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Bioethanol potential from miscanthus with low ILUC risk in the province of Lublin, Poland

SARAH J. GERSSEN-GONDELACH¹, BIRKA WICKE¹, MAGDALENA BORZECKA- $WALKER²$, $RAFAE$ $PUDELKO²$ and $ANDRE$ P . C. $FAAIJ³$

 1 Copernicus Institute of Sustainable Development, Utrecht University, Heidelberglaan 2, 3584 CS Utrecht, The Netherlands, 2 Department of Agrometeorology and Applied Informatics, Institute of Soil Science and Plant Cultivation State Research Institute, 8 Czartoryskich Str., 24-100 Puławy, Poland, ³Energy and Sustainability Research Institute, University of Groningen, Blauwborgje 6, 9747 AC Groningen, The Netherlands

Abstract

Increasing production of biofuels has led to concerns about indirect land-use change (ILUC). So far, significant efforts have been made to assess potential ILUC effects. But limited attention has been paid to strategies for reducing the extent of ILUC and controlling the type of LUC. This case study assesses five key ILUC mitigation measures to quantify the low-ILUC-risk production potential of miscanthus-based bioethanol in Lublin province (Poland) in 2020. In 2020, a total area of 196 to 818 thousand hectare of agricultural land could be made available for biomass production by realizing above-baseline yield developments (95–413 thousand ha), increased food chain efficiencies (9–30 thousand ha) and biofuel feedstock production on underutilized lands (92–375 thousand ha). However, a maximum 203–269 thousand hectare is considered legally available (not protected) and biophysically suitable for miscanthus production. The resulting low-ILUC-risk bioethanol production potential ranges from 12 to 35 PJ per year. The potential from this region alone is higher than the national Polish target for second-generation bioethanol consumption of 9 PJ in 2020. Although the sustainable implementation potential may be lower, the province of Lublin could play a key role in achieving this target. This study shows that the mitigation or prevention of ILUC from bioenergy is only possible when an integrated perspective is adopted on the agricultural and bioenergy sectors. Governance and policies on planning and implementing ILUC mitigation are considered vital for realizing a significant bioenergy potential with low ILUC risk. One important aspect in this regard is monitoring the risk of ILUC and the implementation of ILUC mitigation measures. Key parameters for monitoring are land use, land cover and crop yields.

Keywords: case study, ILUC mitigation and prevention, land-use change, miscanthus \times giganteus, policies and governance, technical biofuel potential

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Introduction

From 2002 to 2012, the production of biofuels has expanded significantly in the EU (Observ'ER, 2015). This growth is largely policy driven, based on the idea that biofuels can play an important role in reducing GHG emissions and mitigating climate change (European Parliament and Council of the European Union, 2003). However, in recent years, this assumption has been widely debated. One of the main topics of concern is land-use change (LUC), and especially indirect landuse change (ILUC). Here, ILUC is defined as a change in land use that takes place if biofuel feedstock production displaces agricultural production of food, feed and fibers and this displacement results in 1) food, feed and

et al., 2014). Results vary significantly between studies, Correspondence: Sarah J. Gerssen-Gondelach, tel. +31 30 2535 446, e-mail: s.j.gerssen-gondelach@uu.nl

fibers being produced elsewhere to continue to meet the demand, or 2) more land being taken into agricultural production because of increased food prices (Searchinger et al., 2008; Plevin et al., 2010; Wicke et al., 2012). When ILUC entails the conversion of high carbon stock lands, for example, forests or grasslands, this can lead to increased GHG emissions which reduces or even cancels out the GHG benefits of biofuels compared with fossil fuels (Searchinger et al., 2008). Since the first publication on the negative effects of ILUC by Searchinger et al. (2008), multiple studies have attempted to model and quantify the extent of (I)LUC and the level of related GHG emissions caused by biofuel production (e.g., Al-Riffai et al., 2010; EPA, 2010; Hertel et al., 2010; Tyner et al., 2010; Laborde, 2011). However, the modeling of LUC and (I)LUC-related GHG emissions is characterized by major limitations and challenges (Warner

and outcomes are expected to remain uncertain (Plevin et al., 2010, 2015; Wicke et al., 2012). Therefore, investigating how ILUC can be mitigated or prevented may be more important than assessing the scale of ILUC under current assumptions (Wicke et al., 2012).

