

Chapter 10

Prevention of Cytomegalovirus disease in recipients of allogeneic stem cell transplants: a review

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Abstract

The main risk factors for cytomegalovirus (CMV) disease in recipients of allogeneic stem cell transplants (SCT) are recipient CMV-seropositivity and acute graft-versus-host disease. Currently, two antiviral strategies -prophylactic or pre-emptive antiviral treatment- are used for prevention of CMV disease, which is most favourable when short-term (14 days) pre-emptive treatment is applied.

Several methods are available for monitoring of CMV reactivations. PCR based CMV DNA detection assays are the most sensitive methods, however, the clinical benefit of this high sensitivity is unclear. Even more, there is lack of clarity whether PCR tests can better be performed in plasma, whole blood or peripheral blood leukocyte samples.

Recovery of a CMV-specific CD8⁺ cytotoxic T lymphocyte (CTL) response is necessary for preventing CMV reactivation and disease. Reconstitution of absolute CMV-specific CTL counts to values above 10-20 x 10⁶ CTLs/L is associated with protection from CMV disease. In the near future, pre-emptive therapy might be withheld in patients with CMV reactivation who show to have adequate CMV-specific cytotoxic T cell levels.

Antiviral therapy with (val)acyclovir has only been studied as prophylactic treatment modality for prevention of CMV infection. High-dose oral valacyclovir is more effective compared to acyclovir, when used in addition to pre-emptive treatment of CMV reactivations with ganciclovir or foscarnet.

Three antiviral drugs have been tested for pre-emptive therapy of CMV reactivations and/or treatment of CMV disease. Although intravenous ganciclovir is considered the drug of choice, foscarnet has similar efficacy and less, especially haematologic, toxicity. Cidofovir has not been tested extensively, so far results are disappointing. Oral valganciclovir for pre-emptive treatment is currently studied among SCT recipients.

In addition to antiviral therapy, adoptive immunotherapy with CMV-specific cytotoxic T cells as prophylactic or pre-emptive therapy is a very elegant strategy, however, generation of these cells is expensive and time-consuming and therefore not available at every transplantation centre. Magnetic selection of CMV-specific CD8⁺ T cells from peripheral blood using HLA class I-peptide tetramers may be very promising, making this strategy more accessible.

Introduction

In the era before introduction of ganciclovir (GCV), cytomegalovirus (CMV) infection and pneumonia developed in 38 and 17%, respectively, of recipients of allogeneic stem cell transplants (SCT), while mortality due to CMV pneumonia was 85%¹. This very serious complication occurred mainly in CMV-seropositive patients, with acute graft-versus-host disease (aGVHD) being the most important risk factor¹. Treatment of CMV pneumonia with GCV and immunoglobulin decreased mortality to 30-50%²⁻³. In CMV-seronegative recipients, primary CMV infection could be prevented by a transfusion and transplantation policy making use of either CMV-seronegative donors or leukocyte-depleted blood products/grafts⁴⁻⁷. Currently, two antiviral strategies, prophylactic or pre-emptive treatment, are used for prevention of CMV disease. Prophylactic treatment usually consists of antiviral therapy started at engraftment until at least day 100 post-transplant. Pre-emptive therapy is defined as antiviral treatment based on the detection of reactivated CMV infection by positive CMV cultures, a positive antigenemia (Ag) assay or positive molecular assays.

In the present report, we review these antiviral strategies. Furthermore, several other aspects of prevention of CMV disease are reviewed, which are: 1) methods available for early detection of CMV reactivations, 2) monitoring of CMV-specific T cell responses, 3) the value of several antiviral drugs and 4) adoptive immunotherapy as prophylaxis or (pre-emptive) treatment of CMV reactivations/CMV disease.

Antiviral strategies: prophylaxis or pre-emptive treatment

In randomised trials^{8,9} (Table 1) among CMV-seropositive recipients, long-term (3-4 months) GCV prophylaxis initiated at engraftment showed to be effective in suppressing early CMV disease (<100 days post-transplant). However, mortality was not influenced due to an increased incidence of bacterial and fungal infections and late CMV disease⁸⁻¹². When studying recovery of CMV-specific cytotoxic T lymphocytes (CMVs-CTLs), it appeared that long-term ganciclovir treatment impaired CMVs-CTL reconstitution causing the increase in late CMV infections¹³.

Table 1 Ganciclovir prophylaxis of CMV disease

Study	Rando- mised trial	Donor	Dose of GCV	Early CMV disease Placebo vs GCV	Overall mortality Placebo vs GCV
Goodrich ⁸	Yes	MRD/ MUD	10 mg/kg/d (5d) fb 5 mg/kg/d ^a	29% vs 0% (p< 0.001)	26% vs 30% (at d 180, ns)
Winston ⁹	Yes	(P)MRD/ (P)MUD	6 mg/kg/d ^b	24% vs 10% (p= 0.06)	36% vs 30% (at d 120, ns)

GCV = ganciclovir; (P)MRD = (partially) matched related donor; (P)MUD = (partially) matched unrelated donor; d = day; fb = followed by; ns = not significant; ^a from engraftment until day 100; ^b from engraftment until day 120.

