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ALGORITHM AS A TERM REWRITING SYSTEM

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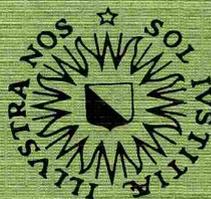
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Logic Group  
Preprint Series  
No. **27**



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December 1987

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# SPECIFICATION OF THE FAST FOURIER TRANSFORM ALGORITHM AS A TERM REWRITING SYSTEM

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We specify an algorithm for multiplying polynomials with complex coefficients incorporating the Fast Fourier Transform algorithm of Cooley and Tukey [CT]. The specification formalism we use is a variant of the formalism ASF described in [BHK]. The difference with ASF is essentially a matter of semantics: we only use pure equations, and this, with some extra restrictions, allows us to consider the specification as a term rewriting system, and take for its meaning the canonical algebra of normal forms.

*Key Words & Phrases:* Algebraic Specification, Term Rewriting System, Completeness, Semicompleteness, Fast Fourier Transform, Rational Numbers, Polynomial Multiplication.

*1986 CR Categories:* D.2.1 [software engineering]: Requirements/specifications; F.3.2 [Logics and Meanings of Programs]: Semantics of Programming Languages - *Algebraic Approaches to Semantics*.

*1980 Mathematics Subject Classification:* 68B10 [Software] Analysis of programs - *Semantics*.

*Note:* Partial support received from the Dutch government through SPIN under the PRISMA project (Parallel Inference and Storage Machine).

## 1. Introduction

There is a sense in which many algebraic specifications<sup>1</sup> are more than they seem. Suppose we specify the lower semilattice **2** by the following equations  $E$ :

$$T \wedge T = T$$

$$F \wedge p = F$$

$$p \wedge F = F$$

Under the initial algebra semantics,  $E$  describes **2** as the initial object in the variety determined by  $E$ . In general, this object is given as the quotient  $C/\sim$  of a suitable set  $C$  of closed terms over an equivalence  $\sim$  induced by  $E$ . Thus, **2** is presented as a pair of classes, one consisting of the terms  $T, T \wedge T, (T \wedge T) \wedge T$  etc., the other of  $F, F \wedge F, T \wedge (F \wedge T), \dots$ . In this way, an interesting operational aspect of  $E$  is lost: when we write the specification, we think of it as explaining the operation ‘greatest lower bound’ on an ordered pair of things, one named  $F$ , the other  $T$ ; but in the end,  $F$  is explained as

<sup>1</sup>For algebraic specification theory, we refer to [BT].

$T \wedge (F \wedge T)$  just as much as the other way round. In other words,  $E$  was intended as a system of *oriented* equations, but the orientation has no place in equational specification; and the semantics that goes with it calls on rather sizeable constructs to explain simple things.

The intended directionality can be taken serious by reading  $E$  as a set of rewrite rules<sup>2</sup>.  $E$  then says: in an element of  $C$ ,

you may replace a subterm  $T \wedge T$  by  $T$ ,  
and subterms of the form  $F \wedge t$  or  $t \wedge F$  by  $F$ .

In this particular case — and, as we will show, in many other cases — we get an elegant semantics in the bargain. We can replace the equivalence classes by the normal forms of the rewriting system (these happen to be  $T$  and  $F$ ) and define the operation  $\wedge$  by:  $x \wedge y$ , for  $x, y$  in  $\{T, F\}$ , is the normal form of the term  $x \wedge y$ .

Another reason for assigning direction to equations has to do with *testing* specifications. As with many other large constructions, it is hard to see by mere looking that a large algebraic specification is what one intended it to be. As a last resort, one might want to just do a number of deductions, and see if they lead to unexpected results. But a deduction is simply a sequence of rewritings, in which the axioms may be used both from left to right and from right to left. The rewriting system represented by this procedure will of course be rather complex; so here too, the question whether one really wants an equation to be used in two directions is worth considering.

The greater part of this paper is taken up by a large specification. Its purpose is, first of all, to add to the body of experience in specifying in the formalism ASF of Bergstra, Heering and Klint (introduced in [BHK]). In the second place, it is an experiment to see to what extent algorithms of practical interest can be specified as reasonable term rewriting systems — where reasonability should at least imply confluency and weak normalization. Thirdly, we intend to use it as a test object for mechanical rewriting. This intention has influenced our choice of the algorithm to be specified: we chose polynomial multiplication and the Fast Fourier Transform because it is well known that these lend themselves to parallelization (see [V]). It seems to be generally thought that term rewriting (like the execution of functional programs, cf. [V]) should be done in parallel to be feasible; it then is of some interest to study how the parallelism inherent in an algorithm can be passed on to a parallel implementation of a term rewriting system. At the other extreme, as an algorithm with little inherent parallelism, we have specified the Gauß elimination algorithm, in [HR]; this combination should help to obtain information about the potential for parallelization of term rewriting *by itself*. Actually, even the present specification contains a part with little inherent parallelism, to wit, the specifications of numbers; it would be quite a success if these could be implemented at all. Such an implementation is not really necessary: the imports in the later modules can be replaced by references to an off-the-peg number system.

## 2. The semantics

A signature consists of a set of sort symbols and a set of function symbols of fixed types, as usual; terms are defined as usual. We assume in our discussions that there are closed (i.e. variable-free) terms of every sort. We write  $C(\Sigma)$  for the set of closed terms over signature  $\Sigma$ . Rewrite rules must make sense as equations; moreover, variables occurring in the right hand side of a rewrite rule must also occur in the left hand side. A side effect of this restriction is that closed terms never rewrite to terms that contain variables.

The cumbersome expression ‘term rewriting system’ will be abbreviated to TRS. Likewise, ‘strongly normalizing’ becomes SN, ‘weakly normalizing’ WN.

We call a TRS *complete* if it is confluent (Church-Rosser) and SN; *semicomplete* if it is confluent and WN. In semicomplete systems, every term has a unique normal form. Thus it is for semicomplete systems that the suggestion of the first section works.

**Definition.** Let  $\Sigma$  be a signature. A *structure of type  $\Sigma$*  is a mapping  $\mathbf{A}$  with domain  $\Sigma$  such that for each  $s \in \Sigma$ ,  $\mathbf{A}(s)$  is a nonempty set, and if  $G \in \Sigma$  is of type  $s_1 \times \dots \times s_n \rightarrow s_0$ ,  $\mathbf{A}(G)$  is a mapping from  $\mathbf{A}(s_1) \times \dots \times \mathbf{A}(s_n)$  into  $\mathbf{A}(s_0)$ .

There are other ways of presenting structures. One that we shall use, for finite  $\Sigma = \{s_1, \dots, s_n, G_1, \dots, G_k\}$ , is: as tuples  $\langle \mathbf{A}(s_1), \dots, \mathbf{A}(s_n), \mathbf{A}(G_1), \dots, \mathbf{A}(G_k) \rangle$ . When in the sequel there is little need for differentiating between structures for the same signature, we shall write  $\mathbf{A}(A)$ , for  $A \in \Sigma$ , as  $A$ .

Let  $\mathbf{A}$  be a structure of type  $\Sigma$ . We extend  $\mathbf{A}$  over  $C(\Sigma)$  by

$$\mathbf{A}(G(t_1, \dots, t_n)) = \mathbf{A}(G)(\mathbf{A}(t_1), \dots, \mathbf{A}(t_n)).$$

If  $\mathbf{A}$  is a structure of type  $\Sigma$ , and  $\Sigma'$  is any signature, then the restriction  $\mathbf{A}|_{\Sigma'}$  is simply the restriction of the mapping  $\mathbf{A}$  to  $\Sigma' \cap \Sigma$ . (There are no real difficulties with empty signatures.)

**Definition.** Let  $\mathcal{R} = (\Sigma, R)$  be a semicomplete TRS, with rewrite rules  $R$ , over a signature  $\Sigma$ . Let  $C^*$  be the set of closed normal forms of  $\mathcal{R}$ ; and for each sort  $s \in \Sigma$ ,  $C_s^* \subseteq C^*$  the set of closed normal forms of sort  $s$ . The canonical model of  $\mathcal{R}$  is the  $\Sigma$ -structure  $\mathbf{C} (= \mathbf{C}_{\mathcal{R}})$  such that

for each  $s \in \Sigma$ ,  $\mathbf{C}(s) = C_s^*$ ;

if  $G: s_1 \times \dots \times s_n \rightarrow s_0$ , and  $t_1 \in C_{s_1}^*, \dots, t_n \in C_{s_n}^*$ , then  $\mathbf{C}(G)(t_1, \dots, t_n)$  is the normal form of  $G(t_1, \dots, t_n)$ .

The canonical model of  $\mathcal{R}$  is (indeed) a model of  $R$  — in particular, it is isomorphic to the quotient  $C/\sim$  of the set of all terms over the congruence induced by  $R$ .

### 3.The role of hiding.

To specify a data structure algebraically, one often uses (and sometimes *must* use, cf. [BT]) hidden operations. The need of hidden operations persists when one focuses on canonical models as the meanings of specifications. Indeed, the operational aspect of rewrite rules, and the importance of concepts such as completeness, that concern the operation of these rules, leads to a natural extension of the significance of hiding. Suppose we want to specify the structure  $(\mathbb{N}, 0, S, P)$  of the natural numbers with zero and successor, and an enumeration  $P$  of the prime numbers:  $P(0) = 2, P(1) = 3$ , etc.. To do this, we need some operations that are fairly natural (addition, multiplication, division); but also some complicated operations which represent the process of calculating the next prime (see the module PRIM below). In sensible calculations, only some special combinations of intermediate values occur. Now one might insist that any term involving hidden operations be confluent and normalizing; but this would often require careful stipulations too arbitrary to be of any interest. Instead, the hidden operations will be left out of the canonical models altogether.

