

# Largest and Smallest Convex Hulls for Imprecise Points

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# Largest and Smallest Convex Hulls for Imprecise Points <sup>\*</sup>

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## Abstract

Assume that a set of imprecise points is given, where each point is specified by a region in which the point may lie. We study the problem of computing the smallest and largest possible convex hulls, measured by length and by area. Generally we assume the imprecision region to be a square, but we discuss the case where it is a segment or circle as well. We give polynomial time algorithms for several variants of this problem, ranging in running time from  $O(n \log n)$  to  $O(n^{13})$ , and prove NP-hardness for some other variants.

## 1 Introduction

In computational geometry, many fundamental problems take a point set as input on which some computation is done, for example to determine the convex hull, the Voronoi diagram, or a traveling sales route. These problems have been studied for decades. The vast majority of research assumes the locations of the input points to be known exactly. In practice, however, this is often not the case. Coordinates of the points may have been obtained from the real world, using equipment that has some error interval, or they may have been stored as floating points with a limited number of decimals. In real applications, it is important to be able to deal with such imprecise points.

When considering imprecise points, various interesting questions arise. Sometimes it is sufficient to know just a possible solution, which can be achieved by just applying existing algorithms to some point set that is possibly the true point set. More information about the outcome can be obtained by computing a probability distribution over all possibilities, for example using Monte Carlo methods and a probability distribution over the input points. In many applications it is also important to know concrete lower and upper bounds on some measure on the outcome, given concrete bounds on the input: every point is known to be somewhere in a prescribed region.

### 1.1 Related Work

A lot of research about imprecision in computational geometry is directed at computational imprecision rather than data imprecision. Regarding data imprecision, there is a fair amount of work that uses stochastic or fuzzy models of imprecision. Alternatively, an exact model of imprecision can be used.

Nagai and Tokura [20] compute the union and intersection of all possible convex hulls to obtain bounds on any possible solution. As imprecision regions they use circles and convex polygons,

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and they give an  $O(n \log n)$  time algorithm. They also study the Minkowski sum of convex polygons and the diameter of a point set. Ostrovsky-Berman and Joskowicz [22] study the union of all possible convex hulls when the imprecision of the points is not independent, but the points depend linearly on a limited number of parameters.

Epsilon Geometry is a framework for robust computations on imprecise points. Guibas *et al.* [15] define the notion of *strongly convex* polygons: polygons that are certain to remain convex even if the input points are perturbed within a disc of radius  $\varepsilon$ . They define an  $\varepsilon$ -convex  $\delta$ -hull of a point set  $P$  to be a polygon with points of  $P$  as vertices that is convex even when the points move over a distance  $\varepsilon$ , yet has all points of  $P$  at most  $\delta$  away from its boundary. They show that such a hull always exists when  $\delta \geq 2\varepsilon$ , and give an  $O(n^3 \log n)$  algorithm to compute it. Related results are given in [4, 9, 17].

Abellanas *et al.* [1] define the *tolerance* of a geometric structure as the largest perturbation of the vertices such that the topology of the structure is guaranteed to stay the same. They focus mainly on the planar Delaunay triangulation, and show that its tolerance can be computed in linear time. They also study several subgraphs of the Delaunay triangulation.

On the other hand, Bandyopadhyay and Snoeyink [2] study the possible changes in topology for a fixed maximum perturbation  $\varepsilon$ . A triangle (or simplex in higher dimensions) with vertices in some point set is called *almost Delaunay* when a perturbation of the set of at most  $\varepsilon$  exists, such that the circumcircle of the perturbed triangle does not contain any other points. They show applications to the problem of folding proteins.

Khanban and Edalat [16] want to compute the Delaunay triangulation of a set of imprecise points, modeled as rectangles. They do this by defining the *in-circle test*, a test that decides whether a point is inside the circle through three other points, on imprecise points.

Boissonnat and Lazard [5] study the problem of finding the shortest convex hull of bounded curvature that contains a set of points, and they show that this is equivalent to finding the shortest convex hull of a set of imprecise points modeled as circles that have the specified curvature (see also Section 2.2). They give a polynomial time approximation algorithm.

Goodrich and Snoeyink [13] study a problem where they are given a set of parallel line segments, and must choose a point on each segment such that the resulting point set is in convex position. This can be seen as a convexity test for points with one-dimensional imprecision. They present an algorithm that finds a solution, if it exists, in  $O(n \log n)$  time. They also show how to minimize the area or perimeter of the polygon in  $O(n^2)$  time.

The problem of finding the shortest tour for a set of imprecise points when the order is not fixed, has been studied before and is generally called the Traveling Salesman Problem with Neighborhoods, or (Planar) Group-TSP. This problem is known to be NP-hard. Mata and Mitchell [19] give a constant factor approximation algorithm for some region models; additional results can be found in [7, 24].

Given a sequence of  $k$  polygons with a total of  $n$  vertices, Dror *et al.* [10] study the problem of finding a tour that touches all of them in a given order and that is as short as possible. They give an  $O(nk \log(n/k))$  algorithm when the input polygons are disjoint and convex, and prove that the problem is NP-hard for non-convex polygons. Higher dimensions are considered in [23].

Fiala *et al.* [12] consider the problem of finding distant representatives in a collection of subsets of a given space. Translated to our setting, they prove that maximizing the smallest distance in a set of  $n$  imprecise points, modeled as circles or squares, is NP-hard. Finally, we mention de Berg *et al.* [8] for a problem with data imprecision motivated from computational metrology, Cai and Keil [6] for visibility in an imprecise simple polygon, Sellen *et al.* [25] for precision sensitivity, and Yap [27] for a survey on robustness, which deals with computational imprecision rather than data imprecision.

Table 1: Results. The ‘convex position’ restriction means that the endpoint of the input segments are in convex position.

goal	measure	model	restrictions	running time
largest	area	line segments	parallel	$O(n^3)$
largest	area	line segments	non-intersecting, convex position	$O(n^3)$
largest	area	line segments	-	NP-hard
largest	area	squares	non-intersecting	$O(n^7)$
largest	area	squares	non-intersecting, equal size	$O(n^3)$
largest	area	squares	equal size	$O(n^5)$
largest	perimeter	line segments	parallel	$O(n^5)$
largest	perimeter	line segments	-	NP-hard
largest	perimeter	squares	non-intersecting	$O(n^{10})$
largest	perimeter	squares	equal size	$O(n^{13})$
smallest	area	line segments	parallel	$O(n \log n)$
smallest	area	squares	-	$O(n^2)$
smallest	perimeter	line segments	parallel	$O(n \log n)$
smallest	perimeter	squares	-	$O(n \log n)$

## 1.2 Problem Definition

All in all there has been little structured research into concrete bounds on the possible outcomes of geometric problems in the presence of data imprecision. When placing a traditional problem that computes some structure on a set of points in this context, two important questions arise:

1. What are imprecise points? That is, what are the restrictions on the input of the problem?
2. What are bounds on the outcome? That is, what kind of restrictions on the output of the problem do we want to infer from this?

The first question is what we are given. We model imprecise points by requiring the points to be inside some fixed region, without any assumption on where exactly in their regions the points are, but with absolute certainty that they are not outside their regions. The question then arises what shape these regions should be given. Some natural choices are the square and circular region. The square model for example occurs when points have been stored as floating point numbers, where both the  $x$  and  $y$  coordinates have an independent uncertainty interval, or with raster to vector conversion. The circular model occurs when the point coordinates have been determined by a scanner or by GPS, for example. Other models that may be interesting include the line segment model, the rectangle model, the regular  $k$ -gon model, the discrete point set model, or the Voronoi model (where the cells are the imprecision regions), mostly from a theoretical point of view. Another question is what kind of restrictions we impose on those regions. For example, all points can have the same kind of shape, but are they all of the same size? Do they have the same orientation? Can we assume they are disjoint?

The second question is what we actually want to know. Geometric problems usually output some complex structure, not just a number, so a measure on this structure is needed. For example, the convex hull of a set of points can be measured by area or perimeter, or maybe even other measures in some applications. Once a measure has been established, the question is whether an upper or a lower bound is desired, or both.

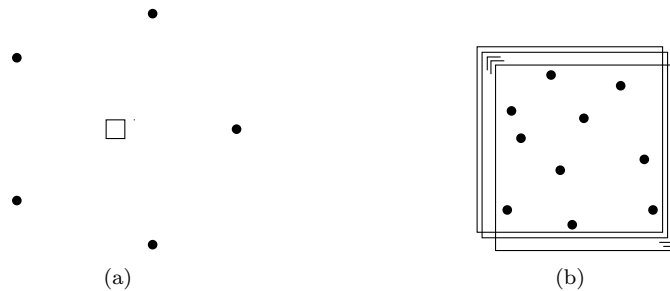


Figure 1: (a) It is algebraically difficult to find the minimal MST. (b) It is combinatorially difficult to find the minimal MST.

### 1.3 Results

All these questions together lead to a large class of problems that are all closely related to each other. This paper aims to find out how exactly they are related, which variants are easy and which are hard to compute, and to provide algorithms for the problems that can be solved in polynomial time. Since this type of problem has hardly been studied, we consider the classical planar convex hull problem.

We studied various variants of this problem, and our results are summarized in Table 1. These results are treated in detail in Sections 3, 4 and 5. First, in the next section, some related issues are discussed.

## 2 Preliminaries

Before the main results are treated, we discuss some difficulties that occur when dealing with imprecise points. First we look at the Euclidean Minimum Spanning Tree problem for imprecise points, and then we take a closer look at the circular region model for imprecision.

### 2.1 Minimum Spanning Tree

To get an idea of how imprecision affects the complexity of geometric problems, consider the Minimum Spanning Tree (MST) problem in an imprecise context. In this case, we have a collection of imprecise points, and we want to determine the MST of, for example, minimal length. This means that we want to choose the points in such a way that the MST of the resulting point set is as small as possible. This problem is difficult in two different senses. It is combinatorially difficult to find the structure of the optimal solution, but even when we know the structure it is algebraically difficult to find the exact locations of the points.

Consider the input in Figure 1(a). It consists of five fixed points and one imprecise point (in the square model, but it could also be a circle or something else). No matter where the point is chosen in this square, the MST of the resulting set will connect all of the fixed points to the imprecise point. Thus the problem reduces to minimizing the sum of the distances from the imprecise point to the fixed points, and this requires finding roots of high degree polynomials, which is an algebraically difficult problem [3].

But even when we disregard the algebraic problems, the problem is still difficult. We can prove that it is NP-hard by reduction from the Steiner Minimal Tree problem. Given a set of  $n$  fixed points  $P$  in the plane, we can compute its Steiner Tree using a solution to the imprecise MST problem as follows. Take the set  $P$  as precise points, and add a set  $P'$  of  $n - 2$  imprecise points

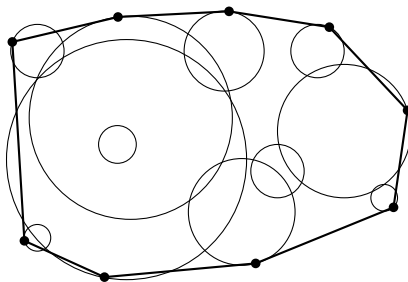


Figure 2: The largest area convex hull for a set of circles.

whose regions are squares or circles that contain  $P$ , see Figure 1(b). The shortest MST of  $P \cup P'$  is the Steiner Minimal Tree of  $P$ .

## 2.2 Circular Model

Perhaps the most natural way of modeling imprecision is by allowing every point to be inside a circular region. The convex hull problem then becomes:

**Problem 1** *Given a set of circles, choose a point inside each circle such that the area/perimeter of the convex hull of the resulting point set is as large/small as possible (see Figure 2).*

Two difficulties are introduced by the circularity. The first difficulty is that the combinatorial complexity of the problem increases. In the case of the square model we can use the notion of extreme points in some directions. With circles this is not possible since there are no special directions any more. The second difficulty is of an algebraic kind. Even when we know which circles have to be chosen to obtain the largest/smallest area/perimeter, it is not easy to find out where exactly in the circles the points should be.

One special case of this problem has been studied before. For the problem of finding the smallest perimeter for a set of unit size circles, Boissonnat and Lazard [5] show that this problem can be approximated in polynomial time. The question of whether it can be solved exactly in polynomial time is left open, and has to our knowledge not yet been answered. The same problem for smallest area is also stated as an open problem in [5].

