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## FULLERENES, NANOTUBES, AND CARBON NANOSTRUCTURES Vol. 11, No. 4, pp. 333-370, 2003

#### 2 8 **Terrestrial and Extraterrestrial Fullerenes** 10 11 D. Heymann,<sup>1,\*</sup> L. W. Jenneskens,<sup>2</sup> J. Jehlička,<sup>3</sup> C. Koper,<sup>2</sup> 12 and E. Vlietstra<sup>2</sup> 13 14 <sup>1</sup>Department of Earth Science, Rice University, Houston, Texas, USA 15 <sup>2</sup>Debye Institute, Department of Physical Organic Chemistry, 16 Utrecht University, Utrecht, The Netherlands 17 <sup>3</sup>Institute of Geochemistry, Charles University, Prague, 18 Czech Republic 19 20 21 22 ABSTRACT 23 24 This paper reviews reports of occurrences of fullerenes in circumstellar 25 media, interstellar media, meteorites, interplanetary dust particles (IDPs), lunar rocks, hard terrestrial rocks from Shunga (Russia), Sudbury 26 (Canada) and Mitov (Czech Republic), coal, terrestrial sediments from 27 the Cretaceous-Tertiary-Boundary and Permian-Triassic-Boundary, 28 fulgurite, ink sticks, dinosaur eggs, and a tree char. The occurrences are 29 discussed in the context of known and postulated processes of fullerene 30 formation, including the suggestion that some natural fullerenes might 31 have formed from biological (algal) remains. 32 33 Key Words: Fullerenes; Stellar media; Moon; Meteorites; Terrestrial 34 rocks; Biogenic formation. 35 36 \*Correspondence: Dieter Heymann, Department of Earth Science, Rice University, 37 Houston, TX 77251-1892, USA; E-mail: dieter@rice.edu. 38 39 333 40 41 DOI: 10.1081/FST-120025855 1536-383X (Print); 1536-4046 (Online) 42 Copyright © 2003 by Marcel Dekker, Inc. www.dekker.com

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## 1. INTRODUCTION

When Kroto et al.<sup>[1]</sup> discovered buckminsterfullerene, they predicted that 45 this surprisingly stable carbon molecule should occur abundantly in circum-46 stellar and interstellar media, hence in meteorites. Astronomers almost 47 immediately began searches for fullerenes and fullerene derivatives in 48 interstellar and circumstellar media. When Krätschmer et al.<sup>[2]</sup> first synthe-49 sized macroscopic amounts of C<sub>60</sub> and discovered that the fullerenes were 50 soluble in organic solvents such as toluene and in CS2, the search for 51 fullerenes in terrestrial and extraterrestrial rocks became possible and began. 52 All searches had in common not only the excitement of the hunt, but, if 53 54 fullerenes were found, speculations on how and where these had formed in the first place. There ensued therefore an interesting interaction between geo-55 56 and cosmochemists on the one hand and fullerene chemists on the other (see Ref.<sup>[3]</sup>). In this review we will report the story of the successes and failures of 57 searches for fullerenes in nature and the interpretations of their formation or 58 absence. 59

60 The reader of publications on terrestrial and extraterrestrial fullerenes will be confronted with apparently conflicting results. For example, one group of 61 investigators reported the finding of fullerenes in samples of the Allende 62 meteorite<sup>[4]</sup> while others have failed to find fullerenes in other samples of the 63 same meteorite.<sup>[5–10]</sup> We will never use the statement "there are no fullerenes 64 in the Allende meteorite" but we shall assume that "group A found fullerenes 65 in their samples of Allende" or "group B found no fullerenes in their samples 66 of Allende". Even when there were doubts expressed about a discovery of 67 fullerenes "in the wild" we will treat every discovery as real unless retracted 68 by its authors. 69

We have attempted to find and include most relevant publications up to 70 71 December 31, 2002, including significant conference abstracts. Occasionally such abstracts are the only reports available on a specific topic. The great 72 majority of abstracts cited are from the annual Lunar and Planetary Science 73 Conferences or the annual Meetings of the Meteoritical Society. The former 74 75 can be ordered from the Lunar and Planetary Institute, Houston, TX, USA; the latter are printed in the regular issues or supplements of Meteoritics (now 76 Meteoritics and Planetary Science) An exception to completeness was made 77 for publications in the fields of fullerene photo- and pressure-polymerization, 78 fullerene synthesis by carbon condensation, and fullerene synthesis by oxy-79 gen-starved combustion of organic compounds. The number of papers in each 80 of these fields is so large that we decided to cull for citation only a few papers 81 from each of these. The reference section of this paper lists a large number of 82 entries. This is deliberately done to ease literature researches of future 83 investigators of fullerenes in nature. 84

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## 2. FULLERENE ASTROCHEMISTRY

87 Analysis of all manifestations of electromagnetic radiation is the only diagnostic tool that astronomers have to survey for electrons, ions, atoms, 88 molecules, macromolecules and solid grains ("stardust") in the Universe. 89 90 Every conclusion that one of these is discovered must be confirmed by analogous experimental or theoretical studies in the laboratory. Given the 91 92 huge number of known atoms, molecules, and minerals, inorganic as well as organic, that seems to be a relatively simple task, but it can also be frustrating 93 when more than one molecule or cluster of molecules emits, absorbs, 94 95 polarizes, or scatters light at or very near the wavelengths of interest.

When fullerenes were discovered there already existed a number of 96 97 enigmatic observations concerning radiation received from interstellar media. Foremost among these were the general shape of the interstellar extinction curve 98 99 for the Galaxy with its strong, but broad feature centered at 217 nm, and the socalled diffuse interstellar bands (DIBs), which are spectral features arising from 100 absorption of light by matter in the diffuse interstellar gas. The strong 217 nm 101 102 absorption band was variously attributed to graphite, carbonaceous materials, carbon compounds processed on icy grains, or carbon onions, but there are 103 problems with all of these (see for example Ref.<sup>[11]</sup>). Thirty-nine DIBs were 104 known in 1975 and about 80 in 1988. Today they number more than 200. Diffuse 105 interstellar bands range in frequency from the visible to the infrared. A variety of 106 carbon-bearing compounds was proposed as carriers, but the most widely touted of these were ionized PAHs.<sup>[12–15]</sup> The initial problem with PAHs was an 107 108 "embarrassment of riches" because it was concluded that the already known 109 PAHs should give rise to many more DIBs than observed, unless one accepted 110 that only a restricted number of PAHs actually occurred in interstellar media. 111