ILUC of biofuels can only be prevented when the direct LUC (DLUC) of the displaced activity is addressed as well. Therefore, it is necessary to take an integrated perspective on all land use, whether for food, feed, fiber and fuels. Previous research has identified the following key measures to reduce the extent of ILUC and control the type of land-use change: abovebaseline yield development, improved integration of food and biofuel chains, increased chain efficiencies, biofuel feedstock production on underutilized lands and land zoning (van de Staaij et al., 2012; Wicke et al., 2012; Witcover et al., 2013; Brinkman et al., 2014). Very few studies, however, have investigated the potential of producing biofuels with low ILUC risk (van de Staaij et al., 2012). For the assessment of low-ILUC-risk biofuel potentials, regional analyses are of great importance because of several reasons. First, a regional analysis considers the specific characteristics of a region, for example, biophysical conditions, agricultural practices and the socio-economic context. Such factors are needed to define a feasible and suitable biofuel target for the region and develop appropriate policy strategies for realizing this target and mitigating ILUC. Second, a regional analysis is important to assess the availability and quality of data and to translate this into parameters for monitoring the implementation of ILUC mitigation measures and ILUC risks. Monitoring is required for correct certification of low-ILUC-risk biofuels.

The aim of this case study was (1) to assess how much additional biofuel can be produced in 2020 by implementing ILUC mitigation measures (i.e., the low-ILUC-risk biofuel production potential), and (2) to identify parameters required for monitoring the risk of ILUC and the implementation of ILUC mitigation measures. The case study focuses on bioethanol production from miscanthus, in the Polish province of Lublin (Lubelskie voivodship). Lublin is located in the southeast of Poland. Diverse studies have shown that this province has a significant technical and economic potential for biomass production (Fischer et al., 2010; de Wit & Faaij, 2010; Szymańska & Chodkowska-Miszczuk, 2011; Faber et al., 2012; Pudełko et al., 2012). In addition, the development level of agricultural systems and the agricultural yields in Eastern Poland are lower compared with Western regions (Eurostat, 2013; CSO, 2014a). This suggests that agricultural productivity can improve significantly and thereby make land available for bioenergy feedstock production without ILUC. The choice to conduct the case study at province level is based on the

good availability of data and regional differences in agricultural characteristics in Poland. Miscanthus is chosen because it has the potential to contribute to the development of the rural economy by the diversification of farms, which often enhances their economic resilience and profitability (Agricultural Sustainability Institute). In addition, crop diversity helps to maintain or improve the agroecosystem (Dauber et al., 2010).

Methods and materials

The case study presented here is based on a report by Gerssen-Gondelach et al. (2014). The general method to quantify ILUC mitigation measures was developed by Brinkman et al. (2015). This section describes the main aspects of the method and provides case-specific details. For more details, the reader is referred to Gerssen-Gondelach et al. (2014) and Brinkman et al. (2015).

Assessment of low-ILUC-risk biofuel potential

The assessment of the low-ILUC-risk biofuel production potential is based on a combination of a top-down and bottom-up approach and distinguishes three main components, see Fig. 1. Below, these components are shortly described.

Step 1: Top-down assessment of agricultural production in the baseline and target scenario in 2020. From an economic model used to analyze ILUC factors (top-down approach), a biomass production baseline (without additional biofuels) and target (with a biofuel mandate) for the case study region in 2020 are established. The current study uses the outputs from the computable general equilibrium model MIRAGE-BioF (Modeling International Relationships in Applied General Equilibrium for Biofuel, hereafter referred to as MIRAGE) as generated for a study for DG Trade of the European Commission (Laborde, 2011). The baseline indicates the production of biomass for food, feed and fiber applications in the absence of the biofuels mandate (i.e., assuming current biofuel production to remain approximately constant). The target refers to the total biomass production when a biofuels mandate is implemented; it includes food, feed and fiber demand as well as the extra feedstocks for biofuels needed to meet the biofuels mandate. The difference between the target and baseline is the extra agricultural production induced by the mandate (whether directly caused by increased demand for meeting the mandate or induced by increased crop prices). In MIRAGE, this amount is projected to cause LUC (both direct and indirect).

The MIRAGE study (Laborde, 2011) includes two biofuel mandate scenarios which differ in their assumptions regarding future trade policy (business as usual or BAU vs. free trade). In the present study, the BAU scenario is applied, which means that all existing import tariffs on biofuels remain unchanged in 2020. The mandate includes first-generation biofuels: biodiesel from oil palm, rapeseed, soybean and sunflower and bioethanol from maize, wheat, sugar cane and sugar beet (Laborde, 2011).