A TRS with hidden operations is a triple  $\mathcal{R} = (\Sigma, \Sigma^*, R)$  such that  $(\Sigma^*, R)$  is a TRS, and  $\Sigma$  is a subsignature of  $\Sigma^*$ . In the sequel we suppress the adjunct “with hidden operations”. We call  $\Sigma$  the *visible* signature of  $\mathcal{R}$ ,  $\Sigma^*$  the *full* signature.  $\mathcal{R}$  is *complete* if every closed term over  $\Sigma$  is confluent and strongly normalizes to a closed term over  $\Sigma$ ; *semicomplete* if every such term is confluent and weakly normalizes to a closed term over  $\Sigma$ . A term over  $\Sigma^*$  is *attainable* if it can be obtained by rewriting a closed  $\Sigma$ -term. The definition of (semi-)completeness may then be restated as:  $\mathcal{R}$  is (semi-)complete if every attainable term is confluent and strongly (weakly) normalizes to a term over  $\Sigma$ . If we wish to emphasize that a TRS has no hidden operations, we may call it *flat*. The operation of *flattening* turns a system  $\mathcal{R} = (\Sigma, \Sigma^*, R)$  into the associated flat system  $\text{Flat}(\mathcal{R}) = (\Sigma^*, R)$ .

For a set  $E$  of equations (or rewrite rules interpreted as equations) over a signature  $\Sigma^*$ , and a subsignature  $\Sigma \subseteq \Sigma^*$ , we denote by  $\text{Eq}_\Sigma(E)$  the set of all equations over  $\Sigma$  that follow from  $E$ . A *model* for a TRS  $\langle \Sigma, \Sigma^*, R \rangle$  is a  $\Sigma$ -structure that is a model of  $\text{Eq}_\Sigma(R)$ . Equivalently, a model of  $\mathcal{R}$  is any  $\Sigma(\mathcal{R})$ -structure that has an extension that can be expanded to a model of  $\text{Flat}(\mathcal{R})$ . We call such a model *separating* if its values on distinct closed normal forms over  $\Sigma$  are distinct. If a weakly normalizing TRS has a separating model, it is confluent.

This hiding mechanism is more versatile than is necessary for the actual specification below. In every case,  $\mathcal{R}$  will be such that its models can be immediately expanded to models of  $\text{Flat}(\mathcal{R})$ . We stick to the generalization because it seems natural.

**Definition.** Let  $\mathcal{R} = \langle \Sigma, \Sigma^*, R \rangle$  be a semicomplete TRS. Let  $C^*$  be the set of closed normal forms of  $\mathcal{R}$  over  $\Sigma$ ; and for each sort  $S \in \Sigma$ ,  $C_S \subseteq C^*$  the set of closed normal forms over  $\Sigma$  of sort  $S$ . The *canonical model* of  $\mathcal{R}$  is the  $\Sigma$ -structure  $\mathbf{C} (= \mathbf{C}_{\mathcal{R}})$  such that

for each  $S \in \Sigma$ ,  $\mathbf{C}(S) = C_S$ ;

if  $G: S_1 \times \dots \times S_n \rightarrow S_0$  is a function symbol of  $\Sigma$ , and  $t_1 \in \mathbf{C}(S_1), \dots, t_n \in \mathbf{C}(S_n)$ , then  $\mathbf{C}(G)(t_1, \dots, t_n)$  is the normal form of  $G(t_1, \dots, t_n)$ .

This definition involves a liberalization of the ordinary initial algebra semantics, as announced above. In general, the canonical model of a TRS  $\langle \Sigma, \Sigma^*, R \rangle$  with hiddens is a subalgebra of a restriction of the initial model of  $\langle \Sigma^*, R \rangle$  — neglecting isomorphism. The canonical model of a semicomplete TRS is separating. Observe that all separating minimal models of a given weakly normalizing TRS are isomorphic.

#### 4. Normal extensions.

Specifications tend to be large; so one tries to develop them step by step, as a series of modules imported one in the other. It is highly desirable that we are able to prove important properties of specifications in the same stepwise fashion. Since for us confluency and normalization are paramount, we must have a method for deducing these properties from properties of component modules.

Let  $\mathcal{R}_0 = \langle \Sigma_0, \Sigma_0^*, R_0 \rangle$  and  $\mathcal{R}_1 = \langle \Sigma_1, \Sigma_1^*, R_1 \rangle$  be TRSes. We say  $\mathcal{R}_0$  is a subsystem of  $\mathcal{R}_1$  (notation:  $\mathcal{R}_0 \subseteq \mathcal{R}_1$ ) if  $\Sigma_0^* \subseteq \Sigma_1^*$  and  $R_0 \subseteq R_1$ .

**Definition.** Let  $\mathcal{R}_0$  and  $\mathcal{R}_1$  be TRSes (as above), with  $\mathcal{R}_0 \subseteq \mathcal{R}_1$ . We call  $\mathcal{R}_1$  a *normal extension* of  $\mathcal{R}_0$  if

(i) any term formed by applying a function symbol in  $\Sigma_1$  to closed  $\mathcal{R}_0$ -normal forms over  $\Sigma_0 \cap \Sigma_1$  (in accordance with its type) weakly normalizes (in  $\mathcal{R}_1$ ) to a closed term over  $\Sigma_0 \cap \Sigma_1$ ;

(ii) if  $\mathbf{A} \models \mathcal{R}_0$ , then  $\mathbf{A} \upharpoonright \Sigma_1$  has a subalgebra that can be expanded to a model of  $\mathcal{R}_1$ .

$\mathcal{R}_1$  is a *strongly normal extension* of  $\mathcal{R}_0$  if (i) also holds with “weakly” replaced by “strongly”.

Condition (i) implies in particular that constants of  $\Sigma_1$  reduce to  $\mathcal{R}_0$ -normal forms over  $\Sigma_0 \cap \Sigma_1$ . In general, it may be said to require that  $\mathcal{R}_1$  is about the same objects as  $\mathcal{R}_0$ , and by rewriting in  $\mathcal{R}_1$  we find the irreducible names these objects had in  $\mathcal{R}_0$ . Condition (ii) requires that if  $\mathcal{R}_1$  proves  $t_1 = t_2$ , with  $t_1$  and  $t_2$  closed terms over  $\Sigma_0 \cap \Sigma_1$ ,  $t_1 = t_2$  was provable in  $\mathcal{R}_0$  already — or in logical terms, that  $\mathcal{R}_1$  is conservative over  $\mathcal{R}_0$ .

In every concrete case below, we shall have  $\Sigma_0 \subseteq \Sigma_1$ . The definition above accommodates *hiding on import*, i.e. hiding part of the visible (export) signature of an imported module. We have been able to avoid this, but the option may seem desirable.

We call a TRS *regular* if it is both left-linear and nonambiguous.

**Theorem.** If  $\mathcal{R}_0$  and  $\mathcal{R}_1$  are TRSes such that  $\mathcal{R}_0 \subseteq \mathcal{R}_1$ , then

- (i) (Bergstra & Klop [BK]) if  $\mathcal{R}_0$  is semicomplete, and  $\mathcal{R}_1$  is a normal extension of  $\mathcal{R}_0$ , then  $\mathcal{R}_1$  is semicomplete;
- (ii) if  $\mathcal{R}_0$  is complete,  $\mathcal{R}_1$  is regular, and  $\mathcal{R}_1$  is a strongly normal extension of  $\mathcal{R}_0$ , then  $\mathcal{R}_1$  is complete.

**Proof.** Suppose  $\mathcal{R}_i = \langle \Sigma_i, \Sigma_i^*, R_i \rangle$ ,  $i \in \{0, 1\}$ .

(i). By a simple induction on closed terms,  $\mathcal{R}_1$  is WN. Since  $\mathcal{R}_0$  is semicomplete, it has a separating model  $\mathbf{A}$ . Let  $\mathbf{B}$  be a model of  $\mathcal{R}_1$ , constructed by expanding some subalgebra of  $\mathbf{A}|\Sigma_1$ . If  $s$  and  $t$  are closed  $\mathcal{R}_1$ -normal forms over  $\Sigma_1$ , then by (i) of the above definition  $s$  and  $t$  are also closed  $\mathcal{R}_0$ -normal forms over  $\Sigma_0$ . Then  $\mathbf{B} \models s=t$  implies  $\mathbf{A} \models s=t$ ; hence  $s \equiv t$  since  $\mathbf{A}$  is separating. It follows that  $\mathbf{B}$  is separating as well, so  $\mathcal{R}_1$  must be confluent.

(ii). We use induction on terms to show that every closed  $\Sigma_1$ -term strongly normalizes to a closed term over  $\Sigma_0 \cap \Sigma_1$ .

Suppose  $t \equiv F(t_1, \dots, t_n)$ , and  $t_1, \dots, t_n$  strongly normalize to closed terms over  $\Sigma_0 \cap \Sigma_1$ .  $t$  need not be a redex, but it can become one by contractions in  $t_1, \dots, t_n$ . The reverse cannot happen: if  $F(s_1, \dots, s_n)$  is an instance of some rewrite rule  $[r]$ , and  $s_i \rightarrow r_i$ , then  $F(r_1, \dots, r_n)$  is an instance of the same rewrite rule by regularity. If  $t$  has an infinite reduction sequence

$$t \equiv t^0 \rightarrow t^1 \rightarrow t^2 \rightarrow \dots,$$

this cannot be the fault of  $t_1, \dots, t_n$ : at the least, these must have been multiplied ad infinitum. So if  $t_1^{\dot{}}$ ,  $\dots$ ,  $t_n^{\dot{}}$  are the normal forms of  $t_1, \dots, t_n$ ,  $t' \equiv F(t_1^{\dot{}}, \dots, t_n^{\dot{}})$  should also have an infinite reduction sequence. But by (i) of the definition of strongly normal expansion, this is impossible.  $\parallel$

## 5. Polynomial multiplication and the Fast Fourier Transform

We shall explain modules as we go along; but we make an exception to this rule for the final modules. These concern multiplying polynomials, and the discrete Fourier transform.