Many studies have been performed using pre-emptive therapy in SCT recipients to prevent CMV disease. The paper by Schmidt et al¹⁴ was the first to evaluate this strategy, based on positive CMV cultures of bronchoalveolar lavage (BAL) fluid. Patients underwent routine BAL on day 35. The 40 patients with positive CMV cultures were randomised between pre-emptive GCV treatment or observation. In the GCV group 5 of 20 patients died or had CMV pneumonia before day 120 compared to 14 of 20 in the observation group (p=0.01), while in the group of patients with negative CMV cultures the rate of CMV infection was 12 of 55. Results of reports with a minimum of 30 patients and published after 1995 are summarized in Tables 2-5, according to the CMV monitoring assay used; three of them were randomised trials^{10,15,18}. Pre-emptive treatment based on qualitative CMV DNA detection by PCR lowered the incidence of CMV disease and CMV-associated mortality compared with pre-emptive therapy instituted when positive CMV cultures were obtained (Table 5 and 2, respectively; p=0.02)¹⁵. Boeckh et al^{10,11} compared two types of Ag based pre-emptive therapy (Table 4) with prophylactic treatment. Ag based treatment was given for 28 days¹⁰ or until day 100 post-transplant¹¹. In both the prophylactically treated group and the group receiving long-term pre-emptive treatment late CMV disease was diagnosed more frequently, while more invasive fungal infections were seen in the prophylactically treated group only. The incidence of CMV disease at day 400 post-transplant and overall survival were similar in the three treatment arms. Humar et al (Table 4 and 3) showed that Ag based pre-emptive treatment reduced the incidence of CMV disease at day 400 post-transplant to 1.7% compared to

Table 2 Pre-emptive therapy of CMV reactivation based on positive CMV cultures

Study	Rando- mised trial	Donor	No.	Dose of GCV	CMV disease % (at day)	CMV-a- mortality % (at day)	Overall Survival % (at day)
Einsele ¹⁵	Yes, see Table 5	(P)MRD/ (P)MUD	34	5 mg/kg bid (14d) fb 5 mg/kg/d until negative PCR	32 (180)	15 (180)	59 (180)
Ljung- man ¹⁶	No	(P)MRD/ MUD	58	5 mg/kg bid (14d)	19 (100)	2 (100)	nd ^a

GCV = ganciclovir; CMV-a = CMV associated; (P)MRD = (partially) matched related donor; (P)MUD = (partially) matched unrelated donor; bid= twice a day; d = day; fb = followed by; nd = not described; ^a overall survival was not specified, however, reported as similar as observed in patients receiving PCR-based pre-emptive treatment, see Table 5.

Table 3 Pre-emptive therapy of CMV reactivation based on CMV positive BAL

Study	Rando- mised trial	Donor	No.	Dose of GCV	CMV disease % (at day)	CMV-a- mortality % (at day)	Overall Survival % (at day)
Reddy ¹⁷	No	MRD/ MUD	55	5 mg/kg bid (14-28 d) fb maintenance (16 w)	11 (nd)	5 (nd)	57 (3yr)
Humar ¹⁸	Yes, see Table 4	(P)MRD/ MUD	58	5 mg/kg bid (14d) fb maintenance (8 w)	12.1 (400)	nd	72.4% (365)

TCD = T cell depletion; GCV = ganciclovir; CMV-a = CMV associated; (P)MRD = (partially) matched related donor; (P)MUD = (partially) matched unrelated donor; bid= twice a day; d = day; fb = followed by; w= weeks; nd = not described.

Table 4 Pre-emptive therapy of CMV reactivation based on antigenemia

Study	Rando- mised trial	Donor	No.	No. of positive cells	Dose of GCV	CMV disease % (at day)	CMV-a- mortality %	Overall Survival % (at day)
Boeckh ¹⁰	Yes	(P)MRD/ MUD	114	≥ 3 cells/ 2 slides	5 mg/kg bid (7d) fb maintenance (21d)	22 (400)	nd	73 (180)
Verdonck ¹⁹	No	MRD	41	any antigenemia	2.5 mg/kg bid (14d) ^a fb 5 mg/kg bid until negative test	0 (180)	0	61 (400) 76 (180)
Manteiga ²⁰	No	MRD	52	any antigenemia	5 mg/kg bid (7d) fb maintenance (21d)	13 (100)	2	nd
Boeckh ¹¹	No	(P)MRD/ MUD	102	any antigenemia	5 mg/kg bid (7d) fb maintenance (100d)	17 (400)	nd	77 (180) 66 (400)
Machado ^{21,22}	No	MRD	76	≥ 2 cells/ 300.000	5 mg/kg bid (14-21d) or until negative test	4 (200) 14.4 (365)	0	nd

Table 4 continued

Study	Rando- mised trial	Donor	No.	No. of positive cells	Dose of GCV	CMV disease % (at day)	CMV-a- mortality %	Overall Survival % (at day)
Mori ²³	No	MRD/ MUD	50	≥ 10 cells/ 2 slides or b any antigenemia	5 mg/kg bid (14d) fb 5 mg/kg/d until negative test	16 (100)	0	nd
Kanda ²⁴	No	(P)MRD/ (P)MUD	77	≥ 5-10/ 50,000	5 mg/kg bid (7d) fb 5 mg/kg/d until negative test	3 (365)	0	86 (100) 73 (365)
Small ²⁵	No	MRD	61	≥ 2 cells/ slide	nd	7 (nd)	7	nd
Humar ¹⁸	Yes, see Table 3	(P)MRD/ MUD	60	any antigenemia	5 mg/kg bid (14d) or until negative test	1.7 (400)	0	80 (365)

GCV = ganciclovir; CMV-a = CMV associated; (P)MRD = (partially) matched related donor; (P)MUD = (partially) matched unrelated donor; bid= twice a day; d = day; fb = followed by; nd = not described; ^a patients treated with high-dose corticosteroids for aGVHD grade II-IV received prophylactic GCV similar to the pre-emptive dose; b dur- ing aGVHD grade II-IV.

