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1           **Does net  $E - P$  set a preference for**  
2                           **North Atlantic sinking?**

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## Abstract

The present-day global Meridional Overturning Circulation (MOC) with formation of North Atlantic Deep Water (NADW) and the absence of a deep water formation in the North Pacific, is often considered to be caused by the fact that the North Pacific basin is a net precipitative, while the North Atlantic is a net evaporative basin. In this paper, we study the effect of asymmetries in continent geometry and freshwater fluxes on the MOC both in an idealized two-dimensional model and in a global ocean model. We approach the problem from a multiple equilibria perspective where asymmetries in external factors constrain the existence of steady MOC patterns. Both this multiple equilibria perspective and the fact that we use a realistic global geometry add new aspects to the problem. In the global model, it is shown that the Atlantic forced by net precipitation can have a meridional overturning circulation with northern sinking and a sea surface salinity that resembles the present-day salinity field. The model results are suggestive of the importance of factors other than the freshwater flux asymmetries, in particular continental asymmetries, in producing the meridional overturning asymmetry.

## 1 Introduction

A key feature of the global ocean circulation is its Atlantic–Pacific asymmetry, with only deep water formation in the North Atlantic and not in the Pacific. About half of the meridional heat transport in the North Atlantic at 26°N (about 1.5 PW) is attributed to deep water formation [Talley, 2003; Ferrari and Ferreira, 2011]. The difference in sea surface salinity (SSS) between the basins can explain this asymmetry; Pacific upper layer water is too fresh to sink to great depths, even when it is cooled to the freezing point [Warren, 1983]. This salinity difference is thought to be caused by net precipitation ( $P$ ) in the Pacific and net evaporation ( $E$ ) in the Atlantic, together with moisture transport through the atmosphere out of the Atlantic and into the Pacific over the Central American isthmus (the “atmospheric bridge” [Zaucker et al., 1994]).

Emile-Geay et al. [2003] analyzed the freshwater budgets for the northern North Atlantic (nNA) and northern North Pacific (nNP), between latitudes 43°N and 60°N, and found that the precipitation over the nNP is about 0.2 Sv larger than over the nNA. They attributed this to a limited ocean cross-gyre exchange in the nNP and to moisture transport associated with the strength of the Asian monsoon. When considered per unit area, however, the net evaporation is a factor 1.6 times larger in the nNA ( $E = 2.75 \times 10^{-5} \text{ kg m}^{-2} \text{ s}^{-1}$ ) than in the nNP ( $E = 1.70 \times 10^{-5} \text{ kg m}^{-2} \text{ s}^{-1}$ ) but the precipitation per unit area is very similar in both basins ( $P = 3.47 \times 10^{-5} \text{ kg m}^{-2} \text{ s}^{-1}$  in the nNP versus  $P = 3.28 \times 10^{-5} \text{ kg m}^{-2} \text{ s}^{-1}$  in the nNA; note that  $10^{-5} \text{ kg m}^{-2} \text{ s}^{-1} \approx 0.85 \text{ mm/day}$ ). The higher evaporation is directly related to the higher surface temperature in the Atlantic Ocean, which in turn is caused by the presence of deep water formation in the Atlantic and the absence of it in the Pacific. One can argue whether the explanation for the Atlantic–

56 Pacific asymmetry based on the hydrological cycle [Warren, 1983] contains  
57 a circular argument [Czaja, 2009] or not, but at least one should explain  
58 how external asymmetries (e.g., in continental geometry and the wind stress  
59 field), possibly amplified by the salt-advection feedback, lead to the observed  
60 asymmetry of the global MOC.

61 In Czaja [2009] the asymmetries in the wind stress field, in particular  
62 the positioning of the gyre boundary with respect to the location of the  $E = P$   
63 curve, are proposed as an important asymmetry. de Boer et al. [2008] investi-  
64 gate the sensitivity of the dominance of the nNA sinking in an idealized two-  
65 basin ocean model coupled to an atmospheric EBM. They find that a strong  
66 hydrological cycle (and also weak Southern Ocean winds) will increase this  
67 dominance and attribute an important role to geographical factors. Ferreira  
68 and Marshall [2010] study flows in a coupled ocean-atmosphere-ice aqua-  
69 planet model. In the configuration with a small and a large basin (DDrake)  
70 they find that the salinity contrast between the basins (the smaller one being  
71 saltier) results from a deficit in precipitation over the small basin.

72 There is another issue which may be very relevant to the Atlantic-Pacific  
73 asymmetry: the existence of multiple equilibria. In an idealized ocean-only  
74 model of two (similar-size) basins which are connected by a channel at high  
75 southern latitudes, four different equilibrium solutions of the ocean circula-  
76 tion are found under an equatorially symmetric freshwater flux [Marotzke and  
77 Willebrand, 1991; Huisman et al., 2009]. The four states are the Conveyor,  
78 Inverse Conveyor, Southern Sinking and Northern Sinking, where the Con-  
79 veyor state is associated with the present-day Atlantic-Pacific asymmetric  
80 circulation. Two of these states, the Northern Sinking and Inverse Conveyor,  
81 have deep convection in the Pacific.

82 When asymmetries in Atlantic and Pacific geometry and equatorial asym-

83 metries in freshwater flux [Zaucker et al., 1994] and those in the atmospheric  
84 circulation [Czaja, 2009] are taken into account, it is expected that some of  
85 these four states can no longer be maintained as steady states. For example,  
86 the presence of open gateways (with relevance to paleocean circulation) has  
87 been shown to lead to ‘preference’ of specific solutions over others [von der  
88 Heydt and Dijkstra, 2008]. The preference here indicates that in the rele-  
89 vant parameter volume, some states remain to exist as steady states whereas  
90 others cease to exist. Hughes and Weaver [1994] computed only a limited  
91 number of steady states but they found that the overall asymmetry of the  
92 geometry causes a preference for states with Atlantic deep water formation  
93 (see also Weaver et al. [1999]).

94 The studies on the relevance of multiple equilibria in the Pacific-Atlantic  
95 asymmetry problem have so far only been carried out in idealized two-basin  
96 configurations. With the results in this paper, we contribute in two ways to  
97 the problem of the asymmetry of the global MOC. First, we define the concept  
98 of preference of steady states in more detail and second, we consider the  
99 relevance of multiple equilibria in a fairly realistic global ocean geometry. In  
100 section 2, we use a two-dimensional model to study the effect of equatorially  
101 asymmetric freshwater fluxes and continental boundaries on the preference  
102 of MOC patterns, and propose to define preference in terms of existence  
103 intervals of a steady solution in parameter space. This concept of preference  
104 is particularly useful to determine how asymmetries limit regimes of multiple  
105 equilibria. Next, we use a global low-resolution ocean model coupled to an  
106 energy balance atmosphere model [Dijkstra and Weijer, 2005] to address the  
107 Atlantic–Pacific asymmetry problem. We specifically focus on possible global  
108 ocean circulation solutions for two different surface freshwater fluxes, where  
109 one of them is expected to strongly have a preference for North Pacific sinking

110 and the other for North Atlantic sinking.

## 111 **2 MOC preference in a 2D ocean model**

112 To explain the concept of ‘preference’ due to to asymmetries in freshwater  
113 forcing and continental asymmetry in the context of multiple equilibria of  
114 the MOC, we study in this section the steady states of a two-dimensional  
115 (2D, meridional-depth) ocean model.

### 116 **2.1 Model formulation**

117 The fully implicit 2D model used in this study is adapted from the model  
118 in Weijer and Dijkstra [2001]. In absence of wind-stress forcing and under  
119 zero rotation, the solutions are strictly two-dimensional. The model domain  
120 is bounded by the latitudes  $[75^\circ S, 75^\circ N]$  and has a constant depth of 4 km.  
121 To allow for a change in continental geometry, we choose a basin geometry  
122 as in Fig. 1a with two regions  $[75^\circ S, \theta_S]$  and  $[\theta_N, 75^\circ N]$  where the ocean is  
123 only two grid-points (500 m) deep.

