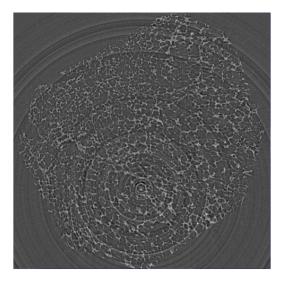
#### Numerical aspects of artifacts in tomography

Tristan van Leeuwen, Utrecht University

International Workshop on Industrial Tomography 2015

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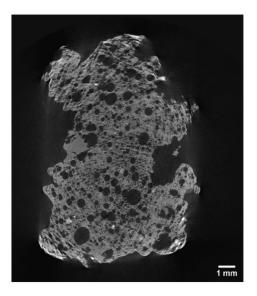
### Artifacts in X-ray tomography



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Courtesy of Lucia Mancini

## Artifacts in X-ray tomography

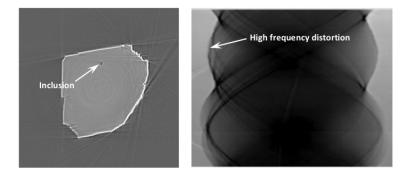


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Courtesy of Lucia Mancini

## Artifacts in X-ray tomography



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#### Courtesy of Francesco de Carlo

#### Artifacts

Physical causes of artifacts

- Noise
- Beam hardening
- Motion blurring
- Scatter
- Limited field of view

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#### Artifacts

Physical causes of artifacts

- Noise
- Beam hardening
- Motion blurring
- Scatter
- Limited field of view

What about the mathematical causes of artefacts?

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#### Overview

- What is an artefact?
- Algebraic reconstruction
- Maximum likelihood estimation

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- Examples
- Conclusions

Artefact Something observed in a scientific investigation or experiment that is not naturally present but occurs as a result of the *preparative* or *investigative* procedure.

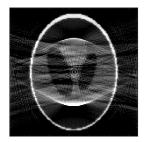
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Things we don't want to see

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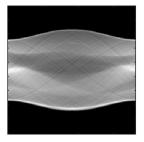
Things we don't want to see





Things we don't expect to see

Things we don't *expect* to see





#### Causes of artifacts

Measurement model

$$\mathbf{p} = W\mathbf{x} + \mathbf{n},$$

Prior model

$$\mathbf{x} = \mathbf{x}_0 + R\mathbf{s},$$

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where

- W Projection matrix
- x image
- p projection data

n - noise

 $\mathbf{x_0}, R$  - prior information

#### Causes of artifacts

 Artifacts are caused by making inappropriate assumptions on either the measurement model (W or n) or the prior model (R, x<sub>0</sub> and s).

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#### Causes of artifacts

 Artifacts are caused by making inappropriate assumptions on either the measurement model (W or n) or the prior model (R, x<sub>0</sub> and s).

We always make such assumptions!

Find  $\mathbf{x}$  such that

 $W\mathbf{x} \approx \mathbf{p} = W\overline{\mathbf{x}} + \mathbf{n}.$ 



Find  $\mathbf{x}$  such that

$$W\mathbf{x} \approx \mathbf{p} = W\overline{\mathbf{x}} + \mathbf{n}.$$

Many algebraic reconstruction techniques can be expressed as

$$\mathbf{x}^{k+1} = \left(I - B^T W\right) \mathbf{x}^k + B^T \mathbf{p},$$

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where B is a *preconditioned* version of W:

Find x such that

$$W\mathbf{x} \approx \mathbf{p} = W\overline{\mathbf{x}} + \mathbf{n}.$$

Many algebraic reconstruction techniques can be expressed as

$$\mathbf{x}^{k+1} = \left(I - B^T W\right) \mathbf{x}^k + B^T \mathbf{p},$$

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where B is a *preconditioned* version of W:

- ART: B = HW,
- ► SIRT: B = RWC,
- Landweber:  $B = \lambda W$ .

Decompose the projection matrix as

$$W = U\Sigma V^{T} = \sum_{i} \sigma_{i} \mathbf{u}_{i} \mathbf{v}_{i}^{T},$$

and write the pseudo-inverse as

$$W^{\dagger} = \sum_{i} \alpha_{i} \mathbf{v}_{i} \mathbf{u}_{i}^{T},$$

where  $\alpha_i = \sigma_i^{-1}$  when  $\sigma_i > 0$  and  $\alpha_i = 0$  otherwise.