Onto this smorgasbord of astrochemical compounds fell the fullerenes and 112 they, as well as some of their derivatives, immediately became potential 113 candidates for the interstellar extinction curve, its 217 nm maximum, and the 114 DIBs. A major observational problem, until after 1990, when macroscopic 115 amounts of  $C_{60}$  became available, was that only one absorption feature of  $C_{60}$  at 386.0 nm had been determined.<sup>[16]</sup> All other assignments of fullerenes to 116 117 specific DIBs had to be checked by theoretical calculations of the unknown 118 energies of electronic and vibrational transitions of fullerenes and fullerene 119 derivatives. Today, the results of searches for fullerenes in interstellar media have 120 achieved very modest, but still much debated results. Perhaps the strongest 121 evidence was the fit of a few DIBs in the infrared with measured absorption bands 122 of  $C_{60}^{+[17-24]}$  and of the interstellar extinction curve with its 217 nm maximum 123 with C<sub>60</sub> and fulleranes, the hydrogenated fullerenes C<sub>60</sub>H<sub>2n</sub> (n = 1 - 30).<sup>[25-30]</sup> 124 However, even in these cases there remained strong reservations about the 125 assignments.<sup>[31,32]</sup> There were also failures to associate specific DIBs with 126

known electronic and vibrational transitions of fullerenes and fullerene deriva-127 tives, especially in the UV.<sup>[33–35]</sup> A study of light emitted from a proto-planetary 128 nebula concluded that the observed electromagnetic features could be best 129 explained by a mixed population of hydrogenated amorphous carbonaceous 130 grains, fullerenes with different degrees of hydrogenation, partially dehydroge-131 nated cationic PAH molecules, and crystalline silicates, [36,37] quite an assortment 132 of compounds. Nevertheless, that is perhaps much more realistic than claims that 133 any specific, single carbon compound is greatly dominant in such environments. 134 If fullerenes occur in interstellar media, how were they formed and from 135 where did they come? The most widely held view is that interstellar fullerenes 136 formed initially in atmospheres of carbon-rich, hydrogen-poor stars whence they 137 are delivered by strong stellar winds to interstellar media. Possible syntheses include carbon condensation,<sup>[1,38–42]</sup> but also hydrogenation,<sup>[25–30]</sup> pyrolysis of 138 139 gaseous molecules,<sup>[43]</sup> and decomposition of hydrogenated amorphous 140 carbon.<sup>[44]</sup> Unfortunately, extensive experimental evidence shows that many 141 other forms of elemental carbon are likely to form alongside fullerenes and it 142 is still not understood which of these will be the most abundant in any of the 143 144 relevant astrochemical environments. Today, most investigators of formation of fullerenes in stellar atmospheres consider the simultaneous formation of amor-145 phous carbon, carbon onions, carbon black, and carbynes in variable proportions. 146 Once delivered to interstellar media, the fullerenes and fullerene deriva-147 tives participate in the complex chemistry of these environments. Detailed 148 experimental and theoretical studies on this subject have been published.<sup>[45-49]</sup> 149 The following is a summary of the pertinent observations and conclusions. 150 The high ionization energy of C<sub>60</sub> and the low UV flux in interstellar clouds 151 essentially preclude direct photoionization, but charge transfer electron 152 detachment from He<sup>+</sup> or interactions with cosmic ray protons may produce 153  $C_{60}^{2+}$  and  $C_{60}^{3+}$ . The most likely loss process for both species is partial 154 neutralization with electrons, eventually to  $C_{60}^{+}$ . Whether  $C_{60}^{-}$  ions can form 155 either by free electron attachment or by electron transfer with negatively 156 charged PAHs is not clear. Once formed, however,  $C_{60}^{-}$  ions should be quite 157 stable given the high electron affinity of the neutral molecule. The three 158 positive ions can react, however, with various molecules in interstellar clouds. 159 Their reactivity decreases from  $C_{60}^{3+}$  to  $C_{60}^{++}$ . The reactants studied include  $C_6H_6$ ,  $C_{20}H_{10}$ ,  $C_{14}H_{10}$ ,  $CH_3OH$ ,  $HC_3N$ ,  $NH_3$ , and many others. With acenes, 160 161 the ions, like neutral  $C_{60}$ , form [4+2] cycloadducts. Adduction with con-162 densed PAHs such as corannulene, results in a charge-transfer complex. These 163 papers do not present any calculated equilibrium distribution of the various 164 fullerenic molecules because that cannot be done reliably until the reaction of 165 C60 with atomic hydrogen becomes better studied. They suggest, however, that 166 neutral  $C_{60}$  is likely to be the most abundant fullerenic molecule in interstellar 167 media, if such molecules exist there at all. 168

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In summary, while there are tantalizing hints, there is no solid evidence that fullerene molecules, ions, or their derivatives actually occur in circumstellar and interstellar media. The notion that interstellar media are, hence the solar nebula was rich in fullerenes, available there in abundance to be picked up by meteorites or their precursor materials is unwarranted.

## 3. FULLERENES IN ROCKS

#### 3.1. Meteorites and Interplanetary Dust Particles

Soon after fullerenes were discovered it was suggested that they might occur in meteorites as a kind of "molecular bottles" for certain isotopically anomalous trapped noble gases.<sup>[50]</sup> However, it was subsequently found that these gases were actually contained in nanodiamonds.<sup>[51]</sup> Nevertheless, precisely because the nanodiamonds and the later discovered graphite and silicon carbide grains in meteorites are interstellar, it seemed logical to search for possibly interstellar fullerenes in meteorites also.

There are three major classes of meteorites: the iron-, stony- and stony-187 iron meteorites. Elemental carbon occurs in meteorites of all three, but the 188 thermal and metallurgical histories of the iron meteorites, whose metals were 189 once molten and many of which contain graphite, mitigates against them 190 containing fullerenes, and no searches for fullerenes were ever made in iron or 191 stony-iron meteorites. Among the stony meteorites it is the carbonaceous 192 chondrites that are the richest in presolar grains, hence are the most likely 193 extraterrestrial rocks to contain presolar fullerenes. 194

The search for fullerenes in rocks is a comparatively simple geochemical 195 196 procedure. CS<sub>2</sub> and aromatic organic solvents were used for the extraction of fullerenes from rocks when it became understood that C60 and C70 dissolve well in 197 them. Most analytical procedures begin therefore with solvent-extraction of either 198 the powdered meteorite or its so-called "acid-resistant residues" (ARRs), carbon-199 enriched residues obtained by the dissolution of inorganic minerals usually with 200 201 HF-HCl. One assumes that the fullerenes are not too strongly locked up in the 202 powdered meteorites or ARRs and that the chemical treatments do not destroy them. Studies of the geochemical behavior of fullerenes have shown that the HF-203 HCl treatments do not degrade them but very strongly oxidizing chemicals such 204 as hot perchloric acid do.<sup>[52]</sup> Meteorite extracts were always in contact with air at 205 some stage of the analysis but in darkness and even in conditions of moderate 206 light (e.g. lab benches) molecular oxygen does not react detectably with full-207 erenes. However, ozone swiftly oxidizes fullerenes in solution.<sup>[53]</sup> 208

After filtration the extracts are analyzed by HPLC or by mass spectrometry (or, as in a few studies, by both). HPLC has the advantage that fullerenes are

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neither formed nor destroyed by this technique and that rather precisely 211 212 calibrated quantitative data can be obtained. A potential problem is that 213 fullerenes and several organic compounds with closely similar retention times and UV-VIS absorption spectra could be present in the extracts. This 214 problem can be alleviated but not completely removed by the use of different 215 HPLC columns for the analysis of the same sample.<sup>[10]</sup> mass spectroscopy, the 216 characteristic set of isotopic peaks at m/z = 720-724 amu in spectra is used for 217 proving the presence of C<sub>60</sub> in the sample, but when laser desorption-ionization 218 is used there is the potential risk that fullerene ions are actually formed in the 219 process from carbonaceous matter or hydrocarbons. Also, mass spectrometric 220 methods have not yet yielded precise quantitative data. In one study, the 221 extraction of fullerenes was attempted by sublimation in the range 300-600°C, 222 followed by mass spectrometric analysis.<sup>[7]</sup> Two papers reported negative 223 results of searches for fullerenes in two meteorites by vacuum pyrolysis.<sup>[5,6]</sup> 224

Table 1 presents a summary of the results of all searches for fullerenes in meteorites. Dr. P. Buseck (personal communication of unpublished results) did not find fullerenes in twelve carbonaceous and ordinary chondrite samples of his own. Obviously some investigations found fullerenes in their samples whilst others did not in theirs.<sup>[4–10,54–58]</sup> It is still not clear what has caused the

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Table 1.	Reported	fullerene	contents	ın	meteorites.

