PROCESS ALGEBRA SEMANTICS FOR QUEUES

by

Jan A. Bergstra Department of Philosophy University of Utrecht Heidelberglaan 2 3584 CS Utrecht The Netherlands

Jerzy Tiuryn University of Warsaw Institute of Mathematics

Logic Group Preprint Series No **18**



Department of Philosophy

University of Utrecht

PROCESS ALGEBRA SEMANTICS FOR QUEUES

bу

Jan A. Bergstra Centre of Mathematics and Computer Science Amsterdam

Jerzy Tiuryn University of Warsaw Institute of Mathematics

ABSTRACT

An unbounded queue over a finite set of data values is a process Q in A^{∞} defined by an infinite system of guarded equations. The aim of this paper is to show that no finite system of guarded equations is capable of defining Q.

This is a revised version of: Process algebra semantics for queues, Mathematical Centre Research Report IW241, Amsterdam 1983.

÷

* present affiliation & correspondence address: Department of Philosophy University of Utrecht Heidelberglaan 2 3584 CS Utrecht The Netherlands

INTRODUCTION

An unbounded queue, (or buffer working in FIFO mode) is a device able to sequentially receive data values from a domain D, to store them and to deliver them in the order in which they were received,

In order to describe the behaviour of queue Q it is assumed that D is finite, moreover the input actions and output actions together form an alphabet of actions for Q. These actions exclude one another in time. In particular, for each $d \in D$ there are these actions:

d : input d

<u>d</u> : output d

Sets <u>D</u> and A are defined by <u>D</u> = {<u>d</u> $| \in D$ }, A = D \cup <u>D</u> Then Q can be semantically defined by the following infinite system of <u>guarded</u> equations.

$$\pi (\emptyset) = \Sigma d. \Pi (d)$$
$$d \in D$$

where $S \in D^*$, $d \in D$ and * denotes the concatenation of strings. $\emptyset \in D^*$ denotes the empty string.

In the above system of equations π (s), for $S \in D *$, can be thought as a process in A ∞ whose behaviour is that of a queue which initially contains a sequence s of data values. Assuming that Q is initially empty its behaviour is given by $\pi(\emptyset) = Q$.

Working in the two sorted system, containing both A^{∞} and D^* as sorts as well as the auxiliary operator π , the above equations provide a finite equational specification of Q.

The aim of this paper is to show that no finite system of guarded equations in the

standard model $A^{\infty} = (A^{\infty}, +, +, \parallel, \parallel)$ of Process Algebra is capable of defining Q. This result is proved in Section 2. It turns out, however, that Q can be defined by a finite system of guarded equations in the signature containing the so-called renaming <u>operators</u>, cf. [1].

1. PROCESS ALGEBRA

Let A be a finite set of atomic actions. Processes are configurations of actions of A. Composition tools for processes are:

- + alternative composition
- sequential composition
- parallel composition (merge)
- left merge.

The axioms of PA below, taken from [1] describe the operators; a varies over A.

| X + Y = Y + X | | | (A1) |
|--|----------|------|-------|
| X + (Y+Z) = (X+Y) + Z | (+Y) + Z | (A2) | |
| X + X = X | | (A3) | |
| (X+Y).Z = X.Z + Y.Z | | | (A4) |
| (X,Y),Z = X,(Y,Z) | | | (A5) |
| X Y = X Y + Y X | | | (CM1) |
| a∐X = a.X | | | (CM2) |
| $(\mathbf{y} \times) A = \mathbf{y} \times (\mathbf{x} A)$ | | | (CM3) |
| (X+Y)∐Z = X∐Z + Y∐Z | | | (CM4) |

Because A is finite PA is finite too. As an equational specification it has an initial algebra, called A_{ω} . As original references for processes and term models we mention

[7] and [6].