We can express any (algebraic) reconstruction in terms of a *generalized* singular value decomposition

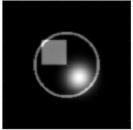
$$\widehat{\mathbf{x}} = \sum_{i} \alpha_{i} \widetilde{\mathbf{v}}_{i} \widetilde{\mathbf{u}}_{i}^{T} \mathbf{p},$$

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where  $U^T R U = I$  and  $V^T L V = I$  and  $\alpha_i \simeq \sigma_i^{-1}$ .

- Reconstruction algorithms approximate the pseudo-inverse in various ways.
- Which (if any) null-space elements end up in the final reconstruction depends on the reconstruction algorithm we use.
- ► To what extend the noise term **n** ends up in the reconstruction also depends on the reconstruction algorithm.

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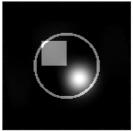


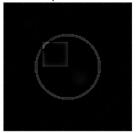


null-space elements



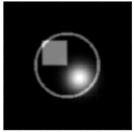
#### **ART** reconstruction





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null-space elements



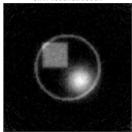
FBP reconstruction



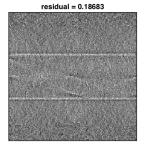
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null-space elements

Gaussian noise

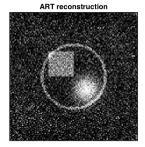


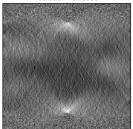
SIRT reconstruction



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Gaussian noise



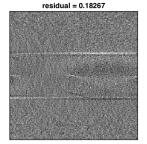


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residual = 0.19686

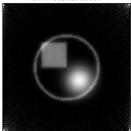
Gaussian noise

FBP reconstruction

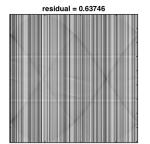


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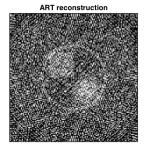
Constant offset noise

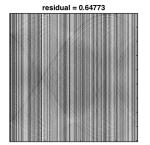


SIRT reconstruction



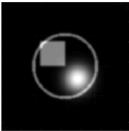
Constant offset noise



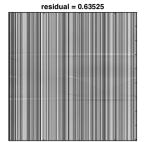


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Constant offset noise



**FBP** reconstruction



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Dead pixels

SIRT reconstruction



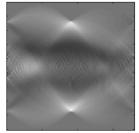




Dead pixels

**ART** reconstruction

residual = 0.21234



Dead pixels

FBP reconstruction

residual = 0.21943



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#### Maximum Likelihood estimation

All reconstruction algorithms make *implicit* assumptions about the noise or the grey-values.

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#### Maximum Likelihood estimation

All reconstruction algorithms make *implicit* assumptions about the noise or the grey-values.

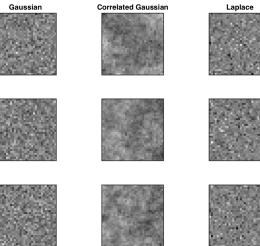
We can make the assumptions explicit by modelling them as random processes, e.g. Gaussian

 $\mathbf{n} \sim \mathcal{N}(\mathbf{n}_0, C_{\mathbf{n}}),$ 

 $\mathbf{x} \sim \mathcal{N}(\mathbf{x}_0, C_{\mathbf{x}})$ 

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#### Maximum Likelihood estimation











We can find the most likely  $\mathbf{x}$  by maximizing the probability

$$\mathcal{P}(\mathbf{x};\mathbf{p})\propto\exp\left(-\|W\mathbf{x}-\mathbf{p}-\mathbf{n}_{0}\|_{C_{\mathbf{n}}^{-1}}^{2}-\|\mathbf{x}-\mathbf{x}_{0}\|_{C_{\mathbf{x}}^{-1}}^{2}
ight),$$

or, equivalently, minimizing

$$\mathcal{L}(\mathbf{x};\mathbf{p}) = \|W\mathbf{x} - \mathbf{p} - \mathbf{n}_0\|_{C_{\mathbf{p}}^{-1}}^2 + \|\mathbf{x} - \mathbf{x}_0\|_{C_{\mathbf{x}}^{-1}}^2.$$

