, n (%) (n = 181)	
Organic	99 (54.7)
Functional	82 (45.3)
Mitral stenosis $\geq$ moderate, n (%)	10 (4.7)
Aortic regurgitation $\geq$ moderate, n (%)	34 (16.0)
Tricuspid regurgitation $\geq$ moderate, n (%)	22 (10.3)
Aortic peak gradient, mean $\pm$ SD (n = 212)	65.8 $\pm$ 21.1
Aortic mean gradient, mean $\pm$ SD (n = 211)	39.1 $\pm$ 14.1
Other echocardiographic characteristics, mean $\pm$ SD	
SPAP (mmHg) (n = 130)	40.4 $\pm$ 15.0
LAVI (ml/m <sup>2</sup> ) (n = 208)	40.2 $\pm$ 14.6
Left ventricular EF (%) (n = 212)	51.6 $\pm$ 12.6

BSA body surface area, NYHA New York Heart Association, TAVR transcatheter aortic valve replacement, MR mitral regurgitation, MV mitral valve, SPAP systolic artery pressure, LAVI left atrial volume indexed, EF ejection fraction

**Table 3** European guideline parameters scored in patients before TAVR (n = 213) and 1 year after TAVR (n = 213)

Parameter	% of patients scored per parameter		
	Pre-TAVR	Post-TAVR	P-value
1. <i>Valve morphology</i>	97.7	94.8	0.109
2. <i>Jet characteristics</i>	100.0	99.5	1.000
3. <i>VC<sup>a</sup></i>	94.8	94.8	1.000
4. <i>ERO<sup>a</sup></i>	77.9	78.4	1.000
5. <i>Regurgitant volume<sup>a</sup></i>	65.7	67.6	0.603
MV inflow pattern			
6. <i>TVI MV/TVI LVOT ratio</i>	81.2	77.0	0.314
7. <i>E-wave</i>	66.2	62.0	0.336
8. <i>SPAP</i>	62.0	49.3	0.005
9. <i>Systolic regurgitant flow in pulmonary veins</i>	23.0	19.2	0.350
10. <i>LAVI</i>	97.7	94.8	0.180
11. <i>LV dimensions</i>	83.1	80.8	0.522

TAVR transcatheter aortic valve replacement, VC vena contracta, ERO effective regurgitant orifice, MV mitral valve, TVI time–velocity integral, LVOT left ventricular outflow tract, SPAP systolic pulmonary artery pressure, LAVI left atrial volume index, LV left ventricular

<sup>a</sup>Including expert interpretation in case of no, trace or mild MR

The parameters (italics) were also used in the calculation of the ROSE-index score

reversal in the pulmonary veins showed a 100% negative score for MR grade < 3.

## Echocardiographic results

Overall echo results at follow-up are shown in Table 4. MR was present at 1-year follow-up in 182 (85%) patients. The prevalence of significant AR, aortic gradients and SPAP values were significantly reduced after TAVR. Figure 2a shows the pre- and post-procedural MR severity, which was not significantly different. In addition, Fig. 2b reveals that changes in MR did differ ( $P < 0.0001$ ) depending on baseline MR grade. In patients with no MR before TAVR, MR remained absent (37%), or increased towards trace or mild severity (63%) at 1-year follow-up. Contrary, in subjects with moderate MR at baseline, the MR grade decreased in more than 3 out of 4 patients (76%) after TAVR. The same beneficial effect of unchanged or reduced MR grade following TAVR was seen in patients with moderate–severe and severe MR at baseline. When comparing significant and non-significant MR grade before TAVR, true regression of MR severity after TAVR was seen in 63 and 11% respectively, indicating a trend towards more reduction for higher MR grade before TAVR.