One remark to make here is that given the algebraic complexity of the problem, one could argue that an exact solution cannot be computed. For example in the case of the smallest perimeter, even in a simple situation with only three circles, the coordinates of the optimal points within the circles will generally be roots of some polynomials of degree six. These roots cannot be computed exactly, only approximated. With this idea in mind, one could say that an approximation is the best we can get in any case, and therefore a good polynomial time approximation is a good solution.

## 3 Largest Convex Hull

We now present our results on the imprecise convex hull problem. This section deals with computing the largest possible convex hull, the smallest convex hull is treated in the next section. We first use the line segment model, where every point can be anywhere on a line segment. This problem does not have much practical use, but it will be extended to the square model later.

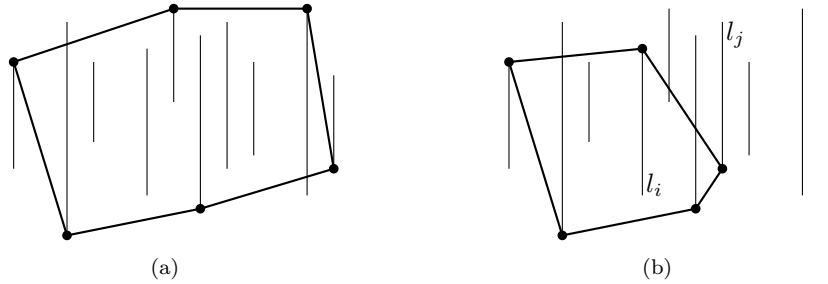


Figure 3: (a) The largest convex hull for a set of line segments. (b) The polygon  $p_{ij}$ .

### 3.1 Line Segments

The problem we discuss in this section is the following:

**Problem 2** *Given a set of parallel line segments, choose a point on each line segment such that the area of the convex hull of the resulting point set is as large as possible (see Figure 3(a)).*

#### 3.1.1 Observations

First we will show that we can ignore the interiors of the segments in this problem, that is, we only have to consider the endpoints.

**Lemma 1** *There is an optimal solution to Problem 2 such that all points are chosen at endpoints of the line segments.*

**Proof:** Suppose there exists a set of points  $P$  that has one point on every segment, has maximal area, and a minimal number of points that are not at an endpoint of their segments, and yet contains a point  $p$  that is not at an endpoints of its segment. If  $p$  is not a vertex of the convex hull, just move it to one of the endpoints of its segment. The new convex hull will be of equal or larger size, contradicting the choice of  $P$ .

If  $p$  is a vertex of the convex hull, and we move it over its segment, the area of the hull changes as a linear function. The maximum of this function is at one of the endpoints. Move  $p$  to this endpoint, and the area of the hull increases. It is possible that the hull is no longer convex or that some points of  $P$  no longer lie within the hull, but correcting this can only increase the area of the hull further. Once again we have a contradiction with the choice of  $P$ . We conclude that  $P$  does not exist, and the lemma is proven.  $\square$

Note that the lemma does not make use of the restriction that the segments are parallel, and also applies to general sets of line segments. From now on however, we do enforce this restriction. Without loss of generality, we assume the segments to be oriented vertically.

#### 3.1.2 Algorithm

Let  $L = \{l_1, l_2, \dots, l_n\}$  be a set of  $n$  line segments, where  $l_i$  lies to the left of  $l_j$  if  $i < j$ . Let  $l_i^+$  denote the upper endpoint of  $l_i$ , and  $l_i^-$  denote the lower endpoint of  $l_i$ . Now we need to pick one of each pair of endpoints to determine the largest area convex hull. We use a dynamic programming algorithm that runs in  $O(n^3)$  time and  $O(n^2)$  space. The key element of this algorithm is a polygon which is defined for each pair of line segments.

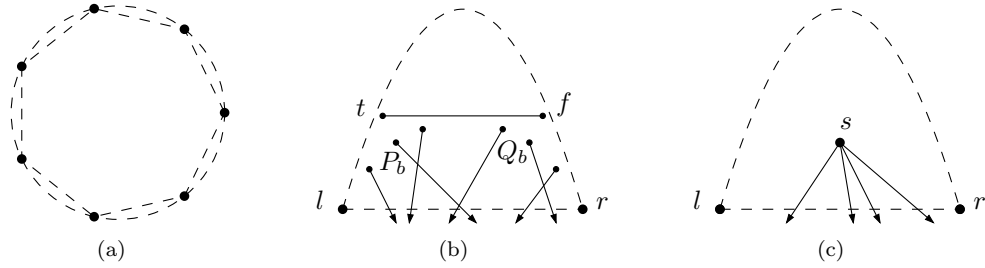


Figure 4: (a) The division of the circle into independent arcs. (b) A variable. (c) A clause.

For  $i \neq j$ , we consider the polygon  $P_{ij}$  that starts at  $l_i^+$  and ends at  $l_j^-$ , and optimally solves the subproblem to the left of these points, that is, contains only vertices  $l_k^+$  with  $k < i$  or  $l_k^-$  with  $k < j$ , but not both for the same  $k$ , such that the area of the polygon is maximal, see Figure 3(b). Note that  $P_{ij}$  will be convex.

Now, we will show how to compute all  $P_{ij}$  using dynamic programming. The solution to the original problem will be either of the form  $P_{kn}$  or  $P_{nk}$  for some  $0 < k < n$ , and can thus be computed in linear time once all  $P_{ij}$  are known.

When  $1 < i < j$ , then we can write

$$P_{ij} = \max_{k < j; k \neq i} (P_{ik} + \Delta l_i^+ l_j^- l_k^-)$$

Of course we maximize over the area of the polygons. In words, we choose one of the lower points to the left of  $l_j$ , and add the new point  $l_j^-$  to the polygon  $P_{ik}$  that optimally solves everything to the left of the chosen point  $l_k^-$ . Analogously, when  $1 < j < i$ , we can write

$$P_{ij} = \max_{k < i; k \neq j} (P_{kj} + \Delta l_i^+ l_j^- l_k^+)$$

When  $i = 1$  or  $j = 1$ , we can use the same formulas to compute  $P_{ij}$ , but we need the additional option to just choose the line segment  $l_i^+ l_j^-$  with area 0, in case there are no more points to the left of the new one.

The algorithm runs in  $O(n^3)$  time and requires  $O(n^2)$  space. This is because we do not have to actually store the entire polygon  $P_{ij}$  for each  $i$  and  $j$ , but only the next point on the upper chain when  $i > j$  or the lower chain when  $i < j$ , and the area of the polygon. When we scan the known polygons while determining a new one, we only have to add the area of a triangle to the stored area, and take the maximum of those numbers. We do not need to enforce convexity, because a non-convex solution can never be optimal.

**Theorem 1** *Given a set of  $n$  arbitrarily sized, parallel line segments, the problem of choosing a point on each segment such that the area of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^3)$  time.*

### 3.1.3 Arbitrary Orientations

The above algorithm works for parallel line segments. When the line segments are allowed to have arbitrary orientations, the most general version of the problem, where segments are allowed to intersect, becomes NP-hard, and the decision version NP-complete. We prove this by reduction from SAT. Given an instance of SAT, we make the following construction:

We start with a large circle, and divide it into enough arcs, that is, at least as many as the number of variables plus the number of clauses in the SAT instance, see Figure 4(a). The arcs do not need



to have the same length. We separate these arcs by precise points (degenerate line segments). The solution will contain at least the convex hull of these precise points. We will make sure never to place any (parts of) line segments outside this circle, so maximizing the area of the convex hull is now equal to maximizing the sum of the areas within the arcs.

For each Boolean variable  $b$  in the SAT instance, we take an empty arc and add the configuration of Figure 4(b) inside. This configuration consists of two precise points  $l$  and  $r$  that were already added, a segment parallel to  $\overline{lr}$  with endpoints  $t$  and  $f$ , and two sets of points  $P_b$  and  $Q_b$ . The points of  $P_b$  are placed so that they are all on the convex hull of  $\{l, f, r\} \cup P_b \cup Q_b$ , but none is on the convex hull of  $\{l, t, r\} \cup P_b \cup Q_b$ , and the points of  $Q_b$  are placed so that they are all on the convex hull of  $\{l, t, r\} \cup P_b \cup Q_b$ , but none is on the convex hull of  $\{l, f, r\} \cup P_b \cup Q_b$ . The whole configuration is symmetric by design. The idea is that to maximize the area within this configuration, we either need  $t$  and all points in  $Q_b$ , or  $f$  and all points in  $P_b$ . The first case represents the value **true** for this variable, and the second case represents the value **false**. The points in  $P_b$  and  $Q_b$  will have their other endpoints in the clauses, or if they are only present to achieve symmetry they are simply precise points.

For each clause in the SAT instance, we also take an empty arc, and add just a single point  $s$  in it, see Figure 4(c). Now we make  $s$  the other endpoint of one segment from each variable that occurs in this clause. For example, if the clause is  $a \vee b \vee \neg c$ , then we make  $s$  the other endpoint of one of the points in  $P_a$ , one of the points in  $P_b$ , and one of the points in  $Q_c$ . For the area to be maximal, of at least one of these three segments the point must be chosen in  $s$ , which is only possible when  $a$  is **true**,  $b$  is **true** or  $c$  is **false**.

Now an assignment to the variables to satisfy the SAT instance can be made if and only if a solution to the convex hull maximization problem of maximal area exists.

It is well known that rational points are dense on the unit circle, and we can construct  $m$  points that are all at least  $\frac{\pi}{m}$  radians apart with coordinates that depend quadratically on  $m$ . Between two such fixed points  $l$  and  $r$ , we make a symmetric construction with points on a grid parallel to  $\overline{lr}$ . If the variable is used  $k$  times, this grid needs  $2k$  cells in the  $lr$  direction, and  $k^2$  cells in the perpendicular direction. If we place the grid in a rectangle of width half the length of  $\overline{lr}$ , and height  $\frac{1}{2m}$  times the length of  $\overline{lr}$ , then the variables do not interfere with each other. Thus all constructed points are rational points of polynomial complexity. This analysis shows that the decision problem is in NP.

**Theorem 2** *Given a set of  $n$  arbitrarily oriented, possibly intersecting line segments, the problem of choosing a point on each segment such that the area of the convex hull of the resulting point set is as large as possible is NP-hard. The decision version of the problem is NP-complete.*

### 3.1.4 All Endpoints in Convex Position

The status of the problem for arbitrarily oriented line segments that do not intersect is still open. There is, however, another special situation that we can solve. If the endpoints of the input line segments are in convex position, and the segments do not intersect, we can also solve the problem in  $O(n^3)$  time. An example of such a set of line segments is shown in Figure 5. Because the points are in convex position, there is a cyclic ordering on them that we can use.

To solve the problem in this case, we also use a dynamic programming approach. Let  $p$  and  $q$  be endpoints of different line segments, and let  $p'$  and  $q'$  be their respective other ends. We define  $P_{pq}$  as the chain that connects  $p$  to  $q$  in positive direction, such that the area of the region enclosed by the chain and  $\overline{pq}$  is maximal over all valid chains that connect  $p$  to  $q$ . A chain is valid if it does not contain both ends of any input line segment. When  $p'$  is between  $p$  and  $q$  (in positive direction), we also define  $P'_{pq}$  as the chain that connects  $p$  to  $q$  in positive direction and maximizes the area enclosed by it, but is not allowed to use any points between  $p'$  and  $q$ . With a slight

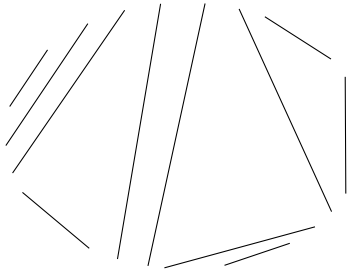


Figure 5: A set of line segments whose endpoints are in convex position.

abuse of notation, we use  $P_{pq}$  and  $P'_{pq}$  for both the chains and for the areas of their corresponding polygons.

If we know all  $P_{pq}$ , then we can solve the problem in  $O(n^2)$  time, since the optimal solution will be of the form  $P_{pq} + \overline{pq}$  for some  $p$  and  $q$ . To compute all  $P_{pq}$ , we find the following recursive relations between the  $P$  and  $P'$  values.