124 The meridional and vertical velocity components are indicated by  $v$  and  
125  $w$ , the temperature, salinity and pressure by  $T$ ,  $S$  and  $p$ , respectively. Mix-  
126 ing of momentum and of heat and salt is represented by eddy diffusivities,  
127 with horizontal and vertical friction coefficients  $A_H$  and  $A_V$  for momentum  
128 and horizontal and vertical diffusivities  $K_H$  and  $K_V$  for heat and salt. The  
129 linear equation of state has expansion coefficients  $\alpha_T$  and  $\alpha_S$ , with reference  
130 temperature  $T_0$ , salinity  $S_0$  and density  $\rho_0$ . The governing model equations  
131 are the two-dimensional hydrostatic Navier-Stokes equations on a spherical

132 Earth, given by

$$133 \quad \frac{Dv}{dt} = -\frac{1}{\rho_0 r_0} \frac{\partial p}{\partial \theta} + A_V \frac{\partial^2 v}{\partial z^2} + A_H \left( \frac{1}{r_0^2} \frac{\partial^2 v}{\partial \theta^2} - \frac{v}{r_0^2 \cos^2 \theta} \right) \quad (1a)$$

$$134 \quad \frac{\partial p}{\partial z} = -\rho g \quad (1b)$$

$$135 \quad 0 = \frac{\partial w}{\partial z} + \frac{1}{r_0} \frac{\partial v}{\partial \theta} - \frac{v \tan \theta}{r_0} \quad (1c)$$

$$136 \quad \frac{DT}{dt} = \frac{K_H}{r_0^2 \cos \theta} \frac{\partial}{\partial \theta} \left( \frac{\partial T}{\partial \theta} \cos \theta \right) + K_V \frac{\partial^2 T}{\partial z^2} \quad (1d)$$

$$137 \quad \frac{DS}{dt} = \frac{K_H}{r_0^2 \cos \theta} \frac{\partial}{\partial \theta} \left( \frac{\partial S}{\partial \theta} \cos \theta \right) + K_V \frac{\partial^2 S}{\partial z^2} \quad (1e)$$

$$138 \quad \rho = \rho_0 (1 - \alpha_T (T - T_0) + \alpha_S (S - S_0)) \quad (1f)$$

139 where  $D/dt$  indicates the material derivative. Standard values for the di-  
140 mensional parameters in these equations are listed in Table 1.

141 On the ocean surface mixed boundary conditions are imposed, i.e. the  
142 surface temperature is restored to a profile  $T_S(\theta)$  and a freshwater flux  $F_S$  is  
143 prescribed as a virtual salinity flux. The reference temperature  $T_S(\theta)$  has a  
144 cosine profile with an amplitude of  $\Delta T = 20^\circ C$ ,

$$145 \quad T_S(\theta) = T_0 + \frac{\Delta T}{2} \cos \left( \pi \frac{\theta}{\vartheta_0^T} \right) \quad (2)$$

146 where  $\vartheta_0^T = 90^\circ N$ . The freshwater flux  $F_S$  (E - P) as a function of latitude  
147  $\theta$  is given by (see Fig. 1b):

$$148 \quad F_S = \mathcal{A} F_0 \left[ 1.2 \frac{\cos(\pi \frac{\theta}{\vartheta_0})}{\cos(0.2 \theta)} - 3 \exp \left( \left( \frac{\theta}{0.15 \vartheta_0} \right)^2 + 0.25 \left| \frac{\theta}{\vartheta_0} \right| + F_1 \frac{\theta}{\vartheta_0} \right) + F_1 \frac{\theta}{\vartheta_0} \right] + Q \quad (3)$$

150 where  $\mathcal{A} = 10 \text{ mm day}^{-1}$ . Furthermore,  $F_0$  is the dimensionless amplitude  
151 of the freshwater flux forcing,  $F_1$  controls the equatorial asymmetry of  $F_S$ ,  
152  $\vartheta_0 = 60^\circ N$  is the location of the midlatitude minimum of  $F_S$  and  $Q$  is the  
153 compensating constant flux to ensure salt conservation. No-slip and no-flux  
154 conditions are imposed on the lateral boundaries and bottom boundary.



155 The model is discretized as described in Weijer and Dijkstra [2001] with a  
 156 meridional resolution of  $3.75^\circ$  and 16 equidistant vertical layers of thickness  
 157  $250\text{ m}$ . To calculate exact (up to discretization error) steady-state solutions  
 158 directly as a function of one the parameters of the system (without any  
 159 time-stepping), we use pseudo-arclength continuation as described in Weijer  
 160 and Dijkstra [2001]. With this technique, also unstable solutions can be  
 161 determined (which cannot be reached with a time-marching technique) so  
 162 that a full bifurcation diagram<sup>2</sup> can be computed [Dijkstra, 2005]. In the  
 163 results below, we choose the strength of the freshwater flux  $F_0$  as the main  
 164 control parameter in the bifurcation diagram and fix the southern boundary  
 165 position  $\theta_S = 60^\circ\text{S}$ . The equatorial asymmetry of the freshwater forcing is  
 166 controlled by the parameter  $F_1$  and the continental asymmetry is controlled  
 167 by the northward extent  $\theta_N$ .

## 168 2.2 Symmetric hemispheric geometry

169 In the reference case, with  $\theta_N = -\theta_S$  and  $F_1 = 0$ , the basin is of equal size on  
 170 the northern and southern hemisphere and the freshwater flux is equatorially  
 171 symmetric. The different steady states found are shown in the bifurcation  
 172 diagram of Fig. 2a as the drawn curve. On the vertical axis, the sum of  
 173 the maximum ( $\Psi_+$ ) and minimum ( $\Psi_-$ ) value of the meridional overturning  
 174 streamfunction is plotted. When  $\Psi_+ + \Psi_- = 0$  the circulation is equatorially  
 175 symmetric and two solutions, one with sinking at the poles (indicated below  
 176 by TH) and one with sinking at the equator (the SA solution) with this  
 177 property are found. When  $\Psi_+ + \Psi_-$  is positive (negative), the northern  
 178 sinking cell is stronger (weaker) than the southern cell and the solutions are

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<sup>2</sup>In a bifurcation diagram, a scalar property of the solution of a model is plotted against a control parameter.

179 indicated by NPP (SPP), respectively.

180 In the pure thermal case ( $F_0 = 0$ ) a TH state is found (Fig. 2b), with  
181 sinking at the poles and upwelling at the equator. The two-cell TH over-  
182 turning circulation undergoes spontaneous symmetry breaking (at the pitch-  
183 fork bifurcation point  $P_1$ ) due to the salt-advection feedback [Dijkstra and  
184 Molemaker, 1997]. The other pitchfork bifurcation ( $P_2$ ) is associated with a  
185 symmetry breaking instability of the SA state. The stability of the different  
186 solutions is not indicated here, but can be found for example in Weijer and  
187 Dijkstra [2001]. For a certain interval of  $F_0$ , solutions coexist and there is  
188 a multiple equilibrium regime. For example, for  $F_0 = 0.2$  there are three  
189 solutions: an NPP (Fig. 2c) solution, an SPP solution and the (unstable)  
190 symmetric circulation (the branch connecting  $P_1$  and  $P_2$ ). The bifurcation  
191 diagram for this 2D symmetric reference case is qualitatively similar to that  
192 of the 3D model in Weijer and Dijkstra [2001].

193 When a linear profile is added to the reference surface freshwater flux  
194 ( $F_1 \neq 0$ ), the pitchfork bifurcations disappear and isolated branches (Fig. 2,  
195 dashed and dotted lines) appear. For example, when  $F_1 > 0$ , the South  
196 Atlantic receives more freshwater than in the reference case (see the dashed  
197 curve in Fig. 1b), deep sinking is inhibited in the southern part of the basin  
198 and hence the existence interval in  $F_0$  of the SPP solution decreases. The  
199 same occurs for the NPP solution when  $F_1 < 0$ . Therefore, an asymmetric  
200 forcing induces a preference for certain solutions (here NPP or SPP) which  
201 can be quantified by means of the length of the existence intervals of the  
202 stable solutions in the control parameter (here  $F_0$ ).