For each n one may identify processes which coincide until depth n, thus obtaining a congruence \equiv_n on A_{ω} . $A_n = A_{\omega} / \equiv_n$ is a model of PA as well. The structures $A_n(n \in \omega)$ have a projective limit A^{∞} which contains A_{ω} as a proper substructure. (A^{∞} was introduced in [4] and is in fact an algebraic reconstruction of the topological process semantics in [2,3].) A^{∞} serves us as a standard model for processes.

For processes $X \in A_{\omega}$ one defines projections (X)_{\Pi} as follows

 $(a)_{n} = a$ $(aX)_{1} = a$ $(aX)_{n+1} = a (X)_{n}$ $(X+Y)_{n} = (X)_{n} + (Y)_{n}$

The congruence \equiv_{Π} can be formally defined by $X \equiv_{\Pi} Y \Leftrightarrow (X)_{\Pi} = (Y)_{\Pi}$. An element of A^{∞} is just a sequence

$$(P_1, P_2, P_3, ...)$$

with $P_n \in A_n$ (i.e. $(P_n)_n = P_n$) and for all $n: (P_{n+1})_n = P_n$. The operations +, , || and ||_ are defined componentwise.

An equation $Y = T(X_1, ..., X_K)$ over A^{∞} is guarded if every occurence of X_1 in T is preceded in T by some atomic action.

A system of *guarded* fixed point equations $X_i = T_i(X_1,...,X_k)$ i = 1,...,kalways has a unique solution $X_1,...,X_k$ in A^{∞} .

P is called *recursively definable* if there exists a (finite) system of guarded fixed point equations with solutions $\underline{X}_1, ..., \underline{X}_k$ such that $\underline{X}_1 = p$.

Recursive definitions are the most appropriate specification method in process algebra.

2 QUEUE CANNOT BE RECURSIVELY DEFINED IN $A^{\infty}(+, \cdot, ||, ||)$

In this section we are going to prove the above statement. We assume that D has two different input actions a and b. Thus $A = \{a, b, \underline{a}, \underline{b}\}$. A queue over a one-element set of input actions is just a "bag" and it is definable in $A^{\infty}(+,\cdot,\parallel,\parallel,\parallel)$ by the following recursive equation $X = a(X \parallel \underline{a})$. (see [4]).

This section is organized as follows. We start with preliminary definitions in section 2.1, and prove some auxiliary results in section 2.2. In section 2.3 the problem in first reduced to the same problem without

2.1 Preliminaries

2.1.1 Definition. Let $p \in A^{\infty}$. By the set of *states* of p we mean the least set ST(p) satisfying the following conditions

(1) $p \in ST(p)$

(2) If $c.q + r \in ST(p)$, then $q \in ST(p)$, where $c \in A$, $q, r \in A^{\infty}$.

2.1.2 Definition. The set of all *semistates* of p, SST(p), is the least set satisfying these conditions

(3) ST(p) ⊇ SST(p)

(4) If $q + r \in SST(p)$, then $q \in SST(p)$ where $q, r \in A^{\infty}$.

2.1.3 Definition. We say that a process h is a *factor* of a process p if for some $q \in A^{\infty}$, h.q = p.

In the context of this proof, however, a factor will be any process which is a factor of a semistate of Q. Let F(Q) denote the set of all factors.

A trivial factor is a factor in $F(Q) \cap A$. All other factors will be called *nontrivial*. The states of Q are just all processes $\pi(s)$ with s ranging over D^* . Examples of semistates of Q which are not states are: $\underline{d}\pi(s)$, $\underline{d}\pi(d^*s)$, $\underline{d}_1\pi(s) + \underline{d}_2\pi(\underline{d}_2^*s)$, where d, d₁, d₂ \in D, and $s \in D^*$. Examples of factors which are not semistates of Q are: a, b, a + b $\pi(b)$, b(a $\pi(ab)$ + b $\pi(bb)$ + <u>b</u>).

The following inclusions are obvious: $ST(Q) \subseteq SST(Q) \subseteq F(Q)$.

The second inclusion does not hold in general. However, in the case of Q, for every $p \in SST(Q)$ and for every $q \in A^{\infty}$, p.q = p, which yields the required inclusion.

