NNIL and ONNILLI

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Abstract

NNIL formulas are propositional formulas that do not allow nesting of implication to the left. These formulas were introduced in [15], where it was shown that NNIL-formulas are (up to frame-equivalence) exactly the formulas that are closed under taking submodels of Kripke models. In this paper we show that NNIL-formulas are exactly those formulas that are closed under taking subframes of (descriptive and Kripke) frames. As a result we obtain that the set of NNIL-formulas coincides with the set of subframe formulas and that subframe logics can be axiomatized by NNIL formulas.

We also introduce ONNILLI formulas, only NNIL to the left of implications, and show that ONNILLI formulas are (up to frame-equivalence) the formulas that are closed under order-preserving images of (descriptive and Kripke) frames. As a result, we obtain that the set of ONNILLI-formulas coincides with the set of stable formulas, which was introduced in [4]. Thus, ONNILLI is a syntactically defined set of formulas that axiomatizes all stable logics. This resolves an open problem of [4].

1 Introduction

Intermediate logics are logics situated between intuionistic propositional calculus **IPC** and classical propositional calculus **CPC**. One of the central topics in the study of intermediate logics is their axiomatization. Jankov [14], by means of Heyting algebras, and de Jongh [12], via Kripke frames, developed an axiomatization method for intermediate logics using the so-called splitting formulas. These formulas are also referred to as *Jankov-de Jongh formulas*. In algebraic terminology, for each finite subdirectly irreducible Heyting algebra A, its Jankov formula is refuted in an algebra B, if there is a one-one Heyting homomorphism from A into a homomorphic image of B. In other words, the Jankov formula of A axiomatizes the greatest variety of

Heyting algebras that does not contain A. In terms of Kripke frames, for each finite rooted frame $\mathfrak{F}$, the Jankov-de Jongh formula of $\mathfrak{F}$ is refuted in a frame $\mathfrak{G}$ iff $\mathfrak{F}$ is a p-morphic image of a generated subframe of $\mathfrak{G}$. In fact the Jankov-de Jongh formula of $\mathfrak{F}$ axiomatizes the least intermediate logic that does not have $\mathfrak{F}$ as its frame. Large classes of intermediate logics (splitting and join-splitting logics) are axiomatized by Jankov-de Jongh formulas. However, not every intermediate logic is axiomatized by such formulas, see e.g., [10, Sec 9.4].

Zakharyaschev [17, 18] introduced new classes of formulas called *subframe* and *cofinal subframe formulas* that axiomatize large classes of intermediate logics not axiomatized by Jankov-de Jongh formulas. For each finite rooted frame $\mathfrak{F}$ the (cofnal) subframe formula of $\mathfrak{F}$ is refuted in a frame $\mathfrak{G}$ iff $\mathfrak{F}$ is a p-morphic image of a (cofinal) subframe of $\mathfrak{G}$. Logics axiomatized by subframe and cofinal subframe formulas are called *subframe* and *cofinal subframe logics*, respectively. There is a continuum of such logics and each of them enjoys the finite model property. Moreover, Zakharyaschev showed that subframe logics are exactly those logics whose frames are closed under taking subframes. He also showed that an intermediate logic L is a subframe logic iff it is axiomatized by $(\wedge, \to)$-formulas, and L is cofinal subframe logic iff it is axiomatized by $(\wedge, \to, \bot)$-formulas. However, there exist intermediate logics that are not axiomatized by subframe and cofinal subframe formulas, see e.g., [10, Sec 9.4]. Finally, Zakharyaschev [17] introduced *canonical formulas* that generalize these three types of formulas and showed that every intermediate logic is axiomatized by these formulas.

Zakharyaschev's method was model theoretic. In [6] an algebraic approach to subframe and cofinal subframe logics was developed and in [1] extended to a full algebraic treatment of canonical formulas. This approach is based on identifying locally finite reducts of Heyting algebras. Recall that a variety V of algebras is called *locally finite* if the finitely generated V-algebras are finite. In logical terminology the corresponding notion is called local tabularity. A logic L is called *locally tabular* if there exist only finitely many non-L-equivalent formulas in finitely many variables. Note that $\vee$-free reducts of Heyting algebras are locally finite.

Based on the above observation, for a finite subdirectly irreducible Heyting algebra A, [1] defined a formula that encodes fully the structure of the $\vee$-free reduct of A, and only partially the behavior of $\vee$. In other words, if B is a Heyting algebra and $h : A \to B$ is a map that preserves all Heyting operations except $\vee$, then h may still preserve $\vee$ for some elements of A. This can be encoded in the formula by postulating that $\vee$ is preserved for only those pairs of elements of A that belong to some designated subset D

of A^2. This results in a formula that has properties similar to the Jankov formula of A, but captures the behavior of A not with respect to Heyting homomorphisms, but rather morphisms that preserve the $\vee$-free reduct of A. This formula is called the $(\wedge, \to)$-canonical formula of A. $(\wedge, \to)$-canonical formulas axiomatize all intermediate logics. When $D = A^2$, the $(\wedge, \to)$-canonical formula of A is equivalent to the Jankov formula of A. When $D = \emptyset$, the $(\wedge, \to)$-canonical formula of A is equivalent to a subframe formula of A. In [1], it was shown, via the Esakia duality for Heyting algebras, that $(\wedge, \to)$-canonical formulas are equivalent to Zakharyaschev's canonical formulas, and that so defined subframe and cofinal subframe formulas are equivalent to Zakharyaschev's subframe and cofinal subframe formulas.

However, Heyting algebras also have other locally finite reducts, namely $\to$-free reducts. Recently, [4] developed a theory of canonical formulas for intermediate logics based on these reducts of Heyting algebras. For a finite subdirectly irreducible Heyting algebra A and $D \subseteq A^2$, [4] defined the $(\wedge, \vee)$-canonical formula of A that encodes fully the structure of the $\to$-free reduct of A, and only partially the behavior of $\to$. It was shown that a Heyting algebra B refutes the $(\wedge, \vee)$-canonical formula of A iff there is a bounded lattice embedding of A into a subdirectly irreducible homomorphic image of B that preserves $\to$ for the pairs of elements from D. One of the main results of [4] is that each intermediate logic is axiomatizable by $(\wedge, \vee)$-canonical formulas, in parallel to the theory of $(\wedge, \to)$-canonical formulas.

When $D = A^2$, the $(\wedge, \vee)$-canonical formula of A is equivalent to the Jankov formula of A. When $D = \emptyset$, the $(\wedge, \vee)$-canonical formulas produce a new class of formulas called *stable formulas*. It was shown in [4], via the Esakia duality, that for each finite rooted frame $\mathfrak{F}$ the stable formula of $\mathfrak{F}$ is refuted in a frame $\mathfrak{G}$ iff $\mathfrak{F}$ is an order-preserving image of $\mathfrak{G}$. *Stable logics* are intermediate logics axiomatized by stable formulas. There is a continuum of stable logics and all stable logics have the finite model property. Also an intermediate logic is stable iff the class of its frames is closed under order-preserving images [4].

Thus, stable formulas play the same role for $(\wedge, \vee)$-canonical formulas that subframe formulas play for $(\wedge, \to)$-canonical formulas. Also the role that subframes play for subframe formulas are played by order-preserving images for stable formulas. A syntactic characterization of stable formulas was left in [4] as an open problem. The goal of this paper is to resolve this problem. This is done via the NNIL-formulas of [15].