Meteorite	C <sub>60</sub> (ppm)	C <sub>70</sub> (ppm)	Higher <sup>a</sup>	Reference
Allende <sup>b</sup>	100			[4]
Allende	detected	detected	detected	[54]
Allende <sup>c</sup>	all <1			[150,151,157]
Allende	detected	detected		[55]
Allende	detected	detected	detected	[54]
Allende	10			[56]
Allende	10			[56]
Allende	5			[56]
Allende <sup>d</sup>	all <3			[56]
Allende	detected	detected	detected	[57,58]
Murchison	not detect.			[5,6]
Murchison	<2			[7]
Murchison	not detect.			[157]
Murray	not detect.			[5,6]

<sup>249</sup> <sup>a</sup>Higher means C > 70

<sup>250</sup> <sup>b</sup>Arranged in order of year of publication

<sup>251</sup> <sup>c</sup>A total of nine distinct samples

<sup>252</sup> <sup>d</sup>A total of seven distinct samples.

differences. Chondritic meteorites are known to be mineralogically heterogeneous clastic breccias, hence the analysis of one large and homogenized sample, or of a number of smaller samples may be required to find any fullerenes present in a given meteorite. Some of the negative results could be due to inefficient fullerene extraction.

It appears then that fullerenes occur heterogeneously distributed in ppb 258 quantities in the Allende meteorite. It has not been convincingly demonstrated 259 that they occur in other meteorites. Again the questions are: how and where did 260the Allende fullerenes form? It was suggested that these had formed around 261 C-rich stars, had made it into interstellar media and eventually via the solar 262 nebula into the meteorites, [4] but it was also suggested that the formation of 263 fullerenes and fulleranes could have occurred in the solar nebula.<sup>[55,56]</sup> The 264 most recent studies report the occurrence of noble gas atoms trapped in Allende 265 fullerene molecules.<sup>[57,58]</sup> The composition of the trapped gas is that of the well-266 known meteoritic component of "planetary noble gases", which were sug-267 gested to have been trapped in a carbonaceous meteoritic phase in the cooling 268 solar nebula.<sup>[59]</sup> Some, or even all of the fullerene molecules of Allende may 269 therefore have formed in the solar system but it is still unclear how and where. 270 We suggest here that clues may be found in the nature of the carbonaceous 271 matter of the Allende meteorite. In most carbonaceous meteorites, the bulk of 272 the element carbon occurs as a complex organic polymer. Allende is excep-273 tional because the element is a poorly ordered carbon, characterized from 274 studies by transmission electron microscopy as "glassy carbon".[60,61] A more 275 recent TEM study of Allende carbon supports this finding and reports the 276 abundant occurrence of "carbon black-like particles" Vis et al.<sup>[62]</sup> Taken 277 together these observations suggest that Allende carbon formed by pyrolysis 278 of hydrocarbons and polymeric hydrocarbons, in part in a gas phase, in part 279 perhaps on hot mineral surfaces. Such a scenario for the formation of fullerenes 280 is not too outlandish when one considers that fullerenes have been synthesized 281 by the pyrolysis of naphtalene<sup>[63]</sup> and by the consecutive/multiple cyclo-282 dehydrogenation of even more complex trimerized PAHs.<sup>[64-69]</sup> 283

It has been suggested that pre-terrestrial fullerenes are an important carrier phase for the noble gases of the atmospheres of the terrestrial planets.<sup>[57,58]</sup> That is questionable because it can be shown that the Allende ARR must contain more than 1 g C<sub>60</sub> per gram to account for all so-called planetary He and that is obviously impossible.

Interplanetary dust particles (IDPs) constitute a circumsolar dust system. It is generally assumed that this system must be continuously refreshed with particles from comets and asteroid collisions. The particles have been collected in the Earth's stratosphere and by Earth-orbiting spacecraft but also from the mid-oceanic seafloor and polar ice deposits. Interplanetary Dust Particles range in size from about one micrometer to about 1 mm. It is not

clear why IDPs might contain fullerenes unless one argues that the collisions of and impacts on chondrite parent bodies in the asteroid belt releases fullerene-bearing chondrite dust. Bajt and his coworkers searched for fullerenes in IDPs.<sup>[70,71]</sup> The result, although seemingly encouraging, was nevertheless inconclusive. More recently, Becker and Poreda<sup>[72]</sup> have suggested that IDPs contain noble gas-laden fullerenes.

#### 3.2. Fullerenes were not found on the Moon

The discovery of fullerenes on the skin of the Long Duration Exposure 305 Facility (LDEF) spacecraft in Earth orbit, possibly formed by a high-energy impact from carbon of a micrometeorite,<sup>[73]</sup> suggested that fullerenes might 306 307 occur in the lunar regolith, the fragmented and unconsolidated outermost 308 rocky layer of the Moon. Impact craters with a large range of diameters are 309 abundant selenographic surface features and some of the impactors are likely 310 to have contained elemental carbon or carbon compounds from which full-311 312 erenes could have formed in some of these violent events. A search for 313 fullerenes in samples returned by Apollo missions was therefore undertaken.<sup>[74]</sup> Two samples of so-called "lunar fines", i.e. <1 mm particles from 314 the regolith, were made available by NASA. One was 5.006 g of fines collected 315 directly at the surface of the Apollo 11 landing site. The other was a 7-17 cm 316 depth subsurface sample of 0.972 g fines collected in the Van Serg trench at 317 the Apollo 17 site. The samples were extracted with toluene and were analyzed 318 by HPLC. No fullerenes were detected at the 1 ppb level or higher. 319

These negative results must be evaluated in the context of the puny fraction 320 of lunar regolith matter that was actually studied. The regolith content of 321 322 fullerenes could be grossly heterogeneous with detectable amounts present at young craters but none in older terranes as there are several processes that could 323 dilute or even destroy regolith fullerenes in the course of time. Elemental 324 carbon, including fullerenes, can react with impact-molten silicates to form CO 325 and  $CO_2$  that escape from the Moon, one explanation for the surprisingly small carbon contents of lunar fines and rocks.<sup>[75–78]</sup> Also, fullerenes can become 326 327 destroyed on the Moon by thermal decomposition,<sup>[79-81]</sup> destruction by elec-328 trons and ions from the solar wind and cosmic rays<sup>[82–86]</sup> and become photo- or 329 pressure-polymerized<sup>[87,88]</sup> in the harsh environment of the lunar regolith. 330 Fullerene multimers, because of their very low solubilities in organic solvents, 331 are very hard to detect. An additional loss mechanism from the equatorial region 332 of the Moon is due to the estimated relatively short sticking time of 270 s of  $C_{60}$ 333 at the very top of the regolith of the two landing sites. That time rapidly 334 lengthens towards the cooler lunar Poles, which means that fullerenes could 335 have slowly migrated north- and southward away from the more equatorial 336

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Apollo sampling areas.<sup>[89]</sup> The diffusional migration can also proceed downward into the regolith because the penetration of the diurnal lunar heat wave is very shallow. That was the reason why the Apollo 17 sample was studied.