Let  $p$  and  $q$  be endpoints of different line segments. If there are no points between them, then  $P_{pq} = \overline{pq}$ . Else, if  $p'$  is not between  $p$  and  $q$ , then there exists a point  $r$  between  $p$  and  $q$  such that  $P_{pq}$  is  $\overline{pr} + P_{rq}$ . If  $p'$  is between  $p$  and  $q$ , then either  $P_{pq} = P'_{pq}$ , or there is a point  $r$  between  $p'$  and  $q$  such that  $P_{pq} = P'_{pr} + P_{rq}$ .

If  $P'_{pq}$  is defined, we know that  $p'$  is between  $p$  and  $q$ . If there are no points between  $p$  and  $p'$ , then  $P'_{pq} = \overline{pq}$ . Else, there exists a point  $r$  between  $p$  and  $p'$ , such that  $P'_{pq} = P_{pr} + \overline{rq}$ .

In all cases, we have written  $P_{pq}$  and  $P'_{pq}$  in terms of shorter chains and at most one variable point. Since there are a quadratic number of such chains, we can compute them all in  $O(n^3)$  time and  $O(n^2)$  space.

**Theorem 3** *Given a set of  $n$  arbitrarily sized, arbitrarily oriented, non-intersecting line segments with their endpoints in convex position, the problem of choosing a point on each segment such that the area of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^3)$  time.*

## 3.2 Squares

The problem we discuss in this section is the following:

**Problem 3** *Given a set of axis-aligned squares, choose a point in each square such that the area of the convex hull of the resulting point set is as large as possible (see Figure 6(a)).*

### 3.2.1 Observations

Once again we observe that the points will not have to be chosen in the interior of the squares. In fact we only have to take the corners of the squares into account.

**Lemma 2** *There is an optimal solution where all points lie at a corner of their square.*

**Proof:** Suppose there exists a set of points  $P$  that has one point in every square, has maximal area, and a minimal number of points that are not at a corner of their squares, and yet contains a point  $p$  that is not at a corner of its square. If  $p$  is not a vertex of the convex hull, just move it to

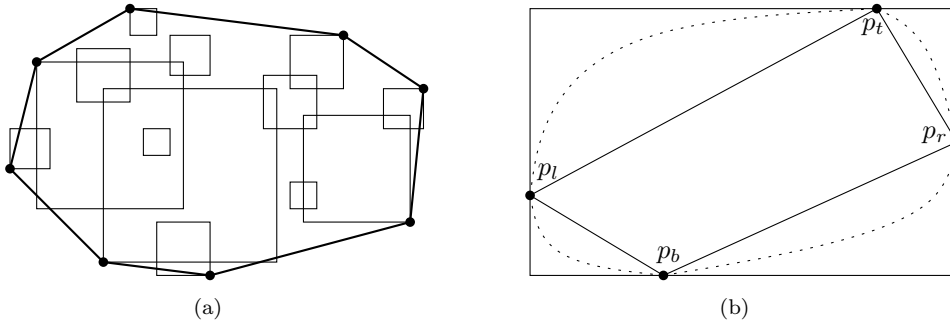


Figure 6: (a) The largest area convex hull for a set of squares. (b) The four extreme points.

one of the corners of its square. The new convex hull will be of equal or larger size, contradicting the choice of  $P$ .

If  $p$  is a vertex of the convex hull, and we move it around in its square, the area of the hull changes as a linear function in the coordinates of  $p$ . The maximum of this function is at one of the corners. Move  $p$  to this corner, and the area of the hull increases. It is possible that the hull is no longer convex or that some points of  $P$  no longer lie within the hull, but correcting this can only increase the area of the hull further. Once again we have a contradiction with the choice of  $P$ . We conclude that  $P$  does not exist, and the lemma is proven.  $\square$

First we define the four *extreme* points of the convex hull we are trying to compute as the leftmost, topmost, rightmost and bottommost points. These points divide the hull into four chains that connect them. These chains have some useful properties. For example, the chain that connects the leftmost point  $p_l$  to the topmost point  $p_t$  will always stay within the triangle  $\triangle p_l p_t s$ , where  $s$  is the intersection between the vertical line through  $p_l$  and the horizontal line through  $p_t$ . The extreme points and the triangles that surround the four chains are shown in Figure 6(b).

**Lemma 3** *All vertices on the top left chain are top left corners of their squares, all vertices on the top right chain are top right corners of their squares, all vertices on the bottom left chain are bottom left corners of their squares, and all vertices on the bottom right chain are bottom right corners of their squares.*

**Proof:** All vertices on the top left chain will have the outside of the hull above them and to their left. This means they have to be top left corners of their squares, because otherwise we could move the point to the left or upwards and the area of the convex hull would increase. Similar arguments apply to the other three chains.  $\square$

In general it is not easy to find the extreme points. For example, it could be that none of the extreme points in the optimal solution is in one of the extreme squares in the input, see for example Figure 7. Here the topmost and bottommost squares are the large ones, and the leftmost and rightmost squares are the medium ones. However, in the optimal solution the extreme points will all be corners of the small squares.

### 3.2.2 Algorithm for Non-overlapping Squares

When we restrict the problem to non-overlapping squares, we can solve the problem in  $O(n^7)$  time. The idea behind the solution is to divide the squares into groups of squares of which we know that only two of their corners are feasible for an optimal solution, and then to use the algorithm for parallel line segments (Problem 2) on these groups.

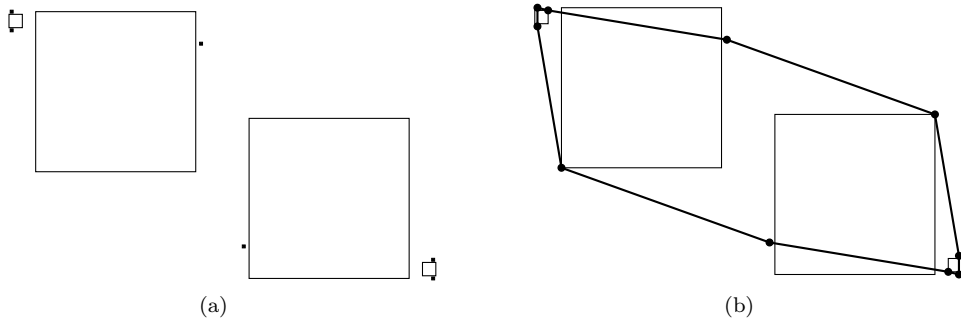


Figure 7: The four extreme points of the optimal solution need not be corners of the extreme squares. (a) Ten input squares. (b) The optimal solution.

When the four extreme points are known, we can use this information to solve the problem in  $O(n^3)$  time. However, how to find those points still remains a difficult problem, so we try all possible combinations, hence the total of  $O(n^7)$ .

The four extreme points  $p_l$ ,  $p_t$ ,  $p_r$  and  $p_b$  divide the plane as shown in Figure 8. From  $p_l$  we draw a line to the right, from  $p_b$  one upwards, from  $p_r$  one to the left and from  $p_t$  one downwards. These four lines intersect at four intersection points. For a square to be able to have its point on the top left chain, its upper left corner needs to be in the rectangle between  $p_l$  and  $p_t$  (actually even in the upper left triangle). An analogous property holds for the other chains. If a square has the potential to be included on more than two chains, this means that it must have at least one of the four intersection points in its interior. Since the squares do not overlap, there can be at most four such squares. Of these squares we simply try every possible combination of corners, of which there are only constantly many, so we can assume from now on that every square has at most two potential corners.

Now that all squares have only two potential corners, we can represent them by line segments. We see that a segment can be of six possible kinds, as there are six ways of picking two of four points. These six kinds may however have only four orientations: horizontal, vertical or one of the two diagonal directions. In fact, not all four can appear at the same time in our problem. Indeed, a diagonal line segment has to have its endpoints in two opposite triangles (see Figure 9). This means that if there are line segments in both diagonal directions, they have to intersect, and thus their original squares have to overlap, which was not allowed. Therefore, there can only be diagonal segments in one direction.

Furthermore, since all line segments have to reach over the quadrilateral  $\diamond p_l p_t p_r p_b$ , line segments of the same kind have to be close to each other, that is, their intersection points have to be

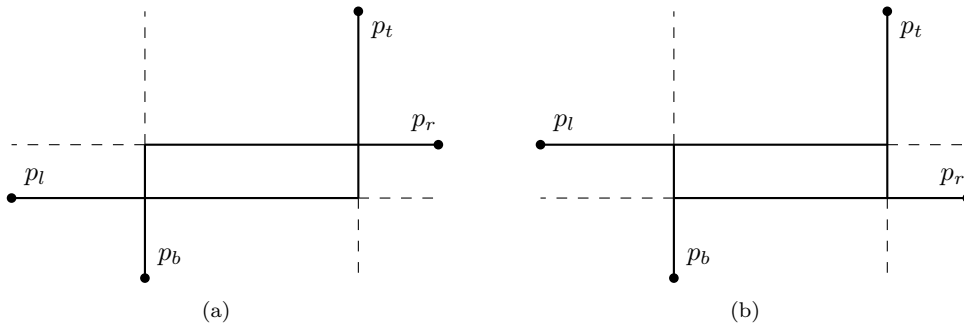


Figure 8: The four extreme points can divide the plane in two different ways.

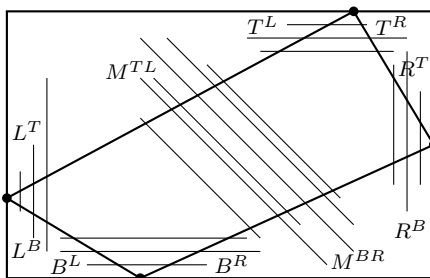


Figure 9: The squares can be divided into five groups of parallel line segments.

consecutive. There are six possible kinds of line segments, of which we have seen that only five may appear at the same time, which implies that we can divide the segments into five groups, as shown in Figure 9. Of course, the segments in the figure cannot be extended to non-overlapping squares, but it is hard to draw a picture in which they can, as the squares would have to have very different sizes if we want several squares in each of the five groups.

We will now solve the situation of Figure 9 in  $O(n^3)$  time. The bases  $L$ ,  $R$ ,  $T$ ,  $B$ , and  $M$  stand for the left, right, top, bottom, and middle (diagonal) line segments. The superscripts denote the endpoints of these segments.

Note that any convex hull of a choice of points in this situation must follow these sets of endpoints in the correct order. That is, it starts at the left extreme point, then goes to a number of points of  $L^B$ , then to a number of points of  $B^L$ , then to the bottom extreme point, and so on. It cannot, for example, go to a point of  $L^B$ , then to a point of  $B^L$ , and then back to a point of  $L^B$ .

The algorithm will repeatedly take two of the ten sets of endpoints, and for each combination of a point in one, and a point in the other set, compute the optimal subsolution connecting those points in linear time, based on earlier results. The subsolutions are computed in the following order:

- For each pair of points in  $L^T$  and  $L^B$ , we compute the optimal solution connecting them around the left side, using the algorithm for parallel line segments.
- For each pair of points in  $B^L$  and  $B^R$ , we compute the optimal solution connecting them around the lower side, using the algorithm for parallel line segments.
- For each pair of points  $p \in M^{TL}$  and  $q \in L^B$ , compute the optimal chain connecting them that does not use any other point of  $M^{TL}$ . This can be done by trying a linear number of points  $r \in L^T$  as the point to connect  $p$  to, and using the known optimal chain between  $r$  and  $q$ .
- For each pair of points  $p \in M^{TL}$  and  $q \in B^L$ , compute the optimal chain connecting them around the left side that does not use any other points of  $M^{TL}$  and  $B^L$ . We do this by trying a linear number of points  $r \in L^B$  as the point to connect  $q$  to, and combining this with the known optimal chain between  $p$  and  $r$ , computed in the previous step.
- For each pair of points  $p \in M^{BR}$  and  $q \in B^L$ , compute the optimal chain connecting them that does not use any other point of  $M^{BR}$ . This can be done by trying a linear number of points  $r \in B^R$  as the point to connect  $p$  to, and using the known optimal chain between  $r$  and  $q$ .
- For each pair of points  $p \in M^{TL}$  and  $q \in M^{BR}$ , compute the optimal chain connecting them around the lower left side that does not use any other points of  $M^{TL}$  and  $M^{BR}$ . We can do this by trying a linear number of points  $r \in B^L$  as the leftmost point of  $B^L$  that is used,

and then combining the chains between  $p$  and  $r$  and between  $q$  and  $r$  that we computed in the two previous steps.