2.1.4 Let $\sigma \in A^*$, p, $q \in A^{\infty}$. We are going to define the relation $\sigma: p \to q$ which intuitively means that σ is a *path* in p which leads to q.

If $\phi \in A^*$ is the empty word, then $\phi: p \to q$ iff there exists $r \in A^{\infty}$ such that p = q+r.

Let $c \in A$, then $c: p \rightarrow q$ iff $p = c(q+r_1)+r_2$ for some $r_1, r_2 \in A^{\infty}$.

Finally, $\sigma c: p \to q$ iff for some $r \in A^{\infty} \sigma$; $p \to r$, and $c: r \to q$. The following fact is easy to prove by induction on the length of σ .

2.1.5 Fact.

(i) For every
$$\sigma \in A^*$$
 and for every $p,q \in A^{\infty}$, if $\sigma: p \to q$, then $q \in SST(p)$

(ii) Moreover, for every $q \in SST(p)$ there exists $\sigma \in A^*$ such that $\sigma: p \to q$. In the case of Q we can deduce more.

2.1.6 Fact.

(i) For every $q \in SST(Q)$ there exists a unique $\sigma \in \{a,b\}^*$ such that $\sigma: Q \to q$.

(ii) For every $q \in ST(Q)$ and for every $\sigma \in A^*$, $\sigma: Q \to q$ iff $\pi(\sigma) = q$.

The proof of this fact is easy and we leave it for the reader.

2.1.7 Now we are going to define the notion of a trace of a process $p \in A^{\infty}$. A finite word $\sigma \in A^*$ is a *trace* if there exist $c \in A$ and $\tau \in A^*$ such that $\sigma = \tau c$ and $\tau : p \to c$. An infinite word $V \in A^{\omega}$ is a *trace* of p if there exists a sequence of initial fragments of V, $\sigma_1 < \sigma_2 < ... < \sigma_n < ...$

and a sequence of semistates of p, q_1 , q_2 , ..., q_n , ...

such that for every $n \in \omega$, $\sigma_n : p \rightarrow q_n$ and $q_{n+1} \in SST(q_n)$.

Let tr(p) denote the set containing all finite and infinite traces of p.

A process is called *perpetual* iff it contains no finite traces. Clearly every semistate of Q is a perpetual process. The following fact is obvious.

2.1.8 Fact. Let $\sigma \in tr(p) \cap A^*$. Then for every $q \in A^{\infty}$, $\sigma: pq \rightarrow q$.

Another general property of A^{∞} which we will use later has a straightforward proof as well.

2.1.9 Fact. For all $q,r,p \in A^{\infty}$ and $c \in A$, if q + r = cp, then q = r.

2.1.10 We introduce two functions $O,I: A^{\omega} \rightarrow \{a,b\}^* \cup \{a,b\}^{\omega}$.

They are uniquely determined by the following properties

If $\forall \in \{a,b\}^{\omega}$, then $O(\forall) = \emptyset$ If $\forall \in \{\underline{a},\underline{b}\}^{\omega}$, then $I(\forall) = \emptyset$ If $c \in \{a,b\}$ and $\forall \in A^{\omega}$, then $I(c\forall) = cI(\forall)$, and $O(c\forall) = O(\forall)$. If $c \in \{a,b\}$ and $\forall \in A^{\omega}$, then $I(c\forall) = I(\forall)$, and $O(c\forall) = cO(\forall)$.

Intuitively I(V) (respectively O(V)) is the sequence of all input (output) actions of V in the order in which they occur in V.

Call $\forall \in A^{\omega}$ input periodic if there exists $\sigma \in \{a,b\}^+$ such that $I(\forall) = \sigma^{\omega}$. Otherwise \forall will be called input nonperiodic.

> $\forall \in A^{\omega}$ is said to have infinitely many output actions if $O(\forall) \in \{a,b\}^{\omega}$ The last result of this subsection is the following lemma.

