

## t(14;18) Translocation: A Predictive Blood Biomarker for Follicular Lymphoma

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### ABSTRACT

#### Purpose

The (14;18) translocation constitutes both a genetic hallmark and critical early event in the natural history of follicular lymphoma (FL). However, t(14;18) is also detectable in the blood of otherwise healthy persons, and its relationship with progression to disease remains unclear. Here we sought to determine whether t(14;18)-positive cells in healthy individuals represent tumor precursors and whether their detection could be used as an early predictor for FL.

#### Participants and Methods

Among 520,000 healthy participants enrolled onto the EPIC (European Prospective Investigation Into Cancer and Nutrition) cohort, we identified 100 who developed FL 2 to 161 months after enrollment. Prediagnostic blood from these and 218 controls were screened for t(14;18) using sensitive polymerase chain reaction–based assays. Results were subsequently validated in an independent cohort (65 case participants; 128 controls). Clonal relationships between t(14;18) cells and FL were also assessed by molecular backtracking of paired prediagnostic blood and tumor samples.

#### Results

Clonal analysis of t(14;18) junctions in paired prediagnostic blood versus tumor samples demonstrated that progression to FL occurred from t(14;18)-positive committed precursors. Furthermore, healthy participants at enrollment who developed FL up to 15 years later showed a markedly higher t(14;18) prevalence and frequency than controls ( $P < .001$ ). Altogether, we estimated a 23-fold higher risk of subsequent FL in blood samples associated with a frequency  $> 10^{-4}$  (odds ratio, 23.17; 95% CI, 9.98 to 67.31;  $P < .001$ ). Remarkably, risk estimates remained high and significant up to 15 years before diagnosis.

#### Conclusion

High t(14;18) frequency in blood from healthy individuals defines the first predictive biomarker for FL, effective years before diagnosis.

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### INTRODUCTION

Follicular lymphoma (FL), the second most common adult B-cell lymphoma in Western countries, is usually characterized by an indolent clinical course, evolving asymptotically over many years. Consequently, diagnosis is frequently delayed, and treatment performed on a largely disseminated tumor. Although the advent of highly effective therapies and the availability of anti-CD20 antibodies have significantly improved clinical outcome, FL remains in-

curable, and patients continue to die as a result of the disease after development of resistance to treatments or disease transformation into aggressive diffuse large B-cell lymphoma.<sup>1,2</sup> FL represents a particularly attractive model to study the early phases of cancer development, because the acquisition of the genetic hallmark t(14;18) translocation—the earliest recurrent event giving rise to a *BCL2-IGH* fusion—originates from bone marrow (BM) pre-B cell; conversely, malignant FL clones derive from the transformation of germinal center B cells

in secondary lymphoid organs.<sup>3,4</sup> Accordingly, a large proportion of healthy individuals (50% to 70%) harbor low levels of circulating t(14;18)-positive cells<sup>5-7</sup> but will never develop FL, indicating that BCL2 ectopic expression is necessary but not sufficient for tumor progression.<sup>8</sup> However, the relationship between t(14;18) and progression to disease remains unclear. In rare cases, apparently tumor-free individuals carry an unusually high t(14;18) frequency (10 to 100× greater than average population),<sup>7</sup> and sporadic cases of progression to FL have been reported.<sup>9-11</sup> We and others previously demonstrated that such high frequency of t(14;18)-positive cells constitutes an expanding clonal population of atypical B cells, issued from the germinal center and sharing illegitimate genotypic and phenotypic features exclusively seen in FL.<sup>12,13</sup> Nevertheless, key gaps in our understanding are whether such t(14;18)-positive cells with FL-like features in healthy individuals constitute committed FL precursors, and whether high t(14;18) frequency in blood represents a suitable predictive biomarker of FL development.

## PARTICIPANTS AND METHODS

### Study Population

**Discovery cohort.** We conducted a nested case-control study on FL risk within the EPIC (European Prospective Investigation Into Cancer and Nutrition) cohort. Approximately 520,000 healthy individuals (age 35 to 70 years) were recruited between 1992 and 2000 from 23 centers in 10 European countries (Appendix, online only). At recruitment, participants were asked to complete a self-administered questionnaire to collect demographic, medical, and lifestyle information. Eligible participants were those diagnosed with FL during follow-up, according to the International Classification of Diseases for Oncology, third revision (ICD-O-3), who had an archived cryopreserved buffy-coat sample collected at enrollment. For each participant case, two controls were selected from among cohort members alive and cancer free at the time participant cases were diagnosed and matched by study center, age ( $\pm 1$  year), sex, and blood collection date ( $\pm 45$  days). Twenty-one participant cases were excluded because of insufficient DNA quality. Participant cases with ICD-O-3 codes that could not be unequivocally assigned to FL were also excluded ( $n = 15$ ). Approval was obtained from the International Agency for Research on Cancer Ethics Board and local review boards of participating institutions. Informed consent was obtained from all participants.

**Validation Cohort.** The validation cohort included samples from the prospective population-based NSHDS (Northern Sweden Health and Disease Study). According to the eligibility criteria used for EPIC, 68 incident FL participant cases and 128 matched controls were identified through the Swedish Cancer Registries.

**French Clinical Series of Patients With FL.** Blood ( $n = 25$ ) and BM ( $n = 31$ ) DNA samples were obtained from patients with FL enrolled onto a clinical study and collected at diagnosis before therapy.<sup>14</sup> All patients carried a low tumor burden according to Groupe d'Etude des Lymphomes Folliculaires criteria.<sup>15</sup>

### Screening Assay

A quantitative polymerase chain reaction (PCR) assay with primers and probes for the *BCL2-IGH* and reference *GAPDH* genes was used to quantify t(14;18) frequency in buffy coat–extracted DNA samples (Appendix Table A1, online only; Appendix Fig A1, online only). DNA was encoded by EPIC/NSHDS investigators and sent for blind screening to the Marseille laboratory. DNA of each individual was tested in triplicate using 0.5- to 1- $\mu$ g DNA for t(14;18) and two replicates for *GAPDH*. Standard curves were generated from cloned *BCL2-IGH* and *GAPDH* PCR products, and absolute frequency of t(14;18)-positive cells was calculated using the standard curve method, normalized to the amount of total cells in the samples (Appendix, online only).

### Statistical Analysis

Differences in t(14;18) prevalence and frequency were analyzed using  $\chi^2$  and Mann-Whitney U tests, respectively. Correlations between frequency and time to diagnosis were performed using Spearman's rank test. A receiver operating characteristic curve analysis was used to test the overall ability of t(14;18) frequency to identify incident FL cases.<sup>16</sup> Frequency was then dichotomized based on several predefined thresholds, and the risk of FL associated with a frequency greater than each of these thresholds was calculated. Unconditional logistic regression was applied to determine the association between the frequency thresholds and FL, adjusting for age, sex, time since blood draw, and country of residence. An unconditional model was used to maximize power, because after exclusion of poor-quality DNA samples, there was no longer a one-to-two ratio for all participant cases and controls, and therefore, using matched analysis would have resulted in additional exclusions. The optimal threshold was determined based on sensitivity, specificity, and association with risk. This threshold was applied to the validation cohort to confirm its ability to predict FL risk in an independent cohort. Meta-analysis of both cohorts was used to assess heterogeneity and provide a summary risk estimate. Stratified analyses of time to diagnosis were performed on the pooled data set, and the Kaplan-Meier method was used to construct curves according to t(14;18) status (Appendix, online only).