203 The existence intervals of the stable NPP solution for different  $F_1$  are  
204 indicated by the stars in the bifurcation diagram (Fig. 2). If for the symmetric  
205 case, the existence interval is indicated by  $I_0[NPP]$  and for the asymmetric

206 cases by  $I_{F_1}[NPP]$ , then the preference of the NPP solution is defined as

$$207 \quad P_{F_1}[NPP] = \frac{I_{F_1}[NPP]}{I_0[NPP]} \quad (4)$$

208 When the northern latitudes receive more precipitation (negative  $F_1$ ) the  
 209 NPP existence interval is smaller than  $I_0[NPP]$  and  $P_{F_1}[NPP] < 1$ . When  
 210 the northern latitudes become more evaporative (positive  $F_1$ ), the existence  
 211 interval of the NPP solution ( $I_{F_1}[NPP]$ ) becomes larger than  $I_0[NPP]$  and  
 212 hence  $P_{F_1}[NPP] > 1$ .

213 Using this concept of preference let us consider that of the NPP solution  
 214 with asymmetry parameter  $F_1 < 0$  and control parameter  $F_0$ . For this case,  
 215 it is found that  $P_{F_1}[NPP]$  is a near-linear function of  $F_1 < 0$  with slope  
 216  $s = 5.7$ . The critical value for  $F_{1c}$  for which the NPP solution disappears is  
 217  $F_{1c} = -0.19$  (it is just present for  $F_1 = -0.15$  in Fig. 2a). This indicates that  
 218 for  $F_1 < F_{1c}$ , there is no value of  $F_0$  anymore for which the NPP solution  
 219 exists. Similarly, we find that  $P_{F_1}[SPP]$  is linear for  $F_1 > 0$  with the same  
 220 slope and a critical value  $F_{1c} = 0.19$ .

221 To translate this back in a dimensional value of a north-south freshwater  
 222 flux asymmetry, we note that the difference is the freshwater flux between  
 223 the asymmetric ( $F_1 \neq 0$ ) and symmetric ( $F_1 = 0$ ) cases is given by

$$224 \quad F_S^d(\theta) = \mathcal{A}F_0F_1\frac{\theta}{\vartheta_0}, \quad (5)$$

225 When we choose a particular latitude  $\theta_*$  and consider the north-south differ-  
 226 ence in the freshwater flux, then we find

$$227 \quad F_S^d(\theta_*) - F_S^d(-\theta_*) = 2\mathcal{A}F_0F_1\frac{\theta_*}{\vartheta_0} \quad (6)$$

228 Using the reference value of  $F_0 = 0.2$  and  $\theta_* = 60^\circ$ , the freshwater asymmetry  
 229 due to  $F_1$  is proportional to  $2 \cdot 10 \cdot 0.2 \cdot F_1$  mm day<sup>-1</sup> and so the critical value  
 230 (for  $F_{1c} = -0.19$ ) corresponds to only 0.76 mm day<sup>-1</sup>.

### 2.3 Asymmetric geometry

To test the influence of the continental geometry on the preference of steady solutions in this model,  $\theta_N$  is shifted both northwards and southwards, while keeping  $\theta_S = 60^\circ\text{S}$  fixed and the freshwater flux symmetric ( $F_1 = 0$ ). In the bifurcation diagrams plotted in Fig. 3a it can be seen how the asymmetry in basin geometry affects the existence intervals in  $F_0$  of the different steady states. For example, the NPP solution exists for a larger range in  $F_0$  when the northern hemispheric basin extends more northward (Fig. 3a, dashed lines). Simultaneously, extending the basin more northward leads to smaller existence intervals for the SPP solution than for the reference case.

The reference existence interval of the NPP solution ( $I_0[NPP]$ , for  $\theta_S = \theta_N = 60^\circ\text{N}$ ) is indicated by the stars on the drawn black line (Fig. 3a). The existence intervals for different basin geometries,  $I_{\Delta\theta_N}[NPP]$  are also indicated in Fig. 3a through the stars on the drawn grey curves. It is found that the preference  $P_{\Delta\theta_N}[NPP]$  is again a linear function of  $\Delta\theta_N$  with a slope  $s = 0.044$  (per degree latitude). The critical value for  $\Delta\theta_N$  for which the NPP solution disappears is  $\Delta\theta_{N_c} = -22^\circ$  (or almost 6 grid-points southwards with respect to the reference case). This appears like a strong geometrical asymmetry compared to the  $0.76 \text{ mm day}^{-1}$  asymmetry in freshwater flux which was also sufficient to eliminate the NPP solution.

Meridional overturning streamfunctions of the TH, SPP and NPP solutions for the case that the northern boundary is shifted 2 grid points towards the south ( $\theta_N - 2$ , implying that the boundary of the shelf lies at  $52.5^\circ\text{N}$ ) are plotted in Fig. 3b-d. In absence of freshwater forcing ( $F_0 = 0$ ), the southern cell of the TH state (Fig. 3b) is much stronger than the northern cell, because it is forced by lower atmospheric temperatures at high latitudes. For the reference value of  $F_0 = 0.2$ , both the SPP (Fig. 3c) and NPP (Fig. 3d)

258 states exist, but the MOC of the SPP state is slightly stronger.

259 The results in this and the previous subsection show that when multiple  
260 equilibria exist in an equatorially symmetric situation, any asymmetric effect  
261 (in forcing and geometry) can give rise to preference of specific solutions. It  
262 requires about a  $22^\circ$  asymmetry in basin extent to eliminate one of the pole-  
263 to-pole solutions. A similar elimination is induced by about a  $0.76 \text{ mm day}^{-1}$   
264 difference between the freshwater forcing at  $60^\circ \text{ N}$  and  $60^\circ \text{ S}$ .

### 265 **3 Preference in a global ocean model**

266 With the notion of preference as explained in the previous section, we now  
267 turn to the steady state solutions of a global ocean-only model having a  
268 realistic continental geometry and bathymetry. As mentioned above four  
269 solutions were found for idealized two-basin configurations [Marotzke and  
270 Willebrand, 1991]. In Huisman et al. [2009], these solutions were labelled as  
271 C: Conveyor; IC: Inverse Conveyor; NS: Northern Sinking and SS: Southern  
272 Sinking. The issue addressed below is what remains of these solutions in a  
273 realistic global ocean geometry and a global asymmetric freshwater flux field.

#### 274 **3.1 Model formulation and freshwater forcing**

275 A fully-implicit steady state ocean model is used in which the three-dimensional  
276 flow is governed by the hydrostatic, primitive equations in spherical coordi-  
277 nates, on a global domain which includes full continental geometry as well as  
278 bottom topography [Weijer et al., 2003; Dijkstra and Weijer, 2005; Huisman  
279 et al., 2010]. The horizontal resolution of the model is about  $4^\circ$  (a  $96 \times 38$   
280 Arakawa C-grid on a domain  $[180^\circ \text{ W}, 180^\circ \text{ E}] \times [85.5^\circ \text{ S}, 85.5^\circ \text{ N}]$ ) and the grid  
281 has 12 non-equidistant levels in the vertical. The ocean flow is forced by

282 the annual-mean wind stress as given in Trenberth et al. [1989]. The up-  
283 per ocean is coupled to a simple energy-balance atmospheric model [Dijkstra  
284 and Weijer, 2005] in which only the heat transport is modeled (no moisture  
285 transport); there is no sea-ice component. The thermal forcing consists of an  
286 annual mean prescribed equatorially symmetric short wave heat flux. Details  
287 of the model formulation and its strengths and weaknesses (e.g., relatively  
288 high friction and diffusion coefficients) are discussed in Dijkstra and Weijer  
289 [2005].

290 The special feature of this fully-implicit model is, similar to the 2D  
291 model above, that it has the ability to solve the steady model equations  
292 directly without using any time-marching techniques, and determines the  
293 ‘true’ steady states (with zero model drift) of the system. An additional  
294 advantage of the steady state model is that full bifurcation diagrams can be  
295 calculated and that the steady solutions determined satisfy integrated (fresh-  
296 water) balances with a relative error smaller than 0.1%. Here, we use the  
297 same parameters setting and reference state as in Huisman et al. [2010].

298 When the model is forced by the satellite-based HOAPS [Andersson et al.,  
299 2010] freshwater flux (Fig. 4a) it is incapable of maintaining a Conveyor  
300 state; instead a Southern Sinking solution is found. The Conveyor state,  
301 with a strength of  $15 Sv$ , can be retrieved when  $0.14 Sv$  of freshwater is  
302 subtracted from the North Atlantic. However, the surface salinity field found  
303 in this Conveyor state (Fig. 4b) does not resemble the observed SSS due to  
304 deficiencies in the ocean model. Therefore, as in Hughes and Weaver [1994],  
305 we choose to start with a restoring boundary condition for the salinity and  
306 diagnose the freshwater flux needed to maintain this salinity field.