2.1.11 Lemma. Let $p_0, p_1 \in SST(Q)$ be such that $tr(p_0) \cap tr(p_1)$ contains an input nonperiodic trace with infinitely many output actions. Then for some $\sigma \in \{a,b\}^*, \sigma: Q \rightarrow p_0 \text{ and } \sigma: Q \rightarrow p_1$.

Proof. Let $\sigma_0: Q \to p_0$ and $\sigma_1: Q \to p_1$ for some $\sigma_0, \sigma_1 \in \{a, b\}^*$.

Assume that $\sigma_0 \neq \sigma_1$. Let $\forall \in tr(p_0) \cap tr(p_1)$ be a trace with infinitely many output actions. We are going to show that \forall is input periodic.

Since $\sigma_i: Q \to p_i$, it follows that $\sigma_i \leq O(V)$ for i = 0, 1. Therefore either $\sigma_0 < \sigma_1$ or $\sigma_1 < \sigma_0$. We may assume without loss of generality that $\sigma_0 < \sigma_1$. Let $\tau \in \{a,b\}^+$ be such that $\sigma_1 = \sigma_0.\tau$.

Let \forall_0 be a sequence which results from \forall by removing from it the first $|\sigma_0|$ output actions. Since $\forall \in tr(p_0)$, it follows that $\forall_0 \in tr(Q)$.

Let \forall_1 be a sequence which results from \forall by removing from it the first $|\sigma_1|$ output actions. Again we have $\forall_1 \in tr(Q)$. Moreover we have the following relationships

$$(1) I(\vee_{\bigcirc}) = I(\vee_{1}) = I(\vee),$$

$$(2) \ O(\lor) = \sigma_{O} \ O(\lor_{O}),$$

(3)
$$O(V) = \sigma_0 \tau O(V_1)$$
.

Since V_0 is a trace of Q with infinitely many output actions, it follows that $O(V_0) = I(V_0)$. The same holds for V_1 . Hence by (1), $O(V_0) = O(V_1)$ and by (2), (3) we obtain $O(V_0) = \tau O(V_0)$. Thus $I(V_0) = O(V_0) = \tau^{\omega}$. This, together with (1) proves the lemma.

2.2 Auxiliary results

Let us start with the following result.

2.2.1 Lemma.Let h be a nontrivial factor. Then

(i) h has an input-nonperiodic trace with infinitely many output actions.

(ii) there is a unique $p \in SST(Q)$ such that h is a factor of p.

Proof. We prove (i) first. Let h be a nontrivial factor and let $q \in A^{\infty}$ be such that $hq \in SST(Q)$. If h is perpetual, then hq = h and h is a semistate of Q. Then h obviously satisfies (i).

Suppose now that h is not perpetual and let σ be a finite trace of h. By Fact 2.1.8, σ : hq \rightarrow q. Therefore, by Fact 2.1.5 q \in SST(Q). Let $\tau, \rho \in \{a,b\}^*$ be such that τ : Q \rightarrow q, and ρ : Q \rightarrow hq (cf. Fact 2.1.6).

Since hq is a semistate of Q it can be uniquely presented in the following form

(*)
$$hq = \Sigma_{C \in C} C. P_{C},$$

where $C \subseteq \{a, b, \underline{a}, \underline{b}\}$, and $p_C \in A^{\infty}$ for every $c \in C$.

Consider these two cases

(1) There exists $c \in C$ such that non $\rho c: Q \rightarrow q$.

(2) For all $c \in C$, $pc: Q \rightarrow q$.

Notice that (2) may happen only if C is a one element set.

Suppose (1) holds. We construct a $\forall \in A^{\omega}$ such that

(3) $\forall \in tr(\pi(\rho c))$ is input-nonperiodic

(4) for every initial segment α of V, non $\rho c \alpha$: $Q \rightarrow q$.

(5) \vee has infinitely many output actions.