Overall, significant change in MR was limited: MR grade reduced in 47 patients (22%) (including a reduction of > 1 grade in 2%), did not change in 130 patients (61%),



**Table 4** Clinical and echocardiographic characteristics at 1 year follow-up (n = 213)

Clinical characteristics	
Early outcome	
New permanent pacemaker, n (%)	18 (8.5)
Tamponade, n (%)	4 (1.9)
Myocardial infarction, n (%)	0 (0)
Cerebrovascular accident, n (%)	6 (2.8)
Late outcome (cumulative)	
Post-TAVR, mean years $\pm$ SD	1.0 $\pm$ 0.1
NYHA class $\geq$ III, n (%)	15 (7.0)
New permanent pacemaker, n (%)	23 (10.8)
Atrial fibrillation, n (%)	45 (21.1)
Re-hospitalization, n (%)	57 (26.8)
Aortic valve related	
Due to CHF	14 (6.6)
Re-intervention, n (%)	0 (0)
Myocardial infarction, n (%)	5 (2.3)
Cerebrovascular accident, n (%)	10 (4.7)
Echocardiographic characteristics	
ROSE-index score, median (IQR)	0.0 (0.0–0.0)
MR grade, n (%)	
No (0)	31 (14.6)
Trace (0.5) or mild (1)	149 (70.0)
Moderate (2)	16 (7.5)
Moderate–severe (3) or severe (4)	17 (8.0)
Mitral stenosis $\geq$ moderate, n (%)	10 (4.7)
Aortic regurgitation $\geq$ moderate, n (%)	21 (9.9)
Tricuspid regurgitation $\geq$ moderate, n (%)	26 (12.2)
Aortic peak gradient, mean $\pm$ SD	15.8 $\pm$ 6.7
Aortic mean gradient, mean $\pm$ SD	8.2 $\pm$ 3.5
Other echocardiographic characteristics, mean $\pm$ SD	
SPAP (mmHg)	35.9 $\pm$ 10.6
LAVI (ml/m <sup>2</sup> )	40.9 $\pm$ 16.8
Left ventricular EF (%)	52.0 $\pm$ 11.1

TAVR transcatheter aortic valve replacement, NYHA New York Heart Association, CHF congestive heart failure, MR mitral regurgitation, SPAP systolic artery pressure, LAVI left atrial volume indexed, EF ejection fraction

and increased in 31 patients (17%) (including an increase of  $> 1$  grade in 2%). Significant MR (grade  $\geq 2$ ) at 1-year follow-up was diagnosed in 33 patients (15%). This subgroup showed a higher rate of significant TR (33% vs. 8%), median MR change, SPAP and LAVI. In these patients the baseline MR severity had been trace or mild in 42%, and grade  $\geq 3$  in 10%. MR grade  $\geq 3$  was present in 16 patients (8%) at 1 year follow-up. In none of these patients the MR had been improved. Regarding the TAVR prosthesis type, equal medians of change in MR grade for both prosthesis ( $P = 0.903$ ) were seen. As depicted in Fig. 3, medians of

MR grade were also similar between the organic and functional subgroups ( $P = 0.918$ ).

The median ROSE-index score for patients with non-severe MR was 0, compared to a median score of 3 for patients with MR  $\geq$  grade 3 ( $P < 0.0001$ ). In Online Resource 1 of the Supplementary material the results are depicted of the comparison between the MR grade using 11 parameters from the European guidelines (clinical diagnosis), and the 5-parameter ROSE-index. MR severity was correctly determined by the ROSE-index in 381 of the 426 TTE's (89%). In 3 echocardiograms (0.7%) severe MR was misdiagnosed. A total of 27 patients with MR grade  $< 3$ , and 15 patients with MR grade  $\geq 3$  had a ROSE-index score of 2 or 3, meaning that in 10% of the patients additional diagnostics and/or evaluation in a heart valve team is recommended [25].

### Regression analysis

Univariable regression analysis (Online Resource 2, Supplementary material) showed a significant, negative correlation between change in MR grade and MR severity and LV EF at baseline ( $r = -0.496$ ,  $P < 0.0001$ , and  $r = -0.011$ ,  $P = 0.004$  respectively). In a multivariable regression model correcting for other parameters and confounders, MR grade at baseline remained independently correlated ( $r = -0.470$ ,  $P < 0.0001$ ), suggesting more improvement of MR grade in patients with higher MR grade before intervention compared to a lower severity. In addition, the variable PM implantation showed a significant positive correlation after multivariable regression analysis ( $r = 0.533$ ,  $P = 0.006$ ), related to less improvement of MR in patients with a PM in situ.