- For each pair of points  $p \in M^{TL}$  and  $q \in M^{BR}$ , compute the optimal chain connecting them around the lower left side, which is allowed to use other points of  $M^{TL}$  and  $M^{BR}$ . We do this by using an adjusted version of the algorithm for parallel line segments. The optimal chain connecting  $p$  to  $q$  either uses another point from  $M^{TL}$  or  $M^{BR}$ , or it does not and uses the chain computed in the previous step. This means we must take the maximum of the formula given in Section 3.1.2, and the optimal chain of the previous step.
- In a symmetrical way, for each pair of points in  $M^{TL}$  and  $M^{BR}$ , compute the optimal chain connecting them around the upper right side that does not use any other points of  $M^{TL}$  and  $M^{BR}$ .
- Finally, check a quadratic number of pairs of a point from  $M^{TL}$  and a point from  $M^{BR}$ , and for each pair combine the chains of the previous two steps. The optimal solution is the maximum of these pairs.

The algorithm given above works when we assume that each set of endpoints is used at least once by the optimal solution. Of course, that need not be the case. But if from a certain group no point is used, then we also know that all points of the opposite group may be used, and we are left with a smaller problem that can be solved in a similar way as described above. This means we can just try solving the problem under the assumption that one or more of the groups do not appear in the optimal solution, and then pick the best solution without increasing the time bound.

**Theorem 4** *Given a set of  $n$  arbitrarily sized, non-overlapping, axis-aligned squares, the problem of choosing a point in each square such that the area of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^7)$  time.*

### 3.2.3 Unit size Squares

The extra factor  $O(n^4)$  that comes from the fact that it is hard to determine the extreme points, relies on situations where the size of the squares differs greatly, such as in Figure 7(a). When the squares have equal size, we show that there are only constantly many squares that can give the extreme points, thus reducing the running time of the above algorithm to  $O(n^3)$ .

For simplicity we assume general position, that is, no two squares have the same  $x$ - or  $y$ -coordinates. It is not true that all of the extreme points need to be corners of the extreme squares, as is shown in Figure 11, but we do have the following property:

**Lemma 4** *In the largest area convex hull problem for axis-aligned unit squares, an extreme square in the input set gives one of the extreme points of the optimal solution.*

**Proof:** Let  $l$  be the vertical line at the leftmost  $x$ -coordinate in the input set, and assume the lemma is false. This means that in the optimal solution  $H$ , there are no points on  $l$ . Let  $S_{left}$  be the square that has its left side at  $l$ . This square must have a vertex on  $H$ , because otherwise the addition of one of its left corners would improve the optimal solution. Assume without loss of generality that the top right corner  $p$  of  $S_{left}$  is part of  $H$ . Now  $p$  must be part of the top right chain of  $H$ , by Lemma 3. When  $p$  would be the topmost or the rightmost point on its chain, it would be one of the extreme points of  $H$ , contradicting the assumption that the lemma is false.

Now assume that  $p$  is not the topmost or rightmost point on its chain, see Figure 10. Then the topmost point  $q$  has to be above and to the left of  $p$ . Suppose there is another point on the top right chain between  $q$  and  $p$ . Then this point must also be a top right corner of its square, and it

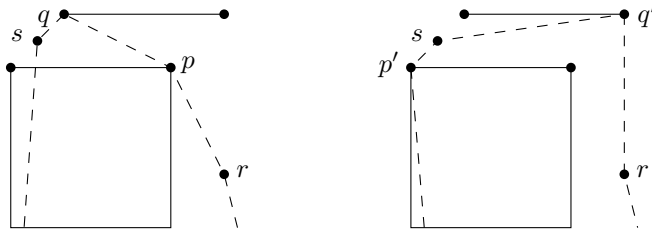


Figure 10: Including the leftmost point increases the area.

must lie to the left of  $p$ . But since all squares are equally large, the left side of this square has to be to the left of  $l$ , a contradiction. So there are no points between  $p$  and  $q$ .

There is also a point  $r$  that is the first on the chain to the right of and below  $p$  in the optimal solution  $H$ . Now  $q$  has to be at the top left corner of its square, because otherwise the square would once again lie to the left of  $l$ . Let the top left point of  $S_{left}$  be  $p'$ , and the top right point of the same square as  $q$  be  $q'$ . If there are points on the top left chain above the horizontal line through  $p$ , let  $s$  be the one closest to  $q$ . If there are none, let  $s$  be the intersection of the upper left chain and the segment  $\overline{pp'}$ .

Now take  $H$ , and take  $p'$  instead of  $p$ , and  $q'$  instead of  $q$ . The resulting solution  $H'$  has a larger area than  $H$ , contradicting its choice. This is because the triangle  $\triangle pqs$  is not larger than the triangle  $\triangle pq's$ . For the rest of the plane, all points inside  $H$  will also be inside  $H'$ . Furthermore,  $p'$  was not part of  $H$  so  $H'$  really is larger than  $H$ .  $H'$  is not necessarily convex, but making it convex will only increase the area.  $\square$

Note that this lemma also applies to overlapping squares.

As a consequence of this lemma, the largest convex hull problem for non-overlapping axis-aligned unit squares can be solved in  $O(n^3)$  time. In the simple situation where the leftmost square gives the left extreme point, the topmost square the top extreme point, etc, this is easy to see, because then we have only  $2^4$  possible configurations for the extreme points, and we can just solve each problem using the  $O(n^3)$  time algorithm described in Section 3.2.2. However, it is also possible that the topmost square gives for example the left extreme point, as shown in Figure 11, where the top extreme point of the optimal solution is not in one of the extreme squares. However, this can only happen when the leftmost square is the same as the topmost square. In that case we have three possible points of that square to try, and when we try for example the lower left point we can just take the reduced problem and search for the extreme squares again (which can be done in constant time if we sorted them first). This procedure has to be followed at most four times, since we find an extreme point every time, thus the total number of configurations to try is still constant.

**Theorem 5** *Given a set of  $n$  equal size, non-overlapping, axis-aligned squares, the problem of choosing a point in each square such that the area of the convex hull of the resulting point set is*

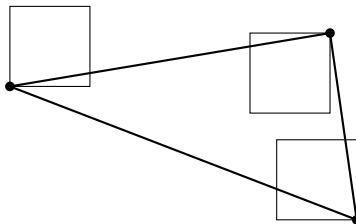


Figure 11: Yet another nasty special case.

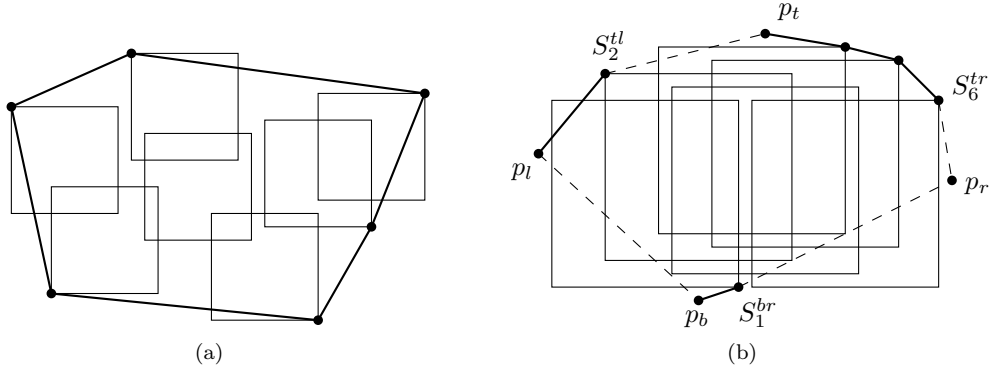


Figure 12: (a) The largest convex hull for a set of intersecting unit squares. (b) The structure  $P_{2601}$ .

as large as possible can be solved in  $O(n^3)$  time.

### 3.2.4 Overlapping Unit Squares

For overlapping squares, the problem remains open. However, for overlapping squares of equal size, we can solve the problem in  $O(n^5)$  time. Figure 12(a) shows this situation. We can solve this problem with a variation on the dynamic programming solution to Problem 2.

Assume the four extreme points  $p_l$ ,  $p_t$ ,  $p_r$  and  $p_b$  to be known. By Lemma 4 there are only a constant number of possibilities for them, and trying them all does not increase the time bound asymptotically. We call the remaining squares  $S_1, \dots, S_{n-4}$ , sorted from left to right. For square  $S_i$ , we denote the top left corner by  $S_i^{tl}$ , the top right corner by  $S_i^{tr}$ , the bottom left corner by  $S_i^{bl}$ , and the bottom right corner by  $S_i^{br}$ . Abusing notation slightly, we also denote  $S_0^{tl} = p_l$ ,  $S_0^{tr} = p_t$ ,  $S_0^{bl} = p_l$  and  $S_0^{br} = p_b$ .

For different  $h, i, j, k \in \{0, \dots, n-4\}$ , we define the structure  $P_{hijk}$  to be the set of four chains that consists of a chain going from  $p_l$  to  $S_h^{tl}$  via a number of top left corners of squares  $S_m$  with  $m < h$ , a chain going from  $p_t$  to  $S_i^{tr}$  via a number of top right corners of squares  $S_m$  with  $m < i$ , a chain going from  $p_l$  to  $S_j^{bl}$  via a number of bottom left corners of squares  $S_m$  with  $m < j$ , and a chain going from  $p_b$  to  $S_k^{br}$  via a number of top left corners of squares  $S_m$  with  $m < k$ , such that no square participates on two different chains, and such that the area of the region bounded by these chains and the segments  $\overline{S_h^{tl}p_t}$ ,  $\overline{S_i^{tr}p_r}$ ,  $\overline{S_j^{bl}p_b}$  and  $\overline{S_k^{br}p_r}$  is maximal, see Figure 12(b).

If  $h > i, j, k$  we can compute  $P_{hijk}$  in linear time using the structures  $P_{mijk}$  for  $m < h$ .

$$P_{hijk} = \max_{m < h; m \neq i, j, k} (P_{mijk} + \Delta S_m^{tl} S_h^{tl} p_t)$$

If one of the other indices is the largest, a similar expression holds. There are  $O(n^4)$  structures to compute in linear time, so the algorithm runs in  $O(n^5)$  time and  $O(n^4)$  space. Once all of the  $P$ 's are computed, we pick the one with the largest area, and the problem is solved.

**Theorem 6** *Given a set of  $n$  equal size, possibly overlapping, axis-aligned squares, the problem of choosing a point in each square such that the area of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^5)$  time.*



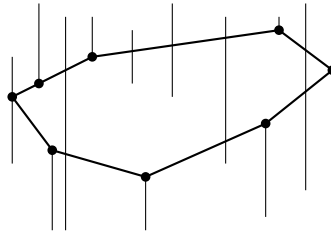


Figure 13: The smallest convex hull for a set of line segments.

## 4 Smallest Convex Hull

In this section we will investigate the problem of finding the smallest area convex hull of a set of imprecise points. As in the previous section we will first look into the line segment model, and then move on to squares.

### 4.1 Line Segments

The problem we discuss in this section is the following:

**Problem 4** *Given a set of parallel line segments, choose a point on each line segment such that the area of the convex hull of the resulting point set is as small as possible (see Figure 13).*

Without loss of generality we assume that the segments are vertical.

#### 4.1.1 Observations

First we make another definition that we will need later in this section. The *greatest common region*, or *GCR*, of the set of line segments is the largest region that is part of the convex hull of every possible choice of points on the segments, or equivalently, the intersection of all those convex hulls.

In the optimal solution, we can discern two different kinds of line segments. A segment of the first kind defines a vertex of the convex hull, and will intersect the hull only at this vertex. A segment of the second kind lies partly in the interior of the hull, and does not contribute to the shape of the optimal convex hull. We are interested in finding the segments of the first kind. We will see that of those segments, all but two must have their point chosen at an endpoint. Of the segments of the second kind, there can be arbitrarily many that must have their point on their interior, because their endpoints lie outside the optimal hull. The locations of the points on such segments are irrelevant.