Table 5 Pre-emptive therapy of CMV reactivation based on CMV DNA PCR

Study	Rando- mised trial	Donor	No.	Therapy started at	Dose of GCV	CMV disease % (at day)	CMV-a- mortality % (180)	Overall Survival % (at day)
Einsele ¹⁵	Yes, see Table 2	(P)MRD/ (P)MUD	37	2nd positive PCR (Qual)	5 mg/kg bid (14d) fb 5 mg/kg/d until negative PCR	8 (180)	0 (180)	84 (180)
Ijungman ¹⁶	No	(P)MRD/ MUD	58	2nd positive PCR (Semi-Q)	5 mg/kg bid (14d) or until negative PCR	6 (100)	0 (180)	nd ^a
Einsele ¹²	No	(P)MRD/ (P)MUD	86	2nd positive PCR (Qual)	5 mg/kg bid (14d) fb foscarnet 60 mg/kg bid until negative PCR	12 (> 100)	1 (> 100)	nd
Peggs ²⁶	No	MRD/ MUD	52	1st or 2nd positive PCR (Qual)	5 mg/kg bid (14d) fb foscarnet 60 mg/kg bid until negative PCR	6 (100)	2 (100)	nd

Table 5 continued

Study	Rando- mised trial	Donor	No.	Therapy started at	Dose of GCV	CMV disease % (at day)	CMV-a- mortality % (at day)	Overall Survival % (at day)
Hebart ²⁷	No	(P)MRD/ (P)MUD	52	2nd positive PCR (Qual)	5 mg/kg bid (14d) fb 5 mg/kg/d until negative PCR	12 (?)	6 (?)	nd
Mori ²⁸	No	MRD/ MUD	39	1st positive PCR (real-time)	5 mg/kg/d (14d) until negative test 10 mg/kg/d when VL increased	8 (120)	0	nd

GCV = ganciclovir; CMV-a = CMV associated; (P)MRD = (partially) matched related donor; (P)MUD = (partially) matched unrelated donor; Qual = qualitative; Semi-Q = semi-quantitative; bid = twice a day; d = day; fb = followed by; nd = not described; VL = viral load; a overall survival was similar as observed in a historical control group receiving pre-emptive treatment based on positive conventional cultures.

12.1% when therapy was instituted at the detection of positive CMV cultures of BAL fluid obtained at day 35 post-transplant ($p=0.022$)¹⁸. Again overall survival was similar in the two treatment arms.

Prevention of CMV disease with low CMV-associated mortality seemed to be superior in studies using a short-term (14 days) Ag or PCR based pre-emptive GCV treatment^{12,15,16,18,19,21,22,24,26,27}. In these studies pre-emptive treatment was only extended when CMV monitoring tests were still positive after the short-term treatment period. When 'overall survival' was considered the endpoint, the varying pre-emptive treatment strategies all were equally effective.

The introduction of pre-emptive therapy among CMV-seropositive patients receiving grafts from matched related donors has resulted in similar transplant-related mortality and survival rates compared to CMV-seronegative recipients^{26,29-31}. However, in CMV-seropositive recipients of grafts from matched unrelated donors transplant-related mortality and overall survival rates were still inferior compared to CMV-seronegative recipients, despite pre-emptive antiviral treatment³¹⁻³⁴.

Overall, the introduction of pre-emptive antiviral therapy has greatly reduced the incidence and mortality rate of CMV disease. Prophylactic treatment has no advantage over pre-emptive treatment, in fact it results in an increased incidence of bacterial and fungal infections and late CMV disease. Pre-emptive treatment based on the Ag assay or PCR tests is superior to culture or BAL fluid based strategies. Especially short-term (14 days) antiviral treatment is the most favourable approach for prevention of CMV disease.

Antigenemia vs molecular monitoring

The Ag assay is widely used to monitor SCT recipients for CMV reactivations. This assay has some drawbacks compared to molecular tests: 1) during neutropenia no monitoring can be performed, which is similar for molecular tests performed in leucocytes, 2) the test is laborious and 3) liable to intra/interobserver variability. Furthermore, a false negative Ag test (using C10/C11 antibodies) was reported in a SCT recipient with CMV disease. Re-examination of the Ag negative samples with a different pp65 antibody pool (CINA antibodies) revealed a high level of Ag³⁵. Compared to Ag assays the workload per sample has been

reduced from approximately 4 to less than 2 hours when automated DNA isolation and PCR tests are used. The difference in workload is even more obvious when large amounts of samples are processed, since the Ag assay is not automated and every sample has to be processed separately.