NNIL formulas are formulas with no nesting of implications to the left. It was shown in [15] that these formulas are exactly the formulas that are closed under taking submodels of Kripke models. This implies that these formulas are also closed under taking subframes. Moreover, for each finite rooted frame $\mathfrak{F}$, [7] constructs its subframe formula that is also a NNIL-formula. In Section 3 of this paper we recall this characterization and use it to show that the class of NNIL-formulas is (up to equivalence) the same as the class of subframe formulas. Hence, an intermediate logic is a subframe logic iff it is axiomatized by NNIL-formulas. This also implies that each NNIL-formula is equivalent to a $(\wedge, \to)$-formula. We refer to [16] for more details on this.

In this paper we define a new class of ONNILLI-formulas. ONNILLI stands for *only NNIL to the left of implications.* We show that each ONNILLI-formula is closed under order-preserving images. For each finite rooted frame $\mathfrak{F}$ we also construct its stable formula that is an ONNILLI-formula. This shows that the class of stable formulas (up to equivalence) is the same as the class of ONNILLI-formulas. We deduce from this that an intermediate logic is stable iff it is axiomatized by ONNILLI-formulas. This resolves an open problem of [4] about syntactically characterizing formulas that axiomatize stable logics. Examples of ONNILLI-formulas are the Dummet formula $(p \to q) \vee (q \to p)$, the law of weak excluded middle $\neg p \vee \neg\neg p$, etc.

We work with both Kripke and descriptive frames. Maps between descriptive frames need to satisfy an extra admissibility condition. Subframes of descriptive frames also have an extra admissibility condition. To reason about admissible maps and subframes of descriptive frames, it is often handy to use topological terminology. Not to overload the paper with too many notations, we omit some of the details of the proofs that use topological terminology and instead provide exact references to [7], [4] or other papers on this topic.

We finish by mentioning the connection to modal logic. Modal analogues of subframe formulas were defined by Fine [13]. Analogues of $(\wedge, \to)$ -canonical formulas for transitive modal logics were investigated by Zakharyaschev, see [10, Ch. 9] for an overview. An algebraic approach to these formulas were developed in [2] and generalized to weak transitive logics in [3]. Modal analogues of $(\wedge, \vee)$-canonical formulas are studied in [5].

The paper is organized as follows. In Section 2 we recall Kripke and descriptive models of intuitionistic logic and basic operations on them. In Section 3 we discuss in detail the connection between NNIL-formulas and subframe logics. In Section 4 we introduce ONNILI formulas and prove that they axiomatize stable logics.

2 Preliminaries

For the definition and basic facts about intuitionistic propositional calculus **IPC** we refer to [10], [11] or [7]. Here we briefly recall the Kripke semantics of intuitionistic logic.

Let $\mathcal{L}$ denote a *propositional language* consisting of

- infinitely many propositional variables (letters) $p_0, p_1, \ldots$,
- propositional connectives $\wedge$, $\vee$, $\to$,
- a propositional constant $\bot$.

We denote by $\textsc{Prop}$ the set of all propositional variables. Formulas in $\mathcal{L}$ are defined as usual. Denote by $\textsc{Form}(\mathcal{L})$ (or simply by $\textsc{Form}$) the set of all well-formed formulas in the language $\mathcal{L}$. We assume that $p, q, r, \ldots$ range over propositional variables and $\varphi, \psi, \chi, \ldots$ range over arbitrary formulas. For every formula φ and ψ we let $\neg\varphi$ abbreviate $\varphi \to \bot$ and $\varphi \leftrightarrow \psi$ abbreviate $(\varphi \to \psi) \wedge (\psi \to \varphi)$. We also let $\top$ abbreviate $\neg\bot$.

We now quickly recall the Kripke semantics for intuitionistic logic. Let R be a binary relation on a set W. For every $w, v \in W$ we write wRv if $(w, v) \in R$ and we write $\neg(wRv)$ if $(w, v) \notin R$.

Definition 1.

1. An *intuitionistic Kripke frame* is a pair $\mathfrak{F} = (W, R)$, where $W \neq \emptyset$ and R is a partial order; that is, a reflexive, transitive and anti-symmetric relation on W.

2. An *intuitionistic Kripke model* is a pair $\mathfrak{M} = (\mathfrak{F}, V)$ such that $\mathfrak{F}$ is an intuitionistic Kripke frame and V is an *intuitionistic valuation;* that is, a map $V : \textsc{Prop} \to \mathcal{P}(W)$,[1] satisfying the condition:

$$w \in V(p) \text{ and } wRv \text{ implies } v \in V(p).$$

The definition of the satisfaction relation $\mathfrak{M}, w \models \varphi$ where $\mathfrak{M} = (W, R, V)$ is an intuitionistic Kripke model, $w \in W$ and $\varphi \in \textsc{Form}$ is given in the usual manner (see e.g. [10]). We will write $V(\varphi)$ for $\{w \in W | w \models \varphi\}$. The notions $\mathfrak{M} \models \varphi$ and $\mathfrak{F} \models \varphi$ (where $\mathfrak{F}$ is a Kripke frame) are also introduced as usual.

Let $\mathfrak{F} = (W, R)$ be a Kripke frame. $\mathfrak{F}$ is called *rooted* if there exists $w \in W$ such that for every $v \in W$ we have wRv. It is well known that **IPC** is complete with respect to finite rooted frames; see, e.g., [10, Thm. 5.12].

[1] By $\mathcal{P}(W)$ we denote the powerset of W.

Theorem 1. For every formula φ we have

$$\mathbf{IPC} \vdash \varphi \text{ iff } \varphi \text{ is valid in every finite rooted Kripke frame.}$$

Next we recall the main operations on Kripke frames and models. Let $\mathfrak{F} = (W, R)$ be a Kripke frame. For every $w \in W$ and $U \subseteq W$ let

$$R(w) = \{v \in W : wRv\},$$

$$R^{-1}(w) = \{v \in W : vRw\},$$

$$R(U) = \bigcup_{w \in U} R(w),$$

$$R^{-1}(U) = \bigcup_{w \in U} R^{-1}(w).$$

A subset $U \subseteq W$ is called an *upset of* $\mathfrak{F}$ if for every $w, v \in W$ we have that $w \in U$ and wRv imply $v \in U$. A frame $\mathfrak{F}' = (U, R')$ is called a *generated subframe of* $\mathfrak{F}$ if $U \subseteq W$, U is an upset of $\mathfrak{F}$ and R' is the restriction of R to U, i.e., $R' = R \cap U^2$. Let $\mathfrak{M} = (\mathfrak{F}, V)$ be a Kripke model. A model $\mathfrak{M}' = (\mathfrak{F}', V')$ is called a *generated submodel of* $\mathfrak{M}$ if $\mathfrak{F}'$ is a generated subframe of $\mathfrak{F}$ and V' is the restriction of V to U, i.e., $V'(p) = V(p) \cap U$.

Let $\mathfrak{F} = (W, R)$ and $\mathfrak{F}' = (W', R')$ be Kripke frames. A map $f : W \to W'$ is called a *p-morphism* [2] *between* $\mathfrak{F}$ *and* $\mathfrak{F}'$ if for every $w, v \in W$ and $w' \in W'$:

1. wRv implies $f(w)R'f(v)$,
2. $f(w)R'w'$ implies that there exists $u \in W$ such that wRu and $f(u) = w'$.