### Clinical results

Clinical outcomes are depicted in Table 4. Overall, NYHA functional class was lower after TAVR. A reduction was seen in 68%, whereas 28% of the subjects showed no change in symptoms. At 1-year follow-up, patients with  $\geq$  moderate MR post-TAVR experienced more symptoms and AF compared to non-significant MR. Ten patients (5%) with AF at baseline were converted to sinus rhythm during the follow-up TTE. Of the 45 (21%) patients with AF at follow-up, AF de novo was seen in 18 subjects. Regarding the complications at follow-up, cerebrovascular events were most frequently seen (independent of AF). Re-hospitalization or re-intervention resulting from a TAVR prosthesis problem was never seen. In two patients a Mitraclip procedure was performed at follow-up: 1 patient showed a reduction of MR but in both cases symptoms did not improve.

As we only included patients with TTE at baseline and 1-year follow-up, no early or 1 year mortality rates were calculated (all patients were alive at 1 year). The overall survival at  $2.7 \pm 1.2$  years after TAVR was 80.3%. Although

a trend was seen for higher mortality in patients with MR grade  $\geq 2$ , Fig. 4 shows no significant difference in survival based on the MR grade after TAVR ( $P=0.097$ ). Neither was there a significant difference in survival rates depending on pre-TAVR MR grades, or improvement of MR at follow-up. Twenty-three patients (11%) died of a cardiovascular related cause (including 14 patients with unknown cause). In the subgroup of patients with significant MR, 11 (33%) died (cardiovascular cause in six patients, including 2 $\times$  heart failure and 4 $\times$  unknown cause). The mortality number amongst patients with less than moderate MR was 31 (17%) (cardiovascular cause in 17 patients, including 4 $\times$  heart failure, 1 $\times$  out-of-hospital-cardiac-arrest, 1 $\times$  cerebrovascular event, and 11 $\times$  unknown cause).

## Discussion

Our study revealed four important findings: *First*, the systematic 2D echocardiographic approach used in this study for MR grading was feasible in both pre- and post-TAVR echocardiograms. The practical scoring index developed by our group (ROSE-index) using only five recommended parameters, correctly excluded severe MR in almost all subjects. *Secondly*, significant MR was seen in 22% before and in 15% at 1 year after TAVR. *Thirdly*, severity did not change in most patients, although regression analysis revealed that higher MR grade and absence of PM implantation at baseline was related to more MR improvement after TAVR. *Lastly*, overall survival was not significantly influenced by the MR grade 1-year post-TAVR, although a trend for higher mortality was seen in patients with significant MR.

## Grading methods

Previous data regarding the prevalence of incidental MR in severe AS varies widely, depending on the study inclusion criteria, echocardiographic definitions for MR, and diverse non-standardized grading methods [5, 13, 14, 26, 27]. Single qualitative methods have been used [7, 8, 10, 12, 16–18, 28, 29], although for more accurate grading current guidelines recommend the integration of various qualitative and quantitative echo measurements [20–22]. However, systematic quantitative assessments are rarely applied in routine evaluation due to the time consuming aspect and technical limitations [6, 30–33]. Imaging tools like 3D echocardiography and multidetector computed tomography might in the future overcome these issues. Nowadays their routine use is still limited [6, 34].