With the molecular assays a qualitative or quantitative detection of CMV DNA or RNA is performed in cell-free plasma, peripheral blood leucocytes (PBL) or whole blood (WB). Technical details of several methods for CMV monitoring have been reviewed by Boeckh et al³⁶.

Cobas Amplicor™ CMV DNA (monitor) test The Cobas Amplicor™ CMV DNA test is a commercially available qualitative CMV DNA PCR assay for plasma, while the quantitative Cobas Amplicor™ CMV DNA monitor test can be performed in cell-free plasma, PBL or WB. Five studies found CMV DNA PCR monitoring (qualitative or quantitative) to be more sensitive compared to Ag, irrespective of performance in plasma, PBL or WB³⁷⁻⁴¹. In only one report leucocyte-based assays (Ag and PBL-PCR) were more sensitive compared to a plasma PCR, showing a higher number of patients with a CMV reactivation, earlier positivity and a more rapid decrease of viral load after the start of pre-emptive antiviral therapy⁴². In one other study the gold standard to calculate sensitivity and specificity of the Ag assay and PCR test was defined as “CMV reactivation based on positive results of the Ag assay or PCR test”³⁷. This method of calculation is incorrect and results in the exclusion of false positive results, giving a specificity and positive predictive value of 100%. The value of a higher sensitivity of molecular assays in a clinical setting is not clear. Solano et al⁴⁰ reported 9 of 43 SCT recipients with positive plasma PCR, while Ag was negative. None of these 9 patients progressed to CMV disease, although they did not receive pre-emptive treatment. Furthermore, none of the patients with an initial positive Ag and PCR result who remained PCR positive after conversion of the Ag assay to a negative result, did develop CMV disease. The authors concluded that the Ag assay appeared to be most suitable for guiding initiation of pre-emptive therapy and monitoring response to antiviral therapy.

Real-time automated CMV DNA PCR test using a TaqMan™ probe With the real-time automated CMV DNA PCR assay using a TaqMan™ probe, a quantitative CMV monitoring in plasma, WB and PBL can be performed. With this method PCR products are detected as they accumulate during the PCR, in contrast to other quantitative PCR techniques such as the Cobas Amplicor™ CMV DNA monitor test. This results in a greater linear dynamic detection range of the real-time TaqMan™ PCR compared to the Cobas Amplicor™ CMV DNA monitor test. Analogous to the Cobas Amplicor™ tests, this assay also proved to

be more sensitive compared to a positive Ag test. CMV DNA detection by real-time PCR often preceded Ag and yielded more positive samples⁴³⁻⁴⁵.

Qualitative and quantitative in house CMV DNA PCR assay In (partly) retrospective studies, CMV DNA monitoring by in house PCR assays in plasma, WB or PBL yielded similar results as described above, with higher sensitivity for molecular tests compared to the Ag assay^{38,46,47}. Hebart et al⁴⁸ prospectively monitored CMV reactivation by Ag and in house semiquantitative PCR in plasma and WB. WB-PCR showed the lowest sensitivity, however, overall a good correlation was seen between Ag, WB-PCR and plasma PCR. All three assays were negative after 14 days of GCV treatment in 12 of 13 patients. In contrast, 2 studies^{49,50} reported the in house plasma PCR to be less sensitive compared to PBL-PCR or Ag.

Murex™ CMV DNA Hybrid Capture assay This assay is a commercially available solution hybridisation antibody capture assay (HCA) for the quantitative detection of CMV DNA in leukocytes. It was less sensitive in diagnosing CMV infection compared to an in house qualitative PCR^{38,51}. When CMV disease was used as the gold standard for comparison, however, the positive predictive value of the HCA and PCR assay was 33% and 22%, respectively⁵¹.

CMV mRNA based monitoring The qualitative determination of CMV pp67 mRNA by nucleic acid sequence-based amplification proved to be the least sensitive technique to assess CMV reactivation compared to DNA based assays and the Ag assay^{38,52,53}. Detection of immediate-early mRNA⁵⁴, the beta_{2,7} transcript⁵⁵ or spliced late CMV genes⁵⁶ all were shown to be more useful, however, these results have not been validated by other groups.

Summary In Table 6 results of studies comparing commercially available surveillance methods with the Ag assay or in house PCR tests are summarized. Not all studies described above are included, due to varying study designs and endpoints or lack of clinical data^{37,41,42,55,56}. In several papers sensitivity, specificity, positive predictive value and negative predictive value of the experimental assay(s) were calculated. In these studies the gold standard to calculate these values was defined as CMV reactivations based on positive results from Ag and/or PCR assays^{37,55,56}. In our view, a surveillance method for CMV reactivation should be judged on its clinical merits, the incidence of CMV disease and transplant related mortality being the most significant endpoints.