To see that such \lor exists take $\rho' \in \{a,b\}^*$ such that $\pi(\rho') = \pi(\rho c)$. If ρ' is not an initial subword of τ , then we may take as \lor any trace of Q which is input-nonperiodic and which has infinitely many output actions. If, however, ρ' is an initial subword of τ ,

then it follows from (1) that $\rho' \neq \tau$. Then it is enough to take as V eW, where $e \in \{a,b\}$ is such that $\rho'e$ is not an initial subword of τ , and $W \in tr(Q)$ is any input-nonperiodic trace with infinitely many output actions.

Let V be a trace satisfying (3)-(5). By (*) and (3), $cV \in tr(hq)$, and by (4) we obtain that cV must be a trace of h.

Suppose now that (2) holds. As we noticed this may happen only if C is a one element set, say C = {c}. Since hq = cp_c and since h is nontrivial, there exist

 $h_1, h_2 \in A^{\infty}$ such that $h = ch_1 + h_2$.

Therefore, $ch_1q + h_2q = cp_c$.

By fact 2.1.9, $ch_1q = h_2q$, and we obtain $ch_1q = cp_c$.

The latter equality can be simplified to $h_1 q = p_c$.

Since $h_1 q$ is a state of Q, it follows that in the decomposition (*) for $h_1 q$ the set C has at least two elements. Thus the argument of case (1) is applicable to h_1 , and we may conclude that h_1 contains an input-nonperiodic trace with infinitely many output actions. Therefore h contains such a trace as well. This completes the proof of (i).

Now we prove (ii). Let $p_0, p_1 \in SST(Q)$ be such that for some $q_{0,q_1} \in A^{\infty}$,

(6) $h_{i}q_{i} = p_{i}$ for i = 0, 1.

By (i) h has an input-nonperiodic trace with inifitely many out actions. Therefore, by (6), tr(p_0) \cap tr(p_1) contains such a trace and by Lemma 2.1.11 for some $\sigma \in \{a,b\}^*$,

(7)
$$\sigma: q \to p_i$$
, for $i = 0, 1$

If $p_0 \neq p_1$, then by (7) this is only possible if for some $c \in A$ and $i_0 \in \{0,1\}$, p_{10} has a trace starting with c and in p_{1-10} all traces start with a symbol different from c. Since

 $hq_{10} = p_{10}$, h must have a trace as well. Obtained contradiction proves (ii), and the proof of Lemma 2.2.1 is completed.

2.2.2 Lemma (i) if $h_1 + h_2 \in F(Q)$ then $h_1, h_2 \in F(Q)$ (ii) if $h_1.h_2 \in F(Q)$ then $h_1 \in F(Q)$, moreover if h_1 is not perpetual then $h_2 \in F(Q)$ as well (iii) $h_1 \parallel h_2 \notin F(Q)$

(iv) $h_1 \parallel h_2 \notin F(Q)$ provided h_1 is not a sum of atoms.

Proof. (i) Suppose $(h_1+h_2) = q$, $q \in SST(Q)$, then $h_1 + h_2 = q$ thus $h_1 + h_2 = q$ thus $h_1 + h_2 = SST(Q)$ whence by definition h_1 , $h_2 \in F(Q)$.

(ii) Suppose $(h_1.h_2).r = q,q \in SST(Q)$, then $h_1(h_2.r) = q$ so $h_1 \in F(q)$. If σ is a finite trace of h_1 then by 2.1.8 σ : $h_1(h_2.r) \rightarrow h_2.r$, and also σ : $q \rightarrow h_2.r$.

So $h_2 r \in ST(Q)$ by fact 2.1.5 and by definition $h_2 \in F(Q)$.

(iii) Suppose $h_1 \parallel h_2 \in F(q)$. Let $q \in A^{\infty}$ be such that $(h_1 \parallel h_2) \cdot q \in SST(Q)$,

 $h_1 \parallel h_2$ cannot be a, b, \underline{a} , or \underline{b} so $h_1 \parallel h_2$ is a nontrivial factor. In view of Lemma 2.2.1 $h_1 \parallel h_2$ has an input nonperiodic infinite trace \vee with infinitely many output actions. \vee must have both infinitely many \underline{a} 's and \underline{b} 's. Let \vee_1 and \vee_2 be traces of h_1 resp. h_2 such that \vee can be obtained by merging \vee_1 and \vee_2 .