### 3.3. Terrestrial Rocks, Overview

343 Fullerenes have been reported in two distinctly different kinds of terrestrial 344 rocks: hard, carbon-rich formations and sediments. The hard materials occur in 345 the so-called shungite formation of Karelia, Russia, in the large-body impact 346 structure at Sudbury, Ontario, Canada, and in bitumens among pillow-lavas of 347 the Bohemian massif, Czech Republic, and Coals of the Yunnan province of 348 the People's Republic of China. These materials may have in common that their 349 parent materials were biological remains. The sedimentary rocks come from 350 formations at the Creataceous-Tertiary Boundary (KTB) and the Permo-351 Triassic Boundary (PTB). These formations are associated with catastrophic 352 mass extinctions of species. Figure 1a shows the locations of fullerenes in hard 353 rocks. Figure 1b shows the locations of fullerenes in sedimentary rocks. 354

### 3.4. Hard Rocks

358 3.4.1. Shungite

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We received valuable guidance and information for the writing of this 360 section from an unpublished manuscript by Dr. Buseck. Buseck et al.<sup>[90]</sup> 361 reported the serendipitous finding of C<sub>60</sub> by high-resolution transmission 362 electron microscopy in shungite, a metamorphosed carbon-rich rock within 363 Precambrian sediments of about 1.8 Gy on the Kola Peninsula of Russia. They 364 followed through by analyzing powdered samples with Laser Desorption Mass 365 Spectrometry (LDMS), which confirmed the presence of the fullerene.<sup>[91,92]</sup> A 366 number of subsequent studies have also found small concentrations of C<sub>60</sub> in 367 shungite.<sup>[93-101]</sup> Other investigators have questioned these reports or have 368 failed to find fullerenes in their samples of shungite.<sup>[102,103]</sup> 369

The presence of C<sub>60</sub> in shungite is enigmatic. There is no evidence for any 370 high-energy events at Shunga such as a meteorite impact structure or lightning 371 strikes.<sup>[104,105]</sup> Because the age of the Karelian shungite could be closely the 372 same as that of the Sudbury impact structure (see below) it seems marginally 373 possible that the shungite C<sub>60</sub> is due to a world-wide fullerene-bearing dust 374 deposit from the Sudbury event. If, however, the formation was local or in situ, 375 then one must try to understand the origin of the C<sub>60</sub> in the context of the 376 origin and formation of the carbon itself. The principal sources for the shungite can be either biogenic or volcanogenic.<sup>[106]</sup> It is not yet known 377 378

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410 found in hard rocks. 1 = Shunga, Karelia, Russia. 2 = Sudbury, Ontario, Canada. 411 3 = Mitov, Czech Republic. 4 = Yunnan Province, Peoples Republic of China. 412 (b) World map showing the locations where fullerenes were searched in soft rocks. 413 1 = Woodside Creek, New Zealand. 2 = Flaxbourne River, New Zealand. 3 = Caravaca, 414 Spain. 4 = Sumbar, Turkmenistan. 5 = Stevns Klint, Denmark. 6 = Elendgraben, Austria. 7 = Tetri Tskaro, Georgia. 8 = Brazos River, Texas, USA. 9 = Gubbio, Italy. 415 10 = Raton Basin (Boca Raton), Colorado, USA; 11 = Sasayama, Japan. 12 = Meishan, 416 Peoples Republic of China. 13 = Bálvány, Hungary. 417

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whether fullerenes occur in volcanic exhalations. If the  $C_{60}$  is a metamorphic product of local biogenic matter, in this case most likely remains of blue-green algae, then one must try to understand its formation in the context of the graphitization of such remains.

A Science Magazine article that accompanied the discovery paper<sup>[107]</sup> 425 cites the opinion of several experts that fullerenes cannot form in condensed 426 427 phases. That may, or may be not so, but the precursor materials of shungite and the later to be discussed bitumens of the Bohemian Massif had probably 428 significant internal gas phases in which fullerenes could have formed at 429 sufficiently high temperatures. Shungite carbon has been characterized as 430 "glassy".<sup>[90,106,108–110]</sup> Glassy carbon is a pyrocarbon with internal voids that 431 typically forms by the pyrolysis of polymerized hydrocarbons on hot sur-432 faces.<sup>[111,112]</sup> Other investigators report "carbon black particles"<sup>[113]</sup> and 433 "multilayered hollow globules"<sup>[114]</sup> in shungite. The globules are probably 434 not fullerene-like structures but graphitized carbon black. C<sub>60</sub> could also have 435 formed during the transformation of algal remains to glassy carbon if a 436 437 biogenic  $C_{60}$  precursor was present early on. PAHs in general have frequently 438 been suggested as potential C<sub>60</sub> precursors, but very recently it has been shown specifically that the C<sub>20</sub>H<sub>12</sub> PAH 4,5-dihydrobenz[1]acephenanthrylene 439 with molten S<sub>8</sub> readily cyclotrimerizes to two possible C<sub>60</sub>H<sub>30</sub> isomers of 440 which one, viz. benzo[1,2-e:3,4-e':5,6-e"]tribenzo[1]acephenanthrylene (C<sub>3</sub> 441 symmetry), possesses the exact carbon atom topology of the C<sub>60</sub> Schlegel 442 diagram.<sup>[64,65]</sup> Unfortunately, however, under the  $S_8$ -mediated cyclotrimeriza-443 tion conditions the wrong  $C_{60}H_{30}$  isomer, viz. benzo[1,2-e:3,4-e':6,5-e''] 444 tribenzo[1]acephenanthrylene, which possesses C1 symmetry and thus a 445 carbon atom topology that does not match the C<sub>60</sub> Schlegel diagram is the 446 prevalent product (Fig. 2). Hence, upon cyclodehydogenation, i.e. constru-447 ctive H2 losses and ring closures, of the S8 cyclotrimerization reaction mixture 448 under MALDI TOF-MS (positive ion mode) conditions only the curved PAH 449 C<sub>60</sub>H<sub>10</sub> and C<sub>60</sub>H<sub>12</sub> were obtained. No evidence for the formation of C<sub>60</sub> was 450 found.<sup>[64]</sup> Fortunately, an independent regio-chemically controlled synthesis of 451 the proper C<sub>60</sub>H<sub>30</sub> cyclotrimer became available<sup>[115]</sup> and it was recently shown 452 that under similar MALDI TOF-MS (positive ion mode) conditions this 453  $C_{60}H_{30}$  isomer fully dehydrogenates to  $C_{60}$ .<sup>[64–67,69]</sup> The viability of the 454 stepwise zipping up of the proper C<sub>60</sub>H<sub>30</sub> PAH (C<sub>3</sub> symmetry) to C<sub>60</sub> is 455 supported by semiempirical (AM1, PM3) as well as ab initio calculations 456 (Fig. 3).<sup>[67,69]</sup> It is interesting to note that C<sub>70</sub> cannot form by a related 457 cyclotrimerization/dehydrogenation process of single PAH precursors because 458 suitable precursors do not exist (70:3=23.33!).<sup>[68,116]</sup> Exactly which condi-459 tions the shungite formation has experienced is still unresolved. If that was 460 greenschist facies metamorphism, then any algal remains were exposed to 461 temperatures in the range  $300-500^{\circ}$ C for several  $10^5$ , perhaps  $10^6$  years. 462

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 $^{112}$  acephenanthrylene (C<sub>3</sub> symmetry) and its isomer benzo[1,2-e:3,4-e:0,5-e jtribenzo[1] acephenanthrylene (C<sub>1</sub> symmetry).

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Elemental sulfur should be present in such systems, but it is not known whether  $C_{20}H_{12}$  forms during algal decay. Formation of glassy carbon in laboratories and graphitization of carbon black requires higher temperatures than the conditions of greenschist facies metamorphism, but the long duration of the metamorphism might offset this drawback. Could  $C_{60}$  form in small amounts under these natural conditions? The answer is not yet known unless one accepts the natural fullerenes as evidence that it does.