307 The reference solution (referred to as case L) is calculated by restoring  
308 the model to the Levitus SSS field  $S_L$  (Fig. 5a, [Levitus et al., 1994]) with

309 a restoring time scale of 75 days. In this case, we find a Conveyor solution  
 310 and diagnose the freshwater flux  $F_L$  from this steady state (Fig. 5c). The  
 311 mean  $E - P$  per unit area for the Atlantic Ocean (north of the equator and  
 312 excluding the Arctic Ocean north of  $74^\circ\text{N}$ ) is  $0.15 \text{ mm day}^{-1}$  (net evapora-  
 313 tion) and for the Pacific Ocean (also north of the equator) it is  $-0.26 \text{ mm}$   
 314  $\text{day}^{-1}$  (net precipitation). The flux  $F_L$  hence is strongly asymmetric with net  
 315 evaporation in the North Atlantic and net precipitation in the North Pacific  
 316 as is (qualitatively) consistent with present-day observations [Zaucker et al.,  
 317 1994; Talley, 2008; Andersson et al., 2010].

318 To create a freshwater flux with just the opposite east-west asymmetry,  
 319 a second case (referred to as InvL) is defined by restoring to the SSS field  
 320  $S_{InvL}$  (Fig 5b), which is obtained by interchanging the Atlantic and Pacific  
 321 SSS fields  $S_L$ . North of  $60^\circ\text{N}$ , zonally averaged values of the Levitus salinity  
 322 field were used as only a few data points are available. A new steady state  
 323 solution was computed from that of case L by defining the restoring salinity  
 324 field  $S = (1 - \alpha)S_L + \alpha S_{InvL}$ , again with a restoring time of 75 days, and  
 325 using a continuation in the parameter  $\alpha$  from zero to one. Also for case  
 326 InvL, the freshwater flux  $F_{InvL}$  is diagnosed (Fig. 5d). For this case, the  
 327 mean  $E - P$  per unit area is  $-0.17 \text{ mm day}^{-1}$  for the Atlantic and  $0.1 \text{ mm}$   
 328  $\text{day}^{-1}$  for the Pacific. Hence  $F_{InvL}$  and  $F_L$  have just an opposite east-west  
 329 asymmetry.

330 To choose a control parameter, we follow the commonly used method to  
 331 collapse the Atlantic MOC, usually referred to as ‘hosing’ [Rahmstorf et al.,  
 332 2005]. An additional freshwater flux  $F_S^p$  is added over the area  $(\phi, \theta) \in$   
 333  $[60^\circ\text{W}, 24^\circ\text{W}] \times [54^\circ\text{N}, 66^\circ\text{N}]$  (cf. Fig. 6b, inset) and is zero elsewhere. The  
 334 control parameter  $\gamma_{Atl}$  is the amplitude (in  $Sv$ ) of this freshwater flux  $F_S^p$  and

335 hence for each case, the total freshwater flux is given by

$$336 \quad F_S = F_S^d + \gamma_{Atl} F_S^p + Q, \quad (7)$$

337

338 where  $F_S^d$  is either  $F_L$  or  $F_{InvL}$  and  $Q$  is a compensating term to ensure a net  
339 zero freshwater flux over the total ocean surface.

## 340 **3.2 Results**

341 For both cases (L and InvL) the bifurcation diagrams (Fig. 6) show the  
342 maximum Atlantic (Fig. 6a) and Pacific (Fig. 6b) meridional overturning  
343 streamfunction versus the value of  $\gamma_{Atl}$  (in Sv). The upper branch of the  
344 bifurcation diagram for case L represents solutions with an Atlantic MOC  
345 having NADW formation. Under only the diagnosed freshwater flux field  
346 ( $\gamma_{Atl} = 0$ ), we find a Conveyor state (label C, Atlantic and Pacific MOC  
347 patterns in Fig. 7a) where the maximum of the Atlantic streamfunction  
348 ( $\Psi_{Atl}$ ) is  $14 Sv$ . The deep Pacific cell is on the strong side due to the large  
349 diffusivities in the model. Considerable effort was spent trying to find other  
350 isolated solutions, without succeeding, so it appears that the Conveyor state  
351 is the unique state of case L at  $\gamma_{Atl} = 0$ .

352 At  $\gamma_{Atl} = 0.23 Sv$  a saddle-node bifurcation is reached. In ‘hosing’ ex-  
353 periments this is the point where the Atlantic MOC collapses [Rahmstorf  
354 et al., 2005]. The lower branch of solutions corresponds to stable steady  
355 states without NADW formation and the second saddle-node bifurcation (at  
356  $\gamma_{Atl} = 0.12 Sv$ ) is related to the Atlantic MOC recovery in the ‘hosing’ ex-  
357 periments. Between the two saddle node bifurcation points a multiple equi-  
358 librium regime exists, where two different steady states are present under the  
359 same forcing conditions. The Pacific MOC is hardly affected by the change  
360 in  $\gamma_{Atl}$  and its pattern remains very similar to that in Fig. 7a. For large



361 values of  $\gamma_{Atl}$ , a SS state is found.

362 For the HOAPS freshwater flux, the bifurcation diagram was also calcu-  
363 lated in case L (not shown), having a very similar shape as the drawn curve in  
364 Fig. 6a. However, the two saddle-node bifurcation points are shifted to neg-  
365 ative values of  $\gamma_{Atl}$  with that on the lower branch located at  $\gamma_{Atl} = -0.14 Sv$ .  
366 For the reference state ( $\gamma_{Atl} = 0$ ) we hence find a Southern Sinking state.  
367 This reflects the deficiencies in the model to generate a global ocean circula-  
368 tion with a surface salinity field close to the Levitus one. However, when the  
369 freshwater flux is slightly adapted, for example by extracting 0.14 Sv from  
370 the Atlantic (see discussion Fig. 4 above), we effectively are using a value  
371  $\gamma_{Atl} = -0.14 Sv$  and hence a Conveyor state can again be found. In a way,  
372 one can correct for the model deficiencies by modifying the freshwater flux,  
373 which is exactly the reason for using the diagnosed flux  $F_S^d$  in Fig. 6.

374 For case InvL, the bifurcation diagram is shown as the grey curves in  
375 Fig. 6. The different freshwater flux has clearly decreased the existence  
376 interval (in  $\gamma_{Atl}$ ) of the C solution as the saddle node bifurcation on the  
377 upper branch has shifted to smaller values of  $\gamma_{Atl}$ . The C solution is now  
378 connected to the IC solution and a small isolated branch of the SS solution  
379 remains. Hence, under mixed boundary conditions there are at least two  
380 stable steady states for  $\gamma_{Atl} = 0$ . The first state (indicated by IC in Fig.  
381 6) has no formation of NADW and has sinking in the North Pacific (Fig.  
382 7b); this is the expected Inverse Conveyor state under the freshwater flux  
383 forcing of case InvL. The surprising result, however, is that there also exists  
384 a state with vigorous Atlantic overturning (indicated by label C in Fig. 6  
385 and shown in Fig. 7c). The C and IC states of case InvL are connected by  
386 a branch of unstable steady states. As can be seen, besides these solutions,  
387 there exists an isolated branch for case InvL, illustrating that even under

388 the realistic global geometry, there is a small parameter range where more  
389 than two stable steady states exist (remaining from the original four states  
390 in the totally symmetric setup [Marotzke and Willebrand, 1991]). As these  
391 solutions only exist for a small interval of  $\gamma_{Atl}$  they are not further discussed  
392 here.

393 Note that  $\gamma_{Atl} = 0$  is only an arbitrary reference value related to the equi-  
394 librium state which is determined under Levitus surface salinity conditions  
395 (from which the reference freshwater flux  $F_S^d$  is diagnosed). In the results  
396 above, it is hence important that there exists an interval of overlap of the  
397 conveyor solutions for both cases L and InvL and not that this interval should  
398 enclose  $\gamma_{Atl} = 0$ .