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For Gaussian noise and correlated Gaussian grey-values

$$\mathcal{L}(\mathbf{x}; \mathbf{p}) = \sigma^{-2} \|W\mathbf{x} - \mathbf{p}\|_2^2 + \lambda^2 \|D\mathbf{x}\|_2^2,$$

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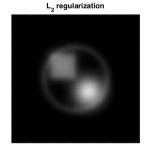
where D is the discretized gradient operator.

For Gaussian noise and correlated Laplace grey-values

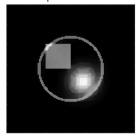
$$\mathcal{L}(\mathbf{x};\mathbf{p}) = \sigma^{-2} \|W\mathbf{x} - \mathbf{p}\|_2^2 + \lambda \|D\mathbf{x}\|_1$$

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where  $\|\cdot\|_1$  is the  $\ell_1$  norm.







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### Examples: Students T

- The noise is most likely *not* Gaussian.
- We can use a noise model that more closely reflects the presence of large outliers.

Students T:

$$\mathcal{P}(\mathbf{r}) \propto \prod_{i} \left(1 + r_i^2\right).$$

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#### Examples: Students T

We now formulate the reconstruction problem as

$$\min_{\boldsymbol{x}} \rho\left(\boldsymbol{W} \mathbf{x} - \mathbf{p}\right),$$

where

$$\rho(\mathbf{r}) = \sum_{i} \log(1 + r_i^2).$$

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Examples: Students T

#### metal artifacts







(b) LSQR



(c) Student's t

#### dead pixels



(a) LSQR



(b) Student's t

#### random projections





(a) LSQR

(b) Student's t

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Courtesy of Folkert Bleichrodt

There can also be an uncertainty in W itself, for example due to misalignment.

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There can also be an uncertainty in W itself, for example due to misalignment.

We can model this as a small perturbation of the projection matrix:  $W = \widetilde{W} + E$ 

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There can also be an uncertainty in W itself, for example due to misalignment.

We can model this as a small perturbation of the projection matrix:  $W = \widetilde{W} + E$ 

The MLE problem is given by

$$\min_{W,\mathbf{x}} \|W\mathbf{x} - \mathbf{p}\|_2^2 + \lambda \|W - \widetilde{W}\|_F^2.$$

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A more realistic way to model this is by parametrizing the projection operator as  $W(\mathbf{a})$ , where  $\mathbf{a}$  are the alignment parameters (shifts, angles, rotations).

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A more realistic way to model this is by parametrizing the projection operator as  $W(\mathbf{a})$ , where  $\mathbf{a}$  are the alignment parameters (shifts, angles, rotations).

The MLE problem is given by

$$\min_{\mathbf{a},\mathbf{x}} \|W(\mathbf{a})\mathbf{x} - \mathbf{p}\|_2^2,$$

plus any assumptions on  $\mathbf{x}$  and  $\mathbf{a}$ .

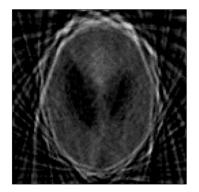
We can tackle this problem with an alternating approach

- full reconstruction based on  $\mathbf{a}_k$ ,
- update of alignment based on new reconstruction.

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# Examples: Alignment

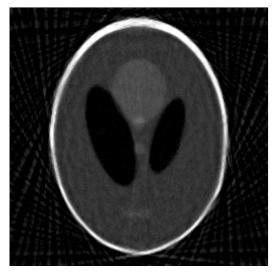




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## Examples: Alignment

#### project out reconstruction (RA)



- Artifacts are a combination of null-space elements and reconstructed noise.
- All reconstruction algorithms make *implicit* assumptions about the null-space elements and the noise.
- ► We make these assumptions *explicit* by casting reconstruction as a maximum likelihood estimation problem.
- If the assumptions are violated, such approaches usually worse results than classical methods.