Let  $n$  be a natural number,  $N = 2^n$ , and  $a$  a polynomial of degree at most  $N-1$  with complex coefficients: say

$$a = a_0 + a_1X + \dots + a_{N-1}X^{N-1},$$

with  $a_0, a_1, \dots, a_{N-1} \in \mathbb{C}$ . For  $j \in \mathbb{Z}$ , we set  $W_n(j) = e^{\pi i j 2^{1-n}}$  (we shall often drop the subscript  $n$ ). Then

$$(1) W_n(0) = 1.$$

By the *Fourier transform*  $F_n(a)$  of  $a$  let us understand the polynomial

$$\sum_{k=0}^{N-1} X^k \cdot \sum_{l=0}^{N-1} W_n(kl) a_l \quad ;$$

the inverse Fourier transform (up to a factor  $1/N$ )  $G_n(a)$  is the polynomial

$$\sum_{k=0}^{N-1} X^k \cdot \sum_{l=0}^{N-1} W_n(-kl) a_l \quad .$$

If  $b = b_0 + b_1X + \dots + b_{N-1}X^{N-1}$  and  $c = c_0 + c_1X + \dots + c_{N-1}X^{N-1}$  are polynomials, then their diagonal product is

$$a \wedge b := \sum_{k=0}^{N-1} b_k c_k X^k \quad .$$

Now let  $a$  and  $b$  be polynomials; we assume that the degree of their product  $a \cdot b$  is less than  $N$ . Since  $e^{(\pi+x)i} = -e^{xi}$ ,

$$(2) \quad W_n\left(\frac{N}{2} + j\right) = -W_n(j);$$

whence

$$(3) \quad \sum_{k=0}^{N-1} W_n(kp) = 0$$

for every integer  $p \not\equiv 0 \pmod{N}$ . Also note that

$$(4) \quad W(m) \cdot W(n) = W(m+n).$$

The following calculation proves an equality reminiscent of the convolution theorem for ordinary Fourier transforms:

$$\frac{1}{N} G_n(F_n(a) \wedge F_n(b)) = \frac{1}{N} G_n \left( \sum_{k=0}^{N-1} X^k \cdot \sum_{l=0}^{N-1} W(kl) a_l \cdot \sum_{l'=0}^{N-1} W(kl') b_{l'} \right)$$

$$= \frac{1}{N} G_n \left( \sum_{k=0}^{N-1} X^k \cdot \sum_{l=0}^{N-1} \sum_{l'=0}^{N-1} W(k(l+l')) a_l b_{l'} \right) \quad (\text{distribute})$$

$$= \frac{1}{N} \sum_{m=0}^{N-1} X^m \cdot \sum_k W(-km) \cdot \sum_{l;l'} W(k(l+l')) a_l b_{l'}$$

$$= \frac{1}{N} \sum_{m=0}^{N-1} X^m \cdot \sum_{l;l'} a_l b_{l'} \sum_k W(k(l+l'-m)) \quad (\text{distribute, rearrange,}$$

and use (4))

$$= \frac{1}{N} \sum_{m=0}^{N-1} X^m \cdot \sum_{l+l'=m} N a_l b_{l'} \quad (\text{by (1) and (3)})$$

$$= a \cdot b.$$

If, for the moment, we do not count the Fourier transformations, the above calculation suggests a cheap way of multiplying polynomials. For suppose  $a \cdot b$  has degree  $< N$ : the number of complex multiplications and additions needed to normalize  $a \cdot b$  by straightforwardly applying the distributive law is of the order of  $N^2$  (notation:  $O(N^2)$ ); whereas for  $F_n(a) \wedge F_n(b)$ ,  $N$  multiplications suffice.

It just remains to be seen that Fourier transformations are cheap. The cost obviously depends on the method; we choose the wellknown algorithm of Cooley and Tukey [CT]. Let  $a$  be as above, with degree less than  $N := 2^n$ , and  $n > 0$ . We can express  $F_n(a)$  in  $F_{n-1}(\_)$  as follows. Let  $Even(a)$  be the polynomial of degree  $< 2^{n-1}$  with coefficients  $a_0, a_2, \dots, a_{N-2}$ ; and  $Odd(a)$  the polynomial with coefficients  $a_1, a_3, \dots, a_{N-1}$ . Let  $M = \frac{N}{2}$ . We have

$$(5) \quad W_n(2k) = W_{n-1}(k) \quad \text{and} \quad W_n(2k+1) = W_n(1) \cdot W_{n-1}(k)$$

from (4). Let  $w_n$  be the polynomial

$$\sum_{k=0}^{M-1} X^k W_n(k).$$

Now we calculate

$$\begin{aligned}
F_n(a) &= \sum_{k=0}^{N-1} X^k \cdot \sum_{l=0}^{N-1} W_n(kl) a_l \\
&= \sum_{k=0}^{N-1} X^k \cdot \left( \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l} + W_n(k) \cdot \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l+1} \right) \text{ by (5),} \\
&= \sum_{k=0}^{M-1} X^k \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l} + \sum_{k=M}^{N-1} X^k \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l} \\
&\quad + \sum_{k=0}^{M-1} W_n(k) \cdot X^k \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l+1} + \sum_{k=M}^{N-1} W_n(k) \cdot X^k \sum_{l=0}^{M-1} W_{n-1}(kl) a_{2l+1} \\
&= (1 + X^M) F_{n-1}(\text{Even}(a)) + (1 - X^M) (w_n \wedge F_{n-1}(\text{Odd}(a))) \text{ (the minus sign comes from} \\
&\quad (2)).
\end{aligned}$$

In words: the sequence of coefficients in  $F_n(a)$  may be calculated cheaply (in  $O(N)$  multiplications and additions) from those in  $F_{n-1}(\text{Even}(a))$  and  $F_{n-1}(\text{Odd}(a))$ , and a sequence  $w_n$  of complex roots of unity. Similarly,  $G_n(a)$  is obtained from  $G_{n-1}(\text{Even}(a))$ ,  $G_{n-1}(\text{Odd}(a))$ , and roots of unity  $W_n(-k)$ . Since this process must be repeated  $n$  times, the number of operations needed to obtain the Fourier transform is of the order of  $N \log_2(N)$ .

We shall specify this multiplication algorithm from scratch, first working through a series of number systems.

## 6. The specification formalism

We have taken some slight notational liberties with regard to ASF; e.g. in the module BIN (near the end) we introduce postfix operation symbols. Another feature we have assumed, as it becomes desirable when one does not use conditional equations, is projections for tuple types. It so happens that it is not much trouble to specify them. Here is a list of our deviations from ASF:

- We use non-ASCII characters, such as arrows, and subscripts and bold print.
- We have operator names that are letters; the colon is used as an operator name.
- We use square brackets in identifiers.
- -- sometimes does *not* signify the beginning of a comment; namely, when a human reader would not expect it to.

- Binding is not mentioned when it is to objects with the same name. For instance, if parametrized module  $\text{MOD}_1$  has a sort  $X$ , in parameter  $M$ , and  $\text{MOD}_2$  has a sort of the same name in parameter  $M'$ , and  $M$  is bound to  $M'$ , then  $X$  is tacitly bound to  $X$ .
- Priority is not always indicated with brackets; thus, we write  $x + y \cdot z$  instead of  $x + (y \cdot z)$ .
- We have postfix operators.
- Functions may have tuples as arguments. So given  $F: X \rightarrow Y \times Z, G: Y \times Z \rightarrow U$ , we write  $G(F(x))$ .

In all, the differences are slight, and they accommodate the human reader. Corrected versions have been typechecked by F. Wiedijk and P. Hendriks.

Given modules  $\text{MOD}_0$  and  $\text{MOD}_1$ , we denote by  $\text{MOD}_0 + \text{MOD}_1$  the following module:

```

module MOD0 + MOD1
begin
  imports MOD0, MOD1
end MOD0 + MOD1

```

## 7. Normalization

The meaning of a TRS, we may say, is its canonical model. A suitable specification consisting of just one module, without imports, may be regarded as a TRS in a straightforward way. Thus to attach meaning to modularized specifications, it will suffice if we can normalize them to single modules, eliminating the `imports` clauses. This is not entirely unproblematic. Since we find the normalization algorithm of [BHK] hard to understand, we shall give an informal explanation of our own.

Basically, a normalization step that eliminates a clause

```

imports    MOD1

```

from a module  $\text{MOD}_0$  consists of adding the items under the various headings of  $\text{MOD}_1$  to the lists under the same headings in  $\text{MOD}_0$ . This goes wrong in two respects:

- 1° name clashes may result, notably of variables;
- 2° if the `imports` clause involves renamings, these must be carried out some way — particularly if  $\text{MOD}_1$  was parametrized.

Our cavalier attitude towards these difficulties is as follows. First, one should be careful to avoid undesired name clashes. In practice this means that merging is to be preceded by some preventive disambiguation; this can be effected in various ways. In the case of variables it suffices to affix sort tags. Second, renamings *change* a module before it is fitted into a larger module. If a sort is renamed, it is changed everywhere in the module expression; in particular, sort tags of variables and types of functions may change. After these changes, one simply unites as indicated above. —This description leaves much to be desired, but it should do for normalization from the inside out, that is, for the elimination of normalized imports.

## 8.The specification

The following listing of modules with their imports may help the reader to trace the origin of imported functions. Parametrized modules may be imported repeatedly, the parameters being bound differently each time.