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492 3.4.2. Sudbury

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The search for fullerenes in rocks from the huge Sudbury impact structure 494 was undertaken because it suggested an LDEF kind of event only numerous 495 orders of magnitude bigger.<sup>[117]</sup> The Sudbury multi-ring, tectonically deformed impact structure in Ontario, Canada is 1.85 Ga in age.<sup>[118,119]</sup> The 496 497 Precambrian date is significant because not enough combustible plant-life was 498 then available to sustain the kind of global wildfires which raged following the 499 catastrophic impact at the end of the Cretaceous and which are thought to have 500 produced fullerenes (see section on sedimentary rocks). The estimated 501 diameter of  $\sim$ 180–250 km of the Sudbury structure is among the largest on 502 Earth.<sup>[120–122]</sup> The carbon-rich rocks of interest occur within a canoe-shaped 503  $\sim$ 1600 m thick sequence of heterolithic breccias of the Onaping Formation<sup>[123]</sup> 504



### Terrestrial and Extraterrestrial Fullerenes

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which is overlain by  $\sim$ 600 m of mudstones of the Onwatin Formation,<sup>[124]</sup> and 547  $\sim$ 850 m of wacke-turbidites of the Chelmsford Formation,<sup>[124]</sup> all making up 548 the Whitewater Group.<sup>[125]</sup> Figure 4 is a schematic representation of this 549 Group, showing also the traditional subdivision of the Onaping Formation. It 550 was in rocks from the Black Member of this Formation that fullerenes were 551 found in ppm concentrations.<sup>[117]</sup> It was subsequently reported that the  $C_{60}$ 552 was actually an endohedral molecule with isotopically anomalous He enclosed 553 within the cages.<sup>[126]</sup> Other investigators have failed to find fullerenes in their 554 samples of the same formation.<sup>[127]</sup> Table 2 lists the results of all searches for 555 fullerenes in rocks from the Sudbury structure. Clearly some samples 556 contained fullerenes whilst others did not. 557

The rocks of the Black Member of the Onaping Formation in which the 558 559 fullerenes were found contain approximately one percent of overwhelmingly elemental carbon. Raman spectra show that the carbon is highly disordered.<sup>[127]</sup> 560 Various theories about the origin of this carbon exist, but extensive <sup>13</sup>C/<sup>12</sup>C 561 measurements have now conclusively demonstrated that the Black Member 562 carbon is isotopically the same as carbon from the Onwatin and Chelmsford 563 564 Formations, hence is biogenic. The carbon proper is not from the impactor, but postdates the formation of the crater.<sup>[127]</sup> Algal remains, formed within the 565 crater's lake, were later graphitized by low-grade greenschist facies metamorph-566 ism. Hence the C<sub>60</sub> molecules were not formed during the impact event from 567 some carbon-bearing matter of the impactor or from the very sparse local target 568 sources of carbonates and carbonaceous matter, but were already contained 569 within the impactor prior to its collision with Earth, or were formed much later. 570 This raises a number of salient issues. Did the impactor contain enough 571 fullerenes to explain an estimated C<sub>60</sub> content of the Black Member? Why is 572 the fullerene distribution of the Black Member so patchy? Could the fullerenes 573 have survived contact with a superheated atmosphere and hot rocks? What 574 fraction was blasted into the stratosphere to fall back to the Earth's surface at 575 large distances from the crater? Could the He in He(a) C<sub>60</sub> have survived the 576 greenschist metamorphism? None of these were ever significantly discussed. 577 The estimated pre-deformation, circular diameter of the Onaping Forma-578 579 tion is about 50 km and its thickness about 1 km. This yields a total volume of roughly 2000 km<sup>3</sup>, somewhat larger than an earlier estimate.<sup>[128]</sup> The reported 580  $C_{60}$  contents of Black Member rocks are in the range 1–10 ppm.<sup>[117]</sup> The only 581 extraterrestrial matter for which C<sub>60</sub> was reported were meteorites, samples of 582 which contained from a few to about 100 ppb  $C_{60}$  (see Table 1). Let us assume 583 a uniform C<sub>60</sub> content of 10 ppb for the impactor of which only an estimated 584 0.1 ppb remained behind at the impact site (from an estimated Ir content at the

Chicxulub Cretaceous/Tertiary age impact site, compared to the estimated 586 total, world-wide iridium deposits; Dr. B. C. Schuraytz, private communica-587

tion, 2000). The remaining 99.9 ppb would have been dispersed globally, like 588

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631	<i>Table 2.</i> Fullerenes in rocks	from the Sudbury in	pact structure.
632			
633	Sample Location	C (mm)	D - f
634	UNAPING FORMATION	$C_{60}$ (ppm)	Reference
635	BLACK MEMBER		
636	High Falls <sup>a</sup>	1	[117]
637	High Falls	6–7	[117]
638	High Falls <sup>b</sup>	not found	[127]
639	Onaping River	10	[117]
640	Dowling	found <sup>c</sup>	[126]
641	Dowling	not found	[127]
641	Capreol	found <sup>c</sup>	[126]
642	Capreol <sup>d</sup>	not found	[127]
643	Nelson Lake	not found	[127]
644	South Chelmsford	not found	[127]
645	Nickel Offset Road	not found	[127]
646	GREY MEMBER		
647	High Falls	not found	[127]
648	ONWATIN FORMATION		
649	Dowling	not found	[127]
650	<sup>a</sup> Names of sampling locations of	n the Black Member	of the Onaping
651	Formation		or the onuping

Table 2. Fullerenes in rocks from the Sudbury impact structure.

Formation.

652 <sup>b</sup>Eight different rocks from High Falls.

653 <sup>c</sup>He@C<sub>60</sub> reported.

<sup>d</sup>Three different rocks from Capreol. 654

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iridium in the case of the Chicxulub event. In order for the Black Member to 657 have a uniform C<sub>60</sub> content of at least 1 ppm, the volume of the impactor must 658 have been  $\{10^{-6}/10^{-9}\} \times 2000 = 2 \times 10^{6} \text{ km}^{3}$  and its radius at least on the 659 order of 100 km, clearly outside the estimated impactor radius of 10-20 km 660 (Dr. B. French, private communication, 2000). Obviously, the fullerene content 661 of the Black Member is too large to be consistent with a maximum dimension 662 663 of the impactor.

The survival during impact and patchiness of  $\mathrm{C}_{60}$  in the Black Member can 664 perhaps be understood in the context of fallback and accumulation of the 665 unconsolidated, still carbon-poor Onaping breccia that eventually became the 666 Black Member rocks. Numerous experimental and theoretical studies of large 667 impacts have demonstrated that significant fractions of large impactors can 668 become scrambled with the target rocks and some impactor matter may be 669 670 found among the local, so-called fallback material. Most experts on Sudbury have concluded that the fallback layers, i.e. deposits of material that was lifted 671 up only to fall back into the crater, are the Basal, Gray and Green Members of 672

the Onaping Formation, all three below the Black Member in which the 673 fullerenes were found.<sup>[129]</sup> It has also been argued that the rock fragments 674 and glasses of the Black Member were actually stream-carried from outside the 675 central depression into the central depression where redeposition occurred under euxinic aquatic conditions.<sup>[127,130]</sup> When did the first rains come after the 676 677 impact? Did they cool the surface sufficiently to allow preservation of full-678 erenes? Perhaps so; nevertheless the substantial abundance of once-molten 679 glasses in the Onaping rocks is worrisome. Fortunately, the deduced solubility 680 of  $C_{60}$  in water is only on the order of only 10–11 ng/mL.<sup>[131]</sup> 681