Table 6 Surveillance methods

Study	CMV reactivations (n)	No. of days of earlier positivity of experimental assay compared to standard assay	CMV disease (no. of patients/total)
Schulenberg ³⁹	10 PCR+ /Ag+	11	2/74
Quantitative PCR Cobas (plasma and blood) vs Ag	7 PCR+ /Ag-		
Solano ⁴⁰	0 PCR- /Ag-	7	2/43
Qualitative PCR Cobas (plasma) vs Ag	14 PCR+ /Ag+		(PCR+ /Ag-; no disease)
Griscelli ⁴⁴	18 PCR+ /Ag-	15	2/16
Realtime Taqman (PBL) vs Ag	5 PCR- /Ag+		
Yakushiji ⁴⁵	20 PCR+ /Ag+		
Realtime Taqman (plasma) vs Ag	2 PCR+ /Ag-		
Hebart ⁵¹	1 PCR- /Ag-		
Hybrid Capture assay (PBL) vs ih PCR	39 PCR+ /Ag+	PCR before Ag: 20 (n)	2/51
Gerna ⁵²	4 PCR+ /Ag-	Ag before PCR: 5 (n)	
Qualitative pp67 NASBA vs Ag	0 PCR- /Ag+	Simultaneously: 14 (n)	
Hebart ⁵³	8 PCR- /Ag-		
Qualitative pp67 NASBA vs ih PCR	12 HCA+ /PCR+	HCA after or simultaneously with PCR:	4/18
Gerna ⁵⁴	6 HCA- /PCR+	18 (n)	(HCA- /PCR+; no disease)
Hebart ⁵³	9 NASBA+ /Ag+	-3	2?/24
Qualitative pp67 NASBA vs ih PCR	6 NASBA- /Ag+		
Gerna ⁵⁴	9 NASBA- /Ag-		
Qualitative IE NASBA vs Ag	16 NASBA+ /PCR+	0	3/33
	1 NASBA+ /PCR-		(NASBA+ /PCR-; no disease)
	32 NASBA- /Ag+	2	0/51
	0 NASBA- /Ag-		(NASBA+ /Ag-; no disease)
	13 NASBA- /Ag-		

PBL = peripheral blood leukocytes; ih = in house.

Overall, one can conclude that PCR based CMV DNA monitoring is more sensitive compared to Ag based monitoring. However, the clinical benefit is unclear. Even more, there is lack of clarity whether PCR tests can better be performed in plasma, WB or PBL samples, although molecular monitoring in plasma has the advantage of performance irrespective of neutropenia. Currently, there is no evidence that qualitative CMV detection assays have a better or worse predictive value for the occurrence of CMV disease after SCT as compared to quantitative assays. To answer this question, randomised controlled trials should be performed, monitoring patients prospectively with either detection assay without applying pre-emptive treatment of CMV reactivation. Such trials will never be done. Theoretically, a quantitative method enables monitoring of response to therapy. In case of an increasing viral load after the start of pre-emptive therapy, a dose or drug modification may be applied. This was implemented by Mori et al²⁸ but did not significantly change the incidence of CMV disease.

Monitoring of CMV-specific T cell responses

Studies of immune recovery after allogeneic SCT have shown a temporal delay in the recovery of CMVs T cell responses and have identified a decisive role for the recovery of CD8+ CTL responses in preventing the development of CMV disease⁵⁷⁻⁵⁹. Generation of CD8+ CMVs-CTLs was associated with recovery of CD4+ CMVs T helper cells⁵⁸. Li et al¹³ analysed the kinetics of endogenous reconstitution of CD4+ and CD8+ CMVs T cell responses, by lymphoproliferation and cytotoxicity assays, in 47 allogeneic SCT recipients who were randomised to GCV prophylaxis or placebo after recovery of peripheral neutrophil counts. Between day 40 and 90 post-transplant recovery of CD8+ and CD4+ CMVs T cell responses occurred in the majority of individuals receiving placebo, but in a minority of patients receiving GCV. Thus, long-term prophylactic GCV treatment can delay post-transplant reconstitution of CMVs-CTL responses. Today, several reports have been published using screening assays for CMVs T cell reconstitution to identify patients at risk of developing CMV disease⁶⁰⁻⁶⁵. Krause et al⁶⁰ performed lymphoproliferation assays, to assess CD4+ CMVs T helper (Th) response, at regular monthly intervals. None of the patients with a CMVs T cell proliferation on day 120 developed CMV disease after day 120. In contrast, of the patients lacking such a response at day 120, 30.8% developed late CMV disease (after day 120).

Hebart et al⁶⁵ quantified CD8+ CMVs-CTLs and CD4+ CMVs Th cells by intracellular interferon- γ staining with flow cytometry after CMV-specific stimulation. Reconstitution of both cell types was associated with rapid clearance of CMV infection. Next to cytotoxicity and interferon- γ staining assays, the use of HLA-peptide tetramers to quantify CMVs CD8+ T cell reconstitution might enable prediction of the development of CMV disease⁶¹⁻⁶³. Reconstitution of absolute CMVs-CTL counts to values above 10-20 x 10⁶/L was associated with protection from CMV disease^{62,63}. In contrast, Ozdemir et al⁶⁴ recently reported that frequencies and absolute numbers of CMVs CD8+ T cells were greater in subjects who experienced CMV Ag following SCT. They conclude that recovery of CMVs-CTLs, as measured by HLA-peptide tetramer staining, is insufficient to control CMV Ag. However, it is not described whether these patients with Ag did develop CMV disease. This might be important, since only patients with Ag and decreased recovery of CMVs-CTLs, progressed to CMV disease in the study of Gratama et al⁶¹. Patients with Ag who did not develop CMV disease, demonstrated higher levels of CMVs CD8+ T cells compared to CMV-seropositive recipients without Ag. It should be noted that most CMVs-CTL studies discussed in this review were performed retrospectively and used tetrameric complexes of HLA A*0201 and/or B*0702 molecules. Larger prospective studies have to be performed to evaluate CMVs CD8+ T cell reconstitution after allogeneic SCT. When the abovementioned results will be validated, pre-emptive antiviral therapy might be withheld in patients with CMV reactivation who show to have adequate CMVs-CTL levels. At this moment, several other HLA class I (A*0101, A*0301, A*1101, A*2401, A*6801/2, B*3502, B*3801/2, B*44XX) restricted pp65 and pp150 derived epitopes have been identified^{65,66,67} which will make tetramer based or interferon- γ staining based quantification of CMVs CD8+ T cell recovery accessible for more SCT recipients.