Choose $\sigma \in \{a,b\}^*$ such that $\sigma: Q \to (h_1 \parallel h_2).q$. Then for every sequence \cup obtained by merging \vee_1 and $\vee_2 \sigma \cup \in tr(Q)$. We will manufacture a contradiction from this situation. First of all we notice that either \vee_1 or \vee_2 contains no output actions,

otherwise V_1 must contain an action \underline{a} and V_2 an action \underline{b} or conversely. Let us assume that $V_1 = \sigma_1 \underline{a}U_1$, $V_2 = \sigma_2 \underline{b}U_2$ then $\sigma\sigma_1\sigma_2 \underline{a}U_1$ and $\sigma\sigma_1\sigma_2 \underline{b}U_1$ are both traces of Q (because V_1 is infinite U_1 is infinite and both are σ followed by a merge of V_1 and V_2). Now this is impossible because after $\sigma\sigma_1\sigma_2$ at most one output is possible. So suppose that V_1 contains infinitely many \underline{a} 's and \underline{b} 's and V_2 contains only \underline{a} 's and \underline{b} 's; σV_1 is a trace of Q, inserting the first action, say a, from V_2 in σV_1 at some position after σ must also produce a trace of Q. However choose ρ and W such that $V_1 = \rho \underline{b}W$ then $\sigma \rho \underline{a}\underline{b}W$ cannot be a trace of Q because the output action in V_2 that corresponds to the displayed input b has now become incorrect. Thus we have obtained a contradiction thereby proving (iii) of the Lemma.

(iv) the case for \parallel is similar to the previous one.

2.3 Proof of the main result

Let us now consider a recursive definition of Q: $X_1 = T_1(X_1,...,X_n)$ i = 1,...nwith solutions $\underline{X}_1,...,\underline{X}_n$, and $\underline{X}_1 = Q$.

Without loss of generality we may assume that the system has the following properties:

(α 1) All \underline{X}_i are infinite (otherwise they can be eliminated by substitution).

- (α 2) All \underline{X}_1 are "used" (i.e. no proper sybsystem defines $\underline{X}_1 = Q$ as well).
- (α 3) None of the \top_1 has a subterm of the form $(t_2+t_2).t_3$. (Such subterms are eliminated using the equation A4).
- (α 4) None of the \top_1 has a subterm $t_1 t_2$ with $t_1(\underline{X}_1,...,\underline{X}_n)$ perpetual (in such cases t_2 can just be omitted and the $\underline{X}_1,...,\underline{X}_n$ still constitute a unique solution).
- (α 5) In subterms of the form $t_1 \parallel t_2$, t_1 is not a sum of atoms. (Otherwise use CM4

and CM3).

We can now start the actual proof of the main result of this paper with the following lemma.

2.3.1 Lemma. If Q can be recursively defined in $A^{\infty}(+, \cdot, \|, \|)$ then it can be recursively defined in $A^{\infty}(+, \cdot)$.

Proof. We assume that the system

$$X_i = T_i(X_1,...,X_n)$$
 i = 1,...,n

satisfies the requirements (α 1)-(α 5) above and defines Q. Let K be the collection of all subterms of the $T_1(X_1,...,X_n)$. We can define a distance d(', ') between elements of K. d is not symmetric, however:

(i) d(t,t) = 0

(ii) if t' is an immediate subterm of t then d(t,t') = 1

(iii)
$$d(t_1, t_2) = min\{d(t_1, t') + d(t', t_2) | t' \in K\}$$

Now it follows that for each $t \in K$, $d(X_1,t)$ is defined. With induction on $d(X_1,t)$ one shows using Lemma 2.2.2 that

(*) for each $t(X_1,...,X_n) \in K$, $t(\underline{X}_1,...,\underline{X}_n)$ is in F(Q).