**Lemma 5** *In the optimal solution, if a line segment defines a vertex of the convex hull, and there are other vertices on the hull strictly on both sides of the supporting line of this segment, then the point on this segment must be chosen at one of the endpoints.*

**Proof:** Assume there is a segment  $s$  that has its point  $p_s$  on its interior, assume this point is a vertex of the optimal convex hull, and assume there are vertices both to the left and to the right of  $s$ . Since the optimal convex hull is convex, this means that the predecessor  $p_r$  of  $p_s$  and the successor  $p_t$  of  $p_s$  on the hull lie on different sides of  $s$ . As a consequence, moving  $p_s$  in the direction of the interior of the hull will decrease the area, contradicting optimality.  $\square$

Since we assumed the segments to be parallel, for each segment that is not the leftmost or the rightmost there must always be points strictly on both sides, thus the lemma implies that all but

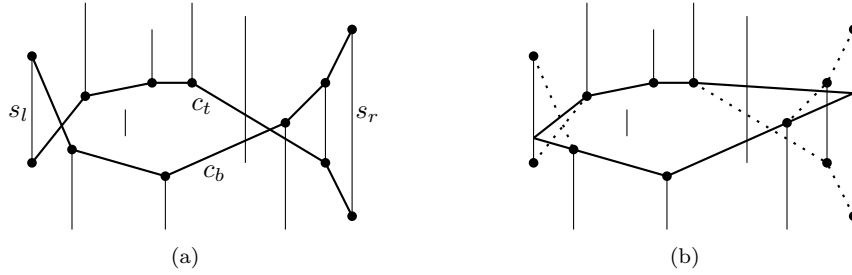


Figure 14: (a) The top chain  $c_t$  and bottom chain  $c_b$ . (b) The optimal solution.

the leftmost and rightmost segments have their point at an endpoint if they contribute to the convex hull. From now on we denote the leftmost segment by  $s_l$  and the rightmost segment by  $s_r$ .

To solve Problem 4, we first define two chains, the *top chain* and the *bottom chain*, of the set of segments. The top chain is a polyline connecting the lower endpoint of  $s_l$  to the lower endpoint of  $s_r$ , and is defined as the upper half of the convex hull of the set of all lower endpoints of the input segments, that is, the part of the boundary of that convex hull that has the interior below it. Symmetrically, the bottom chain is the lower half of the convex hull of the set of all upper endpoints of the input segments. An example of these chains is shown in Figure 14 (a).

The top and bottom chain have some interesting properties.

**Lemma 6** *The region enclosed by the top and bottom chains is the greatest common region (GCR) of the line segments.*

**Proof:** The enclosed region is the intersection of two possible convex hulls, being the convex hull of all upper endpoints and the convex hull of all lower endpoints. Thus by definition this region contains the GCR. Furthermore, for every possible choice of points on the segments, the upper half of the convex hull cannot be strictly below the top chain, because the points can only be chosen higher. Similarly, the lower half of the hull cannot be strictly above the bottom chain. This means that the region enclosed by the two chains is contained in every possible convex hull, and thus contained in the GCR.  $\square$

**Lemma 7** *The GCR has zero area if and only if there is a solution of zero area to Problem 4.*

**Proof:** If the GCR is empty, then the top and bottom chain do not cross each other, although it is possible that they touch at a point or even a common segment. This means the top chain will be completely below the bottom chain. Every line segment will have its upper endpoint on or above the bottom chain, and its lower endpoint on or below the top chain. Because the chains are convex, there exists a line that separates them. This line must then stab all of the line segments in the input set. Choosing all points on this line yields a solution of zero area. On the other hand, if the optimal solution has zero area, the GCR must be a part of this solution and thus can never have a larger area.  $\square$

As a consequence of this lemma, we can decide whether the GCR is empty or not in linear time using a stabber algorithm [11]. If it is empty we also have an optimal solution in linear time. From now on we will therefore assume that the GCR is not empty.

For a point  $p$  on  $s_l$ , there is a *tangent point*  $a_l(p)$  on the top chain such that the line through  $p$  and  $a_l(p)$  does not go through the region below the top chain. When there are more than one such points we choose the one that lies most to the right. Similarly, we define  $b_l(p)$  as the tangent point on the bottom chain, and for  $q$  on  $s_r$  we define two tangent points  $a_r(q)$  and  $b_r(q)$  on the top and bottom chains. All those tangent points are vertices of the chains.

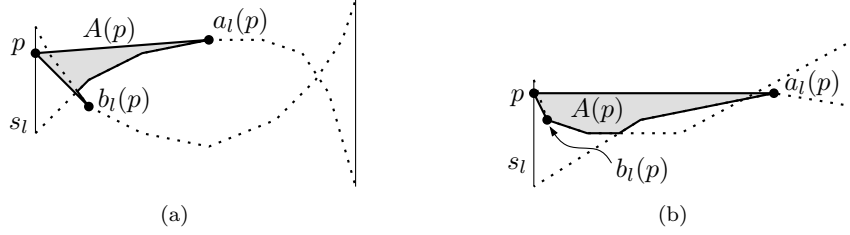


Figure 15: The region  $A(p)$  that is added by  $p$ .

**Lemma 8** *If the points  $p$  on  $s_l$  and  $q$  on  $s_r$  are known, the optimal solution is the polygon that consists of  $p$ ,  $a_l(p)$ , the piece of the top chain between  $a_l(p)$  and  $a_r(q)$ ,  $a_r(q)$ ,  $q$ ,  $b_r(q)$ , the piece of the bottom chain between  $b_r(q)$  and  $b_l(p)$ ,  $b_l(p)$ , and back to  $p$ , provided that this polygon is convex. If it is not, then  $p$  and  $q$  will be connected by a straight line above the top chain or below the bottom chain.*

**Proof:** The described shape intersects all line segments, since the upper part is never below the top chain and the lower part is never above the bottom chain. Since all vertices on the upper part of the boundary are lower endpoints of line segments and all vertices on the lower half are upper endpoints, none of the vertices can be moved to make the shape smaller. The only exceptions would be the leftmost and the rightmost vertices  $p$  and  $q$ , but we assumed them fixed.  $\square$

An example of the optimal solution can be seen in Figure 14(b). In the degenerate case where the segments  $s_l$  and  $s_r$  are just points, the lemma implies that the top and bottom chain together form the optimal solution.

#### 4.1.2 Algorithm

To find the location of the points  $p$  on  $s_l$  and  $q$  on  $s_r$ , we use the fact that they can be found independent of each other. For every position of  $p$ , there will be two tangent points  $a_l(p)$  and  $b_l(p)$  on the top and bottom chains. We are looking for the position of  $p$  such that the area that is ‘added’ by this point is as small as possible. Define the region  $A(p)$  as the polygon enclosed by  $\overline{pa_l(p)}$ , a piece of the top chain, the leftmost intersection point of the top and bottom chain (note that this always exists when the GCR is not empty), a piece of the bottom chain, and  $\overline{b_l(p)p}$ . Two examples can be seen in Figure 15 ( $p$  is not at its optimal location in the figure). Intuitively, this area is the area that is added to the GCR when  $p$  is added. However, it is not always the same as the convex hull of the GCR and  $p$ , when a tangent point of the leftmost point lies to the right of the rightmost intersection point of the two chains, or to the left of the leftmost intersection point, as is the case in Figure 15(b). Similarly, we define the region  $A(q)$  as the polygon enclosed by  $\overline{qa_r(q)}$ , a piece of the top chain, the rightmost intersection point of the top and bottom chain, a piece of the bottom chain, and  $\overline{b_r(q)q}$ .

**Lemma 9** *The individual optimal locations for  $p$  and  $q$ , minimizing the area of  $A(p)$  and  $A(q)$  respectively, are the same as the location of  $p$  and  $q$  in the optimal solution, provided that the GCR is non-empty.*

**Proof:** To prove the lemma, we will show two things. Firstly, in the optimal solution, the line through  $p$  and  $q$  will always intersect both chains in at least two points. Secondly, when we find the individual optimal locations for  $p$  and  $q$ , the line through them will intersect both chains in at least two points as well. This means we can see the optimal solution as  $GCR + A(p) + A(q)$ , so the contributions of  $p$  and  $q$  are independent.

Firstly, since the area of GCR is not zero, the area of the optimal solution is not zero. If in the optimal solution the line through  $p$  and  $q$  does not intersect one of the chains, say the bottom

chain, then we can easily decrease the area of the hull by just moving  $p$  or  $q$  upwards. This is always possible, since if they cannot move upwards, they would be at the top of their line segments, which are both points on the bottom chain. This contradicts the assumption of optimality.

Secondly, if the line through the individually optimal  $p$  and  $q$  does not intersect both chains, let us assume the line passes below the bottom chain. In its individual optimal solution,  $p$  is connected to a point  $a_l(p)$  on the top chain and a point  $b_l(p)$  on the bottom chain. In the same way  $q$  is connected to a point  $a_r(q)$  on the top chain and a point  $b_r(q)$  on the bottom chain. Since the line through  $p$  and  $q$  does not intersect the bottom chain,  $b_l(p)$  must be to the right of  $b_r(q)$ , or be the same point. If  $a_l(p)$  lies to the left of  $b_l(p)$ , there must be a point  $a'_l(p)$  on the top chain to the right of  $b_l(p)$  such that  $p$  lies on the line through  $a_l(p)$  and  $a'_l(p)$ . Otherwise moving  $p$  upwards would decrease the size of the convex hull. Similarly, if  $a_r(q)$  lies to the right of  $b_r(q)$  there must be a point  $a'_r(q)$  on the top chain to the left of  $b_r(q)$  such that  $q$  lies on the line through  $a_r(q)$  and  $a'_r(q)$ . However, now we have that  $p$  is connected with a single line segment to a point on the top chain (either  $a_l(p)$  or  $a'_l(p)$ ) that lies to the right of another point on the top chain (either  $a_r(q)$  or  $a'_r(q)$ ) that is connected with a straight line segment to  $q$ . These line segments must intersect, and thus the line through  $p$  and  $q$  must pass above the top chain as well. However, we assumed it passed below the bottom chain, so this is only possible if the two chains do not intersect and the GCR is empty, a contradiction.  $\square$

To compute the individual optimal locations of  $p$  and  $q$ , we use the observation that the area to minimize is piecewise linear in the position of the point, only changing when the point is on a line through two consecutive vertices of one of the chains. This means the minimum must be obtained at one of these points (or one of the endpoints of the segments). There are only a linear number of such points on  $s_l$  (and  $s_r$ ), and we can find all of their tangent points in linear time by traversing  $s_l$  (and  $s_r$ ) from top to bottom, since by going to the next point both tangent points can move at most one step along their chains.

**Theorem 7** *Given a set of  $n$  arbitrarily sized, parallel line segments, the problem of choosing a point on each segment such that the area of the convex hull of the resulting point set is as small as possible can be solved in  $O(n \log n)$  time.*

## 4.2 Squares

The problem we discuss in this section is the following:

**Problem 5** *Given a set of axis-aligned squares, choose a point in each square such that the area of the convex hull of the resulting point set is as small as possible (see Figure 16).*

### 4.2.1 Observations

The squares can be divided into those that define a vertex of the optimal convex hull, and those that do not. Of the squares that define a vertex, there are only four for which this point does not lie at a corner, and for those we can show it must at least be on some line segment.

**Lemma 10** *In the optimal solution, only the leftmost, rightmost, topmost, and bottommost vertices of the convex hull need not be corners of their squares.*

**Proof:** Suppose some other vertex of the convex hull is not at the corner of its square. Suppose for example that this vertex lies between the leftmost and the topmost vertices. This means that moving the vertex either down or to the right will decrease the area of the convex hull. Since the vertex is not at a corner, it can move in at least one of those directions. Thus the convex hull cannot be optimal.  $\square$

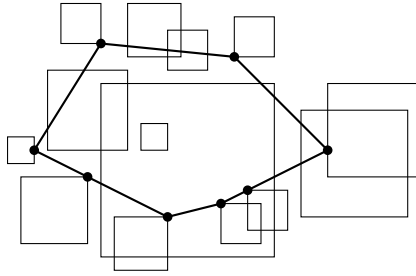


Figure 16: The smallest convex hull for a set of squares.

These four squares will be called the *extreme squares* in four directions, and for these four squares, the points must lie on the inner edge. We call these squares  $S_l$ ,  $S_r$ ,  $S_t$  and  $S_b$ , meaning the leftmost, rightmost, topmost and bottommost squares. Here leftmost means the square with the leftmost right side, etc. We call their points the four *extreme points*, and denote them with  $p_l$ ,  $p_r$ ,  $p_t$ , and  $p_b$ , respectively. An example where there are indeed four points not at a corner is shown in Figure 17(a).