We call the conditions (1) and (2) the "forth" and "back" conditions, respectively. We say that f is *order-preserving* if it satisfies the forth condition. If f is a surjective p-morphism from $\mathfrak{F}$ onto $\mathfrak{F}'$, then $\mathfrak{F}'$ is called a *p-morphic image of* $\mathfrak{F}$. Let $\mathfrak{M} = (\mathfrak{F}, V)$ and $\mathfrak{M}' = (\mathfrak{F}', V')$ be Kripke models. A map $f : W \to W'$ is called a *p-morphism between* $\mathfrak{M}$ *and* $\mathfrak{M}'$ if f is a p-morphism between $\mathfrak{F}$ and $\mathfrak{F}'$ and for every $w \in W$ and $p \in \textsc{Prop}$:

$$\mathfrak{M}, w \models p \text{ iff } \mathfrak{M}', f(w) \models p.$$

If a map between models satisfies the above condition, then we call it *valuation preserving*. If f is surjective, then $\mathfrak{M}$ is called a *p-morphic image of* $\mathfrak{M}'$; surjective p-morphisms are also called *reductions*; see, e.g., [10].

Next we recall the definition of general frames; see, e.g., [10, §8.1 and 8.4].

[2] Some authors call such maps *bounded morphisms*; see, e.g., [9].

Definition 2. An *intuitionistic general frame* or simply a *general frame* is a triple $\mathfrak{F} = (W, R, \mathcal{P})$, where (W, R) is an intuitionistic Kripke frame and $\mathcal{P}$ is a set of upsets such that $\emptyset$ and W belong to $\mathcal{P}$, and $\mathcal{P}$ is closed under $\cup$, $\cap$ and $\to$ defined by

$$U_1 \to U_2 := \{w \in W : \forall v(wRv \wedge v \in U_1 \to v \in U_2)\} = W - R^{-1}(U_1 - U_2).$$

Note that every Kripke frame can be seen as a general frame where $\mathcal{P}$ is the set of all upsets of $\mathfrak{F} = (W, R, \mathcal{P})$. A *valuation* on a general frame is a map $V : \textsc{Prop} \to \mathcal{P}$. The pair $(\mathfrak{F}, V)$ is called a *general model*. The validity of formulas in general models is defined exactly the same way as for Kripke models.

Every Kripke frame can be seen as a general frame where $\mathcal{P}$ is the set of all upsets of $\mathfrak{F}$.

Definition 3. Let $\mathfrak{F} = (W, R, \mathcal{P})$ be a general frame.

1. We call $\mathfrak{F}$ *refined* if for every $w, v \in W$: $\neg(wRv)$ implies that there is $U \in \mathcal{P}$ such that $w \in U$ and $v \notin U$.

2. We call $\mathfrak{F}$ *compact* if for every $\mathcal{X} \subseteq \mathcal{P}$ and $\mathcal{Y} \subseteq \{W \setminus U : U \in \mathcal{P}\}$, if $\mathcal{X} \cup \mathcal{Y}$ has the *finite intersection property* (that is, every intersection of finitely many elements of $\mathcal{X} \cup \mathcal{Y}$ is nonempty) then $\bigcap(\mathcal{X} \cup \mathcal{Y}) \neq \emptyset$.

3. We call $\mathfrak{F}$ *descriptive* if it is refined and compact.

We call the elements of $\mathcal{P}$ *admissible sets*.

Definition 4. Let $\mathfrak{F} = (W, R, \mathcal{P})$ be a descriptive frame. A *descriptive valuation* is a map $V : \textsc{Prop} \to \mathcal{P}$. A pair $(\mathfrak{F}, V)$ where V is a descriptive valuation is called a *descriptive model*.

Validity of formulas in a descriptive frame (model) is defined in exactly the same way as for Kripke frames (models). It is well known that every intermediate logic L is complete with respect to descriptive frames, see e.g., [10, Thm. 8.36].

Next we recall the definitions of generated subframes, p-morphisms, and disjoint unions of descriptive frames.

Definition 5.

1. A descriptive frame $\mathfrak{F}' = (W', R', \mathcal{P}')$ is called a *generated subframe* of a descriptive frame $\mathfrak{F} = (W, R, \mathcal{P})$ if (W', R') is a generated subframe of (W, R) and $\mathcal{P}' = \{U \cap W' : U \in \mathcal{P}\}$.

2. A map $f : W \to W'$ is called a *p-morphism* between $\mathfrak{F} = (W, R, \mathcal{P})$ and $\mathfrak{F}' = (W', R', \mathcal{P}')$ if f is a p-morphism between (W, R) and (W', R') and for every $U' \in \mathcal{P}'$ we have $f^{-1}(U') \in \mathcal{P}$ and $W \setminus f^{-1}(W \setminus U') \in \mathcal{P}$. If a map between descriptive models satisfies the latter condition it is called *admissible*.

Generated submodels, p-morphisms between descriptive models, and finite disjoint unions of descriptive models are defined as in the case of Kripke semantics. For convenience, we will sometimes denote descriptive frame, just as a pair (W, R), dropping the set $\mathcal{P}$ of admissible sets form the signature.

3 Subframe logics and NNIL-formulas

Subframe formulas for modal logic were first introduced by Fine [13]. Subframe formulas for intuitionistic logic were defined by Zakharyaschev [17]. For an overview of these results see to [10, §9.4]. For an algebraic approach to subframe formulas we refer to [6] and [1]. We define subframe formulas differently and connect them to the NNIL formulas of [15].

We first recall from [15] and [16] some facts about NNIL-formulas. NNIL-formulas are known to have the following normal form:

$$\varphi := \bot \mid p \mid \varphi \wedge \varphi \mid \varphi \vee \varphi \mid p \to \varphi$$

Definition 6.

1. Let $\mathfrak{F} = (W, R)$ be a Kripke frame. A frame $\mathfrak{F}' = (W', R')$ is called a *subframe* of $\mathfrak{F}$ if $W' \subseteq W$ and R' is the restriction of R to W'.

2. Let $\mathfrak{F} = (W, R, \mathcal{P})$ be a descriptive frame. A descriptive frame $\mathfrak{F}' = (W', R', \mathcal{P}')$ is called a subframe of $\mathfrak{F}$ if (W', R') is a subframe of (W, R), $\mathcal{P}' = \{U \cap W' : U \in \mathcal{P}\}$ and the following condition, which we call the *topo-subframe condition*, is satisfied:

For every $U \subseteq W'$ such that $W' \setminus U \in \mathcal{P}'$ we have $W \setminus R^{-1}(U) \in \mathcal{P}$.

For a detailed discussion about the topological motivation behind the notion of subframes and its connection to nuclei of Heyting algebras we refer to [6] (see also [7]). Here we just note how we are going to use this condition.

Remark 1. The reason for adding the topo-subframe condition to the definition of subframes of descriptive frames is explained by the next proposition. The topo-subframe condition allows us to extend a descriptive valuation V' defined on a subframe $\mathfrak{F}'$ of a descriptive frame $\mathfrak{F}$ to a descriptive valuation V of $\mathfrak{F}$ such that the restriction of V to $\mathfrak{F}'$ is equal to V'.

Now we prove one of the main properties of subframes. Note that the proof makes essential use of the topo-subframe condition.

Proposition 1. Let $\mathfrak{F} = (W, R, \mathcal{P})$ and $\mathfrak{F}' = (W', R', \mathcal{P}')$ be descriptive frames. If $\mathfrak{F}'$ is a subframe of $\mathfrak{F}$, then for every descriptive valuation V' on $\mathfrak{F}'$ there exists a descriptive valuation V on $\mathfrak{F}$ such that the restriction of V to W' is V'.