Moreover by 2.1.1 we conclude that \parallel and \parallel do not occur in any of the $t \in K$. This = proves the lemma.

2.3.2 Lemma. If Q has a recursive definition in $A^{\infty}(+,\cdot)$ then ST(Q) is generated (in $A^{\infty}(+,\cdot)$) by finitely many states $\pi(\sigma_1), ..., \pi(\sigma_K) \in ST(Q)$.

Proof. According to [7] it is in general the case that the solutions $\underline{X}_1, ..., \underline{X}_n$

of a system of recursive equations generate all states of $\underline{X}_1,...,\underline{X}_n$ and in particular of

 \underline{X}_{1}

So let $X_i = T_i(X_1,...,X_n)$, i = 1,...,n, be a recursive definition in $A^{\infty}(+,\cdot)$ again

satisfying (α 1)-(α 5) above, with solution $\underline{X}_1, ..., \underline{X}_n$ and $\underline{X}_1 = Q$. Then for each $q \in ST(Q)$ there is a term $t(X_1, ..., X_n)$ in (A,+, .) such that $t(\underline{X}_1, ..., \underline{X}_n) = q$.

Let $\phi: F(Q) \rightarrow SST(Q)$ be the mapping which assigns to each $h \in F(Q)$ the unique (in view of Lemma 2.2.1 (ii) $q \in SST(Q)$ such that for some $p \in A^{\infty}$ h.p = q. It follows from (*) in the proof of Lemma 2.3.1 that for every $i = 1, ..., n, X_i \in F(Q)$.

Claim. For every term $t(X_1,...,X_n)$ in (A, +, .), if $t(\underline{X}_1,...,\underline{X}_n) \in SST(Q)$ then $t(\underline{X}_1,...,\underline{X}_n) = t(\phi(\underline{X}_1),...,\phi(\underline{X}_n))$.

Using this claim one finds that every $q \in ST(Q)$ is generated by the semistates $\phi(\underline{X}_1), \dots, \phi(\underline{X}_n)$.

Now, by the special definition of Q and since D has two elements, each semistate $\phi(\underline{X}_{j})$ can be written as $c_{1}{}^{i}\pi(\sigma_{1}{}^{i}) + c_{2}{}^{i}\pi(\sigma_{2}{}^{i}) + c_{3}{}^{i}\pi(\sigma_{3}{}^{i})$, for appropriate $c_{j}{}^{i} \in A$ and $\sigma_{j}{}^{i} \in \{a,b\}^{*}$.

It follows that the subset $\{\pi(\sigma_i^{i}) \mid 1 \leq i \leq n, 1 \leq j \leq 3\}$

of ST(Q) generates all of ST(Q), thus proving the lemma.

Proof (of the Claim). Let $L = L(X_1, ..., X_n)$ be the following inductively defined collection of terms:

(i)
$$X_i \in L$$
, for $i = 1, ..., n$

(ii)
$$C.t \in L$$
, for $C \in A$, $t \in L$

(iii)
$$X_i t \in L, if t \in L$$

(iv)
$$t_1 + t_2 \in L$$
, if $t_1, t_2 \in L$

(v)
$$C \in L$$
, for $C \in A$.

Now each term t over +,,,A,X₁,...,X_n is equivalent in PA to a term in L, and therefore it suffices to prove the claim for every term in L. We prove the claim by induction on the

structure of $t \in L$.

Let us observe that $\phi(p) = p$ for every $p \in SST(Q)$. We consider all cases generated by the inductive clauses (i),...,(v).

(i) is immediate

(ii) if c.t
$$(\underline{X}_1,...,\underline{X}_n) \in SST(Q)$$
 then $t(\underline{X}_1,...,\underline{X}_n) \in SST(Q)$. So $\phi(t(\underline{X}_1,...,\underline{X}_n)) = t(\phi(\underline{X}_1),...,\phi\underline{X}_n)$ and $\phi(c.t(\underline{X}_1,...,\underline{X}_n)) = c.t(\phi(\underline{X}_1),...,\phi(\underline{X}_n))$.