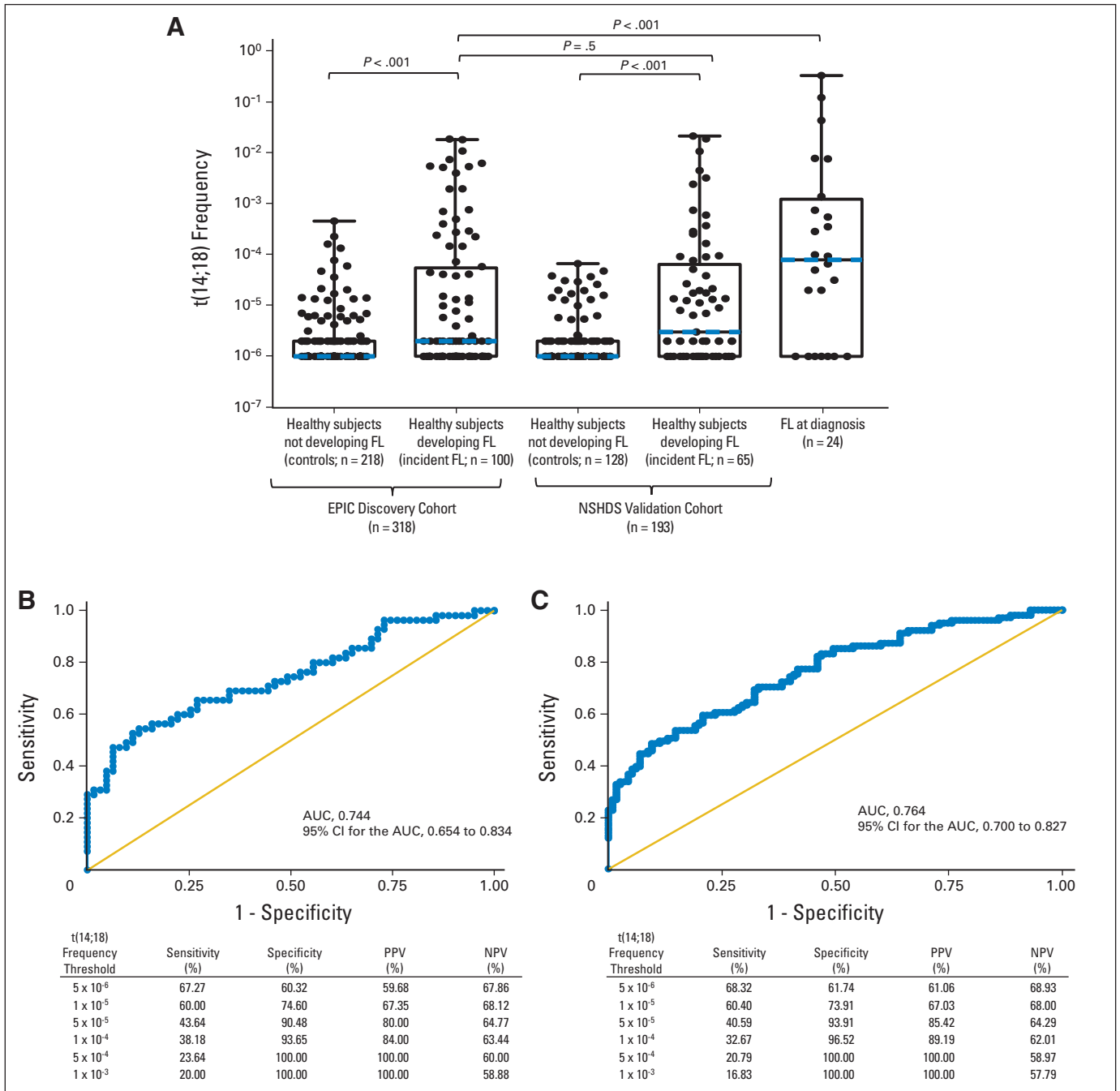
## RESULTS

### t(14,18) Prevalence and Frequency in Blood From Healthy Individuals Before FL Diagnosis

We investigated FL onset in the EPIC cohort, which includes more than 520,000 healthy participants with a follow-up for cancer incidence of more than 15 years.<sup>17</sup> We identified 100 participants who developed FL during follow-up (here referred to as incident FL) and had good-quality archived prediagnostic blood collected at enrollment (Table 1). Time between blood draw and FL diagnosis ranged from 2 months to 13 years (mean, 6.4 years). The control population included 218 participants who did not develop lymphoma during follow-up (here referred to as controls), matched for age, sex, study center, and time since blood draw. This constituted the discovery cohort. A blinded screening quantitative PCR–based assay was performed to evaluate t(14;18) prevalence and quantify t(14;18) frequency. The assay enabled high experimental sensitivity ( $< 1$  translocation per 500,000 cells) and covered approximately 70% of *BCL2* breakpoints.

Results showed that prevalence of t(14;18) was significantly higher in incident FL participant cases compared with controls (56% v 28.9%;  $P < .001$ ; Table 1). Similarly, the mean and median frequencies were significantly higher, both when considering the total population and t(14;18)-positive participants only (Table 1; Fig 1A). Although t(14;18) frequency varied over a 4-log range (from undetectable to one every 50 cells), the highest frequency quartile in prediagnostic FL reached levels unseen in the control group and never observed previously in large screenings of healthy participants, to our knowledge.<sup>7</sup> Because blood samples at time of FL clinical diagnosis from the incident participant cases with FL were not available, we selected blood samples from an independent series of 24 untreated patients with FL with low tumor burden.<sup>14</sup> Although the frequency distribution was distinct ( $P < .001$ ), it spread over 5 logs, and the range of higher frequencies in the FL series largely overlapped with prediagnostic FL participant cases (Figs 1A to 1C).





**Fig 1.** Analysis of t(14;18) frequency. (A) t(14;18) frequency in blood from healthy individuals who subsequently developed follicular lymphoma (FL; incident FL) as compared with healthy individuals who did not (controls) in EPIC (European Prospective Investigation Into Cancer and Nutrition) discovery and NSHDS (Northern Sweden Health and Disease Study) validation cohorts. Blood samples from clinical series of patients with established FL with low tumor burden are also depicted for comparison (dashed blue line indicates median). Negative threshold value defined as half detection limit of our quantitative polymerase chain reaction assay (eg,  $1 \times 10^{-6}$ ). Receiver operating characteristic (ROC) curves for incident FL versus controls in (B) discovery and (C) combined discovery and validation cohorts. ROC curve applied to t(14;18)-positive samples only. Gold diagonal line indicates performance of random predictor. Area under curve (AUC), sensitivity, and specificity are shown at each threshold value. NPV, negative predictive value; PPV, positive predictive value.