Proof. For every $p \in \textsc{Prop}$ let $V(p) = W \setminus R^{-1}(W' \setminus V'(p))$. By the topo-subframe condition, $V(p) \in \mathcal{P}$. Now suppose $x \in W'$. Then $x \notin V(p)$ iff $x \in R^{-1}(W' \setminus V'(p))$ iff (there is $y \in W'$ such that $y \notin V'(p)$ and xRy) iff $x \notin V'(p)$, since $V'(p)$ is an upset of $\mathfrak{F}'$. Therefore, $V(p) \cap W' = V'(p)$. □

Furthermore we have the following characterization theorem showing that NNIL-formulas are exactly the ones that are preserved under submodels [15].

Theorem 2. Let $\mathfrak{M} = (W, R, V)$ and $\mathfrak{N} = (W', R', V')$ be (descriptive of Kripke) frames.

1. If $\mathfrak{N}$ is a submodel of $\mathfrak{M}$, then for each $\varphi \in$ NNIL and $w \in W'$ we have that $\mathfrak{M}, w \models \varphi$ implies $\mathfrak{N}, w \models \varphi$.

2. If φ is such that, for all models $\mathfrak{M}, \mathfrak{N}$, if w is in the domain of $\mathfrak{N}$, and $\mathfrak{N}$ is a submodel of $\mathfrak{M}$, and $\mathfrak{M}, w \models \varphi$ implies $\mathfrak{N}, w \models \varphi$, then there exists $\psi \in$ NNIL such that $\mathbf{IPC} \vdash \psi \leftrightarrow \varphi$.

Corollary 1. NNIL formulas are preserved under taking subframes of (Kripke and descriptive) frames.

Proof. Assume that a NNIL formula is not preserved under taking subframes. Then there exists a NNIL formula φ, frames $\mathfrak{G}$ and $\mathfrak{F}$ such that $\mathfrak{F}$ is a subframe of $\mathfrak{G}$, $\mathfrak{G} \models \varphi$ and $\mathfrak{F} \not\models \varphi$. So there exists a valuation V on $\mathfrak{F}$ such that $(\mathfrak{F}, V) \not\models \varphi$. Let V' be a valuation on $\mathfrak{G}$ such that $(\mathfrak{F}, V)$ is a submodel of $(\mathfrak{G}, V')$. By Proposition 1, such V' always exists. Then we obtain that φ is no preserved under submodels, which contradicts Theorem 2. □

A formula is called a *subframe formula* if it is preserved under subframes of (Kripke and descriptive) frames. An intermediate logic is called a *subframe logic* if it is axiomatized by subframe formulas. It is proved by Zakharyaschev (see e.g., [10, Thm. 11.25]) that an intermediate logic L is a subframe logic iff L is axiomatized by $(\wedge, \to)$-formulas iff descriptive frames of L are closed under subframes. Also every subframe logic has the finite model property [10, Thm. 11.20].

Definition 7. Let $\mathfrak{M} = (\mathfrak{F}, V)$ be the descriptive model. We fix n propositional variables $p_1, \ldots, p_n$. With every point w of $\mathfrak{M}$, we associate a sequence $i_1 \ldots i_n$ such that for $k = 1, \ldots, n$:

$$i_k = \begin{cases} 1 & if\ w \models p_k, \\ 0, & if\ w \not\models p_k. \end{cases}$$

We call the sequence $i_1 \ldots i_n$ associated with w the *color* of w and denote it by $col(w)$.

A finite model $\mathfrak{M} = (W, R, V)$ is *colorful* if the number of propositional variables is $|W|$ and, for each $w \in W$, there is a propositional variable p_w such that $v \models p_w$ iff wRv.

Definition 8. Let $i_1 \ldots i_n$ and $j_1 \ldots j_n$ be two colors. We write

$$i_1 \ldots i_n \leq j_1 \ldots j_n \ \text{ iff } \ i_k \leq j_k \text{ for each } k = 1, \ldots, n.$$

We also write $i_1 \ldots i_n < j_1 \ldots j_n$ if $i_1 \ldots i_n \leq j_1 \ldots j_n$ and $i_1 \ldots i_n \neq j_1 \ldots j_n$.

Let $\mathfrak{F}$ be a finite rooted frame. For every point w of $\mathfrak{F}$ we introduce a propositional letter p_w and let V be such that $V(p_w) = R(w)$. We denote the model $(\mathfrak{F}, V)$ by $\mathfrak{M}$. Then $\mathfrak{M}$ is colorful.

Lemma 1. Let $(\mathfrak{F}, V)$ be a colorful model. Then for every $w, v \in W$ we have:

1. $w \neq v$ and wRv iff $col(w) < col(v)$,
2. $w = v$ iff $col(w) = col(v)$.

Proof. The proof is just spelling out the definitions. □

Next we inductively define the subframe formula $\beta(\mathfrak{F})$. Note that this definition is different from that of [10, §9.4].

For every $v \in W$ let

$$notprop(v) := \{p_k : v \not\models p_k, k \leq n\}.$$

Definition 9. We define $\beta(\mathfrak{F})$ by induction. If v is a maximal point of $\mathfrak{M}$ then let

$$\beta(v) := \bigwedge prop(v) \to \bigvee notprop(v)$$

Let w be a point in $\mathfrak{M}$ and let $w_1, \dots, w_m$ be all the immediate successors of w. We assume that $\beta(w_i)$ is already defined, for every w_i. We define $\beta(w)$ by

$$\beta(w) := \bigwedge prop(w) \to \left(\bigvee notprop(w) \vee \bigvee_{i=1}^{m} \beta(w_i)\right).$$

Let r be the root of $\mathfrak{F}$. We define $\beta(\mathfrak{F})$ by

$$\beta(\mathfrak{F}) := \beta(r).$$

We call $\beta(\mathfrak{F})$ the *subframe formula* of $\mathfrak{F}$.

We will need the next three lemmas for establishing the crucial property of subframe formulas. We first recall the definition of a depth of a frame and point.

Definition 10. Let $\mathfrak{F}$ be a (descriptive or Kripke) frame.

1. We say that $\mathfrak{F}$ is of *depth* $n < \omega$, denoted $d(\mathfrak{F}) = n$, if there is a chain of n points in $\mathfrak{F}$ and no other chain in $\mathfrak{F}$ contains more than n points. The frame $\mathfrak{F}$ is of finite depth if $d(\mathfrak{F}) < \omega$.

2. We say that $\mathfrak{F}$ is of an *infinite depth*, denoted $d(\mathfrak{F}) = \omega$, if for every $n \in \omega$, $\mathfrak{F}$ contains a chain consisting of n points.

3. The *depth* of a point $w \in W$ is the depth of $\mathfrak{F}_w$, i.e., the depth of the subframe of $\mathfrak{F}$ generated by w. We denote the depth of w by $d(w)$.