399 The different SSS fields for the circulation patterns of Fig. 7 are shown in  
400 Fig. 8. Due to the presence of NADW formation in the Atlantic, the SSS of  
401 the C solution in case InvL (Fig. 8c) is up to  $2 \text{ g/kg}$  larger than the surface  
402 salinity of solution IC (Fig. 8b), with maximum salinification in the northern  
403 North Atlantic. This gives rise to a SSS pattern in the Atlantic, caused by  
404 the circulation, that resembles the Levitus salinity field (Fig. 8a) even with  
405 a large net precipitation over the Atlantic.

406 The global SST difference between the C solutions (at  $\gamma_{Atl} = 0$ ) in case L  
407 and that in case InvL (Fig. 9a) shows that the North Atlantic is only slightly  
408 warmer in case L, while the North Pacific is up to  $1.5 \text{ }^\circ\text{C}$  cooler. The North  
409 Pacific is saltier in case InvL than in case L (Fig. 9b), apart from a region  
410 near the Asian continent. The North Atlantic is fresher in case InvL than in  
411 case L as can also be seen from Fig. 8. The SSS contrast between Atlantic  
412 and Pacific is smaller for the InvL (C) case than for the L (C) case, consistent  
413 with the weaker North Atlantic MOC, but there still is a SSS contrast with  
414 the northern North Atlantic being saltier than the northern North Pacific.

415 The interesting result is that apparently the Atlantic MOC (through the salt-  
416 advection feedback) can more efficiently transport salty subtropical waters  
417 northward than the Pacific MOC to allow for a C solution to exist in the  
418 case InvL.

## 419 **4 Summary, Discussion and Conclusions**

420 In this paper we have determined steady state solutions of a global ocean  
421 model for two very different surface freshwater flux patterns to investigate the  
422 effect of strong Pacific-Atlantic freshwater forcing asymmetries on the global  
423 ocean circulation. Complementary to the results in Hughes and Weaver  
424 [1994], de Boer et al. [2008] and Ferreira and Marshall [2010], we system-  
425 atically explored the steady solutions in parameter space and presented full  
426 bifurcation diagrams instead of performing a limited set of time integrations.  
427 In addition, the present-day ocean geometry and bathymetry are better rep-  
428 resented.

429 It needs to be stressed that the model used is very low-resolution and  
430 highly diffusive and has only a linear equation of state. In addition, the  
431 atmospheric model to which it is coupled is only an energy balance model,  
432 so it is not suited for quantitative studies of the global ocean circulation.  
433 However, we can study the effect of freshwater flux asymmetries on the global  
434 ocean circulation due to only the salt-advection feedback, as this mechanism  
435 is represented adequately in this model.

436 Although different equilibria of the MOC have been recently found in  
437 the FAMOUS model [Hawkins et al., 2011], it has been difficult in GCMs (as  
438 used in IPCC - AR4) to find off-states (Southern Sinking states) of the MOC.  
439 There are, however, two arguments based on recent results which indicate

440 that the Atlantic MOC may be much more sensitive to freshwater anomalies  
441 than these GCMs indicate. The first issue concerns the bias in the Atlantic  
442 freshwater budget of the GCMs as shown in Drijfhout et al. [2010]. Because  
443 of this bias, the equilibrium MOC states export salt out of the Atlantic  
444 basin and hence no transition to a collapsed state can occur [Huisman et al.,  
445 2010]. From observations (and also reanalysis results), the present-day MOC  
446 appears to export freshwater out of the Atlantic basin and hence an off-state  
447 may exist [Hawkins et al., 2011]. The second argument is that the strength  
448 of the ocean-atmosphere feedbacks is by far too weak to remove the multiple  
449 equilibrium regime as was recently shown in Den Toom et al. [2012].

450 Our main aim was to investigate the preference of the Conveyor (C) and  
451 Inverse Conveyor (IC) states under the different freshwater fluxes applied,  
452 where the concept of preference was defined using a two-dimensional model.  
453 The bifurcation diagrams in Fig. 6 shows that continental asymmetry is very  
454 effective in selecting equilibria as not many of the original 4 equilibria in the  
455 idealized two-basin case [Huisman et al., 2009] remain. Under the freshwater  
456 flux which is needed to maintain the Levitus SSS field, only the C state is  
457 found and the IC state is absent. The model has a multiple equilibrium  
458 regime, because in addition to the Conveyor, also the Southern Sinking (SS)  
459 solution is found for larger values of  $\gamma_{Atl}$ .

460 If the freshwater forcing were the dominant asymmetry in setting the  
461 pattern of the Conveyor (C) solution, one would expect to find only the  
462 Inverse Conveyor (IC) state under the freshwater flux which is needed to  
463 maintain the inverse Levitus SSS (case InvL). However, we find two stable  
464 equilibria in this case: the IC state state (with North Pacific sinking) and a  
465 weaker (than in the Levitus case) C state (with North Atlantic sinking). This  
466 means that, with a freshwater flux giving net precipitation (evaporation) over

467 the Atlantic (Pacific) there still exists a C state. The existence of a weak  
468 C state is remarkable as it shows that the continental geometry possibly  
469 plays a substantial role in the preference of the different MOC solutions with  
470 respect to changes in freshwater flux. The physics is, as suggested by de Boer  
471 et al. [2008], that the Atlantic is more efficient to transport subtropical salty  
472 water northwards than the Pacific and hence provides a stronger response to  
473 asymmetries through the salt-advection feedback.

474 From the results of this global ocean model, admittedly with all its limi-  
475 tations, we therefore find that other factors than the freshwater flux asymme-  
476 try likely play a role to produce the Atlantic-Pacific asymmetric overturning.  
477 The asymmetry in the surface freshwater flux pattern will certainly affect  
478 the global ocean circulation but it cannot be dominantly responsible for the  
479 preference of the North Atlantic deep water formation. Our results point  
480 to the impact of continental asymmetries inducing a preference for Atlantic  
481 sinking. However, because we did not determine the possible global equilib-  
482 ria under deformation of the present-day ocean geometry we are not able to  
483 indicate which aspects of the geometry are most affecting this selection of  
484 equilibria; this is left for further study.

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Parameter	Meaning	Value	Dimension
$r_0$	radius of the earth	$6.4 \cdot 10^6$	m
$\rho_0$	reference density	$1.0 \cdot 10^3$	kg m <sup>-3</sup>
$D$	open ocean depth	4000	m
$g$	gravitational acceleration	9.8	ms <sup>-2</sup>
$A_V$	vertical viscosity	$1.0 \cdot 10^{-3}$	m <sup>2</sup> s <sup>-1</sup>
$A_H$	horizontal viscosity	$2.2 \cdot 10^{12}$	m <sup>2</sup> s <sup>-1</sup>
$K_V$	vertical diffusivity	$1.0 \cdot 10^{-4}$	m <sup>2</sup> s <sup>-1</sup>
$K_H$	horizontal diffusivity	$1.0 \cdot 10^3$	m <sup>2</sup> s <sup>-1</sup>
$\alpha_T$	compressibility of heat	$10^{-4}$	K <sup>-1</sup>
$\alpha_S$	compressibility of salt	$7.6 \cdot 10^{-4}$	-
$S_0$	reference salinity	35	g kg <sup>-1</sup>
$T_0$	reference temperature	15	K

Table 1: Standard values of parameters used in the numerical calculations.