BOOL

NAT

COMP imports BOOL and NAT

MON imports NAT

DIV imports COMP and MON

PRIM imports DIV

SIM

PINT imports NAT

DIRE imports COMP and MON

FAC imports PRIM, SIM, PINT and DIRE

REV imports SIM

EXP imports NAT

MUL imports PRIM, REV and EXP

INT imports PINT and MON

LADD imports SIM

REX imports INT, REV and LADD

RAT imports REX

SING imports INT

PROJ

RED imports REX, SING, SIM and PROJ

LITR imports SIM twice

RADD imports RAT, RED, LITR (twice), MUL, FAC and PROJ

CORT imports RADD

POW imports CORT

ARCTAN imports RADD

PI imports ARCTAN

RUN imports PI and POW

SCAM imports CORT and SIM

MERGE imports SIM

LRUN imports RUN, SCAM, LITR and MERGE

SPLIT imports SIM

DIM imports SIM

DFT imports LRUN, SPLIT, LADD, DIM, LITR and REV

BIN

POL imports CORT and SIM

DEG imports POL and BIN

UL imports NAT and BIN

MULP imports DFT, POL, DEG and UL

Now it is time for the actual work. As a TRS, the specification below is complete. The practical way to prove this is module by module. Indeed, every module will be complete in the sense of §3; and the completeness will either be easy to prove by standard methods, or it will follow from the completeness of some simple combination of earlier modules by the normal extension theorem (§4). Proofs will at best be sketched; but some of the earlier proof sketches are rather laborious. To attempt formal proofs here would be mistaken. They would still rely heavily on the structure of the data at hand, which never is such that more formalism would help to understand it. Some of the earlier applications of the normal extension theorem (notably with PRIM and FAC) also happen to be among the most difficult. In any case, the reader who wishes to economize on mental effort may be content with convincing himself of semicompleteness.

```

module BOOL                                --booleans
begin
  exports
  begin
    sorts    B
    functions  T: → B
              F: → B
              _^_: B × B → B
  end
  equations
  [1] T ^ T = T
  [2] T ^ F = F
  [3] F ^ T = F
  [4] F ^ F = F
end BOOL

```

This module is SN; the lower semilattice **2** is a separating model. Hence as remarked in §3, BOOL is confluent, hence complete. Moreover, **2** is minimal, which, again by §3, shows it is the structure specified. (Another way of seeing BOOL is confluent is by noting it is regular.)

```

module NAT                                --natural numbers
begin
  exports
  begin
    sorts N
    functions  0: → N
               S_: N → N
               +_: N × N → N
               ·_: N × N → N
  end
  variables
    m, n: → N
  equations
    [1] m + 0 = m
    [2] m + Sn = S(m+n)
    [3] m · 0 = 0
    [4] m · Sn = m·n + m
end NAT

```

This module is complete; it describes the standard model  $\mathbf{N} = \langle \mathbb{N}, +, \cdot, S, 0 \rangle$  of arithmetic. To see this, first note that NAT is SN: it is easy to weight the operation symbols in such a way that right hand sides always weigh less than left hand sides; then SN follows by recursive path ordering. NAT is confluent since it is regular. Alternatively, one may check that  $\mathbf{N}$  is a separating model of NAT; and since it is minimal, it is the canonical model.

```

module COMP                                --comparison of natural numbers
begin
  exports
  begin
    functions  ≤_: N × N → B
               <_: N × N → B
  end
  imports BOOL, NAT
  variables
    m, n: → N
  equations
    [1] 0 ≤ m = T
    [2] Sm ≤ 0 = F
    [3] Sm ≤ Sn = m ≤ n
    [4] m < n = Sm ≤ n
end COMP

```

COMP is clearly regular; and if  $s$  and  $t$  are normal forms of NAT, then  $s \leq t$  and  $s < t$  strongly normalize to T or F. It is easy to expand the disjoint union of **N** and **2** with suitable predicates ( $\approx$  functions to truth values)  $\leq$  and  $<$ . This proves that COMP is a strongly normal extension of BOOL + NAT; we get its canonical model in the bargain.

```

module MON                --cut-off subtraction (monus)
begin
  exports
  begin
    functions     $\dot{-}$ : N  $\times$  N  $\rightarrow$  N
  end
  imports NAT
  variables
    m, n:  $\rightarrow$  N
  equations
    [1] 0  $\dot{-}$  m = 0
    [2] m  $\dot{-}$  0 = m
    [3] Sm  $\dot{-}$  Sn = m  $\dot{-}$  n
end MON

```

```

module DIV                --divisibility
begin
  exports
  begin
    functions     $\mid$ : N  $\times$  N  $\rightarrow$  B
  end
  imports COMP, MON
  functions    D: B  $\times$  N  $\times$  N  $\rightarrow$  B
  variables
    m, n:  $\rightarrow$  N
  equations
    [1] m  $\mid$  0 = T
    [2] m  $\mid$  Sn = m  $\leq$  Sn  $\wedge$  m  $\mid$  (Sn  $\dot{-}$  m)
end DIV

```

DIV is complete, being regular and a strongly normal extension of COMP + MON, which in its turn is a strongly normal extension of COMP. It so happens that *every* closed term is SN.

```

module PRIM                                --primes
begin
  exports
  begin
    functions  $\mathbf{P}_:$   $\mathbb{N} \rightarrow \mathbb{N}$ 
  end
  imports DIV
  functions  $S_0:$   $\mathbb{B} \times \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ 
            $S_1:$   $\mathbb{B} \times \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ 
  variables
     $m, n:$   $\rightarrow \mathbb{N}$ 
  equations
  [1]  $\mathbf{P}0 = SS0$ 
  [2]  $\mathbf{P}Sm = S_0(0 < m, \mathbf{S}Pm, 0)$ 
  [3]  $S_0(F, m, n) = m$ 
  [4]  $S_0(T, m, 0) = S_0(\mathbf{P}S0 \cdot \mathbf{P}S0 \leq Sm, Sm, S0)$ 
  [5]  $S_0(T, m, Sn) = S_1(\mathbf{P}Sn \mid m, m, Sn)$ 
  [6]  $S_1(T, m, n) = S_1(\mathbf{P}0 \mid Sm, Sm, 0)$ 
  [7]  $S_1(F, m, n) = S_0(\mathbf{P}Sn \cdot \mathbf{P}Sn \leq m, m, Sn)$ 
end PRIM

```

PRIM is supposed to give an enumeration of the prime numbers  $p_0 = 2, p_1 = 3, p_2 = 5$ , etc. The local functions  $S_0$  and  $S_1$  will turn out to implement the sieve of Eratosthenes. We shall use the normal extension theorem to show that the module works, and that it is complete. Note that PRIM is regular. The normal forms of DIV that are terms of PRIM are precisely the booleans T and F, and the numerals  $S^n 0$  (for  $n \in \mathbb{N}$ , setting  $S^0 0 \equiv 0$  and  $S^{n+1} 0 \equiv S(S^n 0)$ ).

We show first that  $\mathbf{P}(S^n 0)$  strongly normalizes to a numeral, for each  $n \in \mathbb{N}$ . We use induction. For  $\mathbf{P}0$  there is only one reduction, yielding  $SS0$ . Now assume that  $\mathbf{P}(S^k 0)$  strongly normalizes to a numeral, for each  $k \leq n$ ; consider  $\mathbf{P}(S^{n+1} 0)$ . This reduces only by [2], to

$$S_0(0 < S^n 0, \mathbf{S}P(S^n 0), 0).$$

This contains redexes  $0 < S^n 0$  and  $\mathbf{S}P(S^n 0)$ ; the first we know to be SN, and the second is SN by assumption. The entire term becomes a redex when  $0 < S^n 0$  is normalized. Suppose  $\mathbf{S}P(S^n 0)$  has been reduced to some term  $t$  — the form of  $t$  does not matter; we know  $t$  strongly normalizes to the numeral for successor of the  $n$ -th prime. If  $0 < S^n 0 \rightarrow F$  (i.e. if  $n = 0$ ), we must apply [3], and get  $t$ , and eventually  $S^3 0$ . (Observe that 3 is the only prime that immediately succeeds another prime.) If  $0 < S^n 0 \rightarrow T$  (i.e. if  $n > 0$ ), we must apply [4]. The result is

$$S_0(\mathbf{P}S0 \cdot \mathbf{P}S0 \leq St, St, S0).$$

Since  $n \geq 1$ , the induction hypothesis guarantees that the proper subterms strongly normalize. The entire term becomes a redex only when  $\mathbf{PSO} \cdot \mathbf{PSO} \leq St$  is normalized. Take any  $t'$  that  $St$  reduces to: we know that  $t'$  strongly normalizes to the numeral for  $p_{n+2}$ . If  $\mathbf{PSO} \cdot \mathbf{PSO} \leq St \rightarrow F$ , i.e. if  $p_{n+2} < 9$ ,  $p_{n+2}$  is prime. Then indeed the rule to be applied is [3], which produces  $t'$ . If  $\mathbf{PSO} \cdot \mathbf{PSO} \leq St \rightarrow T$ , we apply [5] and get

$$S_1(\mathbf{PSO} \mid t', t', S_0).$$

Again, the proper subterms strongly normalize. We may disregard reductions of  $t'$ . The entire term becomes a redex once  $\mathbf{PSO} \mid t'$  is normalized. We distinguish two cases.

I.  $\mathbf{PSO} \mid t' \rightarrow T$ . Then  $p_{n+2}$  is not prime. To continue, we must use [6], and get

$$S_1(\mathbf{P0} \mid St', St', 0).$$

Since  $p_{n+3}$  is even, we have to repeat [6], and try, in vain, whether  $2 \mid p_{n+4}$ . This brings us to the other case.

II.  $\mathbf{PSO} \mid t' \rightarrow F$ . We must apply [7]. The reduct is

$$S_0(\mathbf{PSS0} \cdot \mathbf{PSS0} \leq t', t', SS_0).$$

If  $\mathbf{PSS0} \cdot \mathbf{PSS0} \leq t' \rightarrow F$ , we next apply [3] and end up with the normal form of  $t'$ . And indeed, we knew that  $p_{n+2}$  is odd, and we have checked that 3 does not divide it, so that it must be prime if it is less than 25. If  $\mathbf{PSS0} \cdot \mathbf{PSS0} \leq t' \rightarrow T$ , we apply [5]. This comes down to trying if 5 divides  $p_{n+2}$ . If it does, we go on with  $p_{n+3}$  (and case I.); if it does not, we try if 7 is too large, and if it is not, if it divides  $p_{n+2}$ ; and so on.

Thus we cycle through [5], [6] and [7], increasing  $m$  and  $n$  in turn, until we hit a prime  $m$ . This  $m$  will be represented by a term of DIV, which strongly normalizes.