**t(14;18) Frequency in Blood Is a Predictive Marker of FL Progression**

To evaluate the potential of t(14;18) frequency to discriminate individuals who will develop FL, we next performed a receiver operating curve analysis. Area under curve was computed to be 0.744 (95% CI, 0.654 to 0.834) when carried out on t(14;18)-positive samples,

indicating that t(14;18) frequency constitutes an excellent predictive marker among individuals who will develop FL (Fig 1B). We estimated that an optimal cutoff frequency value (ie, one providing optimal tradeoff between sensitivity and specificity) for predicting FL development was  $10^{-4}$ . Using unconditional logistic regression, we evaluated an approximately 15-fold higher risk of subsequent FL

associated with a frequency greater than  $10^{-4}$  (odds ratio [OR], 15.52; 95% CI, 5.12 to 47.11;  $P < .001$ ), when adjusting for age, sex, time since blood draw, and country of residence.

An independent validation cohort (NHSDS) was selected using the same criteria as for the discovery data set and consisted of 65 incident FL participant cases and 128 matched controls (Appendix Fig A1, online only). Findings from the discovery cohort were fully validated (Table 1; Fig 1A; Appendix Figs A2A and A2B, online only). When both discovery and validation cohorts were combined, the area under the curve was 0.764 (95% CI, 0.700 to 0.827; Fig 1C). Meta-analysis of the discovery and validation phases found no heterogeneity in the effect estimates between the two cohorts at the thresholds where an OR could be computed in both cohorts:  $5 \times 10^{-6}$ ,  $1 \times 10^{-5}$ , and  $5 \times 10^{-5}$  ( $P > .17$ ; Appendix Figs A2A and A2B, online only). Overall, the combined analysis reported a 23-fold increase in risk associated with this  $10^{-4}$  threshold (OR, 23.17; 95% CI, 9.98 to 67.31;  $P < .001$ ), confirming the cutoff of  $10^{-4}$  as a highly reliable discriminator of FL development.

### Alternative BCL2 Translocations

Our results show that in 20% of individuals who will develop FL later, t(14;18) frequency in blood greater than  $10^{-4}$  precedes disease development. However, a notable proportion of EPIC participants who developed FL during follow-up carried lower and/or undetectable t(14;18) frequencies (Fig 1A). To first delineate the possibility of false negatives, we refined our screen. Although 70% of FLs harbor translocations in BCL2 major breakpoint region (MBR), 15% to 20% have alternative BCL2 breakpoints or lack t(14;18) altogether.<sup>18,19</sup> We thus designed a nested PCR assay to screen the discovery cohort for three additional breakpoint clusters (minor cluster region [mcr], 3'MBR, intermediate cluster region; Appendix Fig A3, online only), each involved in fewer than 10% of cases of FL. Among 30 screened classical t(14;18)-negative EPIC participants who subsequently developed FL, four were identified with alternative BCL2-IGH translocations (mcr/J<sub>H</sub>, n = 2; 3'MBR/J<sub>H</sub>, n = 2). Translocation frequencies were distributed similarly among those with MBR/J<sub>H</sub> junctions (from  $2 \times 10^{-6}$  to  $2 \times 10^{-4}$ ), indicating that samples with alternative breakpoints did not constitute a significant contingent of false-negative participant cases.

### t(14;18) Frequency and Time to Diagnosis

We next asked whether increased t(14;18) frequency might be preferentially observed in prediagnostic samples collected close to diagnosis. Elapsed time between blood draw and diagnosis in incident FL ranged from 2 months to 20 years (average, 7.6 years). Overall, median time to diagnosis did not differ according to t(14;18) positivity ( $P = .538$ ; Appendix Fig A4A, online only). Among t(14;18)-positive participant cases, no significant correlation was observed between t(14;18) level and time to diagnosis ( $P = .56$ ;  $r_s = -0.06$ ; Appendix Fig A4B, online only). When conducting a stratified analysis in 5-year intervals (adjusted for matching variables), we found a significantly increased risk of FL associated with a threshold of more than  $1 \times 10^{-4}$  in all intervals up to 15 years (0 to 5, 5 to 10, and 10 to 15 years; Table 2). Interestingly, when time to diagnosis was stratified into 1-year intervals (up to 5 years), the t(14;18) distribution was significantly different between prediagnostic samples from incident participant cases with FL and patients with FL at diagnosis, except for samples collected close to diagnosis ( $< 12$  months;  $P = .108$ ; Table 3). These

**Table 2.** Stratified Analysis of t(14;18) Frequency According to Time to Diagnosis

Time to Diagnosis (years)	No. of Participant Cases*	No. of Controls*	OR	95% CI	P
< 5	30	115	17.17	4.48 to 65.81	< .001
5-10	36	115	22.86	6.69 to 78.08	< .001
10-15	25	115	8.40	1.92 to 36.73	< .01
> 15	11	115	5.85	0.42 to 80.94	.187

NOTE. P for heterogeneity = .665.  
Abbreviation: OR, odds ratio.  
\*t(14;18)-positive samples.

constituted a small contingent of incident participant cases with FL (five of 168), who might represent undiagnosed patients and not precursor state.

Altogether, these data indicate that high t(14;18) frequency discriminates commitment to FL development up to 15 years before diagnosis. This latency is in keeping with previous anecdotal reports suggesting that t(14;18)-positive precursors can be committed to FL development up to 9 years before diagnosis.<sup>9,11</sup> This also suggests that two forms of FL preclinical courses may exist: one involving a massive release of t(14;18)-positive precursors in blood, and one where trafficking is more limited, potentially constrained to homing niches in lymphoid organs. In line with this possibility, a fraction of patients with established FL with low tumor burden also displayed low t(14;18) frequency in blood (Fig 1A).

### Early t(14;18)-Positive Clones Precede the Disease

To confirm that the t(14;18)-positive clones present in prediagnostic samples constituted bona fide precursors, which progressed to overt FL, three good-quality archival formalin-fixed paraffin-embedded FL biopsies from EPIC participants were recovered, and their t(14;18) breakpoints were determined and compared with those found in the prediagnostic samples. All FL and pre-FL samples were t(14;18) positive, including one mcr variant. In all cases, the same BCL2-IGH breakpoint was found before and after FL outcome (Fig 2), demonstrating that t(14;18)-positive clones in blood from these healthy individuals constituted bona fide precursors, which progressed to FL. Importantly, this clonal filiation was apparent not only in individuals with high frequencies; one precursor clone was detected at a low frequency (one per 500,000 cells). Time to diagnosis was variable (range, 4.9 to 10.6 years), further adding to the evidence that committed t(14;18)-positive precursors can be present and circulate in the blood several years before diagnosis.