Our data showed that standardized MR grading was feasible and resulted in the assessment of almost all guideline parameters. In accordance with previous studies, color flow imaging was highly sensitive [31]. The VC showed a high

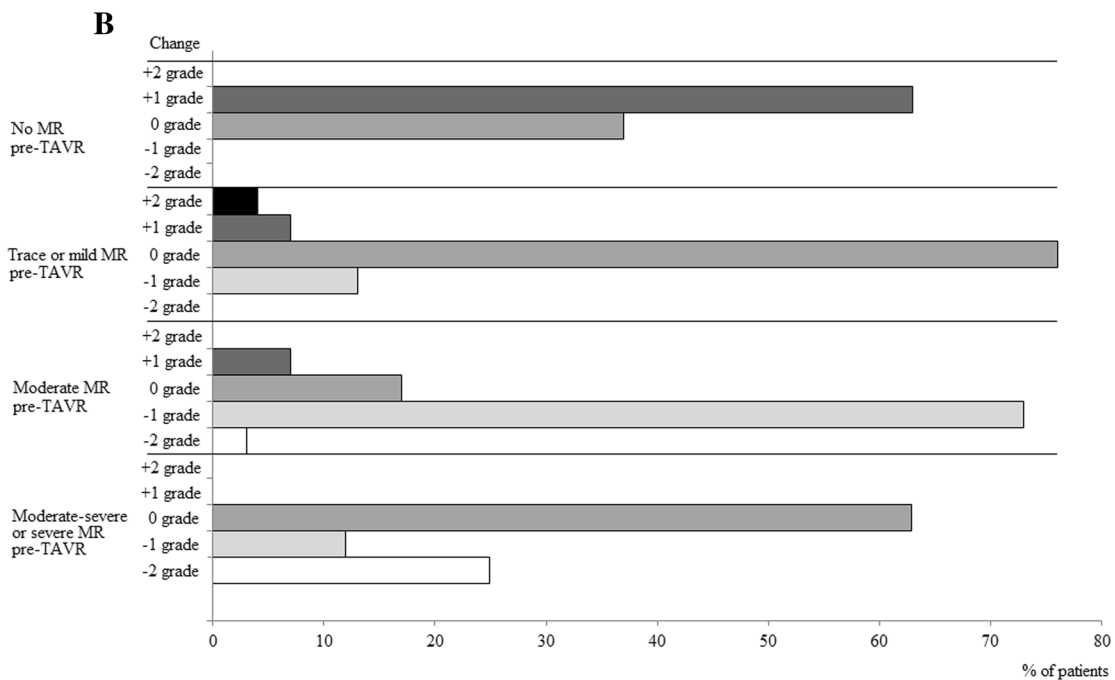
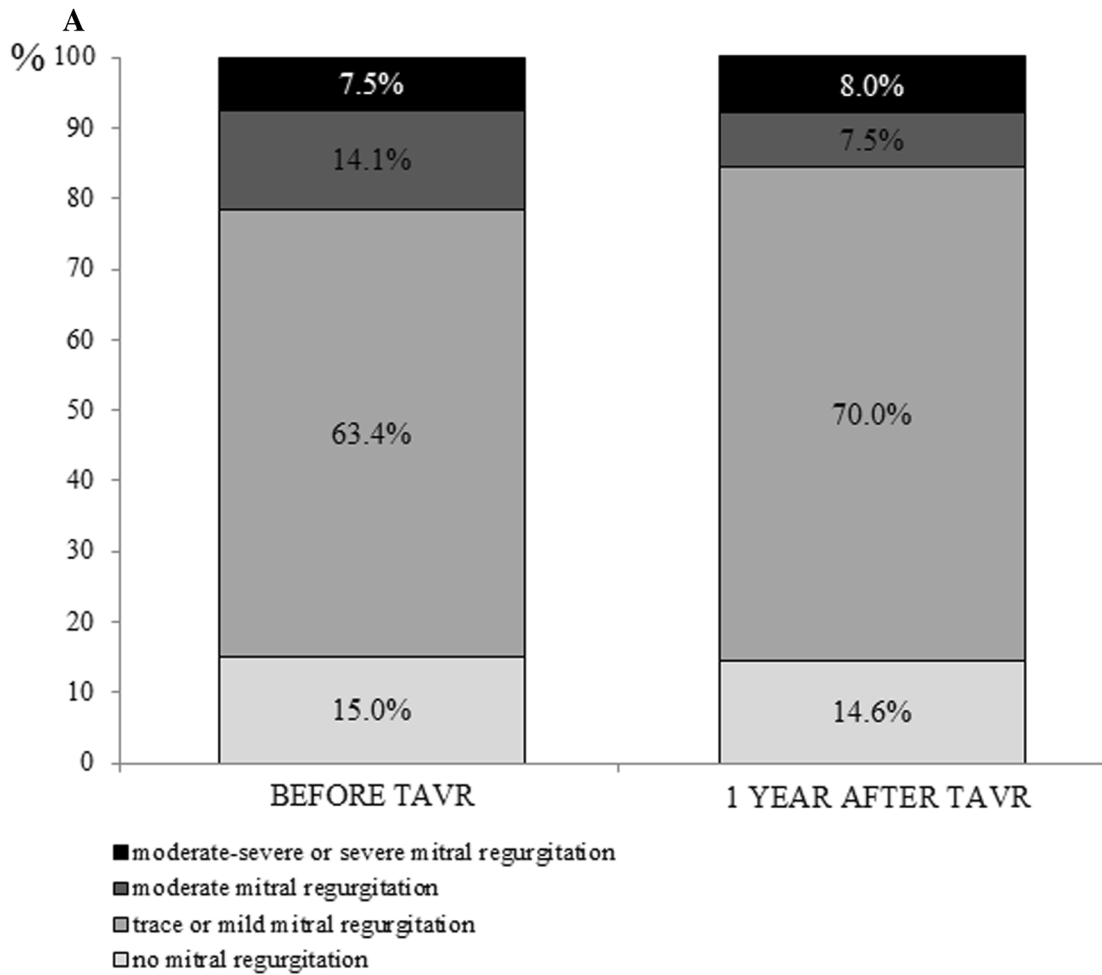
specificity, confirming to be less susceptible to physiologic loading conditions [27]. The systolic flow reversal of the pulmonary veins was relevant based on its specificity [36], however, it was sparsely available in short-focused TTE's. Ideal would be a “scoring system” for accurate and reproducible MR grading in both pre- and post-TAVR echocardiograms. We proposed the 5-parameter ROSE-index, to aid the treating physician in how to use the integrated approach as recommended by the guidelines for the determination of MR severity in patients undergoing TAVR [25]. Although the index was originally developed to distinguish moderate from severe MR in clinical practice, it correctly determined MR severity in 89% of our study patients. The ROSE-index showed a high specificity and negative predictive value for severe MR, which is preferable to exclude severe MR in these patients, thereby preventing unwarranted double-valve intervention or surgical refusal. The reliable and reproducible assessment of severe MR (cut-off  $\geq 4$ ) by this practical index will not only be helpful for clinical decision making, it will also be of additional value for follow-up and research purposes.