Suppose  $\mathbf{A}$  is a separating model of DIV; let  $\Sigma$  be the export signature of PRIM. Let  $\mathbf{B} \subseteq \mathbf{A} \mid \Sigma$  be the minimal subalgebra. Expand  $\mathbf{B}$  with an operation  $P$  defined by  $P(S^n 0) = S^{p_n} 0$ ; the resulting algebra we call  $\mathbf{C}$ . To show that  $\mathbf{C}$  is a model of PRIM, it will suffice to define an expansion of  $\mathbf{C}$  that is a model of Flat(PRIM). The following operations  $S_0$  and  $S_1$  will do the job:

$$S_0(F, m, n) = m;$$

$$S_0(T, m, 0) = Sm \text{ if } m < 8, \text{ or } Sm \text{ is a power of 2, or } Sm < p^2 \text{ for the least prime } p > 2 \text{ that divides } Sm;$$

$$= \mu k > Sm \text{ (} k \text{ is prime) (i.e. the least prime greater than } Sm \text{) otherwise;}$$

$$S_0(T, 0, S_n) = 1;$$

$$S_0(T, Sm, S_n) = Sm \text{ if } Sm \text{ has no prime factors greater than } p_n, \text{ or } p_{S_n} \nmid Sm \text{ and } Sm < p^2 \text{ for the least prime } p > p_{S_n} \text{ that divides } Sm;$$

$$= \mu k > Sm \text{ (} k \text{ is prime) otherwise;}$$

$$S_1(T, 0, n) = 1;$$

$$S_1(T, 1, n) = 3;$$

$$S_1(T, m, n) = \mu k > m \text{ (} k \text{ is prime) if } m > 1;$$

$$S_1(F, m, n) = m \text{ if } m \text{ has no prime factors greater than } p_n, \text{ or } m < p^2 \text{ for the least prime } p > p_n \\ \text{that divides } m; \\ = \mu k \geq m \text{ (} k \text{ is prime) otherwise.}$$

```

module SIM                                --simple lists
begin
  parameters  M
    begin
      sorts    X
    end M
  exports
    begin
      sorts    LX
      functions  _i_: X × LX → LX
                [X]: → LX
    end
end SIM

```

```

module PINT                                --positive integers
begin
  exports
    begin
      sorts    P
      functions  1: → P
                S_: P → P
                N_: P → N
                P_: N → P
                _≤_: P × N → B
    end
  imports COMP
  variables
    p: → P
    n: → N
  equations
    [1] N1 = S0
    [2] NSp = SNp
    [3] P0 = 1      --arbitrary.
    [4] PS0 = 1
    [5] PSSn = SPSn
    [6] p ≤ n = Np ≤ n
end PINT

```

```

module DIRE                                     --division with remainder
begin
  exports
  begin
    functions
      _:_: N × N → N
      If: B × N × N → N
    end
  imports COMP, MON
  variables
    m, n, k: → N
  equations
    [1] If(T, m, n) = m
    [2] If(F, m, n) = n
    [3] m : 0 = 0                               --arbitrary
    [4] m : Sn = If(m≤n, 0, S((m÷Sn):Sn))
end DIRE

```

$m:n$  is the integer part of the quotient of  $m$  over  $n$ . Rule [3] fixes a result for division by zero. In a way, it would be more satisfactory to have

$$[*] \quad m : n = \underline{\text{I}}f(m < n, 0, S((m \div n) : n))$$

instead of [3] and [4], but our theory does not deal with partial algebras. A similar comment could be made about rule [3] in PINT.

```

module FAC                                --factorization
begin
  exports
  begin
    functions F_ : P → LN
           S_ : LN → LN
  end
  imports PRIM, SIM {M bound by [X → N] to PRIM,
                    renamed by [LX → LN], [[X] → [N]]},
         PINT, DIRE
  functions F1 : B × N × N × LN → LN
           F2 : B × N × N × LN → LN
  variables
    m, n : →N
    p : →P
    a : →LN
  equations
  [1] S[N] = [N]
  [2] S(m;a) = Sm ; a
  [3] Fp = F1(p ≤ S0, Np, 0, 0; [N])
  [4] F1(T, m, n, a) = a
  [5] F1(F, m, n, a) = F2(Pn|m, m, n, a)
  [6] F2(F, m, n, a) = F2(PSn|m, m, Sn, 0;a)
  [7] F2(T, m, n, a) = F1(m:Pn ≤ S0, m:Pn, n, Sa)
end FAC

```

FAC is complete; we shall check this by the same method as we used with PRIM. First, we introduce an auxiliary module  $\mathcal{M}$ , which is the result of instantiating SIM with PRIM, renaming  $X$  to  $N$  and  $LX$  to  $LN$ , and adding PINT and DIRE. Completeness of  $\mathcal{M}$  follows from that of PRIM, PINT and DIRE, by simple applications of the normal extension theorem. We want to show that FAC is a strongly normal extension of  $\mathcal{M}$ .

The first condition to be satisfied boils down to: any term  $F(S^{m1})$  strongly normalizes to a list of numerals  $S^n0$ . Note that FAC is regular.

Evidently,  $F1$  normalizes to  $0; [N]$ . If  $S^{m1}$  is any other numeral,  $m+1$  has a unique representation

$$m+1 = p_k^{n_k} \cdots p_0^{n_0}$$

with  $n_k > 0$ .

Now  $F(S^{m1})$  is a redex for [3], and [3] only. The reduct is

$$F1(S^{m1} \leq S0, NS^{m1}, 0, 0; [N]).$$

The only redexes are  $S^{m1} \leq S0$  and  $NS^{m1}$ . Since  $\mathcal{M}$  is complete, we know these subterms strongly normalize; the one to  $F$  (since  $m > 0$ ), the other to  $S^{m+1}0$ . The entire term becomes a redex, for [5], when  $S^{m1} \leq S0$  has been normalized. Say we have reduced  $NS^{m1}$  to  $s$ . [5] produces

$$(*) F_2(\mathbf{P}0 | s, s, 0, 0; [N]).$$

Let us disregard possible reductions in  $s$ . Then (\*) can only be attacked by normalizing  $\mathbf{P}0 | s$ . There are two cases.

I.  $\mathbf{P}0 | s \rightarrow T$ . This means that  $n_0 > 0$ . We must continue with [7], to

$$F_1(s : \mathbf{P}0 \leq S0, s : \mathbf{P}0, 0, S(0; [N])).$$

To continue with the entire term, we must normalize the first argument. The other arguments are SN:  $s : \mathbf{P}0$  goes to the numeral for  $m' := p_k^{n_k} \dots p_0^{n_0-1}$ ;  $S(0; [N])$  normalizes to  $S0; [N]$  by [2]. If  $s : \mathbf{P}0 \leq S0$  normalizes to  $T$ , implying  $k = 0$  and  $n_0 = 1$ , we are done with factorizing, and we reach  $S0; [N]$  through [4] and (possibly) [2].

Now suppose  $s : \mathbf{P}0 \leq S0 \rightarrow F$ . Say we have done  $s : \mathbf{P}0 \rightarrow t_1, S(0; [N]) \rightarrow t_2$ . Then

$$F_1(F, t_1, 0, t_2) \rightarrow F_2(\mathbf{P}0 | t_1, t_1, 0, t_2)$$

by [5], and we are back in the position of (\*) with, essentially,  $m$  replaced by  $m'$ ; that is, this time we try if  $2|m'$ .

II. If  $\mathbf{P}0 | s \rightarrow F$ , then  $n_0 = 0$ . By [6] we reach

$$F_2(\mathbf{P}S0 | s, s, S0, 0; (0; [N])),$$

and we are back in position (\*), with  $[N]$  replaced by  $0; [N]$ , the third argument by  $S0$ , and  $\mathbf{P}0$  by  $\mathbf{P}S0$ . In effect, we now try if  $3|m$ .

Continuing in this way, we see that  $F(S^{m1})$  strongly normalizes to

$$S^{n_k 0}; \dots; S^{n_0 0}; [N].$$

(We have omitted brackets; we establish the convention that  $;$  associates to the right, which in fact is the only way the above term makes sense.)

For the second condition, it will suffice to show that the canonical model  $\mathbf{M}$  of  $\mathcal{M}$  can be expanded to a model of Flat(FAC).  $\mathbf{M}$  has four sorts:

$N$ , the natural numbers;

$P$ , the positive integers, which we may take to be the natural subset of  $N$ ;

$B$ , the booleans; and

$LN$ , the finite sequences  $\langle n_0, \dots, n_{k-1} \rangle$  ( $k \in \mathbb{N}$ ) of natural numbers.

We use  $\varepsilon$  for the empty sequence, and bold letters  $\mathbf{n}$  to vary over sequences  $\langle n_0, \dots, n_{k-1} \rangle$ . The following expansion by operations  $S$ ,  $F$ ,  $F_1$  and  $F_2$  will do the job (note that the argument of  $F$  may be assumed  $> 0$ ). Set

$$S(\varepsilon) = \varepsilon;$$

$$S(n_0, n_1, \dots, n_k) = \langle n_0+1, n_1, \dots, n_k \rangle ;$$

$$F(1) = \langle 0 \rangle;$$

$$F(p_0^{n_0} \dots p_k^{n_k}) = \langle n_k, \dots, n_0 \rangle, \text{ if } n_k > 0 ;$$

$$F_1(T, m, n, l) = l ;$$

$$F_1(F, m, n, l) = \varepsilon \text{ if } m \leq 1 \text{ or } p_j \mid m \text{ for some } j < n ;$$

$$F_1(F, p_i^{n_0} \dots p_{k+i}^{n_k}, i, \langle l_0, \dots, l_{j-1} \rangle) = \langle n_k, \dots, n_1, n_0+l_0, l_1, \dots, l_{j-1} \rangle (= \langle n_k, \dots, n_1 \rangle \text{ if } j = 0),$$

with  $n_k > 0$  ;

$$F_2(F, m, n, l) = \varepsilon \text{ if } m \leq 1 \text{ or } p_j \mid m \text{ for some } j \leq n ;$$

$$F_2(F, p_{i+1}^{n_1} \dots p_{k+i+1}^{n_{k+1}}, i, \langle l_0, \dots, l_{j-1} \rangle) = \langle n_k, \dots, n_1, l_0, \dots, l_{j-1} \rangle, \text{ with } n_{k+1} > 0 ;$$

$$F_2(T, m, n, l) = S(l) \text{ if } m:p_n \leq 1 ,$$

$$= \varepsilon \text{ if } m:p_n > 1 \text{ and } p_j \mid m \text{ for some } j < n ;$$

$$F_2(T, p_i^{n_0} \dots p_{k+i}^{n_k}, i, \langle l_0, \dots, l_{j-1} \rangle) = \langle n_k, \dots, n_1, n_0+l_0, l_1, \dots, l_{j-1} \rangle (= \langle n_k, \dots, n_1 \rangle \text{ if } j = 0),$$

with  $n_k > 0$ .