(iii) if
$$\phi(X_{1}t(\underline{X}_{1},...,\underline{X}_{n})) \in SST(Q)$$
 then $(\underline{X}_{1}t(\underline{X}_{1},...,\underline{X}_{n})) = \underline{X}_{1}t(\underline{X}_{1},...,\underline{X}_{n}) = \phi(\underline{X}_{1}) = \phi(\underline{X}_{1}).t(\phi(\underline{X}_{1}),...,\phi(\underline{X}_{n}))$.

(iv) if
$$t_1(\underline{X}_1,...,\underline{X}_n) + t_2(\underline{X}_1,...,\underline{X}_n) \in SST(Q)$$
 then both summands are in
 $SST(Q)$ hence $\phi(t_1(\underline{X}_1,...,\underline{X}_n) + t_2(\underline{X}_1,...,\underline{X}_n)) = t_1(\phi(\underline{X}_1),...,\phi(\underline{X}_n)) + t_2(\phi(\underline{X}_1),...,\phi(\underline{X}_n)).$

$$(v)$$
 c is not in SST(Q).

2.3.3 Lemma. There is no finite subset $\pi(\sigma_1)...\pi(\sigma_K)$ of ST(Q) which generates all of ST(Q) within $A^{\infty}(+,\cdot)$.

Proof. Suppose otherwise. Choose for each $\pi(\sigma_i)$ a triple $\pi(t_i^{-1})$, $\pi(t_i^{-2})$, $\pi(t_i^{-3})$ such that for appropriate $c_i^{-j} \in A$, $e_i \colon \pi(\sigma_i) = c_i^{-1} \cdot \pi(\tau_i^{-1}) + c_i^{-2} \pi(\tau_i^{-2}) + c_i^{-3} \pi(\tau_i^{-3})$, then choose for each $\pi(\tau_i^{-j})$ a term $t_i^{-j}(X_1,...,X_K)$ such that $\pi(\tau_i^{-j}) =$

 $t_i^{j}(\pi(\sigma_1),...,\pi(\sigma_k))$. The term t_i^{j} may be chosen such that it contains + and prefix multiplication only because all $\pi(\sigma_i)$ are perpetual and $\pi(\sigma_i)$.t can be replaced by $\pi(\sigma_i)$.

Substituting these identities into e₁ one obtains a linear system of equations

for the processes $\pi(\sigma_i)$. According to [5] the $\pi(\sigma_i)$ will then be regular which is

certainly not the case. Combining lemmas 2.3.1, 2.3.2 and 2.3.3 we obtain the main result of this paper:

Theorem. Q cannot be recursively defined in $A^{\infty}(+,\cdot,\parallel,\parallel)$.

REFERENCES

- [1] BAETEN, J.C.M., & J.A. BERGSTRA, *Global renaming operators in concrete* process algebra, Department of Computer Science, CWI, Amsterdam, Report CS - R 8521, 1985
- [2] DE BAKKER, J.W. & J.I. ZUCKER, *Denotational semantics of concurrency*, Proc. 14th ACM Symp. on Theory of Computing, p. 153-158 (1982).
- [3] DE BAKKER, J.W. & J.I. ZUCKER, *Processes and the denotational semantics of concurrency*, Information and Control, vol. 54, no. 1/2 (1982), p. 70-120.
- [4] BERGSTRA, J.A. & J.W. KLOP, *Process algebra for synchronous communication* Information & Control Vol. 60, Nos 1 -3, 1984, pag 109-137
- [5] BERGSTRA, J.A. & J.W. KLOP, The algebrac of recursively defined processes and the algebra of regular processes, in proceedings of ICALP '84, Springer Lect. Notes in Comp. Sci.'72, pag.82 -94
- [6] HENNESSY, M., A term model for synchronous processes, Information & Control Vol. 51 no. 1, (1981), 58-75.
- [7] MILNER, R., A calculus for communicating systems, Springer LNCS 92, (1982).