Lemma 2. Let $\mathfrak{F} = (W, R)$ be a finite rooted frame and let V be defined as above. Let $\mathfrak{M}' = (W', R', V')$ be an arbitrary (descriptive or Kripke) model. For every $w, v \in W$ and $x \in W'$, if wRv, then

$$\mathfrak{M}', x \not\models \beta(w) \text{ implies } \mathfrak{M}', x \not\models \beta(v).$$

Proof. The proof is a simple induction on the depth of v. If $d(v) = d(w) - 1$ and wRv, then v is an immediate successor of w. Then $\mathfrak{M}', x \not\models \beta(w)$ implies $\mathfrak{M}', x \not\models \beta(v)$, by the definition of $\beta(w)$. Now suppose $d(v) = d(w) - (k+1)$ and the lemma is true for every u such that wRu and $d(u) = d(w) - k$, for every k. Let u' be an immediate predecessor of v such that wRu'. Such a point clearly exists since we have wRv. Then $d(u') = d(w) - k$ and by the induction hypothesis $\mathfrak{M}, x \not\models \beta(u')$. This, by definition of $\beta(u')$, means that $\mathfrak{M}', x \not\models \beta(v)$. $\square$

Lemma 3. Let $\mathfrak{M}_1 = (W_1, R_1, V_1)$ and $\mathfrak{M}_2 = (W_2, R_2, V_2)$ be descriptive models. Let $\mathfrak{M}_2$ be a submodel of $\mathfrak{M}_1$. Then for every finite rooted frame $\mathfrak{F} = (W, R)$ we have $\mathfrak{M}_2 \not\models \beta(\mathfrak{F})$ implies $\mathfrak{M}_1 \not\models \beta(\mathfrak{F})$.

Proof. We prove the lemma by induction on the depth of $\mathfrak{F}$. If the depth of $\mathfrak{F}$ is 1, i.e., it is a reflexive point, then the lemma clearly holds. Now assume that it holds for every rooted frame of depth less than the depth of $\mathfrak{F}$. Let r be the root of $\mathfrak{F}$. Then $\mathfrak{M}_2 \not\models \beta(\mathfrak{F})$ means that there is a point $t \in W_2$ such that $\mathfrak{M}_2, t \models \bigwedge prop(r)$, $\mathfrak{M}_2, t \not\models \bigvee notprop(r)$ and $\mathfrak{M}_2, t \not\models \beta(r')$, for every immediate successor r' of r. By the induction hypothesis, we get that $\mathfrak{M}_1, t \not\models \beta(r')$. Since $V_2(p) = V_1(p) \cap W_2$ we also have $\mathfrak{M}_1, t \not\models \bigvee notprop(r)$ and $\mathfrak{M}_1, t \models \bigwedge prop(r)$. Therefore, $\mathfrak{M}_1, t \not\models \beta(\mathfrak{F})$. $\square$

The next theorem states the crucial property of subframe formulas.

Theorem 3. Let $\mathfrak{G} = (W', R', \mathcal{P}')$ be a descriptive frame and let $\mathfrak{F} = (W, R)$ be a finite rooted frame. Then

$$\mathfrak{G} \not\models \beta(\mathfrak{F}) \text{ iff } \mathfrak{F} \text{ is a } p\text{-morphic image of a subframe of } \mathfrak{G}.$$

Proof. Suppose $\mathfrak{G} \not\models \beta(\mathfrak{F})$. Then there exists a valuation V' on $\mathfrak{G}$ such that $(\mathfrak{G}, V') \not\models \beta(\mathfrak{F})$. For every $w \in W$, let $\{w_1, \dots w_m\}$ denote the set of all immediate successors of w. Let $p_1, \dots, p_n$ be the propositional variables occurring in $\beta(\mathfrak{F})$ (in fact $n = |W|$). Therefore, V' defines a coloring of $\mathfrak{G}$. Let

$$P_w := \{x \in W' : col(x) = col(w) \text{ and } x \not\models \textstyle\bigvee_{i=1}^{m} \beta(w_i)\}.$$

Let $Y := \bigcup_{w \in W} P_w$ and let $\mathfrak{H} := (Y, S, \mathcal{Q})$, where S is the restriction of R' to Y and $\mathcal{Q} = \{U' \cap Y : U' \in \mathcal{P}'\}$. We show that $\mathfrak{H}$ is a subframe of $\mathfrak{G}$ and $\mathfrak{F}$ is a p-morphic image of $\mathfrak{H}$.

The proof that $\mathfrak{H}$ is a subframe of $\mathfrak{G}$ uses topological reasoning and can be found in [7, p. 63].

Define a map $f : Y \to W$ by

$$f(x) = w \text{ if } x \in P_w.$$

We show that f is a well-defined onto p-morphism. By Proposition 1, distinct points of W have distinct colors. Therefore, $P_w \cap P_{w'} = \emptyset$ if $w \neq w'$. This means that f is well defined. Topological reasoning again shows that f is admissible [7, p. 63].

Now we prove that f is onto. By the definition of f, it is sufficient to show that $P_w \neq \emptyset$ for every $w \in W$. If r is the root of $\mathfrak{F}$, then since $(\mathfrak{G}, V') \not\models \beta(\mathfrak{F})$, there exists a point $x \in W'$ such that $x \models \bigwedge prop(r)$ and $x \not\models \bigvee notprop(r)$ and $x \not\models \bigvee_{i=1}^{m} \beta(r_i)$. This means that $x \in P_r$. If w is not the root of $\mathfrak{F}$ then we have rRw. Therefore, by Lemma 2, we have $x \not\models \beta(w)$. This means that there is a successor y of x such that $y \models \bigwedge prop(w)$, $y \not\models \bigvee notprop(w)$ and $y \not\models \beta(w_i)$, for every immediate successor w_i of w. Therefore, $y \in P_w$ and f is surjective.

Next assume that $x, y \in Y$ and xSy. Note that by the definition of f, for every $t \in Y$ we have

$$col(t) = col(f(t)).$$

Obviously, xSy implies $col(x) \leq col(y)$. Therefore, $col(f(x)) = col(x) \leq col(y) = col(f(y))$. By Lemma 1, this yields $f(x)Rf(y)$. Now suppose $f(x)Rf(y)$. Then by the definition of f we have that $x \not\models \beta(f(x))$ and by Lemma 2, $x \not\models \beta(f(y))$. This means that there is $z \in W'$ such that $xR'z$, $col(z) = col(f(y))$, and $z \not\models \beta(u)$, for every immediate successor u of $f(y)$. Thus, $z \in P_{f(y)}$ and $f(z) = f(y)$. Therefore, $\mathfrak{F}$ is a p-morphic image of $\mathfrak{H}$.

Conversely, suppose $\mathfrak{H}$ is a subframe of a descriptive frame $\mathfrak{G}$ and $f : \mathfrak{H} \to \mathfrak{F}$ is a p-morphism. Clearly, $\mathfrak{F} \not\models \beta(\mathfrak{F})$ and since f is a p-morphism, we have that $\mathfrak{H} \not\models \beta(\mathfrak{F})$. This means that there is a valuation V' on $\mathfrak{H}$ such that $(\mathfrak{H}, V') \not\models \beta(\mathfrak{F})$. By Lemma 1, V' can be extended to a valuation V on $\mathfrak{G}$ such that the restriction of V to $\mathfrak{G}'$ is equal to V'. This, by Lemma 3, implies that $\mathfrak{G} \not\models \beta(\mathfrak{F})$. $\square$

Zakharyaschev [17] showed that every subframe logic is axiomatized by the formulas formulas satisfying the condition of Theorem 3. We will now put this result in the context of frame-based formulas of [7] and [8]. We will use the same argument in the next section for stable logics and ONNILI formulas.

For each intermediate logic L let $\mathbb{DF}(L)$ be the class of descriptive frames of L. Note that [7] and [8] work with finitely generated descriptive frames. But for our purposes this restriction is not essential.