## DISCUSSION

The presence at low frequency of t(14;18)-bearing clones in the blood from healthy individuals is well documented.<sup>5,7,20</sup> Nevertheless, whether some (and/or which) positive individuals are prone to develop clinical FL and the timeframe of progression to disease remain to date largely circumstantial.<sup>9-11</sup> Considering that FL is diagnosed in approximately one in 25,000 individuals annually<sup>18,19</sup> and likely develops over several decades, large prospective cohort studies provide a

**Table 3.** t(14;18) Prevalence and Frequency Among Prediagnostic FL samples Diagnosed Between 1 and 5 Years After Blood Draw Compared With Blood at Diagnosis From Patients With Established FL

Time to Diagnosis (years)	Prevalence		P*	Median t(14;18) Frequency ( $\times 10^{-5}$ )	Pt†
	No.	%			
Series of French patients with FL	17 of 25	68		6.5	
EPIC and NSHDS cohorts					
< 1	5 of 8	63	.774	4.5	.108
1-2	13 of 16	81	.35	0.2	.010
2-3	18 of 27	67	.918	0.2	.002
3-4	23 of 39	59	.467	0.2	.003
4-5	30 of 49	61	.567	3.1	.007

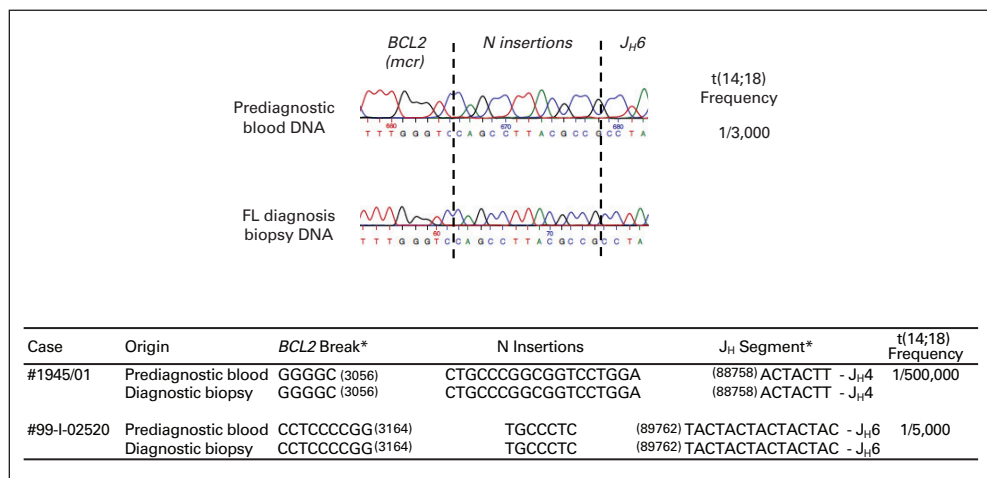
Abbreviations: EPIC, European Prospective Investigation Into Cancer and Nutrition; FL, follicular lymphoma; NSHDS, Northern Sweden Health and Disease Study.  
 \* $\chi^2$  test.  
 †Mann-Whitney U test.

unique opportunity to screen prediagnostic blood samples and investigate the association of a predictive molecular marker with disease onset.<sup>21,22</sup> Here we quantified t(14;18) frequency in archived blood samples from 511 healthy adults observed in the EPIC and NSHDS cohorts, 165 of whom subsequently developed FL up to 20 years later. Our data unambiguously demonstrate that in approximately 20% of healthy individuals who went on to develop FL, commitment to FL progression is significantly associated with elevated t(14;18) frequency. We determined that individuals with t(14;18) frequency reaching one in every 10,000 blood cells had a 23-fold greater risk of progression to FL. Importantly, the predictive value of high t(14;18) in blood is effective both close to and long before disease onset (1 to 15 years), the risk estimate remaining strongly significant regardless of time to disease. Although we cannot exclude the possibility that some of the incident participant cases with FL (especially those from whom samples were collected < 12 months before diagnosis) might have been asymptomatic undiagnosed patients at the time of blood draw, it seems unlikely that they represent an important proportion of our healthy participants. To our knowledge, this constitutes the first predictive biomarker of FL. Together with chronic lymphocytic leukemia/monoclonal B-cell lymphocytosis (MBL) and multiple myeloma (MM)/monoclonal gammopathy of undetermined significance (MGUS), FL/high t(14;18) frequency before FL diagnosis represents

the third example of a B-cell premalignant state that will progress into overt malignancy.<sup>21-23</sup>

It is well acknowledged that the use of a biomarker to predict the occurrence of a low-incidence disease in the general population is difficult to achieve because of a demanding tradeoff between sensitivity and specificity.<sup>24,25</sup> This is the case for MBL/MGUS, the systematic screening of which is currently not recommended outside a research study. Similarly, although the high-frequency t(14;18) biomarker confers a strong risk for the development of clinically diagnosed FL, alone it does not provide a basis for early prediction at the individual level, because at the  $10^{-4}$  cutoff, which achieves reasonable sensitivity, specificity is only 96.5%.

Recognition of the MBL/MGUS precursor entities has nevertheless been of great value, notably in allowing the identification of high-risk patients<sup>26,27</sup> who might benefit from active surveillance and/or early therapeutic intervention.<sup>28,29</sup> Fine MBL characterization has allowed stratification into low-count MBL and clinical MBL,<sup>30</sup> the latter seeming to overlap with chronic lymphocytic leukemia at both genomic and clinical levels.<sup>31</sup> A score combining elevated serum-free light chain, M spike, and gene expression profile identified a subset of patients with high risk of progression to clinical MM requiring therapy.<sup>32</sup> In this context, recent studies have begun to explore early initiation of therapy in asymptomatic MM.<sup>33,34</sup> For FL, one of the



**Fig 2.** Clonal identity between *BCL2*/*J<sub>H</sub>* junctions in paired prediagnostic blood samples and follicular lymphoma (FL) biopsies at diagnosis (case no. 03-12089). Blood t(14;18) frequency in prediagnostic samples indicated. (\*) Breakpoint positions numbered according to Genbank M14745 (*BCL2*) and X97051 (*IGH*).

major challenges in the future will be to develop similar individualized risk profiles. However, this requires the identification of a complementing set of molecular predictors in pre-FL cells. The present identification of committed high t(14;18) cells allows thorough biologic characterization of such precursors and constitutes an important starting tool to address this central issue. Longitudinal studies will be instrumental in estimating the risk of clinical progression of such precursors.

Non-Hodgkin B-cell lymphomas, including FL, have shown considerable incidence increases during the past 30 years.<sup>35</sup> This progression is to date largely unexplained, and the identification of the underlying risk factors remains a major public health issue. Considering the strength of the association in our study (OR > 20, predictive years before diagnosis, and validation in independent cohort), the high-frequency t(14;18) blood-based biomarker could be of great value in identifying groups of at-risk individuals and associated risk factors in prospective large-scale epidemiologic studies.<sup>36</sup> In FL, trends include immune dysfunction and exposure to environmental factors, such as pesticides.<sup>37,38</sup> Recently, common genetic variants at specific loci (including HLA) identified from genome-wide association studies emerged as additional risk factors for FL development, which might be associated with early premalignant stages.<sup>39</sup> It is expected that the combination of risk factors issued from genomic, environmental, and clinical investigations will be required to further define healthy subpopulations at risk for FL development.