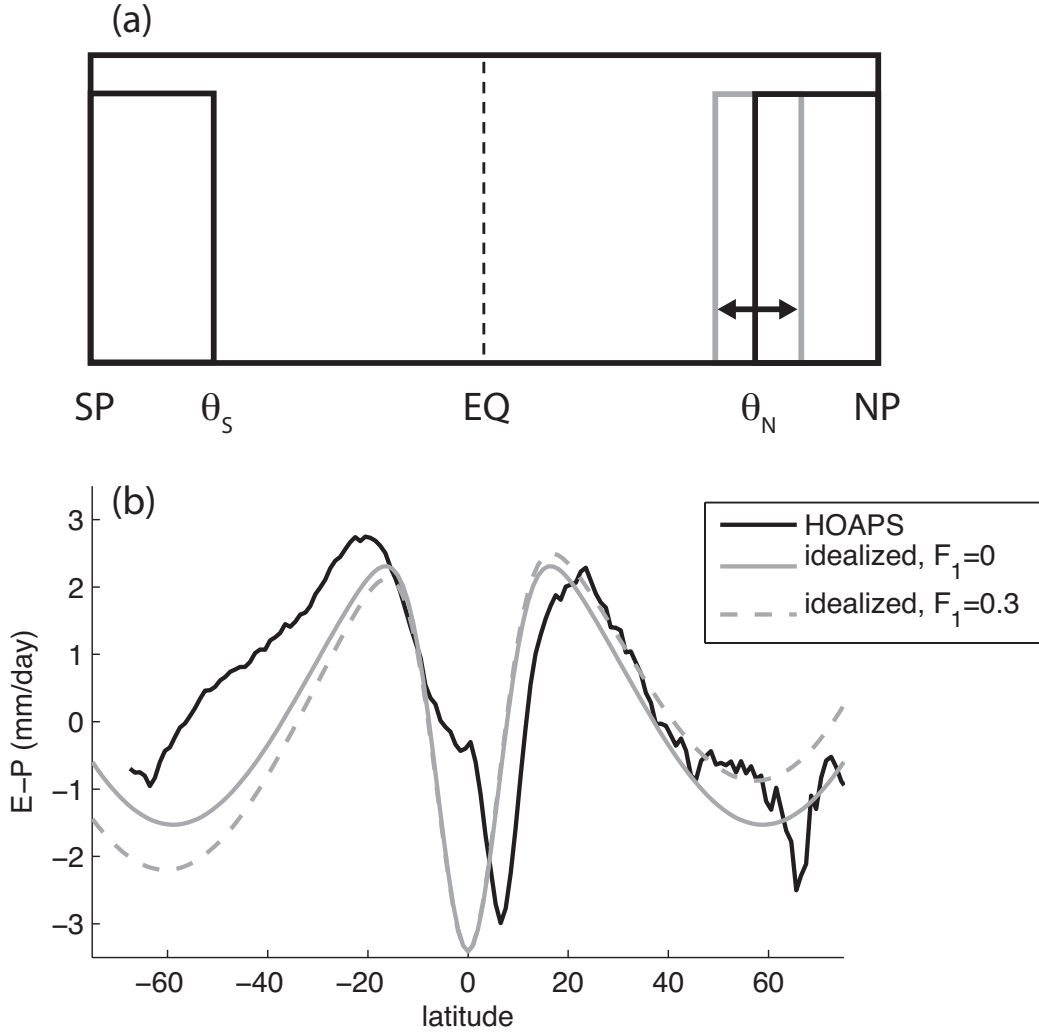


Figure 1: (a) Basin setup with a model domain bounded by latitudes between  $75^\circ S$  and  $75^\circ N$ . The shallow regions are only 500 m deep with fixed latitude  $\theta_S = 60^\circ S$  and where  $\theta_N$  is variable. (b) Freshwater flux ( $F_S$ , E-P) from data (zonally averaged from the HOAPS dataset [Andersson et al., 2010]) and idealized profiles for  $\mathcal{A} = 10 \text{ mm day}^{-1}$ ,  $F_0 = 0.225$  and  $F_1 = 0$  (drawn grey) and  $F_1 = 0.3$  (dashed grey). The profiles have a zero surface integral. Values in  $\text{mm day}^{-1}$ .

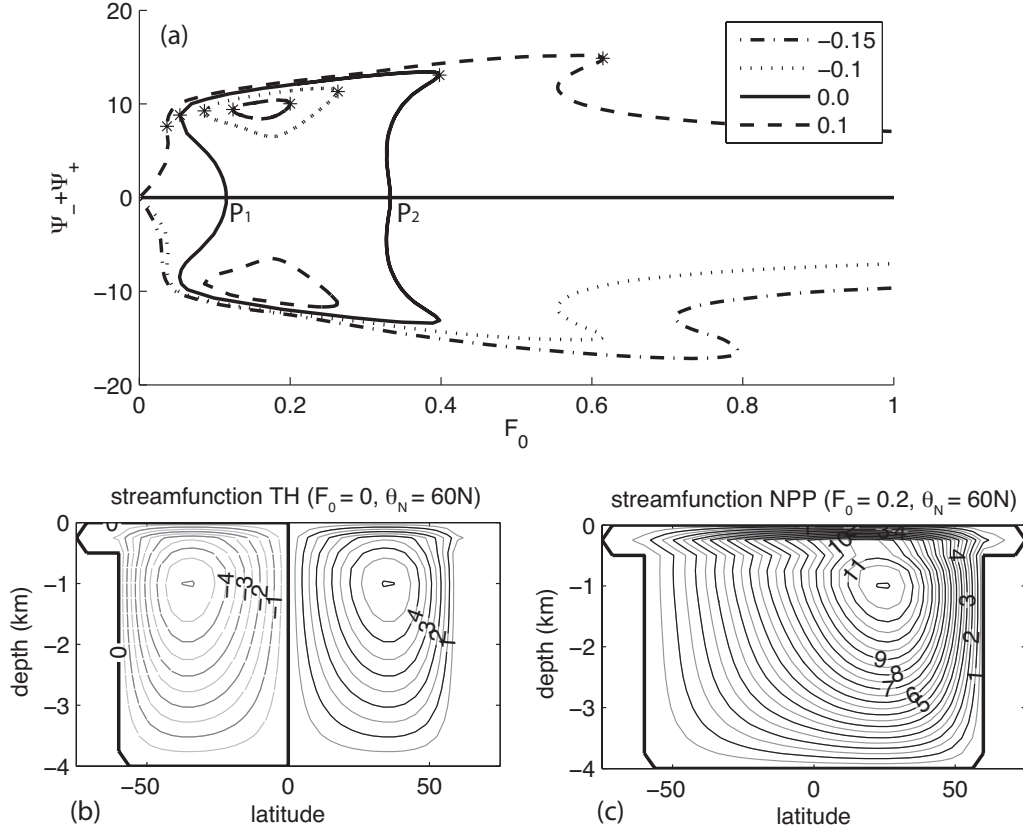


Figure 2: (a)  $\Psi_- + \Psi_+$  (Sv) against the amplitude parameter  $F_0$  in the freshwater flux for symmetric hemispheres. Line styles indicate different values of the asymmetry parameter  $F_1$  and the stability of the solutions is not indicated.  $P_1$  and  $P_2$  are the pitchfork bifurcation points associated with the symmetry breaking in the TH and SA solutions. Stars mark the existence interval of the stable NPP solution ( $I_{F_1}[\text{NPP}]$ ). (b-c) Meridional overturning streamfunction (Sv) for solutions at the drawn branch in (a) for the symmetric freshwater forcing ( $F_1 = 0$ ). (b) TH state ( $F_0 = 0$ ) and (c) NPP state ( $F_0 = 0.2$ ). Values in Sverdrup.