Definition 11. Call a reflexive and transitive relation $\trianglelefteq$ on $\mathbb{DF}(\mathbf{IPC})$ a *frame order* if the following two conditions are satisfied:

1. For every $\mathfrak{F}, \mathfrak{G} \in \mathbb{DF}(L)$, $\mathfrak{G}$ is finite and $\mathfrak{F} \triangleleft \mathfrak{G}$ imply $|\mathfrak{F}| < |\mathfrak{G}|$.

2. For every finite rooted frame $\mathfrak{F}$ there exists a formula $\alpha(\mathfrak{F})$ such that for every $\mathfrak{G} \in \mathbb{DF}(\mathbf{IPC})$

$$\mathfrak{G} \not\models \alpha(\mathfrak{F}) \quad \text{iff} \quad \mathfrak{F} \trianglelefteq \mathfrak{G}.$$

The formula $\alpha(\mathfrak{F})$ is called the *frame-based formula for* $\trianglelefteq$.

Definition 12. Let L be an intermediate logic. We let

$$\mathbf{M}(L, \trianglelefteq) := min_{\trianglelefteq}(\mathbb{DF}(\mathbf{IPC}) \setminus \mathbb{DF}(L))$$

Theorem 4. [7, 8] Let L be an intermediate logic and let $\trianglelefteq$ be a frame order on $\mathbb{DF}(\mathbf{IPC})$. Then L is axiomatized by frame-based formulas for $\trianglelefteq$ iff the following two conditions are satisfied.

1. $\mathbb{DF}(L)$ is a $\trianglelefteq$-downset. That is, for every $\mathfrak{F}, \mathfrak{G} \in \mathbb{DF}(\mathbf{IPC})$, if $\mathfrak{G} \in \mathbb{DF}(L)$ and $\mathfrak{F} \trianglelefteq \mathfrak{G}$, then $\mathfrak{F} \in \mathbb{DF}(L)$.

2. For every $\mathfrak{G} \in \mathbb{DF}(\mathbf{IPC}) \setminus \mathbb{DF}(L)$ there exists a finite $\mathfrak{F} \in \mathbf{M}(L, \trianglelefteq)$ such that $\mathfrak{F} \trianglelefteq \mathfrak{G}$.

The formula $\beta(\mathfrak{F})$ is a particular case of a frame-based formula for a relation $\preccurlyeq$, where $\mathfrak{F} \preccurlyeq \mathfrak{G}$ if $\mathfrak{F}$ is a p-morphic image of a subframe of $\mathfrak{G}$. Condition (2) of Theorem 4 is always satisfied by $\preccurlyeq$ [10, Thm. 9.36], for an algebraic proof of this fact see [6] and [1]. So an intermediate logic L is a subframe logic iff L is axiomatized by these formulas iff $\mathbb{DF}(L)$ is a $\preccurlyeq$-downset. As p-morphic images preserve the validity of formulas we obtain that $\mathbb{DF}(L)$ is a $\preccurlyeq$-downset iff $\mathbb{DF}(L)$ is closed under subframes. Thus, L is a subframe logic iff L is axiomatized by these formulas iff $\mathbb{DF}(L)$ is closed under subframes.

Corollary 2.

1. An intermediate logic L is a subframe logic iff L is axiomatized by NNIL formulas.

2. The class of NNIL formulas coincides with the class of subframe formulas.

3. Each NNIL-formula is frame-equivalent to a $(\wedge, \rightarrow)$-formula.

Proof. (1) As we showed above L is a subframe logic iff it is axiomatized by the formulas of type $\beta(\mathfrak{F})$. As each $\beta(\mathfrak{F})$ is NNIL, then subframe logics are axiomatized by NNIL formulas. Conversely, by Proposition 4, every NILL-formula is preserved under subframes. Therefore, if L is axiomatized by NNIL formulas $\mathbb{DF}(L)$ is closed under subframes. Thus, L is a subframe logic.

(2) By Proposition 4, every NILL-formula is preserved under subframes. So every NNIL formula is a subframe formula. Now suppose that φ is

preserved under subframes. Then $\mathbf{IPC} + \varphi$ is a subframe logic. By (1) subframe logics are axiomatized by the formulas $\beta(\mathfrak{F})$. Then there exists $\mathfrak{F}_1, \ldots, \mathfrak{F}_n$ such that $\mathbf{IPC} + \varphi = \mathbf{IPC} + \bigwedge_{i=1}^{n} \beta(\mathfrak{F}_i)$. Note that $n \in \omega$, otherwise $\mathbf{IPC}+\varphi$ is infinitely axiomatizable, a contradiction. Each $\beta(\mathfrak{F}_i)$ is a NNIL-formula, so $\bigwedge_{i=1}^{n} \beta(\mathfrak{F}_i)$ is also a NILL-formula. Thus, φ is equivalent to a NILL-formula and NILL is (up to equivalence) the class of formulas preserved under subframes.

(3) also follows from (1) and the fact that subframe formulas are frame-equivalent to $(\wedge, \to)$-formulas [10, Thm 11.25]. A direct syntactic proof that NNIL-formulas are frame equivalent to $(\wedge, \to)$-fromulas can be found in [16]. $\square$

We do not treat cofinal subframe logics here as they are not axiomatized by NNIL formulas. We refer to [10, Sec 9.4] for a detailed treatment of these logics, to [6] and [1] for their algebraic analysis and to [7, Sec. 3.3.3] for the details on how to obtain cofinal subframe formulas from the subframe formulas introduced in this chapter.

4 Stable logics and ONNILLI-formulas

In this section we construct a new class of formulas, ONNILLI, that turns out to be the class of formulas preserved by order-preserving maps.

Let (X, R) and (Y', R') be Kripke frames. A map $f : X \to Y$ is called *order-preserving* if for each $x, y \in X$ we have that xRy implies $f(x)R'f(y)$. If (X, R) and (Y', R') are descriptive frames, then f is *order-preserving* if, in addition, it is admissible.

Proposition 2. Let $\mathfrak{M} = (X, R, V)$ and $\mathfrak{N} = (Y, R', V')$ be two intuitionistic (Kripke or descriptive) models and $f : X \to Y$ an order and valuation preserving map. Then, for each $x \in X$ and each $\varphi \in$NNIL we have

$$f(x) \models \varphi \Rightarrow x \models \varphi.$$

Proof. Only the last inductive step is non-trivial. Assume $f(x) \models \varphi \Rightarrow x \models \varphi$ for all $x \in X$ (IH). Suppose $f(x) \models p \to \varphi$, and let xRy for $y \models p$. Then $f(x)Rf(y)$ and $f(y) \models p$. So, $f(y) \models \varphi$. By IH, $y \models \varphi$. So $x \models p \to \varphi$. $\square$

Corollary 3. For each formula ψ there exists a NNIL formula φ such that $\mathbf{IPC} \vdash \varphi \leftrightarrow \psi$ iff for any intuitionistic (Kripke or descriptive) models $\mathfrak{M} =$

(X, R, V) and $\mathfrak{N} = (Y, R', V')$ and an order and valuation preserving map $f : X \to Y$ and $x \in X$ we have

$$f(x) \models \psi \Rightarrow x \models \psi. \tag{1}$$

Proof. The left to right direction follows from Proposition 2. Conversely, note that the identity function from a submodel into the larger model is always an order and valuation preserving map. Thus, if ψ satisfies (1), then ψ is preserved under submodels and by Theorem 2, ψ is equivalent to some NNIL formula φ. □

Definition 13.