One unexpected observation from our study was that a fraction of both patients with FL and incident FL did not display elevated t(14;18) levels in blood. The basis of the difference in blood tumor cell release remains to be clarified, but a more limited trafficking might be linked to increased expression of adhesion receptors and/or microenvironment-promoted chemotaxis.<sup>40,41</sup> We investigated t(14;18) frequency in BM in our series of established FL cases with low tumor burden, and it closely reflected frequency in blood ( $P < .001$ ; Appendix Fig A5, online only), further arguing for a link between increased frequency in blood and the propensity to disseminate. Such concordance between BM and blood frequency, together with evidence of bidirectional trafficking, was also found in a series of otherwise healthy individuals undergoing thoracic surgery (Sungalee et al, submitted for publication), suggesting that distinctive trafficking properties and ensuing dissemination might be established early on during pre-FL development. The microenvironment has an important prognostic significance in FL.<sup>42,43</sup> Identifying whether and how such distinctive trafficking properties of t(14;18)-positive clones are linked to differential interactions with the microenvironment might be of particular interest for tailored therapy, especially with the ap-

pearance of new and promising drugs targeting tumor microenvironment components.<sup>44,45</sup>

Early committed precursors likely represent the FL cancer progenitor cells from which relapses reemerge,<sup>46,47</sup> and the identification of candidate genes or oncogenes involved in early steps of FL development is currently an area of intense genomic research.<sup>48-52</sup> Recently, the report of synchronous FL development occurring from the same t(14;18)-positive precursor 9 years after BM transplantation in both the donor and recipient<sup>11</sup> demonstrated that commitment to malignant transformation can occur nearly a decade before disease onset. In line with this, we show here that progression to FL systematically occurred from clonally related t(14;18)-positive bona fide lymphoma precursors up to 10 years before diagnosis. The identification of committed t(14;18) cells at high frequency in participants developing FL provides a unique opportunity to unravel key genomic alterations paving the progression from pre-FL to FL through genome-wide approaches.<sup>53,54</sup> This might contribute to the design of noncytotoxic targeted therapy in the near future, which may be beneficial in well-defined, less-advanced/refractory forms of the disease.

#### AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

The author(s) indicated no potential conflicts of interest.

#### AUTHOR CONTRIBUTIONS

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### Appendix

#### EPIC Cohort

EPIC (European Prospective Investigation Into Cancer and Nutrition) is a multicenter prospective cohort established to investigate the role of biologic, dietary, lifestyle, and environmental factors in the etiology of cancer and other chronic diseases. Approximately 520,000 healthy men and women, age 35 to 70 years, were recruited between 1992 and 2000 in 23 centers located in 10 European countries, including Denmark, France, Germany, Greece, Italy, the Netherlands, Norway, Spain, Sweden, and the United Kingdom. At enrollment, participants were asked to complete a self-administered questionnaire to collect demographic, medical, and lifestyle information, including a self-administered food frequency questionnaire. Participants agreed to have their health status monitored for the rest of their lives, and standardized procedures were used to identify incident cancers based on automated linkages to cancer and mortality registries, municipal population offices, and hospital discharge systems. Diagnosis, tumor site classification, and morphology of each participant case were based on International Classification of Diseases for Oncology, second revision (ICD-O-2), which was reclassified according to the recently published WHO Classification of Tumors of the Hematopoietic and Lymphoid Tissues (ICD-O-3). Inclusion was strictly limited to patients diagnosed with follicular lymphoma (FL); those with transformed FL or de novo diffuse large B-cell lymphoma with or without t(14;18) were excluded. For the current analysis, participants were observed from study recruitment until the date of last known contact, date of diagnosis, or date of death (report complete until December 2008). None of the EPIC controls had been diagnosed with clinically recognized FL or any other lymphoid malignancies at the last follow-up.

#### NSHDS Cohort

The NSHDS (Northern Sweden Health and Disease Study) is a large prospective population-based study that comprises three cohorts: the Västerbotten Intervention Project, the Northern Sweden WHO Monitoring of Trends and Cardiovascular Disease Study, and the Local Mammography Screening Project (MSP). A total of 95,000 healthy individuals age 40 to 60 years were invited for inclusion in the project between 1990 and 2006. At initial recruitment, participants were asked to complete a self-administered questionnaire to collect demographic, medical, and lifestyle information and a separate self-administered food frequency questionnaire. Informed consent was obtained from all participants, and a medical examination was conducted, during which a blood sample was taken. Patients with FL (ICD-O-3 classification) diagnosed between 1994 and 2013 were identified by linking the Northern Sweden Health and Disease Cohort with the National Cancer Registry. For each participant case, two controls were selected from among cohort members alive and matched by study center, age ( $\pm 1$  year), sex, and blood collection date ( $\pm 45$  days). Controls were alive and free from cancer at the time of FL diagnosis of the matched participant case. According to the eligibility criteria used for the EPIC cohort, a total of 195 samples were included (68 incident FL participant cases and 128 matched controls), of which three were removed for nonamplifiable DNA (one incident FL participant case and two controls). The study protocol was approved by the Umeå University Research Ethics Committee (Umeå, Sweden). Written informed consent was obtained from all NSHDS participants at enrollment.

#### DNA Isolation

DNA samples from EPIC participants were extracted from buffy coats using the QIA Symphony DNA Midi Kit or the QIAamp DNA formalin-fixed paraffin-embedded (FFPE) tissue kit for FFPE tumor biopsies (Qiagen, Crawley, United Kingdom). DNA quantity and quality were evaluated using two methods: NanoDrop spectrophotometer (ultraviolet absorbance; Wilmington, DE) and the lab-on-a-chip technique (Agilent 2100 Bioanalyzer; Santa Clara, CA). Quality of DNA extracted from FFPE biopsies was further evaluated using a gel-based multiplex polymerase chain reaction (PCR) assay allowing the coamplification of four PCR fragments ranging from 100 to 400 bp and determining the maximum size of amplifiable DNA fragments. Samples giving rise to four amplicons had enough high-quality DNA for molecular analysis, whereas samples with low-size amplicons or no amplification were not analyzed.