## Prevalence of significant MR

The majority of patients in our series had some degree of MR at baseline (85%), similar to previous studies revealing MR in 60–90% of TAVR patients [5, 16, 35]. Moderate MR has been reported in up to 13–17% in the studies of Barreiro et al. and Ruel et al. [14, 26], 20 and 22% in both PARTNER trial cohorts [7, 10], and 33% in the study of Vollenbroich et al. including > 1000 patients [36], which was in line with our data. Overall rate of MR grade > 3 was also low (< 10%) in a previously performed meta-analysis and therefore similar to our results [37]. The higher prevalence of an organic etiology in the current study, as also seen in previous studies [6, 16, 38], may be explained by the relatively high-aged patients with multiple comorbidities that undergo TAVR. These patients are prone to develop sclerotic calcification of the mitral apparatus (92% in our study).

## Change in MR grade

In line with current literature [16, 39], our data showed that there was no change in MR grade amongst most patients (61%) at 1-year follow-up. Seventy-eight percent of the TAVR patients had no MR or less than moderate MR at baseline. It is known that these patients show little regression or progression after TAVR [40, 41]. On the other hand our study showed more improvement in subjects with higher MR grade before intervention (63%). The same effect was seen in the studies of Tzikas et al. and Almasood et al. [12, 42], and confirmed in our multivariable regression analysis for change in MR. Furthermore,

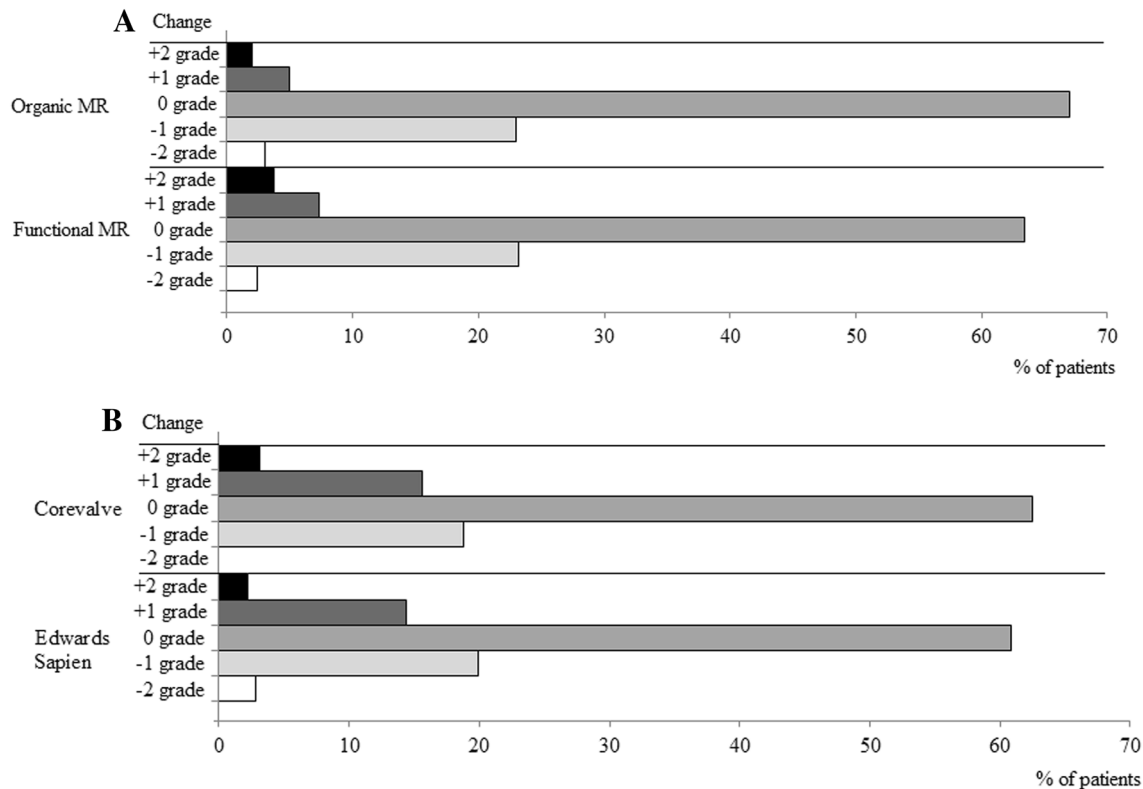




**Fig. 2** MR grade (a) and change in severity per pre-TAVR MR grade (b) in patients undergoing TAVR with both baseline and 1-year follow-up TTE available (n=213). **a** Median (IQR) grade of MR at baseline: 1.0 (1.0–1.0), and 1 year after TAVR: 1.0 (1.0–1.0), P=0.311. **b** Median (IQR) change in patients at baseline with no: 1.0 (0.0–1.0), mild or trace: 0.0 (0.0–0.0), moderate –1.0 (–1.0 to –0.8), and moderate–severe or severe MR: 0.0 (–1.8 to 0.0), P<0.0001