Antiviral therapy

Intravenous GCV is generally considered the drug of choice for pre-emptive therapy of CMV reactivations or treatment of CMV disease⁶⁸. Several other antiviral drugs have in vitro or in vivo activity against CMV (acyclovir -ACV-, valacyclovir -VACV-, foscarnet, cidofovir -CDV-, valganciclovir -VGCV-). (V)ACV and (V)GCV are nucleoside analogues. VACV and VGCV are

oral prodrugs of ACV and GCV, respectively, and converted to ACV and GCV, respectively, after cleavage of the valine moiety by the liver and intestine. The nucleosides first have to be converted to monophosphate by a viral protein kinase (which is the gene product of UL97 in case of CMV). Second and third phosphorylations are performed by cellular kinases. ACV or GCV triphosphate is then incorporated in viral DNA and acts as an obligate chain terminator⁶⁹. Furthermore GCV triphosphate is a competitive inhibitor of the CMV DNA polymerase. VGCV has a ten-fold greater bio-availability than oral GCV. Pharmacokinetic studies of VGCV have been performed in HIV infected individuals and recipients of liver transplants⁷⁰. Among SCT recipients a randomised cross-over trial using intravenous GCV or oral VGCV as pre-emptive treatment will be conducted at European Group for Blood and Marrow Transplantation (EBMT) centres⁷⁰. The nucleotide analogue CDV already is a monophosphate, therefore no phosphorylation by viral enzymes is necessary. Foscarnet is a pyrophosphate analogue forming a complex with the pyrophosphate binding site of viral DNA polymerase. This is an essential site during incorporation of nucleotides in DNA in which a pyrophosphate group has to be spliced from the nucleotide. Thereby, foscarnet is inhibiting viral DNA polymerase activity.

Acyclovir/Valacyclovir (V)ACV has only been studied as prophylactic therapy for prevention of CMV reactivations/disease and not as (pre-emptive) treatment. ACV has only limited activity against CMV when tested in vivo. Two studies using ACV prophylaxis were performed in SCT recipients, however, before the strategy of pre-emptive GCV therapy based on Ag or PCR was introduced^{71,72}. Intravenous ACV followed by high-dose oral ACV maintenance therapy was not effective as prevention of CMV disease, but resulted in a decreased CMV-associated mortality and increased survival. Vusirikala et al⁷³ compared data of 31 SCT recipients who were prophylactically treated with VACV 1 gram 3 times a day with a group receiving only low-dose oral ACV. Primary and secondary CMV reactivations were observed in 3/12 and 5/19 VACV treated patients, respectively, compared to 24/31 and 16/24 in the control group, respectively. Since this was a retrospective report with small patient numbers combining primary and secondary reactivations, it just suggested a potential benefit of VACV as prevention for CMV reactivation. In a large randomised multi-centre study oral VACV showed to be more effective in preventing CMV viremia in SCT recipients compared to oral ACV, although overall survival and the incidence of CMV disease did not differ between the two groups (75% vs 76% and 5.5% vs 3.5% for the ACV and VACV groups, respectively, ns). All patients included were initially treated with intravenous acy-

clovir until day 28 after transplantation or until discharge⁷⁴. In these two studies a PCR or Ag based pre-emptive treatment with GCV or foscarnet was used as well^{73,74}.

Foscarnet Intravenous foscarnet is considered second line therapy for CMV reactivations or disease, however, for patients developing dose-limiting neutropenia or CMV strains resistant to GCV it is the drug of choice⁶⁸. In a survey of herpesvirus resistance to antiviral drugs, GCV was replaced by foscarnet in 15 patients with suspected or proven GCV resistance and this resulted in a better clinical or virological outcome in 13 of these 15 patients⁷⁵.

Four non-randomised studies were published using foscarnet prophylactically⁷⁶⁻⁷⁹. In all four studies patient numbers were very small (≤ 21), therefore, no firm conclusions can be drawn regarding effectiveness of foscarnet prophylaxis.

Preemptive treatment consisting of foscarnet has also been reported in four studies, showing similar efficacy of foscarnet compared to GCV⁸⁰⁻⁸³. Only two were randomised trials^{82,83}, one with a low patient number⁸². Reusser et al⁸³ treated 110 patients with CMV reactivation (Ag or PCR diagnosed) with foscarnet 60 mg/kg bid and 103 patients with GCV 5 mg/kg bid. When test results were still positive after 14 days of treatment both drugs were continued in a reduced dose (90 mg/kg/day:foscarnet; 6mg/kg/day:GCV) for again 2 weeks (5 days a week). When CMV was still detectable after this second treatment period, treatment was considered a failure, and patients were treated at the discretion of the investigator. Event free survival and overall survival at day 180 were similar in both groups, as was the occurrence of CMV disease and treatment failures. No difference was observed regarding other herpesvirus infections or major nonviral infections. Preemptive treatment with foscarnet did not raise safety concerns (when appropriate hydration was used) and was associated with significantly less serious haematotoxicity than GCV. In the GCV group neutropenia was more often observed, despite the use of growth factors.