Similar to the case of parallel line segments, we now define four chains connecting corners of the squares. The *top left chain*, for example, will be the chain connecting the bottom right corner of  $S_l$  to the bottom right corner of  $S_t$ , via other bottom right corners of squares, such that the region to the lower right of the chain is convex and contains a point of every square. In the same way we can define the *top right chain*, the *bottom right chain*, and the *bottom left chain*. An example is shown in Figure 17(b). In the case where two of the extreme squares are the same, one of the chains reduces to a single point.

For every location of the point  $p_l$ , there is a *tangent point*  $a_{lt}(p_l)$  on the top left chain such that the line through  $p_l$  and  $a_{lt}(p_l)$  does not go through the region to the lower right of the top left chain. When there are more than one such points we choose the one that lies most to the upper right. Similarly, we define  $a_{lb}(p_l)$  as the tangent point on the bottom left chain. For every point  $p_t$  we define tangent points  $a_{tl}(p_t)$  and  $a_{tr}(p_t)$  on the top left and top right chains, for every  $p_r$  we define two tangent points  $a_{rt}(p_r)$  and  $a_{rb}(p_r)$  on the top right and bottom right chains, and finally we define for every point  $p_b$  two tangent points  $a_{bl}(p_b)$  and  $a_{br}(p_b)$  on the bottom left and bottom right chains. All those tangent points are vertices of the chains. Note that they may also be corners of the extreme squares.

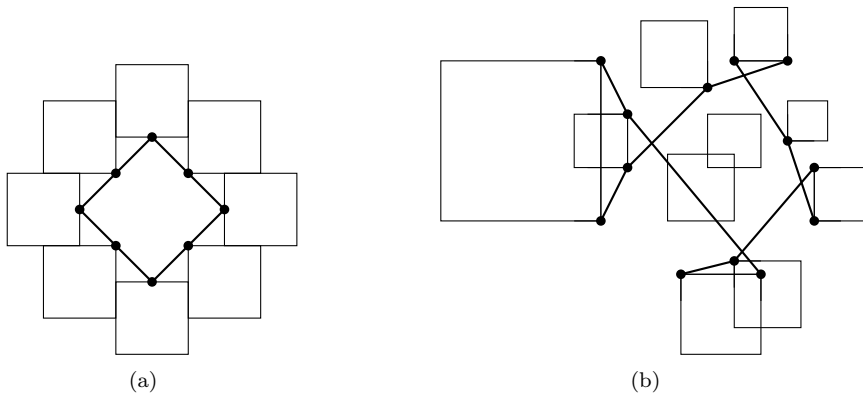


Figure 17: (a) Up to four vertices of the smallest convex hull may not be a corner of one of the squares. (b) The top left, bottom left, top right, and bottom right chains.

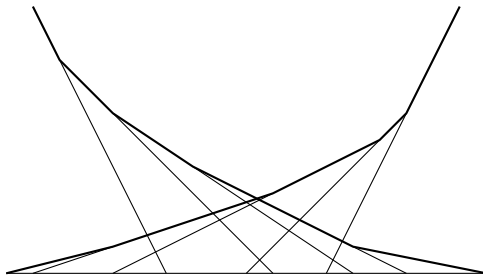


Figure 18: The lower left and the lower right chain divide the upper edge of  $S_b$  into a linear number of intervals.

**Lemma 11** *If the points  $p_l$ ,  $p_t$ ,  $p_r$  and  $p_b$  are known, in the optimal solution the point  $p_l$  is connected to  $p_t$  by a straight line segment if this segment does not intersect the top left chain, and otherwise via the piece of the top left chain between  $a_{lt}(p_l)$  and  $a_{tl}(p_t)$ . Similarly  $p_t$  is connected to  $p_r$  by a straight line segment or via the piece of the top right chain between  $a_{tr}(p_t)$  and  $a_{rt}(p_r)$ ,  $p_r$  is connected to  $p_b$  by a straight line segment or via the piece of the bottom right chain between  $a_{rb}(p_r)$  and  $a_{br}(p_b)$ , and  $p_b$  is connected to  $p_l$  by a straight line segment or via the piece of the bottom left chain between  $a_{bl}(p_b)$  and  $a_{lb}(p_l)$ .*

In the degenerate case where the squares  $S_l$ ,  $S_t$ ,  $S_r$  and  $S_b$  are just points, this implies that the four chains together form the optimal solution.

Note that just as in the case of line segments, we can still find out if a zero area solution exists in linear time, since a solution of zero area still corresponds to a stabber, and a stabber of a set of squares can be computed in  $O(n)$  time if it exists [11]. However, this is no longer directly related to the greatest common region.

#### 4.2.2 Algorithm

So far everything is still the same as in the case of line segments. However, Lemma 9 cannot be generalized. To solve the problem now, we can no longer use the approach we used in the case of the line segments, because now the locations of the four extreme points are no longer independent. It can really happen that in the optimal solution, two of those points are directly connected by a straight line, as can be seen in the example in Figure 16. Instead, we use an alternative approach.

Each of the four extreme points can move over an edge of its square. We divide this edge into a linear number of intervals. Within each interval, if the point would be chosen there, the vertices on the chains the point would be connected to are the same. This means the endpoints of the intervals are exactly the points that lie on a line through two consecutive vertices of one of the chains. The resulting intervals are shown in Figure 18.

If we would only consider one extreme point at a time, as we did with the line segments, then the point would have to be at one of the endpoints of these intervals, since the area function is piecewise linear, and only changes exactly at those endpoints. However, when we consider all four extreme points simultaneously, then the optimal location of one or more of the points may also be somewhere in the middle of an interval, as is already apparent in Figure 17(a).

Assume we know for each of the four extreme points the interval on which they must be in the optimal solution. We then know the tangent points on the chains they must be connected to if we do not look at the other extreme points. Since we know this for each extreme point, we can see whether they will be connected to each other or to a chain. For example, the left point  $p_l$  has a point  $a_{lt}(p_l)$  on the top left chain it could be connected to, and the upper point  $p_t$  has a point  $a_{tl}(p_t)$  on the top left chain it could be connected to. If  $a_{lt}(p_l)$  lies to the lower left of  $a_{tl}(p_t)$ ,

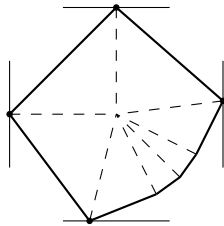


Figure 19: The area of a solution can be decomposed into triangles that depend on at most two variables.

then  $p_l$  and  $p_t$  will be connected to their tangent points, and not to each other. If  $a_{lt}(p_l)$  lies to the upper right of  $a_{tl}(p_t)$ , then  $p_l$  and  $p_t$  will be connected by a straight line segment. If  $a_{lt}(p_l)$  is equal to  $a_{tl}(p_t)$ , then we do not know yet.

We can now write the area of the convex hull as a polynomial in four variables that specify the exact locations of the extreme points within their interval. This polynomial will have degree at most 2, because we can decompose the area into triangles that depend on at most two of the variables, as shown in Figure 19. We can find the minimum of this polynomial within the bounds given by the intervals on which the points can move, in constant time. In the case where we do not know whether two points will be connected by a straight line segment or via a point on a chain, we simply try both and add an extra restriction to the variables in the one case.

We can now easily solve the problem in  $O(n^4)$  time. In the optimal solution, each of the four extreme points needs to be on one of the intervals of its segment. This means a total of  $O(n^4)$  possible combinations of intervals, and for each combination the solution requires solving a polynomial that does not depend on  $n$ . However, many of the combinations of intervals seem to be redundant, because a solution using them can clearly be seen not to be optimal. Indeed we can show that we do not need to spend  $O(n^4)$  time to solve this problem, and improve it to  $O(n^2)$ .

We observe that each connection between two of the extreme points must be one of three types. We call the connection of *type 0* if the points are connected by a single line segment that does not touch the respective chain in the optimal solution. We call the connection of *type 1* if the points are connected by a single line segment that touches the respective chain. We call the connection of *type 2* if they are not connected by a single line segment. In Figure 20 examples are shown of only type 0 connections, only type 1 connections, only type 2 connections, and an example with two connections of type 0, one of type 1, and one of type 2.

There are only a constant number of possible combinations of connections between the four extreme points, namely  $3^4$ . If we can compute the optimal solution of each type and test their validity in less than  $O(n^4)$  time, then we can just pick the best one and we have a faster algorithm. We make the following observations.

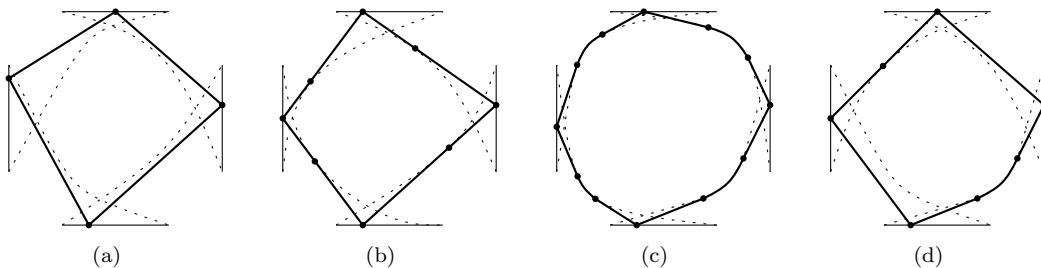


Figure 20: The points can be connected either (a) directly, (b) just touching a point on the chain, (c) via multiple points of the chain. (d) Multiple cases can appear together.

- Each connection of type 1 reduces the number of possible combinations of intervals by a linear factor.
- Every pair of connections of type 2 divides the problem into two independent subproblems.
- Every pair of adjacent connections of type 0 removes the need to divide one of the extreme squares into intervals, reducing the number of possible combinations of intervals by a linear factor.

The first statement is true because there is only a linear number of pairs of intervals for the two extreme points in question for which the point to which they can be connected on the chain is the same, and this is required for a type 1 connection.

The second statement is similar to the independence of the extreme points in the case of line segments (Lemma 9). Since we know by assumption that the two connections are of type 2, the optimal subproblems together have to be the optimal solution for the complete problem. The two connections could be adjacent, giving one subproblem of linear complexity and one of potentially cubic complexity, or they could be opposite to each other, giving two problems of potentially quadratic complexity.

The third statement means that if one of the extreme points has only connections of type 0, there is no need to divide its edge into intervals, since the structure of the convex hull, and therefore the polynomial describing the area, will be the same.

When one or more of these patterns occur, we can solve the problem in less time. For example, take type 0-1-2-2. This type contains two type 2 connections, and also a type 1 connection. The type 2 connections divide the problem into two independent subproblems. The smallest subproblem can be solved in linear time, by just choosing the best out of a linear number of intervals. For each interval we know their tangent points on the chains, so it can be solved in constant time. The larger subproblem contains a type 1 connection. This means there is only a linear number of combinations of intervals such that the connection is really of type 1. We can find them and store them easily in quadratic time. Now we look at a quadratic number of groups of three intervals; one combination of two that we just stored, and one from the remaining extreme point (the one between the type 0 and type 2 connections). For each three intervals, we can solve the problem in constant time since all tangent points are known. We can also check in constant time whether all connections are of the correct types (in particular the type 0 connection), by looking at the tangent points. We have now solved both subproblems in  $O(n^2)$  time. We finally need to check whether the subsolutions together yield a convex shape. If they don't, then the type was not 0-1-2-2. If they do, then it is a potential optimal solution.

Now we note that in each of the  $3^4$  possible types, of which only 21 remain after removing symmetries, at least one of these three patterns has to occur, and thus every type can be solved in  $O(n^3)$  time. Furthermore, in all but one case (and its symmetric variants), actually two of these patterns occur together, and they can be solved in  $O(n^2)$  time. All these types can be solved in a similar way to the one described above. The one exception is the one shown in Figure 20(d). This type has only one of the three patterns. However, we show we can solve it in linear time.

**Lemma 12** *The pattern with a type 0 connection, a type 1 connection, a type 0 connection and a type 2 connection, in that order, can be solved in  $O(n)$  time.*

**Proof:** We assume the types of connections to be as in Figure 20(d), other cases are symmetric. Since the top right and bottom left connections do not touch the chains, we do not need to look at these two chains any more. There will be one point  $p$  on the top left chain that is the tangent point of the top left connection. Now the top left connection is a single line segment from the leftmost extreme point to the topmost extreme point, and is still allowed to rotate around  $p$  within some interval such that it does not intersect the top left chain, see Figure 21(a).