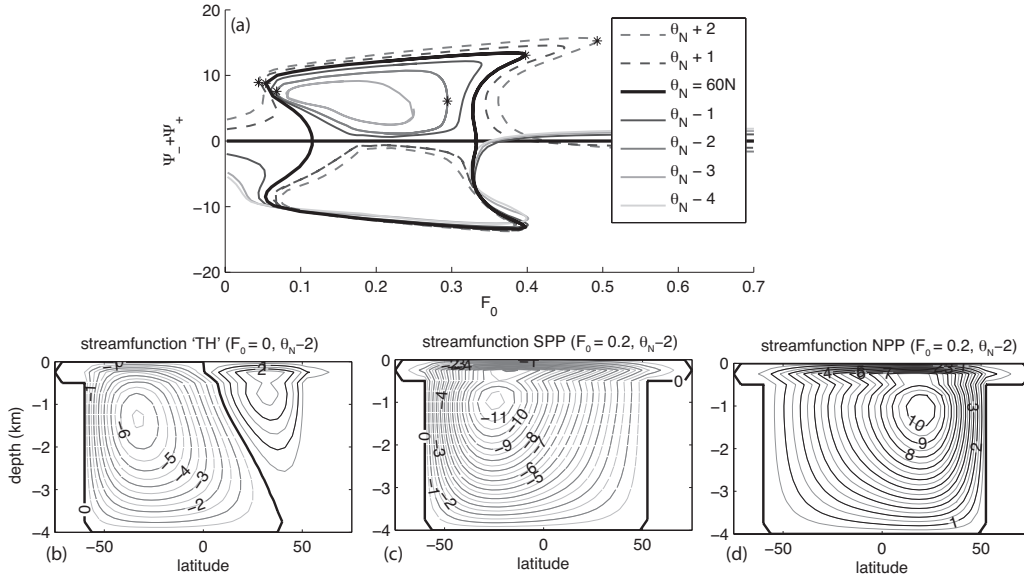


Figure 3: (a)  $\Psi_- + \Psi_+$  (Sv) versus the freshwater forcing amplitude  $F_0$  for different values of  $\theta_N$ , where +1 (-1) means one grid-point ( $3.75^\circ$ ) more northwards (southwards). Stars mark the existence interval of the NPP solution ( $I_{\theta_N}[\text{NPP}]$ ). The asymmetry parameter  $F_1 = 0$ . (b-d) Meridional overturning streamfunctions ( $Sv$ ), with asymmetric hemispheres ( $\theta_N - 2$ , where -2 again denotes 2 gridpoints, i.e.  $\mathcal{R}$ , equatorward) and symmetric freshwater forcing ( $F_1 = 0$ ). (b) TH state ( $F_0 = 0$ ); (c) SPP state ( $F_0 = 0.2$ ); (d) NPP state ( $F_0 = 0.2$ ). Note that the SPP and NPP states are not of the same strength, because the extent of the northern hemisphere is smaller than the southern hemisphere.

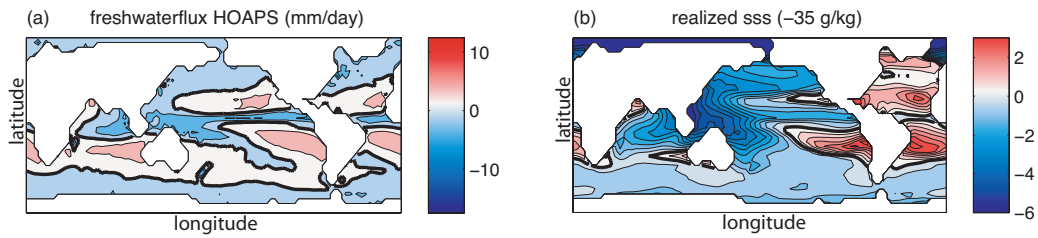


Figure 4: (a) Surface freshwater flux ( $\text{mm day}^{-1}$ ) adapted for the model grid from the HOAPS data set [Andersson et al., 2010]; (b) surface salinity fields ( $-35 \text{ g kg}^{-1}$ ) realized for the Conveyor solution under the flux from (a). This Conveyor solution is found when  $0.14 \text{ Sv}$  of freshwater is subtracted from the North Atlantic.

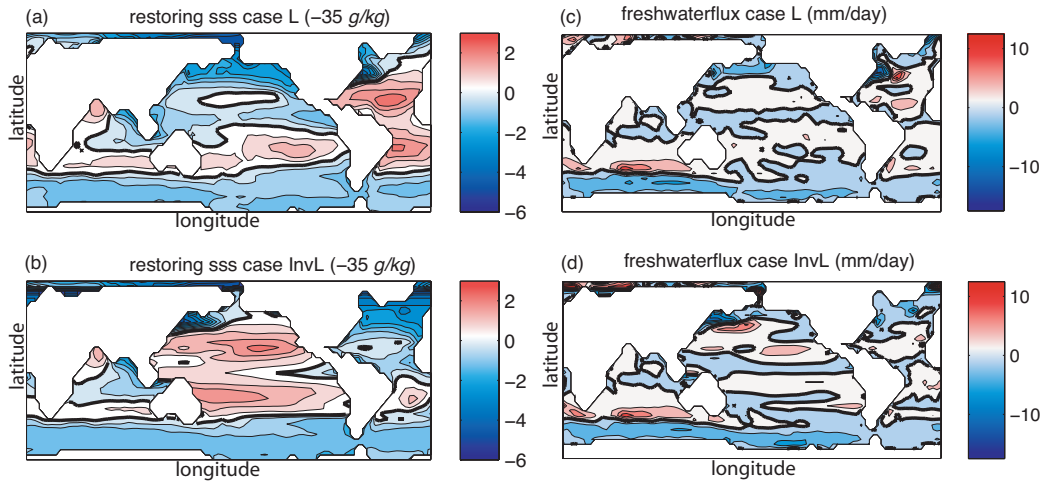


Figure 5: (a-b) Surface salinity fields ( $-35 \text{ g kg}^{-1}$ ) used for restoring forcing and diagnosed freshwater fluxes ( $\text{mm day}^{-1}$ ) in the two experiments. (a) Levitus salinity field  $S_L$  [Levitus et al., 1994]; (b) Inverse Levitus salinity field  $S_{InvL}$ ; this field is obtained by interchanging the Atlantic and Pacific SSS of the field under (a).  $S_{InvL}$  is corrected with a constant factor (of  $0.2 \text{ g/kg}$ ), surface integral of salinity remains unchanged. (c) diagnosed freshwater flux  $F_L$  for cases L and (d)  $F_{InvL}$  for case InvL. The large scale patterns are represented: net precipitation around the equator and at high latitudes, and net evaporation in the subtropics.

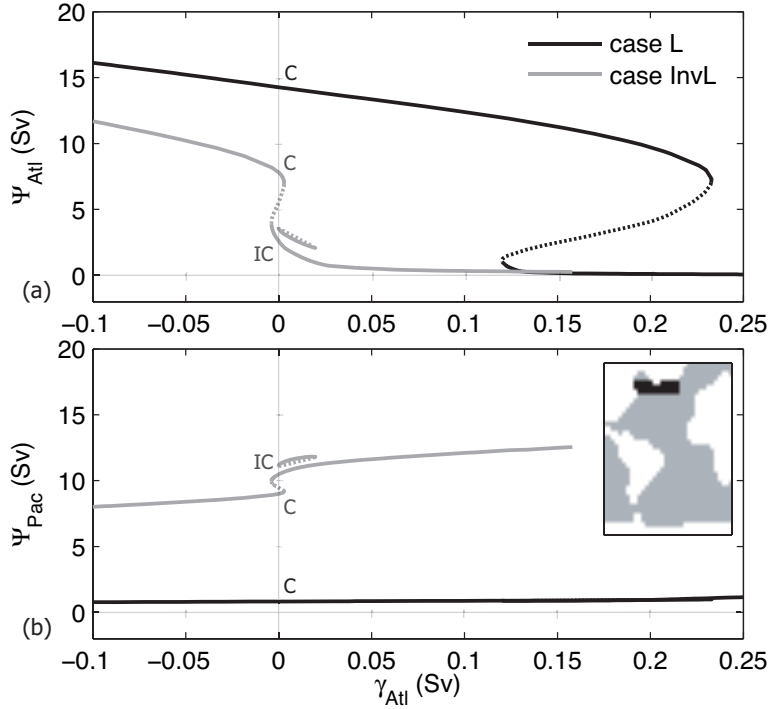


Figure 6: *Bifurcation diagram under mixed boundary conditions in which the maximum value of the meridional overturning streamfunction in (a) the Atlantic ( $\Psi_{Atl}$ ) and (b) the Pacific ( $\Psi_{Pac}$ ) are plotted versus the amplitude of the freshwater forcing in the North Atlantic ( $\gamma_{Atl}$ , the area over which the flux is changed is shown in the inset). Both streamfunction values are the maximum values below 500 m. Drawn (dotted) branches represent stable (unstable) steady states. The labels refer a Conveyor type solution (C) and an Inverse Conveyor type solution (IC), of which the streamfunctions are plotted in Fig. 7. In (a) the isolated branch for case InvL is shifted upwards with 1 Sv, to make it visible.*