Arguably the greatest problem for the He(a) C<sub>60</sub> origin from the impactor 682 is that helium loss rates as a function of temperature can be calculated from the 683 thermal release experiments carried out with these molecules.<sup>[126]</sup> When such 684 rates are applied to the temperature range and duration of the greenschist 685 metamorphism, 300-500°C during hundreds of thousands of years, it follows 686 that the helium should have been quantitatively lost. Perhaps fullerenes at 687 Sudbury also formed from algal remains late during the metamorphism that 688 would avert the potential problems of heat, pressure, and radiation of the 689 690 impact environment. Perhaps isotopically anomalous helium, released from older minerals was occluded by the fullerene precursors and later firmly 691 trapped in the fullerene molecules. 692

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## 3.4.3. The Bohemian Massif

696 At Mitov in the Bohemian Massif, Czech Republic, there occur pillow 697 lavas of andesitic basalt that contain hard solid bitumens with C60 at a level of 698 0.2 ppm as determined by HPLC and mass spectrometry.<sup>[132]</sup> C<sub>70</sub> was not 699 found. It is thought that algal organic remains of Precambrian age were the 700 parent materials for the bitumens. The highly carbonized material is char-701 acterized as glassy carbon. Once again it is remarkable that fullerenes were 702 found in materials with a biologic ancestry in the absence of any evidence that 703 C<sub>60</sub> could have come "from the outside". It is this discovery that strengthens 704 the earlier mentioned hypothesis of conversion of certain PAHs to C<sub>60</sub> but not 705 C<sub>70</sub> during geologic metamorphism.

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## 708 3.4.4. Coal

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Coals have been investigated for the production of fullerenes (see for example Ref.<sup>[133]</sup>). There are two reasons why one may expect fullerenes to occur in coal. Coal is another material formed by metamorphism of biologic remains and underground fires in coal deposits are common. Analyses are generally encumbered by substantial amounts of organic compounds co-extracted

with possible fullerenes. C<sub>60</sub> fullerene has been reported in a coal from Yunnan
 province, China.<sup>[134]</sup>

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<sup>718</sup> 3.4.5. Summary of Terrestrial Hard Rocks

720 There is considerable evidence that C<sub>60</sub> molecules are associated at the 721 0.1-10 ppm level with highly carbonized matter in shungite, in rocks from the 722 Sudbury structure, and from the bitumens at Mitov. Evidence for the 723 occurrence of  $C_{70}$  in these materials is weak. Only at Sudbury was  $C_{60}$ 724 found in rocks from an ancient impact crater and evidence that some, or all of 725 the fullerenes are extraterrestrial. No such claim can be made for the fullerenes 726 reported in shungite and bitumen. How did these form? And did some, or all 727 of the fullerenes at Sudbury perhaps form in the same manner? 728

The carbons in which the fullerenes were found seem to have one property 729 in common. It has been suggested here that every one these was derived from 730 algal remains via metamorphic carbonization. For the carbon in the Black 731 Member of the Onaping Formation that has been proven<sup>[127]</sup> but investigators 732 of shungite are divided on the biogenic origin of its carbon.<sup>[106]</sup> The 733 discoverers of fullerenes in the Czech bitumens claim a biogenic origin for 734 these also.<sup>[132]</sup> Are there any reasons to expect formation of small quantities of 735 fullerenes from algal remains? As we have argued in Section 3.4.1, biogenic 736 PAHs might have been transformed to  $C_{60}$ . 737

The report that fullerenes at Sudbury contain isotopically anomalous helium seemingly precludes a terrestrial biogenic origin for the fullerenes in the Black Member of the Onaping Formation. However, only 1 in about  $10^5$ C<sub>60</sub> molecules contains a helium atom; therefore a significant fraction of the remaining C<sub>60</sub> molecules could be biogenic.

Some samples from Shunga and Sudbury were shown not to contain  $C_{60}$ . In one case at Sudbury a fullerene-containing sample was only about 10 cm removed from a fullerene-free sample.<sup>[127]</sup> Obviously, the fullerene distribution in these rocks is very patchy. An attractive feature of the hypothesis of PAH-trimerization/dehydrogenation with sulfur is that it may explain this patchyness.<sup>[64,116]</sup> Only in spots where the correct PAHs and hot sulfur both occurred could fullerenes form at all and perhaps only very inefficiently.

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### 3.5. Soft Rocks

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753 3.5.1. Cretaceous-Tertiary Boundary

In 1980, Alvarez and his collaborators discovered a thin global layer of clay-like geologic deposits greatly enriched in iridium.<sup>[135]</sup> They concluded

that a large extraterrestrial, iridium-rich body had collided with the Earth and 757 758 that this event had triggered the catastrophic biologic extinctions at that time. 759 The existence of a large buried crater at the tip of the Yucatan peninsula of Mexico was established as early as 1950<sup>[136]</sup> but it was not until 1990 that the 760 crater, widely known as Chicxulub, was firmly identified as the KT impact 761 site.<sup>[137]</sup> The time of the event is now determined as 65 Ma ago. Whereas the 762 target rocks at Sudbury were mostly igneous and metamorphic with very little 763 limestone, the target at Chicxulub was a thick carbonate platform with seams 764 of sulfates. At Chicxulub there also were no thick elemental carbon-rich rocks. 765 The search for fullerenes in KTB materials was undertaken because it had 766 been reported that these were rich in soot due to global wildfires following the 767 impact,<sup>[138–143]</sup> and because it was known that fullerenes form in oxygen-starved sooting flames.<sup>[144–146]</sup> The disaggregated and powdered samples were 768 769 extracted with toluene and the filtered solutions were analyzed by HPLC. 770 Because most of these samples were also rich in organic compounds, 771 including PAHs, the fullerene peaks in HPLC were riding on the tails of 772 large organic peaks. This problem was significantly alleviated by the pre-773

separation of organics and fullerenes with a large semi-preparative HPLC column (Heymann et al., 1995). The identification of fullerenes was made on the basis of retention times and UV-VIS spectra. The presence of  $C_{70}$  in some chromatograms was especially important because it seemed unlikely that false positives would be obtained for two fullerenes.

The first hint that C<sub>60</sub> was present in a small sample from the KTB at 779 Woodside Creek, New Zealand was presented at the conference on New 780 Developments Regarding the KT Event and Other Catastrophes in Earth 781 History, 1993.<sup>[147]</sup> No fullerenes were found in the massive limestones above 782 and below the KTB clay at Woodside Creek. Subsequently, fullerenes were 783 studied in much larger samples and were found to be present or absent at 13 different KTB sites around the world.<sup>[58,74,148–154]</sup> One of the discoveries was 784 785 reported in note 13 of Becker et al.<sup>[117]</sup> The location of the site was not given, 786 but it is at Gubbio, Italy (Dr. L. Becker and Dr. T. Bunch, personal 787 communication, 1994). All results are presented in Table 3. The C<sub>60</sub> contents 788 range from essentially zero to 16 ppb.  $C_{70}$ , when present was typically between 789 0.2 and 0.3 times C<sub>60</sub>. 790

It was suggested that the fullerenes at the KTB had formed by 791 oxygen-starved wildfires following the event. Since most of the KTB clays 792 studies are relatively shallow water deposits formed near landmasses, it was 793 also suggested that the fullerenes were co-deposited with the soot particles on 794 which they were absorbed. It was also suggested that the fullerenes came from 795 local fires and not from a worldwide deposit. It is not understood why fullerenes 796 were not present at some locations. The most logical explanation is that the 797 local conditions were unfavorable for fullerene-producing wildfires. 798