Of the following modules, REV is easily seen to be a strongly normal extension of SIM, and EXP of NAT. Hence they are complete.

```

module      REV                                --reversion
begin
  exports
  begin
    functions  _;_: LX × LX → LX
              R_: LX → LX
  end
  imports SIM
  variables
    a,b: → LX
    x: → X
  equations
    [1] [X];a = a
    [2] (x;a) ; b = x; (a;b)
    [3] R[X] = [X]
    [4] R(x;a) = Ra; (x;[X])
end REV

```

```

module      EXP                                --exponentiation
begin
  exports
  begin
    functions  _↑_: N × N → N
  end
  imports NAT
  variables
    m, n: → N
  equations
    [1] m ↑ 0 = S0
    [2] m ↑ Sn = (m↑n) · m
end EXP

```

```

module MUL                                --multiplying out
begin
  exports
  begin
    functions  N_: LN → N
  end
  imports PRIM, REV {M bound by [X → N] to PRIM, renamed by [LX →
    LN], [[X] → [N]]},
    EXP
  functions M: LN × N × N → N
  variables
    l, m, n: → N
    a: → LN
  equations
    [1] Na = M(Ra, 0, S0)
    [2] M(l;a, m, n) = M(a, Sm, n · (Pm↑l))
    [3] M([N], m, n) = n
end MUL

```

The purpose of this module is to regain natural numbers from lists of exponents of their prime factors. One may check that it is regular. Let  $\mathcal{M}$  be the module that results from eliminating the functions  $N$  and  $M$ .  $\mathcal{M}$  is easily seen to be complete.

Let  $t \equiv S^{n_{k-1}0}; \dots; S^{n_0}0; [N]$  be a list of numerals. It is straightforward to check that  $Nt$  strongly normalizes to the numeral for  $\prod_{j < k} p_j^{n_j}$ . Next, let  $\mathbf{M}$  be the canonical model of  $\mathcal{M}$ . We expand  $\mathbf{M}$  to a model of Flat(MUL) by operations  $N$  and  $M$  defined by:

$$N(n_{k-1}, \dots, n_0) = \prod_{j < k} p_j^{n_j};$$

$$M(\langle n_{k-1}, \dots, n_0 \rangle, m, n) = n \cdot \prod_{j < k} p_{j+m}^{n_j}.$$

Thus MUL is a strongly normal extension of  $\mathcal{M}$ ; hence MUL is complete, by the normal extension theorem.

```

module      INT                                --integers
begin
  exports
    begin
      sorts    Z
      functions 0: → Z
                +_: P → Z
                -_: P → Z
                -_: Z → Z
                +_: Z × Z → Z
                -_: Z × Z → Z
                I_: N → Z
                J_: N → Z
                A_: Z → N
    end
  imports PINT, MON
  variables
    p, q: → P
    y, z: → Z
    n: → N
  equations
  [1] -0 = 0
  [2] --p = +p
  [3] -+p = -p
  [4] I0 = 0
  [5] ISn = +PSn
  [6] J0 = 0
  [7] JSn = -PSn
  [8] y + 0 = y
  [9] 0 + y = y
  [10] +p + +q = I(Np + Nq)
  [11] -p + -q = J(Np + Nq)
  [12] +p + -q = I(Np÷Nq) + J(Nq÷Np)
  [13] -p + +q = J(Np÷Nq) + I(Nq÷Np)
  [14] y - z = y + -z
  [15] A0 = 0
  [16] A+p = Np
  [17] A-p = Np
end INT

```

To see that INT works, note that for  $m, n \in \mathbb{N}$ , at least one of  $m \div n$  and  $n \div m$  is zero. For the rest, the normal forms are conspicuous and completeness is straightforward.

Another presentation of the integers, which in some ways is rather natural, constructs them from zero by a successor function and a predecessor function. In our case this would lead to complications: something like  $Pred(Suc(Pred(z)))$  would probably be an ambiguous redex.

```

module LADD                                --list addition
begin
  parameters M'
  begin
    sorts X
    functions  _+_ : X × X → X
  end M'
  exports
  begin
    functions  _+_ : LX × LX → LX
  end
  imports SIM {M bound to M'}
  variables
    x, y: → X
    a, b: → LX
  equations
  [1] a + [X] = a
  [2] [X] + a = a
  [3] x;a + y;b = x+y ; a+b
end LADD

```

```

module    REX    --positive rationals as lists of exponents
begin
  exports
  begin
    functions  _·_: LZ × LZ → LZ
              1:_: LZ → LZ
              _:_: LZ × LZ → LZ
  end
  imports INT, REV {M bound by [X → Z] to INT, renamed by [LX →
                    LZ], [[X] → [Z]]},
          LADD {M' bound by [X → Z] to INT, renamed by [LX → LZ],
                [X] → [Z]}

  variables
    y, z: → Z
    p, q: → LZ
  equations
    [1] p · q = R(Rp + Rq)
    [2] 1:[Z] = [Z]
    [3] 1:(y;p) = -y;(1:p)
    [4] p : q = p·(1:q)
end REX

```

In the light of the normal extension theorem, it is easy to see that REX is complete. Its significance is as follows. Positive rational numbers have unique representations  $p_{k-1}^{n_{k-1}} \dots p_0^{n_0}$ , with  $p_0, p_1, \dots$  the succession of primes,  $n_0, \dots, n_{k-1} \in \mathbb{Z}$ , and  $n_{k-1} > 0$  (setting the empty product at 1). Thus there is an injection

$$p_{k-1}^{n_{k-1}} \dots p_0^{n_0} \mapsto n_{k-1}; \dots; n_0; [Z]$$

(with  $n_i$  the unique normal form of INT that corresponds with  $n_i$ ) from  $\mathbb{Q}^+$  to the set of normal forms of sort LZ. Now if  $p$  corresponds to  $p$ ,  $q$  to  $q$ , the normal form of  $p \cdot q$  stands for  $p \cdot q$ ; that of  $1 : p$  for  $1/p$ , and that of  $p : q$  for  $p/q$ .

We have not quite got the rationals yet, since  $0; n_{k-1}; \dots; n_0; [Z]$  is normal, whereas  $p_k^0 \cdot p_{k-1}^{n_{k-1}} \dots p_0^{n_0} = p_{k-1}^{n_{k-1}} \dots p_0^{n_0}$ . This matter will be dealt with by the next module, which also introduces positive, negative and zero.

```

module RAT                                     --rationals
begin
  exports
  begin
    sorts Q
    functions 0: → Q
              +_: LZ → Q
              -_: LZ → Q
              -_: Q → Q
              1:_: Q → Q
              _·_: Q × Q → Q
              _:_: Q × Q → Q

  end
  imports REX
  variables
    a, b: → LZ
    p, q: → Q
  equations
  [1] +a·+b = +(a·b)
  [2] +a·-b = -(a·b)
  [3] -a·+b = -(a·b)
  [4] -a·-b = +(a·b)
  [5] 1:+b = +(1:b)
  [6] 1:-b = -(1:b)
  [7] 1:0 = 0                                     --arbitrary
  [8] p:q = p·(1:q)
  [9] -0 = 0
  [10] -+a = -a
  [11] --a = +a
  [12] q·0 = 0
  [13] 0·q = 0
  [14] +(0;a) = +a
  [15] -(0;a) = -a
end RAT

```

Next we describe the reduction of simple fractions  $p_i^y, p_i^z$  to a common denominator. Our procedure starts with two integers, to be thought of as exponents of some prime number, and results in a triple  $\langle$ numerator of first argument, numerator of second argument, common denominator $\rangle$  of natural numbers. In symbols: if  $y \oplus z = \langle l, m, n \rangle$ , then  $x^y + x^z = \frac{x^l + x^m}{x^n}$ . Completeness is straightforward. .

```

module      SING                      --single factor reduction
begin
  exports
    begin
      functions   $\oplus$ :  $Z \times Z \rightarrow N \times N \times N$ 
    end
  imports INT
  variables
    p, q:  $\rightarrow P$ 
  equations
  [1]  $0 \oplus 0 = \langle 0, 0, 0 \rangle$ 
  [2]  $0 \oplus +p = \langle 0, Np, 0 \rangle$ 
  [3]  $0 \oplus -p = \langle 0, 0, Np \rangle$ 
  [4]  $-p \oplus 0 = \langle 0, 0, Np \rangle$ 
  [5]  $+p \oplus 0 = \langle Np, 0, 0 \rangle$ 
  [6]  $+p \oplus +q = \langle Np, Nq, 0 \rangle$ 
  [7]  $+p \oplus -q = \langle Np+Nq, 0, Nq \rangle$ 
  [8]  $-p \oplus +q = \langle 0, Np+Nq, Np \rangle$ 
  [9]  $-p \oplus -q = \langle Nq \dot{-} Np, Np \dot{-} Nq, Np + (Nq \dot{-} Np) \rangle$ 
end SING

```

Note that  $m + (n \dot{-} m) = \max(m, n)$ .

```

module PROJ                      --projection
begin
  parameters  M
    begin
      sorts X
    end M
  exports
    begin
      functions  @0:  $X \times X \times X \rightarrow X$ 
                @1:  $X \times X \times X \rightarrow X$ 
                @2:  $X \times X \times X \rightarrow X$ 
    end
  variables
    x, y, z:  $\rightarrow X$ 
  equations
  [1] @0(x, y, z) = x
  [2] @1(x, y, z) = y
  [3] @2(x, y, z) = z
end PROJ