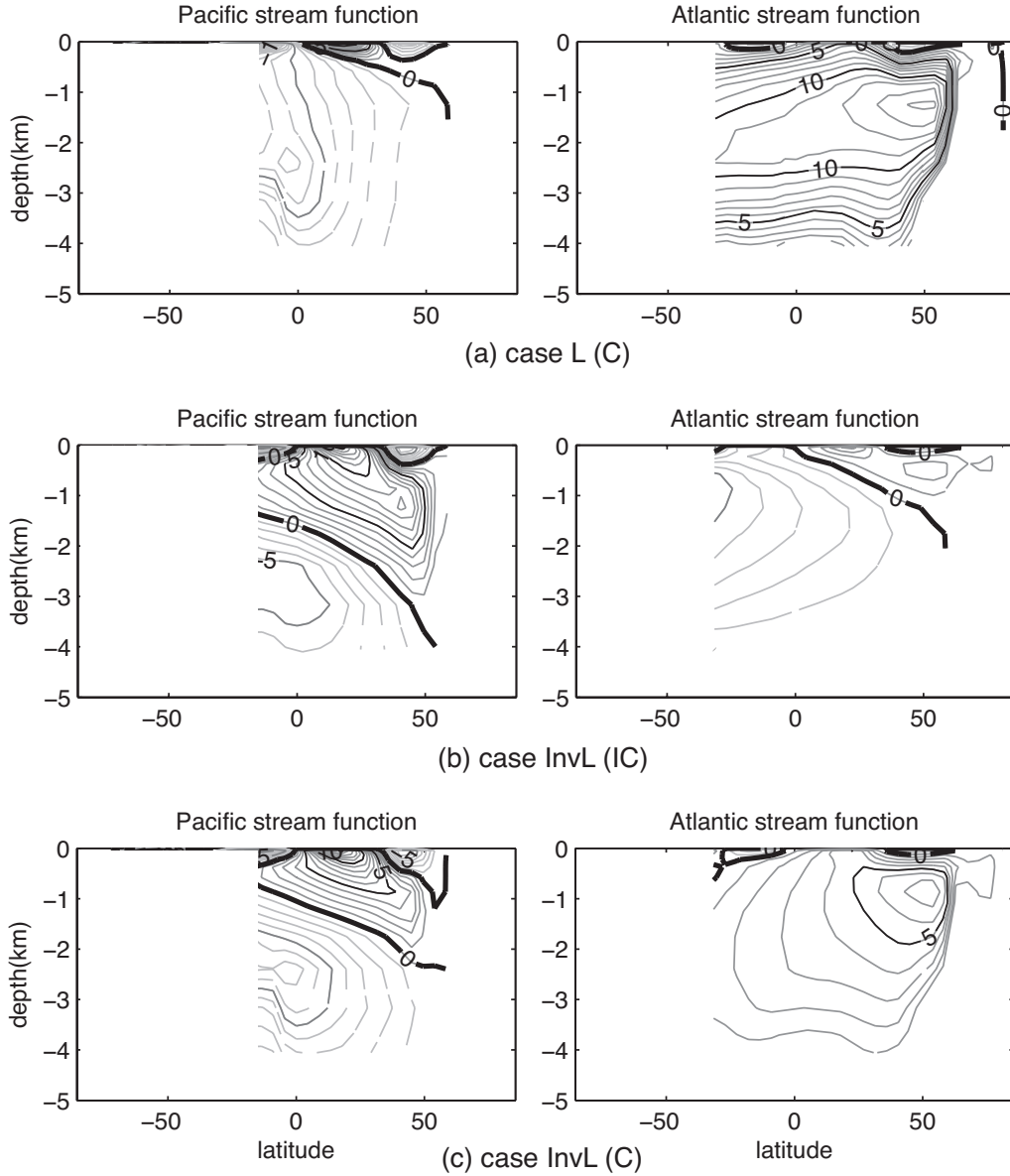


Figure 7: Meridional overturning streamfunction in Pacific (left) and Atlantic (right), under mixed boundary conditions for  $\gamma_{Atl} = 0$  in Fig. 6. (a) Conveyor type solution (C) for case L with deep water formation confined to the North Atlantic; (b) Inverse Conveyor type solution (IC) for case InvL with deep water formation in the North Pacific. (c) Conveyor type solution (C) for case InvL with deep water formation in the North Atlantic.

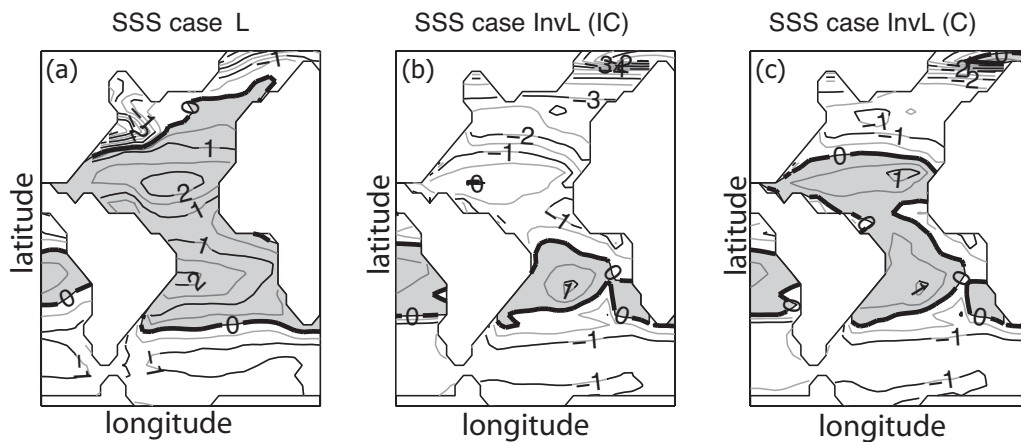


Figure 8: *SSS realized under mixed boundary conditions (for solutions with  $\gamma_{Atl} = 0$ ). The presence of the overturning cell in the Conveyor type solution (C) for case InvL (c) provides a salinification of the Atlantic up to 2psu with respect to the Inverse Conveyor type solution (IC) without NADW formation (b). For reference also the SSS for the Conveyor type solution (C) in case L is shown (a).*

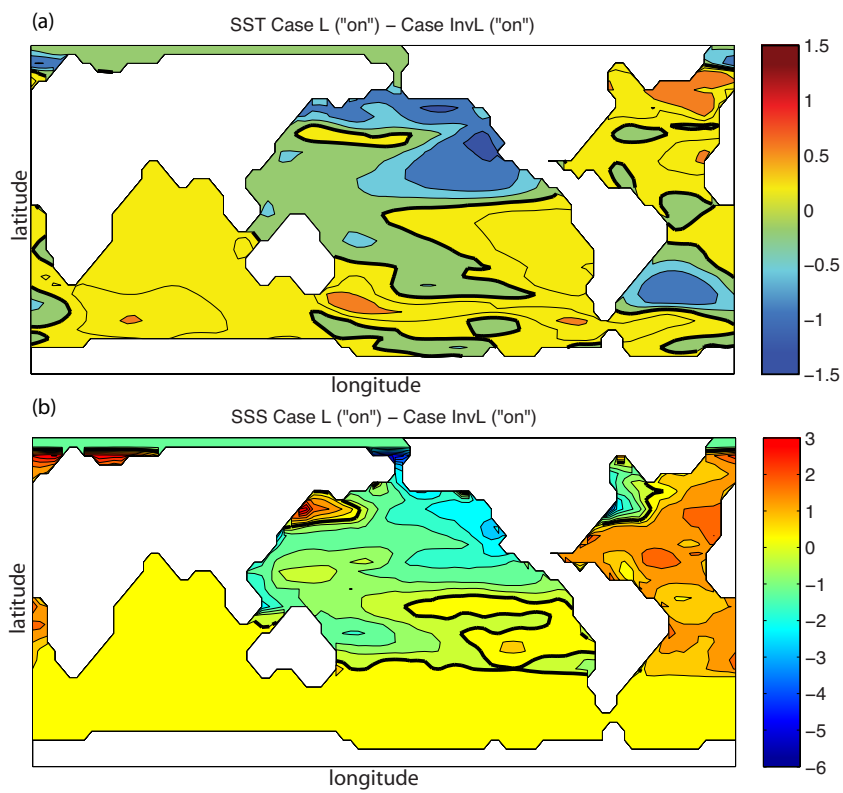


Figure 9: (a) SST ( $^{\circ}\text{C}$ ) and (b) SSS (psu) difference between the Conveyor type solutions (C) for the cases L and InvL (at  $\gamma_{Atl} = 0$ ).