Cidofovir Ljungman et al⁸⁴ performed a retrospective survey among 17 BMT centres and enrolled 82 patients who were treated with CDV for CMV disease (n= 20) or for CMV reactivation (primary pre-emptive treatment n= 24; secondary pre-emptive treatment in patients who had failed or relapsed after previous pre-emptive treatment with another antiviral drug n= 38). The dosage was 1-5 mg/kg per week followed by maintenance treatment and all patients received probenecid and prehydration. Overall 62% showed a response to CDV therapy; the response being defined as disease regression without addition of other specific therapy or, for pre-emptive therapy, conversion of a positive Ag or PCR test. Twenty-one patients developed renal toxicity, which persisted after cessation of therapy in 9 patients. No

toxicity was seen in 45 patients, while 15 developed other side effects potentially associated with CDV therapy (nausea, vomiting, thrombocytopenia, rash, ophthalmologic and neurologic toxicity). Kiehl et al⁸⁵ published prospective data on 21 patients receiving first-line pre-emptive treatment with CDV 5 mg/kg once a week for 2 weeks, thereafter fortnightly. Treatment was Ag and/or DNA PCR based and continued until test results were negative for at least three weeks. Only one patient showed a complete response. In 15 patients PCR became negative, however, 2-3 weeks later a positive PCR was again observed in all 15 patients. In 5 patients CMV reactivation was not cleared. The authors stated that it might be more effective to give CDV once a week for a longer period, which is supported by the low toxicity rate observed in this study: only one patient developed renal toxicity. Chakrabarti et al⁸⁶ treated 4 patients pre-emptively with CDV 5 mg/kg/week for 4 weeks. Two responded but developed severe nausea/vomitus and uveitis. Two were non responders of which one died from CMV pneumonia and one developed CMV pneumonia eventually responding to foscarnet. The less favourable outcome of the last two reports are in concordance with recent prospective results from Platzbecker et al⁸⁷. Here, only one of seven SCT recipients showed a transient clearance of pp65 Ag after treatment with CDV 5 mg/kg/week for two weeks, thereafter fortnightly. In contrast, these authors⁸⁷ showed that pre-emptive treatment with CDV was very successful in 10 SCT recipients treated with a non-myeloablative conditioning regimen. Toxicity was moderate and consisted of reversible renal impairment (n=4), proteinuria (n=1) and nausea/vomiting (n=3).

Antiviral drug resistance When prolonged (>100 days) antiviral therapy is given, drug resistance may develop⁸⁸. Data about antiviral drug resistance have largely been obtained in AIDS patients and very little information is available about drug resistance in the SCT setting. Resistance of CMV to GCV is associated with lack of therapeutic response and progression of CMV disease^{89,90}. The clinical outcome of infections caused by foscarnet and CDV resistant CMV strains is unknown. In 1996 a survey of herpesvirus resistance to antiviral drugs was performed in 68 bone marrow transplantation (BMT) centres. CMV resistance to GCV was proven in 2 patients and suspected in 23 patients⁷⁵. In patients with CMV pneumonia, the virus often persists for a long time despite GCV treatment. GCV resistance was determined in CMV isolates obtained from BAL fluid or from autopsy lung tissue by DNA hybridisation. In only 1 of 12 patients a GCV resistant isolate was detected⁹¹. In a study among 50 allogeneic SCT recipients, 10 patient exhibited sustained or recurrent antigenemia despite GCV treatment. Samples from these 10 patients were screened for the pres-

ence of the most frequent CMV UL97 mutations by restriction enzyme analysis and none of these mutations were detected⁹². Altogether, antiviral drug resistance has only sporadically been reported in adult SCT recipients^{75,91-93}. There is some evidence that it might be more frequent in paediatric SCT recipients, especially in patients with primary immunodeficiencies^{90,94,95}.

In clinical CMV strains, resistance to antiviral agents has been associated with mutations in the viral protein kinase UL97 (for GCV only) and viral DNA polymerase UL54 (for GCV, foscarnet and CDV) genes⁸⁹. The varying laboratory methods used for drug susceptibility testing of CMV isolates have been reviewed by Erice⁸⁹ and may be classified as phenotypic or genotypic. Phenotypic methods generally are culture-based and designed to determine the concentration of an antiviral agent that would inhibit the virus in culture. Genotypic methods are designed to determine known UL97 or UL54 mutations present in the genome of the viruses being studied, using restriction enzyme analysis and/or sequencing, and do not require viral cultures. A drawback of phenotypic methods is the possible in vitro selection of specific virus isolates by several culture passages. This was recently proven by Hamprecht et al⁹⁶ who performed only one culture passage of CMV isolates before phenotypic drug susceptibility assays were performed. Virus strains isolated from these cultures were also genotypically analysed by UL97 restriction assays and sequencing and were compared with primary DNA extracts of the same specimens. This resulted in the molecular proof of the in vitro selection of one UL97 mutant strain from 3 viral variants (one wild type and two UL97 mutants) present in vivo.