a trend for more improvement in patients with functional etiology was not seen, which was to be expected from literature revealing coaptation of the otherwise normal MV leaflets, and persistent restriction of leaflet mobility in organic (calcified) MR [11, 18]. This similar change for both etiologies was also seen in the studies of Tzikas et al., Unger et al. and Almasood et al. [12, 15, 42] Moreover, previous studies showed in particular an improvement in MR depending on the implanted prosthesis type [8, 12, 19, 35]. Mainly self-expandable valves seem to be at risk for deep implants, resulting in the valve cage impinging on the anterior MV leaflet, and thereby increasing MR [12]. Nevertheless, this correlation was not observed in our study. Further analysis of any valve malpositioning in our study showed malpositioning after TAVR in only six patients (2.8%), and revealed no significant correlation

between prosthesis malpositioning and change in MR grade (r=0.053, P=0.861). In addition, the size of the chosen prosthesis might play a role in the incidence of patient-prosthesis mismatch, which is overall less common in patients after TAVR, nevertheless may limit decrease of MR due to persistently high LV pressures. So far, no studies have directly compared TAVR in terms of MR reduction related to the presence of patient-prosthesis mismatch. Although we did not collect any data regarding the aortic EOA after TAVR (mainly used to determine patient-prosthesis mismatch) [43] we were able to perform univariable analyses for change of MR and (1) prosthesis valve size, and (2) prosthesis valve size index (indexed for body surface area). The correlation between valve size and change in MR was not significant (r=–0.022, P=0.915), nor was the correlation between valve size indexed and change in MR (r=–0.124, P=0.679). Third, calcified AS affects mitro-aortic anatomical configuration and mitro-aortic coupling, possibly leading to the persistence of MR after TAVR. Moreover, calcification of the mitro-aortic junction seems related to a higher likelihood of left bundle branch block, inducing less reduction of MR post TAVR [44]. In 50% of the patients with MR before TAVR in our study, the etiology of MR was based on calcification.



**Fig. 3** Change in grade of MR per MV etiology (a) and type of TAVR prosthesis (b). **a** Median (IQR) change in functional: 0.0 (–1.0 to 0.0) and organic MR: 0.0 (–1.0 to 0.0). P=0.918. **b** Median

(IQR) change in Edwards Sapien: 0.0 (0.0–0.0) and Corevalve prosthesis: 0.0 (0.0–0.0), P=0.903

Unfortunately, we were not able to retrospectively determine the exact location of the calcified parts. Lastly a pacemaker in situ was correlated to less improvement of MR after TAVR, which may be explained by the fact that RV apical pacing significantly induces MR [45].

### Clinical outcome

Our data revealed no significant difference in long-term survival depending on MR grade at 1 year after TAVR. Same results were found in other studies [8, 46, 47]. Pre-existing physical conditions such as AF, poor LV function, high LV volumes, and large LAVI (particularly seen in patients with MR grade  $\geq 2$ ) [8, 11, 16] may contribute to higher mortality rates observed in the short-term [14, 29, 46]. However, after 1-year follow-up a regression of concentric myocardial hypertrophy due to a decrease in ventricular afterload, and/or reverse remodeling that lead to changes in LV shape and geometry, may influence MV hemodynamics and therefore these confounding variables [11, 12, 16, 18, 19, 35]. A second explanation is that the clinical profiles of patients with MR grade  $\geq 2$  and  $< 2$  were similar in our study. Thus significantly higher mortality caused by comorbidities was not seen. However, we did observe a trend towards lower survival rates for at

least moderate MR, in accordance with the FRANCE 2 and Spanish TAVR registries [47].