#### Quantitative Real-Time PCR Amplification of BCL2 Major Breakpoint Region/J<sub>H</sub> Translocations

Quantitative real-time PCR (Q-PCR) was conducted using an ABI PRISM 7500 system and Sequence Detection software (Applied Biosystems, Carlsbad, CA). Q-PCR for the *GAPDH* endogenous reference gene was systematically performed as a test for amplifiable DNA and to determine the number of cells analyzed in each single assay. Each PCR reaction mixture contained the forward and reverse primers at a concentration of 300 nmol/L, a fluorescent probe at a concentration of 200 nmol/L, the standard TaqMan Universal PCR Master Mix (Applied Biosystems), and 500-ng DNA in each PCR replicate. After a 2-minute incubation at 50°C to allow for cleavage by uracil-DNA glycosylase and initial step at 95°C for 10 minutes, the PCR program was followed by 50 cycles of 95°C for 15 seconds and 60°C for 1 minute of combined annealing and extension. Plasmidic standard curves with initial copy number going from 10<sup>6</sup> to 10<sup>1</sup> were established for both the t(14;18) major breakpoint region (MBR)-specific PCR and the *GAPDH*-specific PCR and run in parallel and in duplicate together with the samples. Genomic DNA from buffy-coat samples was tested in triplicate for the target gene and with two replicates for the reference gene. The absolute t(14;18) frequency for each individual was extrapolated from the standard curve and normalized on total

number of cells in the assay. The frequency of t(14;18)-negative samples was arbitrary, defined as half the detection threshold of our Q-PCR assay (eg,  $1 \times 10^{-6}$ ), as previously performed. Both primers and probes are listed in Appendix Table A1 (online only).

### t(14;18) Fluctuation PCR and DNA Sequencing

A sensitive two-step fluctuation PCR (F-PCR) was performed, as previously reported,<sup>13</sup> in Q-PCR–negative prediagnostic FL samples to determine the presence of alternative *BCL2-IGH* translocations within the intermediate cluster region, minor cluster region, and 3' MBR breakpoint clusters where heterogeneous amplicon PCR lengths (> 250 bp) are not compatible with Q-PCR assay (Appendix Fig A3, online only). Briefly, for each individual, the F-PCR assay consisted of 10 to 15 PCR replicates performed in parallel using 0.1 to 0.2  $\mu$ g of DNA per reaction. In the fluctuation range, at most, one target molecule is present per PCR replicate, and if so, it will give rise to a detectable amplicon. The frequency of the event can then be calculated from the number of positive *BCL2/J<sub>H</sub>* amplicons using Poisson's assumption, as previously described.<sup>13</sup> Moreover, an additional F-PCR was applied to all previously identified Q-PCR–positive pre-FL samples to generate MBR/J<sub>H</sub> amplicons compatible with sequencing. All amplicons were purified from each independent PCR replicate and were cloned and sequenced.

### Statistical Methods

Prevalence was defined as the proportion of individuals who had a detectable t(14;18)-positive blood sample (ie, those with a frequency of more than two copies per  $10^6$  WBCs). Frequency was defined as the number of circulating t(14;18) copies per  $10^6$  circulating lymphocytes. Frequency analyses were conducted for both the total population and the t(14;18)-positive subgroup.

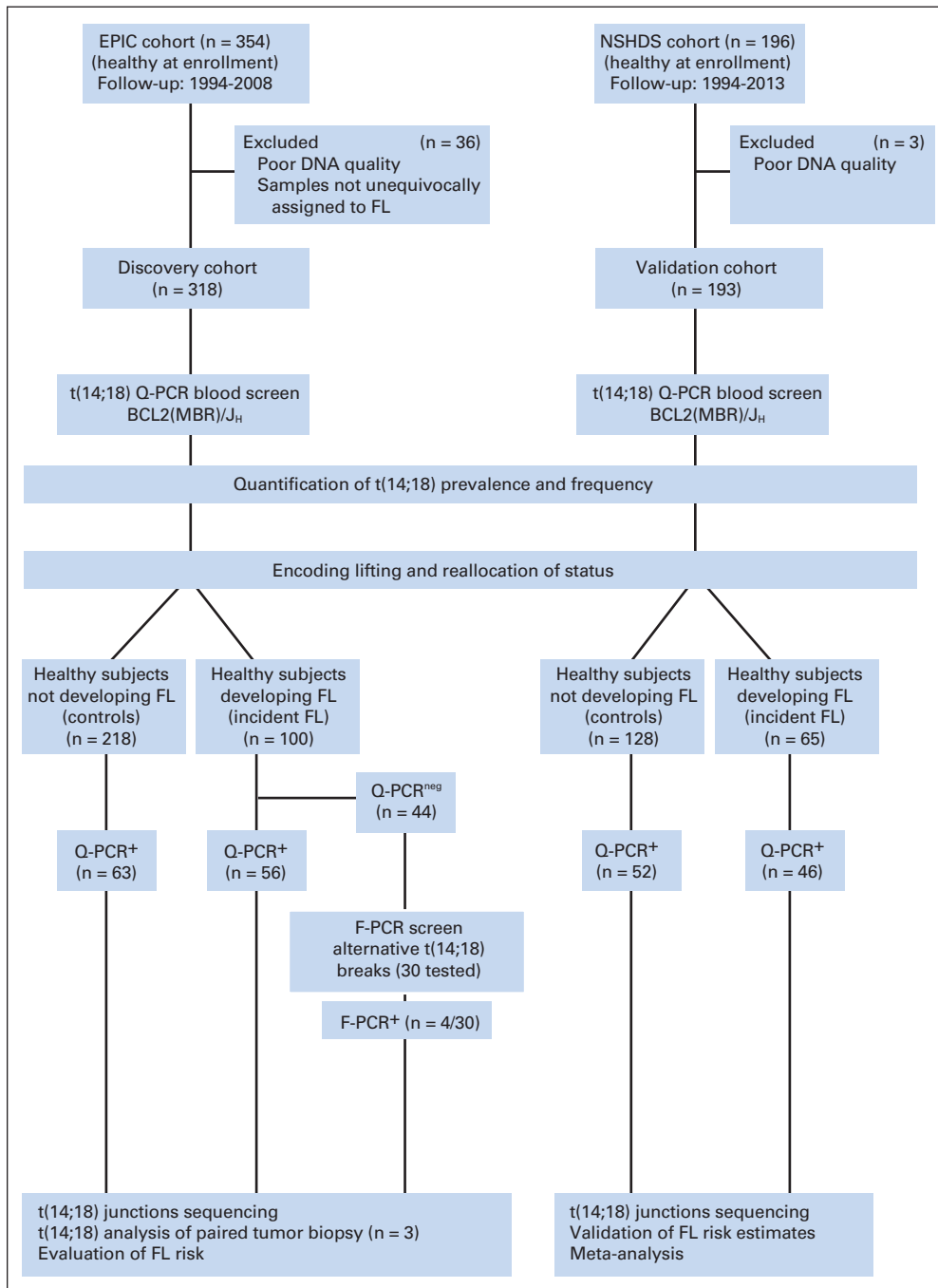
Receiver operating characteristic curves and areas under curve were generated by plotting sensitivity (true positives) against 1-specificity (false positives). To obtain an optimal cutoff predicting FL development, we calculated sensitivity, specificity, positive predictive value, and negative predictive value at different t(14;18) thresholds.