Summary Overall, in the era before the introduction of pre-emptive antiviral therapy, high-dose prophylactic ACV was shown to be effective in reducing the CMV-associated mortality rate. When pre-emptive treatment with GCV or foscarnet was used, VACV proved to be more effective as prophylaxis against CMV viremia compared to ACV, however, without significantly affecting overall survival and the incidence of CMV disease. Currently it is not clear whether VACV prophylaxis combined with a pre-emptive antiviral strategy is better compared to pre-emptive therapy alone, which has to be tested in a randomised controlled trial. Although intravenous GCV is considered the drug of choice for (pre-emptive) treatment of CMV reactivations or disease, foscarnet has similar efficacy and less haematologic toxicity. The third agent used for pre-emptive treatment, CDV, has prospectively been tested in only a few studies all of them showing disappointing results.

Adoptive immunotherapy with CMVs T cells

Adoptive transfer of CD8+ CMVs-CTLs for prevention of CMV reactivation/disease has been shown effective^{59,97}. When no CMVs Th response developed, CMVs-CTLs declined progressively. However, none of the 17 patients treated with CD8+ CMVs-CTLs developed CMV infection^{59,97}. Einsele et al⁹⁸ treated 8 patients with antiviral resistant CMV reactivation, who had a CMV-seropositive donor, with CMVs T cells (10^7 CMVs T cells/m²). These cells consisted of CD4+ CMVs Th cells and CD8+ CMVs-CTLs. Only patients lacking a CMVs lymphoproliferative response in vitro, indicating a deficient CMVs Th response, were enrolled. A response was seen in 6 of 7 evaluable patients, in which CMV reactivation was cleared. Once CMVs T cells emerged in the peripheral blood, they persisted at numbers comparable to those in healthy individuals. The authors hypothesize that adoptive immunotherapy is more effective when CD4+ CMVs Th cells are given together with CD8+ CMVs-CTLs, by inducing expansion of CD8+ CMVs-CTLs from precursors that without T cell help would not have been activated. In this study 3 patients subsequently developed invasive aspergillosis or respiratory syncytial virus interstitial pneumonitis. In a commentary it is stated that more efficient culture systems are needed to make this therapy more accessible. Furthermore, since eventually 3 patients died from fungal or viral infections a more comprehensive approach to reconstitute immunity in SCT recipients is required⁹⁹. Peggs et al¹⁰⁰ used monocyte-derived dendritic cells to process and present CMV antigen to generate donor-derived CMVs cell lines containing both CD4+ and CD8+ T cells with a simple and rapid 21 day culture. These cells were administered pre-emptively to 13 allogeneic transplant recipients, of which 10 received a non-myeloablative conditioning regimen. Within 23 days following infusion CD8+ CMVs-CTLs reached absolute counts as high as 540×10^6 /L and were detectable for up to 6 months post-transfusion. Six patients cleared CMV without antiviral drugs and no cases of CMV disease were diagnosed. Only 1 of 12 evaluable patients showed subsequent CMV reactivation. This patient had CMVs T cells administered at day 14 post-transplant, when Campath-1H (the IgG1 humanized monoclonal antibody against CD52) probably was still circulating, which might have induced lysis of these T cells.

Adoptive immunotherapy with CMV-specific cytotoxic T cells as pre-emptive therapy is a very elegant strategy, however, generation of these cells is expensive and time-consuming and therefore not available at every transplantation centre. Magnetic selection of CMVs CD8+ T cells from peripheral blood of CMV-seropositive donors using HLA class I-peptide

tetramers as described by Keenan et al¹⁰¹ may be very promising, making adoptive immunotherapy more accessible.

Conclusion

This review focused on prevention of CMV disease in recipients of allogeneic SCT. The introduction of pre-emptive antiviral therapy has greatly reduced the incidence and mortality rate of CMV disease, especially when Ag or PCR based CMV monitoring is performed. A lot of questions still remain to be answered. We currently do not know whether the increased sensitivity of PCR based CMV DNA assays has any clinical benefit. Furthermore, it is not clear whether PCR tests can better be performed in plasma, whole blood or peripheral blood leukocyte samples. At which viral load or Ag level should antiviral therapy be instituted and for how long should it be continued? The current conclusion is that prevention of CMV disease with low CMV-associated mortality seems to be superior in studies using a short-term (14 days) Ag or PCR based pre-emptive treatment. In these studies pre-emptive treatment was only extended when CMV monitoring tests were still positive after the short-term treatment period. When overall survival was considered the endpoint, the varying pre-emptive treatment strategies all were equally effective.

In the near future, monitoring CMVs T cell recovery may change our current pre-emptive treatment strategy. The presence of CMVs T cells in patients with a documented CMV reactivation, might protect these patients from developing CMV disease. Prospective studies are needed to confirm the results derived from retrospectively performed analyses.

Lastly, efforts should focus on immune reconstitution. Once adoptive immunotherapy will become more accessible, controlled trials should be designed to study the effectivity of immunotherapy regarding prevention of CMV disease.

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