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Table 3. Full	erenes in samples from Cre	etaceous Tertiary	Boundary sites.
Site Name	Country	C <sub>60</sub> (ppm)	Reference
Woodside Creek	x <sup>a</sup> New Zealand	2.17	[157]
Woodside Creek	K New Zealand	2.74	[157]
Woodside Creek	K New Zealand	2.5	[157]
Woodside Creek	K New Zealand	4.7	[157]
Woodside Creek	k New Zealand	5.4	[157]
Woodside Creek	x <sup>b</sup> New Zealand	0.325	[157]
Woodside Creek	x <sup>c</sup> New Zealand	2.48	[157]
Flaxbourne Rive	er <sup>d</sup> New Zealand	0.556	[157]
Flaxbourne Rive	er New Zealand	1.14	[157]
Flaxbourne Rive	er New Zealand	0.0058	[157]
Flaxbourne Rive	er New Zealand	2.3	[157]
Flaxbourne Rive	er New Zealand	1.84	[157]
Flaxbourne Rive	er <sup>c</sup> New Zealand	15.7	[148,149]
Flaxbourne Rive	er New Zealand	11.9	[148,149]
Caravaca	Spain	11.9	[74,151,152
Caravaca <sup>c</sup>	Spain	2.7	[74,151,152
Sumbar-4	Turkmenistan	4.0	[74,151,152
Sumbar-5	Turkmenistan	1.2	[74,151,152
Stevns Klint	Denmark	2.17	[74,151,152
Stevns Klint	Denmark	0.9	[74,151,152
Elendgraben	Austria	0	[74,151,152
Tetri Tskaro	Georgia	0	[74,151,152
Brazos River <sup>e</sup>	Texas, USA	0	[153,154]
Gubbio <sup>f</sup>	Italy	detected	[117]
Raton Basin	Colorado, USA	detected	[117]
Stevns Klint <sup>g</sup>	Denmark	detected	[126]
Woodside Creek	K New Zealand	0	[126]

Table 3. Fullerenes in samples from Cretaceous Tertiary Boundary sites.

827 <sup>a</sup>This material came from high on a hillside at Woodside Creek at which no 828 sampling had ever been done before. 829

<sup>b</sup>This sample came from the southern bank of the creek, at river level.

830 <sup>c</sup>Demineralized samples.

<sup>d</sup>This material came from a location at Flaxbourne River at which no sampling 831 832 had ever been done before.

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<sup>e</sup>No fullerenes were found in 55 samples studied.  ${}^{f}$ Reference # 13 in Becker et al. [117]

- 834 <sup>g</sup>Also higher fullerenes reported. 835
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These results and discussions were questioned on the grounds that the 841 842 oxygen content of the atmosphere at the time of the Chicxulub event was too 843 high and that no fullerenes were found in a sample from burned wood associated with the 1993 Malibu Fires.<sup>[155]</sup> However, oxygen is needed to 844 remove hydrogen from the fullerene precursors in flame-produced fullerenes. 845 The "burned wood argument" overlooks the deduction that the KTB fires 846 started only after the combustible biota had become dry.<sup>[138-143]</sup> The wood 847 burned by the Malibu Fires was green. Stronger evidence against the wildfire 848 hypothesis was provided when it was found that preterrestrial isotopically 849 anomalous He associated with fullerenes occurs in the Raton and Stevns Klint 850 samples.<sup>[155]</sup> A fullerene molecule cannot be both terrestrial and preterrestrial. 851 One problem with this argument is that there is no direct evidence that the 852 helium atoms are actually inside these C<sub>60</sub> molecules. 853

A quite serious objection was raised in a paper with the grossly misleading 854 title "There are no fullerenes in the K-T boundary layer".<sup>[156]</sup> The authors 855 reported no traces of C<sub>60</sub> or C<sub>70</sub> in a single sample from the Woodside Creek 856 site. They suggested that earlier HPLC results measured only "a mixture of 857 858 hydrocarbons". However, there are questions about this study also. While the large number of positive results both by HPLC and later by LDMS of Table 3 859 are no guarantee that fullerenes actually occur in KTB clays, a single measure-860 ment on a 20 g sample from the riverside location at Woodside Creek is not very 861 convincing evidence for "no fullerenes in the K-T boundary layer". It was not 862 explained by the critique why the so-called "false" C<sub>60</sub> peak in the HPLC 863 chromatograms of the positive results sticks out from the tail of "a mixture of 864 hydrocarbons".<sup>[148]</sup> The probable explanation is simply that  $C_{60}$  has an 865 exceptionally large molar extinction at 336 nm, much larger than that of 866 hydrocarbons. It was not explained either by the critique why several of the 867 868 KTB extracts show not only  $C_{60}$  at the expected retention time and with its known UV-VIS spectrum but also C70 at the expected retention time and with its 869 known UV-VIS spectrum. This seems too much of a coincidence to be 870 explained away with mixtures of hydrocarbons. The authors of the critique 871 are also concerned about the "known high oxidative instability of fullerenes". 872 873 That is a puzzling statement because nobody has yet shown that  $C_{60}$  in the ground state reacts detectably with molecular oxygen in the ground state. 874

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877 3.5.2. Permian-Triassic Boundary

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It has long been known that the extinctions that define the PTB boundary
251 Ma ago killed more than 90% of all marine species, about 70% of terrestrial
vertebrate genera and most land plants. Until recently there was no direct
evidence that these catastrophic extinctions had been caused by the aftermath of

a large meteorite impact on Earth. There is no significant global iridium anomaly 883 884 at the PTB and evidence for shocked minerals is weak. However, if C<sub>60</sub> and C<sub>70</sub> 885 fullerenes with encapsulated isotopically anomalous helium were found, the case for an impact-caused PTB extinction could be compared with that of the 886 KTB event at Chicxulub. In 1999, Chijiwa et al. reported the occurrence 10-887 20 ppt of these fullerenes in a PTB sediment from Inuyama, Japan.<sup>[157]</sup> 888 Subsequently Becker and coworkers<sup>[158]</sup> studied fullerenes and their possible 889 encapsulated noble gases from PTB sites at Meishan (China), Sasayama (Japan), 890 and Bálvány (Hungary). The analysis of extracts by LDMS showed peaks at 891 720 amu  $(C_{60}^{+})$  and 840 amu  $(C_{70}^{+})$  for the Meishan and Sasayama samples. No 892 ions due to C60 or C70 were detected in the Bálvány sample, which, however, 893 produced peaks in the range 780-2400 amu. Concentrations of the fullerenes 894 895 were not reported. Isotopically anomalous He was reported in the Meishan and Sasayama samples. No evidence was presented that the helium atoms were 896 actually inside the fullerene molecules. 897

These conclusions were soon questioned. Braun et al.<sup>[159]</sup> criticized the 898 absence of sampling descriptions from the stratigraphic layers, sample amounts 899 900 and homogeneity, and the huge discrepancy between the ppb amounts of fullerenes found by Becker et al. at Sasayama as against the ppt amounts 901 reported by Chijiwa at the Inuyama site. At the time of writing of this review, 902 there was no reply available from the Becker team. Farley and Mukhopadhyay 903 found no extraterrestrial He in fifteen aliquots from a sample from Bed 25 at 904 Meishan and six such samples from an equivalent bed at Shangsi, China.<sup>[160]</sup> 905 Isozaki pointed out that the PTB horizon is missing at the Sasayama section and 906 that the <sup>3</sup>He-enriched sample of Becker et al. has actually come from at least 907 0.8 m below the PTB.<sup>[161]</sup> Becker and Poreda replied that Farley and Mukho-908 padhyay used a faulty experimental technique and that their own interpretation 909 of where the PTB at Sasayama is differs from that of Isozaki.<sup>[162]</sup> Perhaps both 910 answers are valid, but it remains worrisome that only one research group has 911 been able thus far to find isotopically anomalous helium in samples from 912 913 meteorites, and from the Sudbury, Chicxulub and end-Permian events.