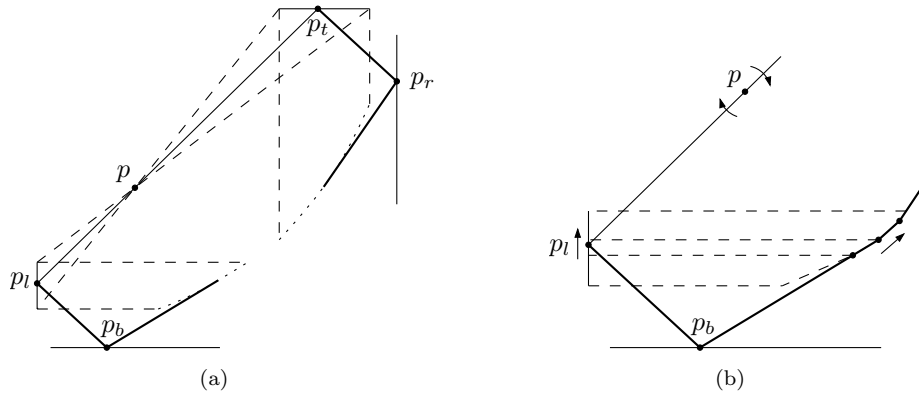


Figure 21: (a) The special case 0-1-0-2. (b) The bottom left part zoomed in.

For a fixed position of the top left connection, and therefore the points  $p_l$  and  $p_t$ , the optimal solution is easy to see. Find the two consecutive points on the bottom right chain such that the leftmost extreme point has its  $y$ -coordinate between the  $y$ -coordinates of those two points. The bottommost extreme point will be on the line through these two points, because moving it in either direction from that position would increase the area. In the same way, the rightmost point must be on the line through the two points that have their  $x$ -coordinates closest to that of the topmost extreme point.

Now if we start with  $p$  as the bottom leftmost point on the top left chain, and rotate the line connecting the leftmost extreme point to the topmost extreme point around it in clockwise direction, the bottommost two points of the bottom right chain that determine the position of the bottommost extreme point will only move upwards, while the two points that determine the position of the rightmost extreme point will also only move upwards, see Figure 21(b). When the line rotates far enough,  $p$  will change to the next point of the top left chain, but still the points on the bottom right chain only move towards the upper right. This means that after a linear number of steps we have tried all possibilities and found the optimal solution.

Because we assumed the type is 0-1-0-2, we still have to make sure that the solutions we check are indeed of this type. This means that we have to check that the lower left and upper right connections do not intersect their respective chains. We can check this on average in constant time every step, if we keep track of the slope of the connections and the first vertex of the chain it would intersect. Also, the points on the lower right chain have to be in the correct order, otherwise the found hull is not convex and may even intersect itself. We can check this in constant time as well.  $\square$

We conclude that every situation can be solved in  $O(n^2)$  time, and therefore the whole problem can be solved in  $O(n^2)$  time.

**Theorem 8** *Given a set of  $n$  arbitrarily sized, possibly overlapping, axis-aligned squares, the problem of choosing a point in each square such that the area of the convex hull of the resulting point set is as small as possible can be solved in  $O(n^2)$  time.*

## 5 Perimeter versus Area

Until now we have only considered area of the convex hull as the measure to maximize or minimize, but there are other measures that can be used. The other natural measure for determining the size of convex polygons is the perimeter. In this section we will analyse the relevant differences

between those two measures, and how the results of the previous sections can be extended to the perimeter measure.

One important observation is the way the size of a polygon changes when only one point is moving over a line, while the rest remains fixed. The area of the polygon is a linear function of the moving point, while the perimeter is a hyperbolic function with a minimum. In the case of convex hulls, this only applies as long as the combinatorial structure of the hull does not change. Another important difference is that the area of a polygon is invariant under many kinds of transformations, including shearing, while the perimeter is only invariant under rigid transformations.

More specific to the problem at hand, when we want to maximize the area of a polygon, convexity is automatically achieved. When we want to maximize the perimeter, however, convexity has to be taken care of explicitly. When looking for minimal size, this works the other way around. A minimal perimeter polygon will automatically be convex, while a minimal area polygon is generally not.

We can adjust all of the above algorithms to the perimeter measure in a more or less straightforward fashion. The time bounds for the largest convex hull indeed become worse,  $O(n^3)$  for line segments becomes  $O(n^5)$ , and  $O(n^7)$  for squares becomes  $O(n^{10})$ . On the other hand, the time bounds for the smallest convex hull become better; all problems considered can be solved in only  $O(n \log n)$  time. The details of the changed algorithms can be found in the remainder of this section.

Finally, the perimeter measure introduces some computational difficulty. All of the above mentioned adjusted algorithms require the comparison of sums of square roots of integers, and it is not clear whether this can be done efficiently. Therefore, we assume a computation model that allows real arithmetic in the remainder. Another implication is that the problems described are not known to be in NP, so we cannot prove anything NP-complete.

## 5.1 Longest Perimeter

When looking for the largest perimeter rather than area, we still only have to look at the endpoints of the line segments or the corners of the squares. The function that describes the size is no longer linear, but because it has no maximum, there will still always be at least one direction in which a point can be moved such that the size does not decrease. However, we cannot simply duplicate the proofs of Lemma 1 and Lemma 2, because by moving points to increase the perimeter we may lose convexity.

**Lemma 13** *Given a set of line segments or squares, there is a choice of points such that every point is at an endpoint or corner, and the perimeter of the convex hull of the resulting point set is as large as possible.*

**Proof:** Assume there is an optimal solution with a point  $q$  not at an endpoint or corner. If the point is not a vertex of the convex hull, we can just move it anywhere without decreasing the length of the perimeter. So assume the point is a vertex of the convex hull. Let  $l$  be a line segment such that  $q$  is not at an endpoint of this segment, but is allowed to move anywhere on the segment.

Let  $p$  and  $r$  be the neighboring vertices of  $q$  on the convex hull. The length of the chain  $pqr$  is a hyperbolic function of the position of  $q$  on  $l$ . This function has a single minimum, so there is at least one direction in which  $q$  can move such that the length of  $pqr$  increases. Since we assumed the position of  $q$  to be optimal, moving  $q$  in this direction must change the topology of the convex hull. This means that there must be another vertex of the convex hull on either the line through  $p$  and  $q$ , or through  $q$  and  $r$ .

Now assume without loss of generality that  $l$  is vertical, and that moving  $q$  down over  $l$  increases the length of  $pqr$ . In this case there must be a point  $s$  on the line through  $q$  and  $r$  that is the

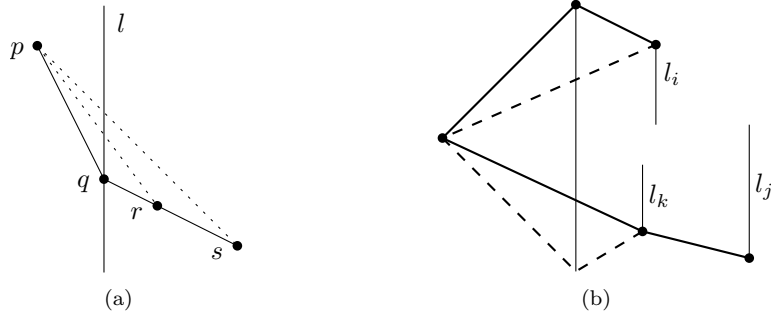


Figure 22: (a) Moving  $q$  down increases the perimeter. (b) The optimal solution does not contain a smaller optimal subsolution.

next vertex of the convex hull from  $r$ , see Figure 22(a). If  $p$  is on the same side of  $l$  as  $r$  and  $s$  are, mirror  $p$  in  $l$  and note that this does not influence the length of  $pqr$  or  $pqs$  as  $q$  moves over  $l$ . Now note that the length of  $pqr$  is minimized exactly when  $q$  is at the intersection point of  $l$  and  $\overline{pr}$ . Since moving  $q$  down increases this length,  $q$  must be at or below this intersection point. This means, however, that the intersection point of  $l$  and  $\overline{ps}$  has to be at or above  $q$ . Thus moving  $q$  down will also increase the length of  $pqs$ , and the length of the convex hull will grow even though the topology changes. Thus  $q$  is not at its optimal position, contradicting the first assumption of this proof.  $\square$

Even though we still only have to look at a few possible locations for every point, we cannot easily extend the algorithms in Sections 3.1 and 3.2. The reason for this is that we now explicitly have to ensure convexity of the solutions in the dynamic programming algorithm for line segments. The algorithm for squares heavily relies on the algorithm for line segments.

The fundamental property that the dynamic programming algorithm is based on, is that the optimal solution up to some line segments  $l_i$  and  $l_j$  always consists of some smaller optimal solution and one extra line segment. This property no longer holds in the case of perimeter. An example is shown in Figure 22(b). Here the solid line represents the optimal solution from the top of  $l_i$  to the bottom of  $l_j$ . However, this chain does not include the optimal solution from the top of  $l_i$  to the bottom of  $l_k$ , which is represented by the dashed line. The reason for this is that adding the segment from  $l_k^-$  to  $l_j^-$  to the dashed line yields a non-convex chain, and making it convex decreases the length. In the case of area there was no problem, because then making it convex increased the area.

We can solve the problem in  $O(n^5)$  time instead of  $O(n^3)$ , by keeping the optimal solution for every pair of points, and every direction in which the points are connected. This way, we can ensure convexity. Since there are only a linear number of directions that lead to another point, this leads to an  $O(n^5)$  time algorithm.

Define  $P_{ij \sim km}$  to be the chain that starts at the top of  $l_i$ , then goes to the left to the top of  $l_j$ , then via a number of other tops to the leftmost point, then back to the right via a number of bottoms to the bottom of  $l_k$ , and finally to the bottom of  $l_m$ , such that it is convex and of maximal length. Abusing notation slightly, we also use  $P_{ij \sim km}$  to denote its length. Now we can define a recursive relation similar to the one in Section 3.1.

If  $i < m$ , then

$$P_{ij \sim km} = \max_{h < k} \left( P_{ij \sim hk} + \overline{l_k^- l_m^-} \mid \nexists l_h^- l_k^- l_m^- \text{ is convex} \right)$$

We maximize over the length of the chains. If  $i > m$  a symmetric expression holds, where we maximize over the tops of the line segments to the left of  $l_j$ , rather than the bottoms of the line segments to the left of  $l_k$ .

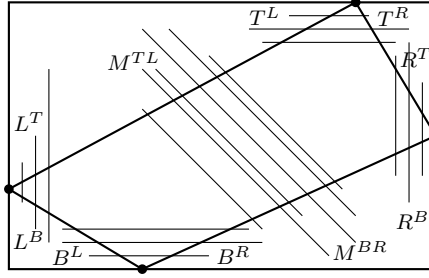


Figure 23: Five groups of line segments give ten sets of endpoints.

**Theorem 9** *Given a set of  $n$  arbitrarily sized, parallel line segments, the problem of choosing a point on each segment such that the perimeter of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^5)$  time.*

For arbitrary line segments, the NP-hardness proof for the largest area problem still holds unchanged for the largest perimeter. The proof does not depend on the measure, only on the fact that the value of a variable is equal in its true and false states. However, it is not known whether this problem is in NP, since checking a solution involves comparing sums of square roots [21].

**Theorem 10** *Given a set of  $n$  arbitrarily oriented, possibly intersecting line segments, the problem of choosing a point on each segment such that the perimeter of the convex hull of the resulting point set is as large as possible is NP-hard.*

For squares, all of the observations in Section 3.2.1 still apply. Most of them are independent of the measure of the size, except for the last observation, which states that the extreme points do not need to be in the extreme squares, but this is also true for perimeter with the same example (in Figure 7(a)). Also the reduction from the set of squares to five groups of line segments does not use the measure of the convex hull, so we can still do this for the perimeter.

We can solve this situation in  $O(n^6)$  time and  $O(n^4)$  space now, in a similar way as for area, but by looking at pairs of two points at a time instead of just one, because we need to ensure convexity. This gives  $O(n^4)$  subsolutions to compute, and it takes  $O(n^2)$  time to compute them. In each step below, we will select four points from certain groups. We always require that the two first mentioned points are directly connected, and the two last mentioned points are directly connected, while we vary the chain between the second and third point. We assume for now that at least two points from every group are used.