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 Ideally we want to test various noise models and priors to investigate the uncertainty

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Ideally we want to test various noise models and priors to investigate the uncertainty

A naive approach would require many iterative reconstructions

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- Ideally we want to test various noise models and priors to investigate the uncertainty
- A naive approach would require many iterative reconstructions

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Can we approximate the action of advanced reconstruction algorithms efficiently?

Ideally we want to test various noise models and priors to investigate the uncertainty

A naive approach would require many iterative reconstructions

- Can we approximate the action of advanced reconstruction algorithms efficiently?
- If we can do this, how do we compare different results and visualize the uncertainty?

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Null space Vectors  $\mathbf{z}$  for which  $W\mathbf{z} = \mathbf{0}$ 

Some components in the image do not affect the data

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This cause non-uniqueness of the solution

# Column space Vectors ${\bf y}$ for which we can find a vector ${\bf x}$ such that ${\bf y}={\cal W}{\bf x}$

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- Some noise components can be explained by the model.
- This causes artefacts in the reconstruction

Consider the measurement model only

 $W\mathbf{x} = \mathbf{p} + \mathbf{n}$ .

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Split the true image  $\bar{\mathbf{x}} = \bar{\mathbf{x}}_r + \bar{\mathbf{x}}_n$  with  $W\bar{\mathbf{x}}_n = 0$ .

Consider the measurement model only

$$W\mathbf{x} = \mathbf{p} + \mathbf{n}$$

Split the true image  $\bar{\mathbf{x}} = \bar{\mathbf{x}}_r + \bar{\mathbf{x}}_n$  with  $W\bar{\mathbf{x}}_n = 0$ . Express the data as

$$\mathbf{p} = W\bar{\mathbf{x}} = W\bar{\mathbf{x}}_r.$$

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Consider the measurement model only

$$W\mathbf{x} = \mathbf{p} + \mathbf{n}$$

Split the true image  $\bar{\mathbf{x}} = \bar{\mathbf{x}}_r + \bar{\mathbf{x}}_n$  with  $W\bar{\mathbf{x}}_n = 0$ . Express the data as

$$\mathbf{p} = W\bar{\mathbf{x}} = W\bar{\mathbf{x}}_r.$$

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We interpret **n** as everything else.

The (least-squares) solution is given by

$$\widehat{\mathbf{x}} = \mathbf{W}^{\dagger} \mathbf{p} + \widehat{\mathbf{x}}_n + \mathbf{W}^{\dagger} \mathbf{n},$$

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where  $W^{\dagger}$  is the *pseudo-inverse* and  $W \hat{\mathbf{x}}_n = 0$ .

The (least-squares) solution is given by

$$\widehat{\mathbf{x}} = \mathbf{W}^{\dagger} \mathbf{p} + \widehat{\mathbf{x}}_n + \mathbf{W}^{\dagger} \mathbf{n},$$

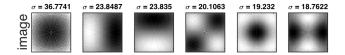
where  $W^{\dagger}$  is the *pseudo-inverse* and  $W \hat{\mathbf{x}}_n = 0$ . Ideally, we have

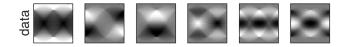
$$\widehat{\mathbf{x}} = \overline{\mathbf{x}}_r + \widehat{\mathbf{x}}_n + \mathbf{W}^{\dagger}\mathbf{n}.$$

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So, if  $\|\mathbf{n}\|_2$  is small,  $\hat{\mathbf{x}}_r \approx \bar{\mathbf{x}}_r$  but in general  $\hat{\mathbf{x}}_n \not\approx \bar{\mathbf{x}}_n$ .

Gallery of singular vectors

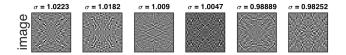




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# Linear algebra

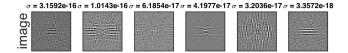
#### Gallery of singular vectors





# Linear algebra

#### Gallery of singular vectors





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We can think of these as minimizing the residual in a weighted norm

$$\min_{\mathbf{x}} \|W\mathbf{x} - \mathbf{p}\|_Q^2.$$

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We can think of these as minimizing the residual in a weighted norm

$$\min_{\mathbf{x}} \|W\mathbf{x} - \mathbf{p}\|_Q^2.$$

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ART: Q = H,
SIRT: Q = R.