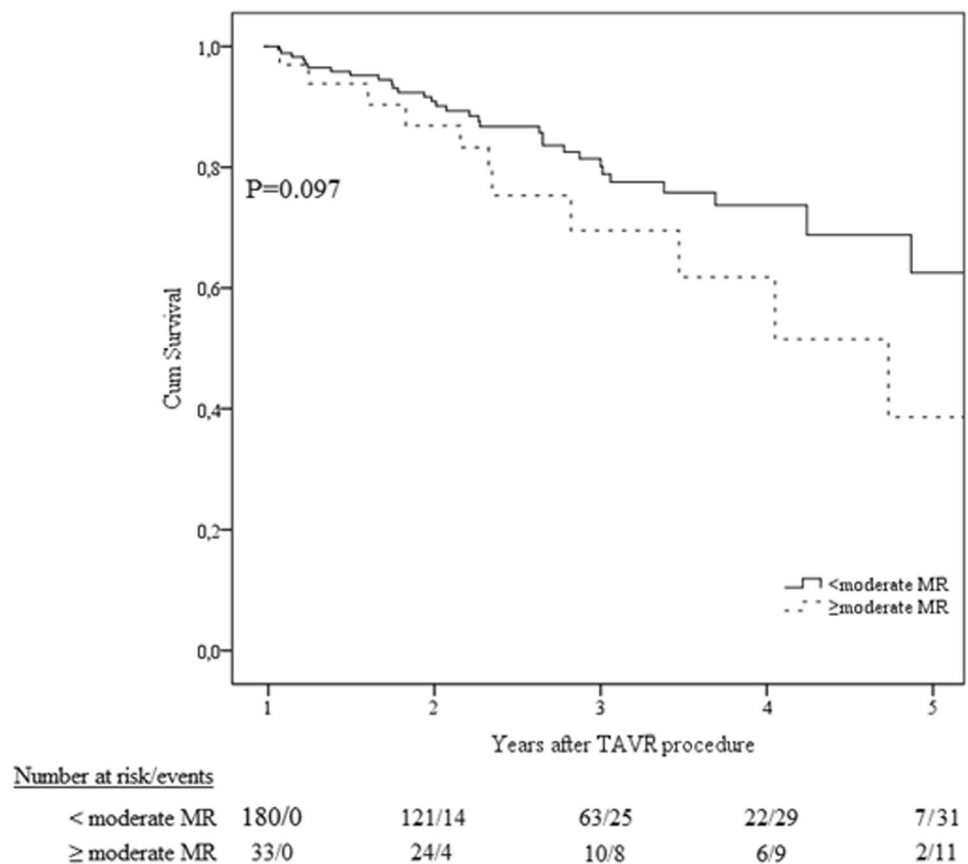
Additional subanalyses regarding the mortality rates according to pre-TAVR MR grades and MR improvement also showed no significant differences, which is in line with other series [8, 9, 11, 47]. This finding is opposite to surgical aortic valve replacement, where concomitant moderate MR has demonstrated to be an independent risk factor for long-term mortality [14]. It might be that a higher early, but not late, mortality rate was present in our patients with grade  $\geq 2$  MR [11].

Lastly, clinical outcome measure (NYHA functional class) showed an improvement in almost 2 out of 3 patients regardless the pre-TAVR MR grade, as seen before [11, 35]. This improvement of symptoms despite significant MR, is an important consideration for the fragile, mainly elderly patients that undergo TAVR.

### Limitations

This study was retrospective with its inherent limitations. Evaluation of 2D TTE in daily practice may be limited by suboptimal imaging windows, especially in short-focused examinations. The TAVR procedures were performed in a

**Fig. 4** Kaplan–Meier to illustrate survival depending on grade of MR starting at 1 year after TAVR (n=213)



single academic center. Echocardiographic follow-up was not performed in all subjects undergoing TAVR, and there was no screening log of patients that underwent surgical aortic valve replacement or conservative management, potentially leading to a selection bias. As there were only a few subjects with more than moderate MR, we were not able to perform any analyses on the endpoint grade  $\geq 3$  MR at 1 year follow-up.

## Conclusion

Grading of MR by a systematic 2D echocardiographic approach in patients undergoing TAVR revealed that most patients had some degree of MR, which was less than moderate and seldom severe in most patients. Overall there was no change in the MR grade comparing pre-TAVR and 1 year follow-up TTE's, although higher baseline MR grades showed more reduction and lower grades revealed more increase of severity, but never leading to significant MR. The present series showed a positive effect of TAVR on outcome regardless MR severity, demonstrated by similar long-term survival and NYHA class improvement amongst post-TAVR MR grades. Based on our data, we propose no concomitant MV intervention in this specific cohort. Clinical decision making can be safely based on the systematic evaluation of MR severity by an integrated 2D echo approach.

## Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

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