```

```

module RED                                     --reduction
begin
  exports
  begin
    functions  $\_ \oplus \_$ : LZ × LZ → LN × LN × LN
  end
  imports REX, SING, SIM {M bound by [X → N] to NAT, renamed by
                        [LX → LN], [[X] → [N]]},
    PROJ {M bound by [X → N] to NAT}
  functions R1: LZ × LZ × LN × LN × LN → LN × LN × LN
  variables
    p, q: → LZ
    a, b, c: → LN
    y, z: → Z
  equations
  [1] p ⊕ q = R1(Rp, Rq, [N], [N], [N])
  [2] R1(y;p, z;q, a, b, c) =
      R1(p, q, @0(y⊕z);a, @1(y⊕z);b, @2(y⊕z);c)
  [3] R1(y;p, [Z], a, b, c) =
      R1(p, [Z], @0(y⊕0);a, b, @2(y⊕0);c)
  [4] R1([Z], z;q, a, b, c) =
      R1([Z], q, a, @1(0⊕z);b, @2(0⊕z);c)
  [R5] R1([Z], [Z], a, b, c) = <a, b, c>
end RED

```

It is routine to check that RED is complete. Its action is as follows. Given two positive rationals  $p$  and  $q$ , represented in the manner discussed under REX, first the representations are reversed, so that one does not have to count before one can say which prime the first integer in the list is supposed to be the exponent of. The pair of reversed rationals is then transformed to a triple  $\langle l, m, n \rangle$  of lists of natural numbers, with the following property: if  $N$  is the function from sequences of natural numbers to  $\mathbb{Z}^+$  specified in MUL above, then

$$p + q = \frac{Nl + Nm}{Nn} .$$

To help us complete the specification of the rationals, we introduce a parametrized module, to be applied to translate lists of natural numbers to lists of integers; then, finally, we define addition.

```
module LITR                                     --list translation
begin
  parameters M'
  begin
    sorts X, Y
    functions I_: X → Y
  end M'
  exports
  begin
    functions I_:LX → LY
  end
  imports SIM {M bound to M'}, SIM {M bound by [X → Y] to M',
    renamed by [LX → LY], [[X] → [Y]]}
  variables
    x: →X
    a: →LX
  equations
    [1] I[X] = [Y]
    [2] I(x;a) = Ix; Ia
end LITR
```

```

module RADD                                --rational addition
begin
  exports
  begin
    functions  _+_ : Q × Q → Q
              _-_ : Q × Q → Q
  end
  imports RAT, RED, LITR {M' bound by [X → N], [Y → Z] to INT,
    renamed by [LX → LN], [[X] → [N]], [LY → LZ],
    [[Y] → [Z]]},
    LITR {M' bound by [X → N], [Y → Z], [I → J] to INT,
    renamed by [LX → LN], [[X] → [N]], [LY → LZ],
    [[Y] → [Z]], [I → J]} --the binding concerns I: X→Y,
    the renaming I: LX→LY
    MUL, FAC, PROJ {M bound by [X → LN] to MUL}
  functions A: Z × LZ → Q
  variables
    p: →P
    q: →Q
    a, b: →LZ
    n: →N
  equations
  [1] q + 0 = q
  [2] 0 + q = q
  [3] +a + +b = +(IFP (N@0(a⊕b) + N@1(a⊕b)) · J@2(a⊕b))
  [4] -a + -b = -(IFP (N@0(a⊕b) + N@1(a⊕b)) · J@2(a⊕b))
  [5] +a + -b = A(IN@0(a⊕b) + JN@1(a⊕b), J@2(a⊕b))
  [6] -a + +b = A(IN@1(a⊕b) + JN@0(a⊕b), J@2(a⊕b))
  [7] A(0, a) = 0
  [8] A(+p, a) = +(IFp · a)
  [9] A(-p, a) = -(IFp · a)
end RADD

```

Recall that  $J$  takes a natural number  $n \in N$  to its inverse  $-n \in Z$ ;  $N$  takes the product of a sequence of natural numbers;  $P$  turns natural numbers into positive integers,  $F$  factorizes these, and  $I$  embeds the natural numbers into the integers. Note that  $N(I) > 0$ , for any sequence  $I$  of natural numbers. Hence applying  $P$  is safe in the sense that it is not important that we remember what we said  $P(0)$  was.

The patient reader will find on inspection that this module is regular. The sum  $\mathcal{M}$  of the imports is easily shown to be complete, by repeated applications of the normal expansion theorem. Given that  $\mathcal{M}$  is complete, completeness of RADD is another straightforward application of the normal extension theorem.

Real numbers may be thought of as converging sequences  $r_0, r_1, \dots, r_n, \dots$  of rational numbers. In a concrete case, and for an irrational number  $x$ , such a sequence will represent a process of calculation producing ever closer approximations of  $x$ . One uses these rational approximations in numerical operations with  $x$ . Now it may be supposed that the closer the approximation, the more laborious is the calculation that leads to it. So one tries to strike a balance between feasibility and precision. In order to estimate the latter, one needs a modulus  $m$  associated with  $x$  and the process  $(r_n)_{n \in \mathbb{N}}$ , which gives for every  $n \in \mathbb{N}$  a number  $m(n)$  such that for all  $k > n$ :  $|r_n - r_k| \leq m(n)$ ; and one must know how errors propagate under the various operations performed on the approximating rational. Of course, complex numbers are approximated in analogous fashion by complex rationals.

Accordingly, we shall specify irrational numbers as functions  $F$  from  $N$  to  $Q$ ; and in each case we shall declare an unspecified constant in  $N$ , and stipulate that  $F(N)$  is the approximation to be used. The important matter of errors and their propagation we leave aside.

Thus, real numbers are actually a particular way of operating with rationals. Similarly we approach the complex numbers through the complex rationals.

```

module CORT --complex rationals
begin
  exports
  begin
    sorts C
    functions
      (_,_): Q × Q → C
      _+_: C × C → C
      _·_: C × C → C
      _-: C → C
      _*: C → C
      C_: Q → C
      i: → C
      0: → C
      1: → C

    end
  imports RADD
  variables
    x, y, u, v: → Q
  equations
    [1] (x,y) + (u,v) = (x+u, y+v)
    [2] (x,y) · (u,v) = ( x·u - y·v, x·v + y·u )
    [3] -(x, y) = (-x, -y)
    [4] (x, y)* = (x, -y) --conjugate
    [5] i = (0,+[Z])
    [6] 0 = (0,0)
    [7] 1 = (+[Z],0)
    [8] Cx = (x,0)
  end CORT

```

```

module POW                                --the exponential function
begin
  parameters M
  begin
    functions N: → N
  end M
  exports
  begin
    functions E_: C → C
  end
  imports CORT
  functions E: C × N × N → C
  variables
    x: →C
    m, n: →N
  equations
  [1] Ex = E(x, N, N)
  [2] E(x, m, 0) = 1 + x·C+(Jm; [Z])
  [3] E(x, m, Sn) = E(x, m, n) · E(x, m, n)
end POW

```

POW specifies  $e^x$ , for  $x \in \mathbb{C}$ , to be the sequence  $\left(1 + \frac{x}{2^n}\right)^{2^n}$ . The actual element of this sequence that will be used is determined by the parameter  $N$ .  $E(x, m, n)$  is  $\left(1 + \frac{x}{2^m}\right)^{2^n}$  (recall that  $J: n \rightarrow -n$  embeds the natural numbers into the integers). Indeed, [2] says, in ordinary notation, that  $E(x, m, 0) = 1 + \frac{x}{2^m}$ , and [3] doubles the exponent for the next value of  $n$ .

```

module ARCTAN --arcus tangens
begin
  parameters M'
  begin
    functions M: → N
  end M'
  exports
  begin
    functions A_: Q → Q
  end
  imports RADD
  functions T0: Q × N → Q
           T1: Q × N → Q
           A: Q × N → Q

  variables
    x: →Q
    n: →N

  equations
  [1] T0(x, 0) = x
  [2] T0(x, Sn) = -x·x · T0(x, n)
  [3] T1(x, n) = T0(x, n) · +JFPS(n + n)
  [4] A(x, 0) = x
  [5] A(x, Sn) = A(x, n) + T1(x, Sn)
  [6] Ax = A(x, M)
end ARCTAN

```

ARCTAN specifies  $\arctan x$  as the series  $\sum_{n=0}^{\infty} (-1)^n \frac{x^{2n+1}}{2n+1}$ . Recall that  $P$  converts natural num-

bers into positive integers, which are factorized by  $F$ , the result of which is a list of natural numbers; and  $J$  turns a list  $\langle n_0, \dots, n_{k-1} \rangle$  of natural numbers into the list  $\langle -n_0, \dots, -n_{k-1} \rangle$  of integers.  $T_0(x, n) = (-1)^n x^{2n+1}$ ,  $T_1(x, n)$  is the  $n$ th term of the series, and  $A(x, n)$  is the  $n$ th partial sum.  $M$  is the natural number parameter which determines how far the series is to be developed.



```

module SCAM                                --scalar multiplication
begin
  exports
  begin
    functions  $\cdot$ :  $C \times LC \rightarrow LC$ 
  end
  imports CORT, SIM {M bound by  $[X \rightarrow C]$  to CORT, renamed by
                     $[LX \rightarrow LC], [[X] \rightarrow [C]]$ }

  variables
  x, y:  $\rightarrow C$ 
  a:  $\rightarrow LC$ 
  equations
  [1]  $x \cdot [C] = [C]$ 
  [2]  $x \cdot (y;a) = (x \cdot y); (x \cdot a)$ 
end SCAM

```

```

module MERGE                                --merging lists
begin
  exports
  begin
    functions  $\parallel$ :  $LX \times LX \rightarrow LX$ 
  end
  imports SIM
  variables
  x, y:  $\rightarrow X$ 
  a, b:  $\rightarrow LX$ 
  equations
  [1]  $a \parallel [X] = [X]$ 
  [2]  $[X] \parallel a = [X]$ 
  [3]  $(x;a) \parallel (y;b) = x;(y;(a \parallel b))$ 
end MERGE