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### 4. ODDBALLS

### 4.1. Fulgurite

Fulgurites are glassy rocks that form from inorganic minerals when lightning strikes the ground. In five fulgurite samples studied, one contained  $C_{60}$  and  $C_{70}$  as determined by laser-desorption mass spectrometry.<sup>[163]</sup> Presumably, carbon for the fullerenes was from biologic remains in the ground. The conditions of temperature and pressure during the lightning stroke are extreme and hugely

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outside any laboratory conditions of fullerene synthesis. It is therefore impossibleto reconstruct how the fullerenes in the one fulgurite formed.

#### 4.2. Chinese Ink Sticks

Chinese ink sticks, used for calligraphy, are made from soot obtained by the slow burning of oils, mixed with animal glue, and then dried. Because fullerenes had been shown to form in flames, a search for  $C_{60}$  and  $C_{70}$  in such materials was undertaken by solvent extraction and HPLC.<sup>[164,165]</sup> The amounts reported were up to 0.1 (weight) %. Two subsequent studies found much less fullerenes (3 ppm at most), which also suggested that the  $C_{60}$ content of older sticks was less than that of younger sticks.

### 4.3. Dinosaur Eggs

 $C_{60}$  but not  $C_{70}$  was reportedly found in a 70 mA old fossilized dinosaur egg from Xixia, China.<sup>[166]</sup>

### 4.4. Tree Char

Char collected from a Norway spruce struck by lightning was studied for the presence of fullerenes but none were found.<sup>[167]</sup> Because of the presence of much water the conditions during the formation of tree char are very different from those of fulgurite formation. Temperatures and pressures are much lower because much of the lightning's energy is converted to steam.

### 5. **BIOGENIC FULLERENES?**

We have pointed out in an earlier section that one of the fundamental issues about naturally occurring fullerenes is whether these could form in the solid state. We have also noted that fullerenes can form by the "zip-up" of PAHs and that algal remains were the most likely source for the carbons at Shunga, Sudbury, and Mitov. Here we will briefly comment on the biological aspects of the hypothesis of fullerene formation in the solid state.

PAHs with as many as sixty or more carbon atoms are not known to occur in nature<sup>[168]</sup> but may have escaped detection owing to their anticipated cumbersome analysis<sup>[169]</sup> due to their exceedingly low solubility in common extraction solvents. Nevertheless, fullerenes in rocks must have formed primarily from smaller molecules.

Fullerenes have been produced in laboratories by laser ablation at low laser 967 power densities of the pyrolized algal product coorongite, [170,171] laser ablation 968 of extracts from pyrolyzed algae-derived oil shales,<sup>[172]</sup> and by pyrolysis of (non)-alternant PAH, such as naphthalene ( $C_{10}H_8$ ; Ref.<sup>[63]</sup>), corannulene ( $C_{20}H_{10}$ ), and benzo[k]fluoranthene ( $C_{20}H_{12}$ , Ref.<sup>[173]</sup>), but presumably always 969 970 971 in the gas phase, e. g. in the plume after ablation. The coorongite lost about 972 40% of its weight and showed great increases of the Caromatic/Ctotal ratio when 973 heated to 400°C under helium flow prior to the laser ablation. The oil shales 974 lost between 45% and 72.2% of weight and showed modest increases in their 975 C/H atomic ratios after similar treatments. The significance of the PAH 976 pyrolysis to C<sub>60</sub> is that ring rearrangements must have occurred to produce 977 the required 12 pentagonal carbon rings of the fullerene molecule from a precursor that contained only hexagonal carbon rings.<sup>[174]</sup> It has also been 978 979 shown that the diterpenoid camphor represents a natural source for C<sub>60</sub> as the 980 burning of camphor followed by hot (600-700°C) filament CVD (10<sup>-15</sup> Torr, 981 substrate temperature 140-150°C) of the toluene soluble soot extract yielded 982 C<sub>60</sub>.<sup>[175]</sup> Hence, C<sub>60</sub> appears to be accessible from biologically important 983 terpenoids. These are interesting results, but they do not involve fullerene 984 formation under natural conditions, and certainly not in the solid state. 985

We assume that algae provided the carbon for the fullerenes at the three 986 locations of interest. At Shunga and Sudbury there are no fossil remains in the 987 fullerene-bearing rocks.<sup>[176]</sup> In the case of Shunga the opinions on an algal 988 parentage of the carbonaceous matter are divided<sup>[108,177]</sup>. For Sudbury, the 989 biologic origin of the carbon in the BMOF was firmly proven.<sup>[127]</sup> Given 990 the Precambrian age of metamorphism of the BMOF host rock, algae were the 991 carbon's most likely source. There is a general consensus for an algal biogenic 992 source of carbon in black shales, schist's and silicified stromatolites in the 993 Mitov area.<sup>[178]</sup> 994

What could be the small molecular building blocks for fullerene precursors 995 that need to come from the diagenesis and subsequent metamorphism of 996 sediments containing dead algae? The overwhelming majority of such mole-997 cules contain only hexagonal carbon rings. PAHs with acenaphtylenic units such 998 999 as in  $C_{20}H_{14}$  are either very rare in, or absent from sediments, crude oil, and oil shales (Standard Reference Materials Program). Living algae contain hopa-1000 noids, steroids, and sterols whose structures have external pentagonal carbon 1001 1002 rings<sup>[179-181]</sup> but it is not clear how these evolve during diagenesis and 1003 metamorphism, especially what happens to their  $-CH_3$  and larger side-chains. 1004 Pyrolysis of lignitic matter apparently can form molecules similar to C<sub>20</sub>H<sub>14</sub> or 1005 the molecules used for the zip-up of C<sub>60</sub> above. Perhaps suitable building blocks 1006 do form in algal remains during the greenschist facies metamorphism of the 1007 rocks at Shunga and Sudbury and during the high emplacement temperatures of 1008 the bitumens at Mitov, estimated to have been in the range  $800-1000^{\circ}$ C.

Elemental sulfur (S<sub>8</sub>) is common in sediments either from bacterial 1009 1010 activity or from weathering of sulfides such as pyrrhotite. Hence the formation 1011 of large PAHs from the smaller ones should be possible to occur at relatively low temperatures during early metamorphism. The serious enigma is how 1012 fullerenes might form from these larger precursors under conditions that are a 1013 far cry from the laser-ablation zip-ups in the laboratories. Substantial dehy-1014 drogenation and aromatization of biological remains during rock metamorph-1015 ism is well established, but is it sufficient for zip-ups of precursors to 1016 fullerenes? Perhaps elemental sulfur or certain oxides play a role in the 1017 removal of hydrogen, and dehydrogenation and zip-ups in natural settings 1018 are perhaps catalytically accelerated by inorganic minerals.<sup>[182]</sup> 1019

Obviously the journey from algae to fullerenes is replete with pitfalls and 1020 1021 perhaps detours but it is important to remember that the reported amounts of  $C_{60}$  in the Mitov bitumens of 200 ppb is some five to six orders of magnitude 1022 smaller than the fullerene concentrations routinely achieved by carbon con-1023 densation and in soot from controlled hydrocarbon burning. Much also needs 1024 1025 to be learned about the photochemistry of biologic remains in the upper water 1026 column and a possible role of unpaired electrons, which are abundantly present in kerogens and carbonaceous matter, has to be established. 1027

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