For the stratified analysis, participant cases were categorized into four groups based on time between blood draw and diagnosis: less than 5, 5 to 10, 10 to 15, and more than 15 years. For each stratum, unconditional logistic regression was run adjusting for the matching factors using all controls as baseline. Heterogeneity between the strata was assessed using the metan meta-analysis command in STATA (STATA, College Station, TX). Significance was set at the 5% confidence level, and statistical analysis was performed using STATA software (version 11.2).

**Table A1.** Primers and Probes for PCR and Quantitative Real-Time PCR

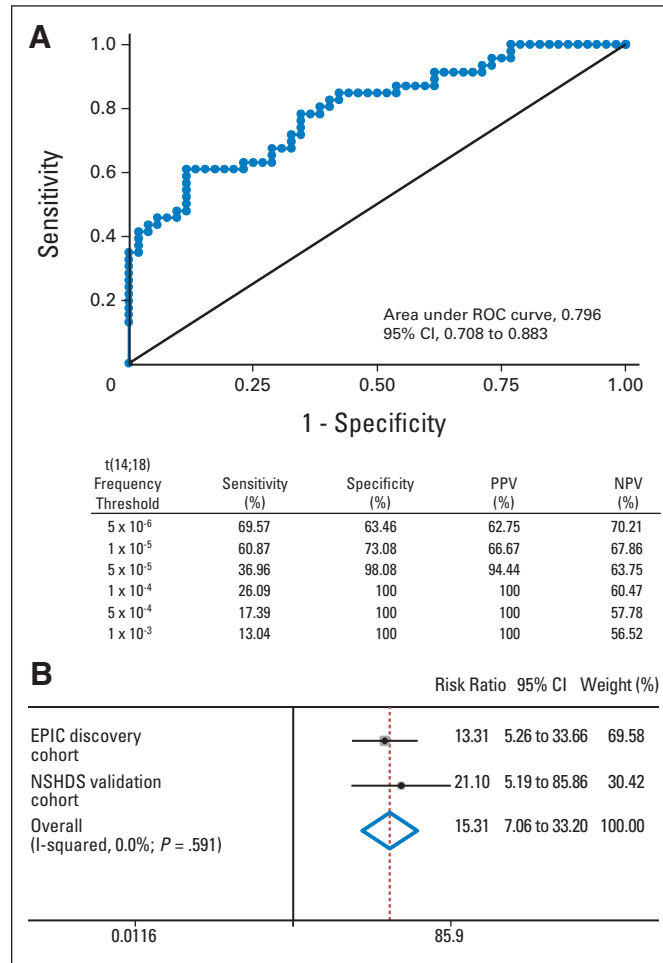
Name	Target	Sequence (5' to 3')	Purpose
<b>PCR and sequencing</b>			
MBR20A*	<i>BCL2</i>	CCCTGGGCAATTCGCATTTAATTCATGG	
MBR21A†	<i>BCL2</i>	GGCAAATGACCAGCAGATTCAAATCTATGG	
mcr-1A*	<i>BCL2</i>	TAGAGCAAGCGCCCAATAAATA	
mcr-1Aint†	<i>BCL2</i>	GCAGCTATTGTTACTCTTGCCAG	
J <sub>H</sub> CoB*	<i>IGH</i>	ACCTGAGGAGACGGTGACC	
J <sub>H</sub> CoInt B†	<i>IGH</i>	CAGGGTCCCTTGCCCCAG	
<b>Quantitative real-time PCR</b>			
Q-MBR35A	<i>BCL2</i>	TGACCTTTAGAGAGTTGCTTTACGT	
Q-JHCo-B	<i>IGH</i>	ACCTGAGGAGACGGTGACC	
P-MBR2A	<i>BCL2</i>	FAM-TGTTTCAACACAGACCCA-MGB	Probe
Q-GAPDH-1A	<i>GAPDH</i>	CTGAGAACGGGAAGCTTGTTCAT	
Q-GAPDH-1B	<i>GAPDH</i>	ATCCTAGTTGCCTCCCAAAG	
P-GAPDH-1A	<i>GAPDH</i>	VIC-TCACCATCTCCAGGAGT-MGB	Probe

Abbreviation: PCR, polymerase chain reaction.  
 \*Primary PCR.  
 †Nested secondary PCR.

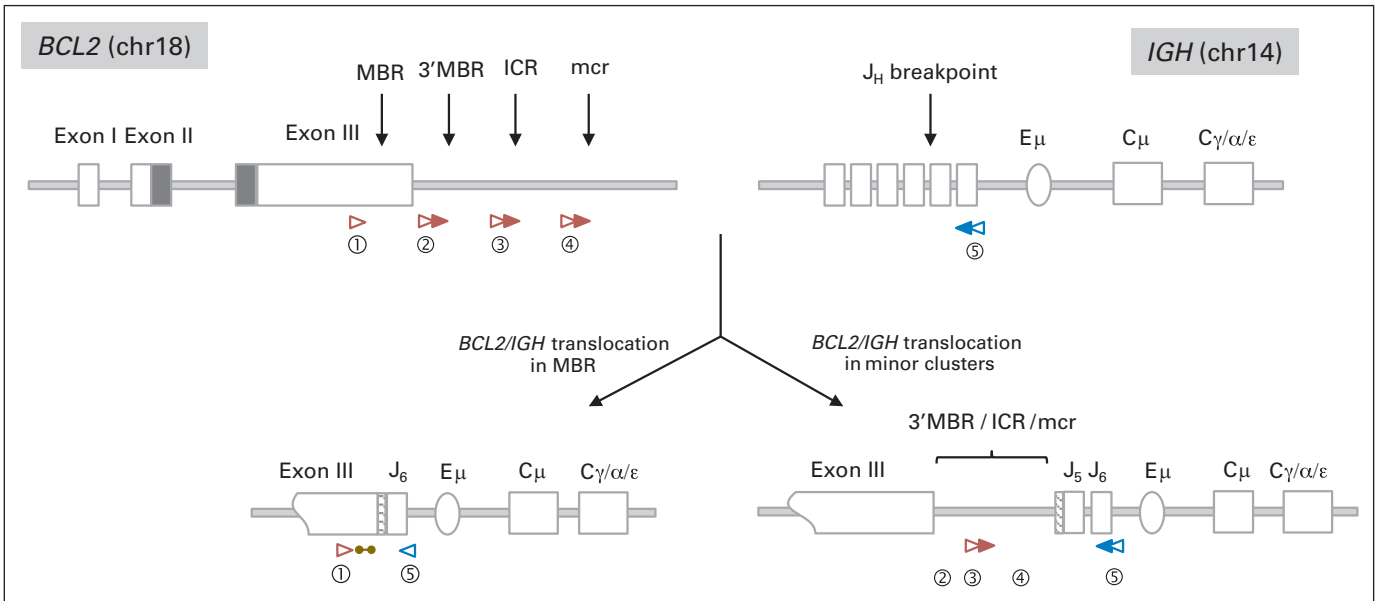


**Fig A1.** t(14;18) blood screening workflow in discovery (EPIC [European Prospective Investigation Into Cancer and Nutrition]) and validation (NSHDS [Northern Sweden Health and Disease Study]) cohorts. FL, follicular lymphoma; F-PCR, fluctuation polymerase chain reaction; Q-PCR, quantitative polymerase chain reaction.