1. BASIC is the closure of the set of the atoms plus $\top$ and $\bot$ under conjunctions and disjunctions.

2. The class ONNILLI (only NNIL to the left of implications) is defined as the closure of $\{\varphi \to \psi \mid \varphi \in \text{NNIL}, \psi \in \text{BASIC}\}$ under conjunctions and disjunctions.

Note that there are no iterations of implications in ONNILLI-formulas except inside the NNIL-part. Note also that, if $\psi \in$ BASIC and f is valuation-preserving then $y \models \psi \Leftrightarrow f(y) \models \psi$.

Example 1. $\neg p \vee \neg\neg p$ is ONNILLI. To see this, write it as $(p \to \bot) \vee (\neg p \to \bot)$, and note that $\neg p$ is in NNIL. It is well known that $\neg p \vee \neg\neg p$ is not preserved under taking subframes. (Note however that $\neg p \vee \neg\neg p$ is preserved under taking cofinal subframes e.g., [10, ??].) So, by Corollary 2 it cannot be equivalent to a NNIL-formula. Thus the class NNIL does not contain ONNILLI. We will see later that ONNILLI also does not contain NNIL. □

Proposition 3. Let $\mathfrak{M} = (X, R, V)$ and $\mathfrak{N} = (Y, R', V')$ be two intuitionistic (Kripke or descriptive) models, $f : X \to Y$ a surjective order and valuation preserving map and $\varphi \in$ ONNILLI such that $\mathfrak{M} \models \varphi$. Then $\mathfrak{N} \models \varphi$

Proof. Let $\varphi = \psi \to \chi$ with $\psi \in$ NNIL and $\chi \in$ BASIC, and let $\mathfrak{M} \models \psi \to \chi$, i.e. $x \models \psi \to \chi$ for all $x \in X$. Note that because f is surjective, all elements of Y are of the form $f(x)$ for some $x \in X$. So, assume $f(x) \models \psi$. By Proposition 2 we know that $x \models \psi$. But then, since $x \models \psi \to \chi$ we have $x \models \chi$ and also $f(x) \models \chi$. Hence, $f(x) \models \psi \to \chi$. Thus, $\mathfrak{N} \models \psi \to \chi$. □

In general, this holds definitely only for validity in models, not for truth in a node. Also surjectivity is an essential feature of this proposition.

Proposition 4. Let $\mathfrak{F} = (X, R)$ and $\mathfrak{G} = (Y, R')$ be two intuitionistic (Kripke or descripitve) frames and $f : X \to Y$ an order-preserving map from $\mathfrak{F}$ onto $\mathfrak{G}$. Then, for each $\varphi \in$ ONNILLI, if $\mathfrak{F} \models \varphi$, then $\mathfrak{G} \models \varphi$.

Proof. The proof is similar to the proof of Corollary **??** and follows immediate from Proposition 3. □

Definition 14.

1. If c is an n-color we write ψ_c for $p_1 \wedge \cdots \wedge p_k \to q_1 \vee \cdots \vee q_m$ if $p_1 \dots p_k$ are the propositional variables that are 1 in c and $q_1 \dots q_m$ the ones that are 0 in c. We also write ψ_u for ψ_c if u has the color c.
2. If $\mathfrak{M}$ is colorful and $w \in W$, we write $Col(\mathfrak{M}_w)$ for the formula $prop(w) \wedge \bigwedge\{\psi_c \,|\, c$ a color that is not in $\mathfrak{M}_w\}$.
3. We write $\gamma(\mathfrak{M})$ for $\bigvee\{Col(\mathfrak{M}_w) \to p_{w_1} \vee \cdots \vee p_{w_m} \,|\, w \in W,\ w_1, \dots w_m$ are all the proper successors of $w\}$.

Definition 15. Let $\mathfrak{F}$ be a finite rooted frame. We define a valuation V on $\mathfrak{F}$ such that $\mathfrak{M} = (\mathfrak{F}, V)$ is colorful and define $\gamma(\mathfrak{F})$ by

$$\gamma(\mathfrak{F}) := \gamma(\mathfrak{M}).$$

We call $\gamma(\mathfrak{F})$ the *stable formula* of $\mathfrak{F}$.

Note that $\gamma(\mathfrak{F})$ is an ONNILLI-formula.

Lemma 4. Assume $\mathfrak{M} = (W, R, V)$ is colorful, $w \in W$ with and u' and v' are nodes in a (Kripke or descriptive) model $\mathfrak{M}' = (W', R', V')$ such that $u'R'v'$. Then

1. If $col(u') = col(u)$ and $col(v') = col(v)$ for $u, v \in W$, then uRv.
2. If $u' \models Col(\mathfrak{M}_u)$, then u' and v' both have one of the colors available in $\mathfrak{M}_u$.
3. If $u' \not\models Col(\mathfrak{M}_w) \to p_{w_1} \vee \cdots \vee p_{w_m}$, then there is $v'' \in W'$ such that $u'Rv'$ and $col(v'') = col(w)$.

Proof. (1) Obvious. The coloring of a colorful model $\mathfrak{M} = (W, R, V)$ is such that two colors in the model are in the R-relation exactly when they can be.

(2) Let $u' \models p_1, \dots, \models p_k$ and $u \not\models q_1, \dots, \not\models q_m$. Suppose that $u' \models Col(\mathfrak{M}_u)$ and that the color of u' is not available in $\mathfrak{M}_u$. Then $u' \models p_1 \wedge \cdots \wedge p_k \to q_1 \vee \cdots \vee q_m$, but that is clearly impossible. So, $col(u')$ is available

in $\mathfrak{M}_u$. If $u'R'v'$, then obviously $v' \models Col(\mathfrak{M}_u)$ as well, and the same reasoning applies to v'.

(3) Let $u \not\models Col(\mathfrak{M}_w) \to p_{w_1} \vee \cdots \vee p_{w_m}$. Then there is $v'' \in W'$ such that $v'' \models Col(\mathfrak{M}_w)$ and $v'' \not\models p_{w_1} \vee \cdots \vee p_{w_m}$. By (2) $col(v'')$ should be available in $\mathfrak{M}$. As $v'' \not\models p_{w_1} \vee \cdots \vee p_{w_m}$, this color must be the color of w. $\square$

Lemma 5. Let $\mathfrak{F}$ be a finite rooted frame. Then $\mathfrak{F} \not\models \gamma(\mathfrak{F})$.

Proof. It is easy to see that if $\mathfrak{M}$ is a finite rooted colorful model with a root r, then $r \not\models Col(\mathfrak{M}_w) \to p_{w_1} \vee \cdots \vee p_{w_m}$ for each $w \in W$ and $w_1, \dots w_m$ all its proper successors. The result follows. $\square$

Corollary 4. Let $\mathfrak{F} = (W, R)$ be a finite rooted frame and let $\mathfrak{G}$ a (Kripke or descriptive) frame. Then

1. $\mathfrak{G} \not\models \gamma(\mathfrak{F})$ iff there is a surjective order-preserving map from a generated subframe of $\mathfrak{G}$ onto $\mathfrak{F}$.

2. $\mathfrak{G} \not\models \gamma(\mathfrak{F})$ iff there is a surjective order-preserving map from $\mathfrak{G}$ onto $\mathfrak{F}$.