```

```

module LRUN                                --lists of roots of unity
begin
  exports
  begin
    functions    W_: N → LC
                 V_: N → LC
  end
  imports RUN, SCAM, LITR {M' bound by [X → C], [Y → C], [I → _*]
                          to RUN, renamed by [LX → LC],
                          [[X] → [C]], [LY → LC], [[Y] → [C]],
                          [I → _*]},
    MERGE {M bound by [X → C] to RUN, renamed by [LX → LC],
           [[X] → [C]]}

  variables
    n: →N
  equations
    [1] W0 = 1; [C]
    [2] WSn = Wn || (RSn·Wn)
    [3] Vn = (Wn)*
end LRUN

```

$W(n)$  is the list of coefficients of the polynomial  $w_n$  in §5.  $V(n)$  consists of the conjugates of the elements of  $W(n)$ ; it is used analogously, to calculate  $G_n$ .  $W(n+1)$  is obtained from  $W(n)$  by

$$W(n+1) = \langle W_n(0), W_{n+1}(1) \cdot W_n(0), W_n(1), W_{n+1}(1) \cdot W_n(1), \dots \rangle.$$

```

module    SPLIT                            --splitting lists into odd and even parts
begin
  exports
  begin
    functions  E_: LX → LX
              O_: LX → LX
  end
  imports SIM
  variables
    x: →X
    a: →LX
  equations
    [1] E[X] = [X]
    [2] O[X] = [X]
    [3] E(x;a) = x;Oa
    [4] O(x;a) = Ea
end SPLIT

```

```
module DIM                                     --diagonal multiplication
begin
  parameters M'
  begin
    sorts X
    functions  $\cdot$ :  $X \times X \rightarrow X$ 
  end M'
  exports
  begin
    functions  $\wedge$ :  $LX \times LX \rightarrow LX$ 
  end
  imports SIM {M bound to M'}
  variables
    x, y:  $\rightarrow X$ 
    a, b:  $\rightarrow LX$ 
  equations
    [1]  $a \wedge [X] = [X]$ 
    [2]  $[X] \wedge a = [X]$ 
    [3]  $(x;a) \wedge (y;b) = (x \cdot y); (a \wedge b)$ 
end DIM
```

```

module DFT                                     --discrete Fourier transform
begin
  exports
  begin
    functions F: N × LC → LC
           G: N × LC → LC

    end
  imports LRUN, SPLIT {M bound by [X → C] to LRUN, renamed by
                    [LX → LC], [[X] → [C]]},
    LADD {M' bound by [X → C] to LRUN, renamed by
        [LX → LC], [[X] → [C]]},
    DIM {M' bound by [X → C] to LRUN, renamed by [LX → LC],
        [[X] → [C]]},
    LITR {M' bound by [X → C], [Y → C], [I → -_] to RUN,
        renamed by [LX → LC], [[X] → [C]], [LY → LC],
        [[Y] → [C]], [I → -_]},
    REV {M bound by [X → C] to RUN, renamed by [LX → LC],
        [[X] → [C]]}

  variables
    a: →LC
    n: →N

  equations
    [1] F(0, a) = a
    [2] F(Sn, a) = (F(n, Ea);F(n, Ea)) +
                  ((WSn ^ F(n, Oa));(-WSn ^ F(n, Oa)))
    [3] G(0, a) = a
    [4] G(Sn, a) = (G(n, Ea);G(n, Ea)) +
                  ((VSn ^ G(n, Oa));(-VSn ^ G(n, Oa)))

end DFT

```

```

module    BIN                --natural numbers in binary notation
begin
  exports
  begin
    sorts     $\mathbb{N}$ 
    functions  #:  $\rightarrow \mathbb{N}$ 
              _0:  $\mathbb{N} \rightarrow \mathbb{N}$ 
              _1:  $\mathbb{N} \rightarrow \mathbb{N}$ 
              _+ :  $\mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ 

    end
  variables
    m, n:  $\rightarrow \mathbb{N}$ 
  equations
    [1] #0 = #
    [2] # + n = n
    [3] n + # = n
    [4] m0 + n0 = (m+n)0
    [5] m0 + n1 = (m+n)1
    [6] m1 + n0 = (m+n)1
    [7] m1 + n1 = ((m+n)+#1)0
end BIN

```

The representation of polynomials as lists of coefficients is not quite correct, since distinct lists of complex numbers may correspond with the same polynomial. For example,  $\langle 0, 0 \rangle \neq \langle 0 \rangle$ , whereas  $0 + 0 \cdot X = 0$ . We now present the true polynomials.

```

module      POL                                     --polynomials
begin
  exports
    begin
      sorts Pol
      functions P_: LC → Pol
                E: → Pol
                _;_: C × Pol → Pol
                L_: Pol → LC

    end
  imports  CORT, SIM {M bound by [X → C] to CORT, renamed by
                    [LX → LC], [[X] → [C]]}
  functions K: LC → LC
  variables
    x, y: →C
    a: →LC
    p: →LZ
    q: →Q
    f: →Pol
  equations
  [1] P[C] = E
  [2] P(x;a) = x ; Pa
  [3] (0,0) ; E = E
  [4] LE = [C]
  [5] L(x;f) = K(x;Lf)
  [6] K((0,0);[C]) = [C]
  [7] K((+p,q);[C]) = (+p,q);[C]
  [8] K((-p,q);[C]) = (-p,q);[C]
  [9] K((0,+p);[C]) = (0,+p);[C]
  [10] K((0,-p);[C]) = (0,-p);[C]
  [11] K(x;(y;a)) = (x;(y;a))
end POL

```

The auxiliary function  $K$ , and the case distinctions [6]-[11] are in order to ensure regularity.

```

module      DEG                                --degree in binary notation
begin
  exports
    begin
      functions D_: Pol → ℕ
    end
  imports POL, BIN
  functions D0: LC → ℕ
  variables
    x, y: →C
    f: →Pol
    a: →LC
  equations
    [1] Df = D0(Lf)
    [2] D0([C]) = #
    [3] D0(x;[C]) = #
    [4] D0(x;(y;a)) = D0(y;a) + #1
end DEG

```

If  $f = x_0 \cdot X^0 + \dots + x_{n-1} \cdot X^{n-1}$ , then  $D(f)$  is the least number  $i \geq 0$  such that  $x_i \neq 0$ .

```

module      UL                                --unary length of a binary numeral
begin
  exports
    begin
      functions L_: ℕ → N
    end
  imports NAT, BIN
  functions K_: N → N
  variables
    m: →ℕ
    n: →N
  equations
    [1] L(#) = 0
    [2] L(m0) = KLM
    [3] L(m1) = SLM
    [4] K0 = 0
    [5] KSn = SSn
end UL

```

```

module      MULP                --multiplication for polynomials
begin
  exports
    begin
      functions  _·_: Pol × Pol → Pol
    end
  imports DFT, POL, DEG, UL
  variables
    f, g: →Pol
  equations
    [M] f·g = P (C+(JL (Df+Dg) ; [Z]) ·
                G (L (Df+Dg) , F (L (Df+Dg) , Lf) ^ F (L (Df+Dg) , Lg)))
end MULP

```

If  $f$  and  $g$  are polynomials, neither identically 0, then  $D(f) + D(g) = D(f \cdot g)$ . We ignore the shortcut that is possible when  $f \cdot g = 0$ . If  $n$  represents the natural number  $n$  in BIN, and  $k = \mu_n \cdot 2^m > n$ , then  $L(n)$  normalizes to  $S^k 0$ .

## 9.Parallelism

The fundamental reason why TRSes invite parallel implementation is that long terms may contain many redexes, which can be treated in any order — or all at once. Some qualifications may be necessary, but these will be rather natural (e.g. one might want to require confluency); and with complete TRSes such as the above, there is not much that can go wrong.

However, employing a large number of calculators does not guarantee a quick job. The effort will need to be organized, and the resulting bureaucracy may become unwieldy. One of the things that will help to prevent this, is: to make reasonably sure that the jobs being distributed are not small, and can be done fairly independently. There are two levels in the implementation of a TRS on which one can try to distinguish such jobs. The lower level is that of the implementation of term rewriting, and concerns the recognition of redexes, singling out redexes to be rewritten, and the actual reduction process. We shall not deal with it here. On a higher level, there may be possibilities for such “coarse-grained” parallelism in the algorithms being specified, which carry over to the specification. We list a few for the specification above.

(DFT) The calculation of  $F_{n+1}(a)$  may be split into calculations of  $F_n(\text{Odd}(a))$  and  $F_n(\text{Even}(a))$  (repeatedly); the same holds for  $G_{n+1}$ .

(DIM) Diagonal multiplications can be split into separate multiplications of elements of the lists, in particular in MULP and DFT.

(SCAM) A multiplication  $x \cdot y$  of a scalar with a list may be split into multiplications of the scalar with elements of the list; in particular in LRUN and MULP.

(ARCTAN) Terms of a series may be calculated in parallel (this is relevant to PI).

- (CORT) A complex addition or multiplication produces two rational additions, which may be worth executing in parallel (two is not much, of course).
- (RED)  $R(p, q)$  produces a list of terms  $@_i(y \oplus z)$ , which may sometimes be worth handling in parallel.
- (LADD) List additions reduce to additions of elements: in REX and DFT (in the latter the jobs will more likely be worth distributing).
- (MUL) Exponentiating may be done in parallel.

## 10. Completeness and semicompleteness

We have not particularly strived for completeness; rather we tried to get a TRS that worked, and found that we might as well have a complete one. We *were* careful to avoid overlapping redex patterns; but then, owing to the size of the system, the ambiguities that we tried led to perplexity and loss of confluency.

At first sight, the necessity of proving (semi-)completeness may seem a burden. As may be seen above, however, the proofs mainly consist in checking that one has specified what one set out to specify — which is not generally a matter of course for any formal specification method.

## ACKNOWLEDGEMENTS

Canonical models as semantics for equational specifications were proposed in [BK]. In writing the specification above we profited by [V]. We thank F.-J. de Vries and F. Wiedijk for their comments.

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