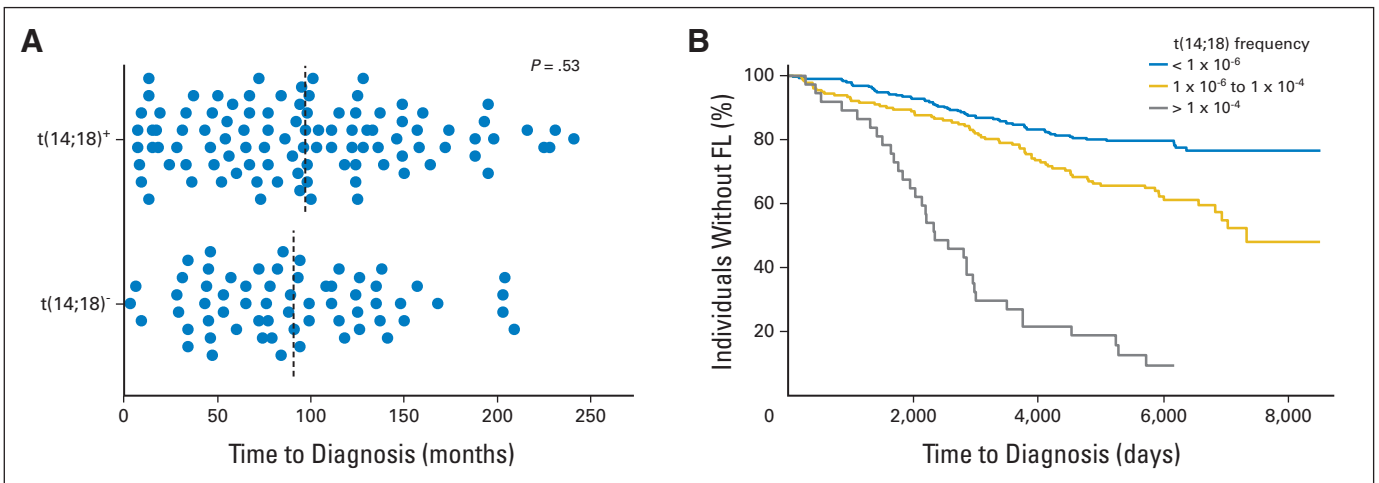
t(14;18) Biomarker and Risk of Follicular Lymphoma



**Fig A2.** (A) Receiver operating characteristic (ROC) curve for all t(14;18)-positive incident follicular lymphoma (FL) participant cases (n = 46) versus controls (n = 52) in validation cohort (NSHDS [Northern Sweden Health and Disease Study]) and effectiveness of t(14;18) frequency for predicting FL development at different cutoff values. Cutoff value of  $5 \times 10^{-5}$  had highest accuracy for FL detection in validation cohort (sensitivity, 36.9%; specificity, 98.08%). (B) Forest plot showing meta-analysis of test and validation phases at highest threshold where odds ratio (OR) could be computed in both phases:  $5 \times 10^{-5}$  (no controls had frequency  $> 1 \times 10^{-4}$  in validation cohort). ORs and 95% CIs shown for validation and discovery (EPIC [European Prospective Investigation Into Cancer and Nutrition]) cohorts. NPV, negative predictive value; PPV, positive predictive value.

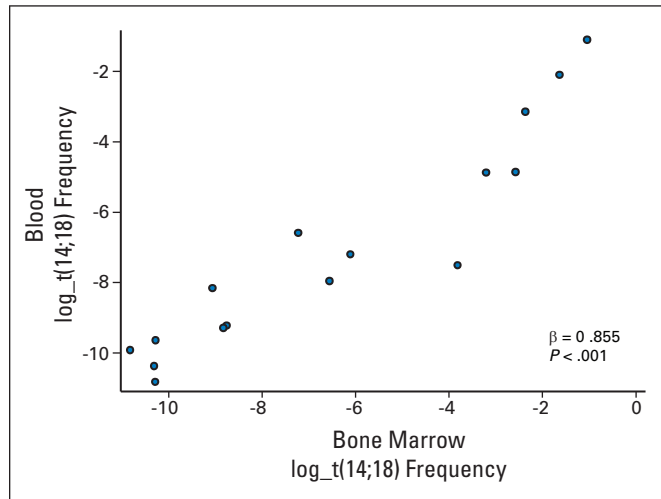


**Fig A3.** Design of t(14;18) polymerase chain reaction (PCR) –based assays. Schematic diagram of *BCL2* and *IGH* breakpoint regions involved in t(14;18) translocations. *IGH* breakpoint is located at one of six joining regions. *BCL2* breakpoints are indicated by vertical arrows: major breakpoint region (MBR), located within 3' noncoding region of exon 3; minor cluster region (mcr), located 20 to 30 kb 3' from MBR; and additional clusters 3'MBR and intermediate cluster region (ICR) in between. Configuration of *BCL2-IGH* rearrangement at MBR (bottom left), and *BCL2-IGH* rearrangement at minor breakpoint regions (bottom right). Positions of (1) MBR, (2) 3'MBR, (3) ICR, and (4) mcr primers for PCR are indicated by red arrows. (5) Consensus  $J_H$  primers for quantitative PCR (Q-PCR) and nested fluctuation PCR are indicated by blue arrows. Open arrows indicate first-round PCR; solid arrows, second-round nested PCR. Solid circles indicate *BCL2* MBR probe used for Q-PCR. Dashed boxes indicate N-nucleotide additions.



**Fig A4.** t(14;18) translocation and time to diagnosis. (A) Absence of difference in time to diagnosis among incident follicular lymphoma (FL) participant cases by t(14;18) prevalence status (85 v 94.5 months;  $P = .538$ ) for pooled cohort (discovery plus validation cohorts; Mann-Whitney  $P < .001$ ). (B) Kaplan-Meier curve showing estimated time to FL diagnosis according to level of circulating t(14;18)-positive cells: t(14;18) high,  $> 1 \times 10^{-4}$ ; positive,  $1 \times 10^{-6}$  to  $1 \times 10^{-4}$ ; negative,  $< 1 \times 10^{-6}$ . Differences between groups were assessed using log-rank test. Risk of diagnosis was significantly greater in t(14;18)-positive individuals compared with t(14;18)-negative individuals ( $P < .001$ ) and in individuals with high t(14;18) frequency compared with t(14;18)-positive individuals ( $P < .001$ ).

t(14;18) Biomarker and Risk of Follicular Lymphoma



**Fig A5.** Scatter plot of log-transformed t(14;18) frequency in 16 patients with established follicular lymphoma with paired bone marrow/blood t(14;18)-positive samples.