Proof. Let $\mathfrak{M}$ be a colorful model on $\mathfrak{F}$. By Definition 14, $\gamma(\mathfrak{F}) = \gamma(\mathfrak{M})$. By Lemma 5, $\mathfrak{F} \not\models \gamma(\mathfrak{F})$. Since $\gamma(\mathfrak{F})$ is an ONNILLI formula, by Proposition 4, it is preserved under order-preserving images. Thus, $\mathfrak{G} \not\models \gamma(\mathfrak{F})$.

For the converse direction, let $\mathfrak{N}$ be a model on $\mathfrak{G}$ such that $\mathfrak{N}, u \not\models \gamma(\mathfrak{F})$. Then u has, for each element $w \in W$, a successor w' that makes $Col(\mathfrak{M}_w)$ true and $p_{w_1}, \dots, p_{w_m}$ false if $p_{w_1}, \dots, p_{w_m}$ are the immediate successors of w. This means, by Lemma 4(2), that w' has the color of w and its successors have colors of successors of w. Let W' be the set of these w's. As W is finite W' is also finite. Let $\mathfrak{N}'$ be the generated submodel of $\mathfrak{M}$ based on the set $U = R(W')$. Note that if $\mathfrak{N}$ is a descriptive model, then $\mathfrak{N}'$ is also descriptive as W' is finite, see e.g., [10, Ch. 7] or [7, Sec. 2].

Define a map $f : U \to W$ by $f(u) = w$ if $col(u) = col(w)$. By Lemma 4(2), each $u \in U$ has a color of an element of W. As $\mathfrak{M}$ is colorful, each point of W has a distinct color. So f is well defined. If $u', v' \in U$ are such that $u'Rv'$, then by Lemma 4(2) again, there are $u, v \in W$ such that $col(u') = col(u)$ and $col(v') = col(v)$. By Lemma 4(1), we have uRv. So $f(u')Rf(v')$ and f is order-preserving. Finally, by Lemma 4(3), for each $w \in W$, there exists $u \in U$ such that $col(u) = col(w)$. Thus, $f(u) = w$ and f is also surjective. Thus, f is order-preserving and surjective. If $\mathfrak{N}$ is a descriptive model, the same argument as in the proof of Theorem 3 shows that f is an order-preserving map between descriptive frames.

(2) If there is a surjective order-preserving map from $\mathfrak{G}$ onto $\mathfrak{F}$, then by (1), $\mathfrak{G} \not\models \gamma(\mathfrak{F})$. For the converse direction we use an argument from [4]. First note that for any formula φ if $\mathfrak{G} \not\models \varphi$, then there exists a finite $\mathfrak{G}'$ such that $\mathfrak{G}'$ is an order-preservng image of $\mathfrak{G}$ and $\mathfrak{G}' \not\models \varphi$, see [4]. Now assume $\mathfrak{G} \not\models \gamma(\mathfrak{F})$. Then there exists a finite $\mathfrak{G}'$ such that $\mathfrak{G}'$ is an order-preservng image of $\mathfrak{G}$ and $\mathfrak{G}' \not\models \gamma(\mathfrak{F})$. By (1), there exists a frame $\mathfrak{G}''$ such that $\mathfrak{G}''$ is a generated subframe of $\mathfrak{G}'$ and $\mathfrak{F}$ is an order preserving image of $\mathfrak{G}''$. We extend this map to an order-preservng map from $\mathfrak{G}'$ onto $\mathfrak{F}$ by mapping all the points in $\mathfrak{G}'$ and outside $\mathfrak{G}''$ to the root of $\mathfrak{F}$. So $\mathfrak{F}$ is an order-presering image of $\mathfrak{G}'$ and so $\mathfrak{F}$ is an order-preservng image of $\mathfrak{G}$.

$\square$

If we define an order $\leq$ on (Kripke or desriptive) frames by putting $\mathfrak{F} \leq \mathfrak{G}$ if $\mathfrak{F}$ is an order-preserving image of $\mathfrak{G}$. Then the formula $\gamma(\mathfrak{F})$ becomes a frame-based formula for $\leq$. Note that similarly to subframe formulas Condition (2) of Theorem 4 is always satisfied by $\leq$ [4] . Thus, an intermediate logic L is axiomatized by these formulas iff $\mathbb{DF}(L)$ is a $\leq$-downset. Intermediate logics axiomatized by these forulas are called *stable logics*. Therefore, a logic L is stable iff $\mathbb{DF}(L)$ is closed under order-preserving images. Formulas closed under order-preserving images are called *stable formulas*. There are continuum many stable logics and all of them enjoy the finite model property [4]. Now we are ready to prove our main theorem resolving an open problem of [4] on syntactically characterizing formulas that axiomatize stable logics.

Theorem 5.

1. An intermediate logic L is stable iff L is axiomatized by ONNILLI formulas.

2. The class of ONNILLI formulas coincides with the class of stable formulas.

Proof. (1) As each $\gamma(\mathfrak{F})$ is ONNILI, all stable logics are axiomatized by ONNLLI formulas. By Proposition 4, every ONNILLI formula is preserved under order-preserving images. Therefore, if L is axiomatized by ONNILI formulas, $\mathbb{DF}(L)$ is closed under order-preserving images. So L is stable.

(2) By Proposition 4, every ONNILLI formula is preserved under order-preserving images. So ONNILI formulas are stable. Now suppose that φ is preserved under order-preserving images. Then $\mathbf{IPC} + \varphi$ is a stable logic. Stable logic is axiomatized by the formulas $\gamma(\mathfrak{F})$. Then there exists

$\mathfrak{F}_1, \ldots, \mathfrak{F}_n$ such that $\mathbf{IPC} + \varphi = \mathbf{IPC} + \bigwedge_{i=1}^n \gamma(\mathfrak{F}_i)$. Note that $n \in \omega$, otherwise $\mathbf{IPC}+\varphi$ is infinitely axiomatizable, a contradiction. Each $\gamma(\mathfrak{F}_i)$ is ONNILLI, $\bigwedge_{i=1}^n \gamma(\mathfrak{F}_i)$ is also ONNILLI. Thus, φ is equivalent to an ONNILLI formula and ONNILLI is (up to equivalence) the class of formulas closed under order-preserving images.

$\square$

Example 2. Now it is easy to construct NNIL-formulas that are not equivalent to an ONNILLI-formula. Note that the logic BD_n of all frames of depth n for each $n \in \omega$ is closed under taking subframes. Thus, it is a subframe logic and hence by Corollary 2 is axiomatized by NNIL formulas. On the other hand it is easy to see that there are frames of depth n having frames of depth $m > n$ as order-preserving images. So BD_n is not a stable logic. Therefore, it cannot be axiomatized by ONNILLI formulas. Thus, the class of ONNILLI formulas does not contain (up to equivalence) the class of NNIL-formulas.

Example 3. We list some more example of stable logics. Let $\mathbf{LC}_n$ be the logic of all linear rooted frames of depth $\leq n$, $\mathbf{BW}_n$ be the logic of all rooted frames of width $\leq n$ and $\mathbf{BTW}_n$ be the logic of all rooted descriptive frames of cofinal width $\leq n$. For the definition of width and cofinal width we refer to [10]. Then for each $n \in \omega$ the logics $\mathbf{LC}_n$, $\mathbf{BW}_n$ and $\mathbf{BTW}_n$ are stable. For the proofs we refer to [4].

It is an open problem whether ONNILLI-formulas are exactly the ones that are preserved under order-preserving and valuation preserving maps of models.

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