Figure 9 is repeated in Figure 23 for convenience.

- For each group of two points in  $L^T$  and two points in  $L^B$ , we compute the optimal solution connecting them around the left side, using the algorithm for parallel line segments.
- For each group of two points in  $B^L$  and two points in  $B^R$ , we compute the optimal solution connecting them around the lower side, using the algorithm for parallel line segments.
- For each group of two points  $p, p' \in L^T$ , a point  $q' \in L^B$  and a point  $q \in B^L$ , compute the optimal chain connecting them. Note that this chain will not use any other points from  $B^L$ . This can be done by trying a linear number of points  $r \in L^B$  as the point to connect  $q'$  to, and using the known optimal chain between  $p, p'$  and  $r, q'$ . We need to ensure that  $\angle rq'q$  is convex.
- For each group of two points in  $B^R$ , a point in  $B^L$  and a point in  $L^B$ , compute the optimal chain connecting them symmetric to the previous step.

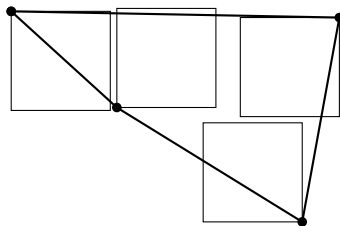


Figure 24: The topmost square does not give one of the extreme points.

- For each group of two points  $p, p' \in L^T$  and two points  $q', q \in B^R$ , compute the optimal chain connecting them around the lower left. This can be done by choosing a point from  $r \in L^B$  and a points from  $s \in B^L$ , and combining the solutions from  $p, p'$  to  $r, s$  and from  $r, s$  to  $q', q$  of the previous two steps together. This step is critical, it is the only step that really takes  $O(n^6)$  time.
- For each group of two points  $p, p' \in L^T$ , a point  $q' \in B^R$  and a point  $q \in M^{BR}$ , compute the optimal chain connecting them, by choosing another point  $r \in B^R$  and using the optimal solution from  $p, p'$  to  $r, q'$  and ensuring convexity of  $\angle rq'q$ .
- For each group of a point in  $M^{TL}$ , a point in  $L^T$ , a point in  $B^R$  and a point in  $M^{BR}$ , compute the optimal chain connecting them by choosing another point from  $L^T$ , symmetric to the previous step.
- For each group of two points in  $M^{TL}$  and two points in  $M^{BR}$ , compute the optimal chain connecting them around the lower left using an adjusted version of the algorithm for line segments.
- Symmetrically, for each group of two points in  $M^{TL}$  and two points in  $M^{BR}$ , compute the optimal chain connecting them around the upper right.
- Choose from  $O(n^4)$  possible combinations of points in  $M^{TL}$  and  $M^{BR}$  the one with the largest perimeter.

Every step can be executed in  $O(n^6)$  time. This only works when in the optimal solution there are at least two points in every group, but as in the case of area the problem becomes easier when one of the groups has only one or even zero points in the optimal solution, and there still are only a constant number of easier situations to deal with.

Using this algorithm, we can solve the problem of finding the longest perimeter solution for a set of non intersecting squares in a total of  $O(n^{10})$  time, since we still need a factor  $O(n^4)$  to find the four extreme points.

**Theorem 11** *Given a set of  $n$  arbitrarily sized, non-overlapping, axis-aligned squares, the problem of choosing a point in each square such that the perimeter of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^{10})$  time.*

Unlike the area case, we cannot improve the algorithm when the squares have equal size. Figure 24 shows an example where the optimal solution, denoted by the dashed line, uses the topmost square at the bottom left corner while this is not an extreme point. So Lemma 4 cannot be extended to the perimeter measure. Again, the problem is that making a non-convex polygon (such as the one on the right in Figure 10) convex does not increase the perimeter, while it does increase the area.

The algorithm for squares of equal size that does not require the squares to be non-overlapping can be adjusted to the perimeter measure. The same idea as in the previous two algorithms applies: we need to ensure convexity and therefore need to define a structure on pairs of points from each

of the four groups instead of just one point. Furthermore, we now need to try all combinations of the four extreme points since we cannot use Lemma 4.

We try all possible combinations for  $p_l, p_t, p_r$  and  $p_b$ , which are  $O(n^4)$  possibilities. For each one, we now define the structure  $P_{i'j'kk'U'}$  to be the set of four chains that consists of a chain going from  $p_l$  to  $S_i^{tl}$  via a number of top left corners of squares  $P_m$  with  $m < i$  and then to  $S_i^{tl}$  with a straight connection, a chain going from  $p_t$  to  $S_j^{tr}$  via a number of top right corners of squares  $P_m$  with  $m < j$  and then to  $S_j^{tr}$  with a straight connection, a chain going from  $p_l$  to  $S_k^{bl}$  via a number of bottom left corners of squares  $P_m$  with  $m < k$  and then to  $S_k^{bl}$  with a straight connection, and a chain going from  $p_b$  to  $S_l^{br}$  via a number of top left corners of squares  $P_m$  with  $m < l$  and then to  $S_l^{br}$  with a straight connection, such that no square participates on two different chains, such that all chains are convex, and such that the total length of these chains is maximal. This gives  $O(n^8)$  different structures, that can all be computed in linear time by varying the rightmost point of  $\{i, i', j, j', k, k', l, l'\}$ . the total algorithm runs in  $O(n^{13})$  time and  $O(n^8)$  space.

**Theorem 12** *Given a set of  $n$  equal size, possibly overlapping, axis-aligned squares, the problem of choosing a point in each square such that the perimeter of the convex hull of the resulting point set is as large as possible can be solved in  $O(n^{13})$  time.*

## 5.2 Shortest Perimeter

When looking for the shortest perimeter, we can expect less difficulties with convexity than in the case of the longest perimeter. However, the fact that the size is no longer a linear function, but a hyperbolic one with a minimum may cause some problems.

We can still prove that almost all vertices of the optimal solution must be at endpoints or corners of their line segments or squares. When a vertex of the convex hull is allowed to move in two opposite directions (for example up and down), and its neighboring vertices are on both sides of this direction (so one on the left and one on the right), the minimum of the hyperbolic function occurs exactly when the vertex is between its two neighbors, and then it is not a vertex that determines the shape of the convex hull. In every other situation, it can move to make the perimeter smaller. Thus this situation cannot occur in the optimal solution, and we have, as with the area, that only the leftmost and rightmost line segments, or the four extreme squares, can have a vertex of the convex hull that is not at an endpoint or corner.

The  $O(n \log n)$  time algorithm for line segments still works for the smallest perimeter. We can again compute the upper and lower chains. In this case, we denote the highest point of the top chain  $p_t$ , and the lowest point on the bottom chain  $p_b$ . If  $p_t$  is lower than  $p_b$ , then there exists a horizontal stabber for the line segments, which has the shortest perimeter we can possibly achieve: twice the distance between the leftmost and rightmost line segments. Otherwise, when  $p_t$  is above  $p_b$ , we can compute the leftmost and rightmost points  $p_l$  and  $p_r$  independently again.

For some location of  $p_l$ , define  $C(p_l)$  as the chain that starts at the lower end of the rightmost line segment, follows the upper chain to  $a_l$ , goes to  $p_l$ , then to  $b_l$ , and finally follows the lower chain to the upper endpoint of the rightmost line segment. Here  $a_l$  and  $b_l$  are the tangent points on the chains, as in Section 4.1.1.  $C(p_r)$  is defined symmetrically.

**Lemma 14** *The individual optimal locations for  $p_l$  and  $p_r$ , minimizing the length of  $C(p_l)$  and  $C(p_r)$  respectively, are the same as the locations of  $p_l$  and  $p_r$  in the optimal solution, provided that the highest point of the upper chain is higher than the lowest point of the lower chain.*

**Proof:** Analogous to Lemma 9, we first show that the line through the optimal  $p_l$  and  $p_r$  will intersect both of the chains in at least two points, and then show that also the line through the individually optimal  $p_l$  and  $p_r$  intersects both of the chains at at least two points.

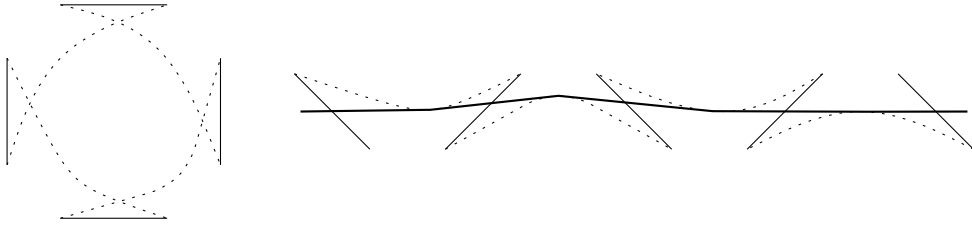


Figure 25: The four chains can be mirrored in the extreme line segments to simplify the shortest perimeter problem.

The first argument is similar to the one in the case of area: if the line passes below the bottom chain we can still move at least one point upwards and decrease the perimeter.

The other direction is now easier to prove. In the optimal individual position,  $p_l$  will be connected to some point on the top chain, and some point on the bottom chain. The minimum of the hyperbolic function occurs somewhere at a height that is between its two neighbors. This means it will certainly be between  $p_t$  and  $p_b$ . The same applies to the rightmost point. This means the line through  $p_l$  and  $p_r$  must intersect both chains.  $\square$

**Theorem 13** *Given a set of  $n$  arbitrarily sized, parallel line segments, the problem of choosing a point on each segment such that the perimeter of the convex hull of the resulting point set is as small as possible can be solved in  $O(n \log n)$  time.*

The algorithm for squares can also be extended to the perimeter measure without problems. The main difference is that the functions to minimize on each interval are now no longer linear. They will now contain some square roots, but since there are at most eight terms with square roots with variables under them, they can be rewritten to polynomials in constant time. This yields constant degree polynomials, so if we assume their roots can be found at all, then they can be found in constant time.

However, we can use some properties of the perimeter to actually achieve a better algorithm. Instead of looking at the original problem, we mirror the four chains in the extreme line segments which does not change the length of the solution when the points can only move over those segments. The result is shown, rotated  $45^\circ$ , in Figure 25. The thick line denotes the optimal solution. We now want to find a shortest path between the chains, where it should be noted that the segment on the far left is the same as the segment on the far right.

The tangent line of two chains in this situation can be computed in linear time [26]. The optimal solution can be found, for example, by again trying all possible combinations of which chains are touched by the optimal solution and checking if the solutions are feasible. This yields a linear time algorithm once the chains are known, while the computation of the chains takes  $O(n \log n)$  time.

**Theorem 14** *Given a set of  $n$  arbitrarily sized, possibly overlapping, axis-aligned squares, the problem of choosing a point in each square such that the perimeter of the convex hull of the resulting point set is as small as possible can be solved in  $O(n \log n)$  time.*

## 6 Conclusions

We studied the problem of computing the largest or smallest convex hull of a set of imprecise points. We have seen many variants of the problem, varying in goal, measure, model and restrictions, and many different algorithms to solve them. Our results are given in Table 1.

These results suggest that the problem of finding the smallest area or perimeter convex hull is easier than finding the largest convex hull. The running times are better, and there are fewer restrictions with the smallest hull. For the largest convex hull it seems important that the regions do not intersect. It also looks like the area is easier to maximize than the perimeter, while the perimeter is easier to minimize than the area.

Many problems are open, and there are various directions of research to be pursued. Most notably, what is the status of the problem of finding the largest convex hull when the regions are allowed to intersect? Also, what results can be obtained for the circle model? Other open problems include the convex hull for a set of arbitrarily oriented line segments, even when they are non-intersecting.

The second direction in which research is needed concerns approximation. For the problems that have no efficient exact algorithms, how well can they be approximated efficiently? This applies to the open problems noted above, as well as some of the problems for which polynomial algorithms have been given but with high exponents, such as the  $O(n^{10})$  time bound, and of course the NP-hard problems.

Thirdly, for many other problems in computational geometry, imprecision in the data and the bounds on the effect on the outcome of an algorithm should be studied. Such problems include the longest and shortest minimum spanning trees for a set of imprecise points, the Delaunay triangulation, and any other geometric structure that is uniquely defined on a set of points, and can somehow be measured.

Higher-dimensional versions are also open.

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