Fig. 1 General approach to analyze and quantify biomass production potential with low ILUC risks (Brinkman et al., 2015). The three steps (1–3) of the analysis are described in the main text.

This study considers both crop and cattle (beef and milk) production. The MIRAGE model outputs for crop production volumes in the baseline and target scenario are only available on the EU27 level. Therefore, the outputs are disaggregated to the case study region, based on the current share of crop production in Lublin compared to the EU27 (see Brinkman et al., 2015). The production of beef and milk in 2020 cannot be derived from the MIRAGE model. Therefore, the production in 2020 is estimated by assuming that the production trend will be in line with the recent trend in the European Union (1991– 2012). It is assumed that the production is unaffected by a biofuel mandate and thus equal in the baseline and target scenario. In both the baseline and target scenario for 2020, the production volumes for crops and beef are projected to be lower than in 2010, see Data S2. The production volume of milk is projected to remain constant compared to 2010.

Step 2: Bottom-up assessment of low-ILUC-risk miscanthusbased ethanol production potential. It was shown that in MIRAGE, no mandate for miscanthus-based bioethanol is included. Therefore, the production of miscanthus-based ethanol further increases the biomass production volume above the level of the target scenario. Only when the total biomass production potential with low ILUC risk is higher than the production induced by the target scenario, production of low-ILUC-risk miscanthus-based ethanol is possible. To determine the potential to produce ethanol from miscanthus with low ILUC risk, it is assessed how much agricultural land can be made available for miscanthus cultivation by implementing ILUC mitigation measures. First, a baseline yield scenario is defined to determine the initial total agricultural land area required in 2020 for the projected biomass production volume in the target scenario. Then, it is assessed to what extent the different ILUC mitigation measures can contribute to reducing this land requirement and making the surplus land (i.e., land no longer needed for the targeted biomass production) available for bioenergy (section Assessment of ILUC mitigation measures). To this aim, this study takes an integrated view on all land uses and looks for synergies between agriculture, forestry and bioenergy. The following ILUC mitigation measures are assessed (bottom-up approach): above-baseline yield development, improved chain integration, increased food chain efficiency, biofuel feedstock production on underutilized lands and land zoning. The latter measure, land zoning, is distinct from the first four measures. It does not reduce land requirements for agricultural production, but establishes constraints on future production areas to avoid the conversion of protected and biophysically unsuitable areas to miscanthus cultivation.

Finally, the total surplus land resulting from integrating all ILUC mitigation measures and the potential bioethanol production on this land are calculated. This potential is the technical potential, which takes into account the demand for land for food and feed production, legal requirements regarding environmental conservation and minimal biophysical requirements for miscanthus cultivation. In the present paper, this potential is called the low-ILUC-risk potential. Although the implementation of ILUC mitigation measures reduces the risk of ILUC, it not necessarily decreases the risk to zero. The ILUC risk will only be zero if it is guaranteed that biofuel feedstocks are only produced on land that is made available by one of the ILUC mitigation measures. This requires legislation and enforcement of regulations.

In the general approach, the baseline yield scenario for crops is derived from MIRAGE (Brinkman et al., 2015). However, in this case study, the MIRAGE projections are not in line with recent yield trends in Lublin. As it is found that crop yields often follow a linear trend over time (Ray et al., 2012; Gerssen-Gondelach et al., 2015), the baseline scenario in this case study is based on linear extrapolation of historical yield trends (1999– 2012) in Lublin. For cattle, the selected parameters for yield are the beef and milk productivity (beef or milk production per animal per year) and the cattle density on meadows and pastures. The productivity and density values in 2020 are defined similar to crop yields. Currently, the total agricultural area needed for the production of the selected crops and for cattle in Lublin covers 1224 thousand ha (87% of the utilized agricultural land area). Because of the increasing yields and the projected reduction in the total agricultural production volume in the target scenario compared to the level in 2010, the total land use reduces to 944 thousand ha in 2020 (see Data S2).

Step 3: Comparison of low-ILUC-risk bioethanol potential to biofuel production target. The low-ILUC-risk biofuel production

potential is compared to the biofuel production target. In the general method, this target is derived from MIRAGE's biofuel mandate scenario. As MIRAGE includes no target for miscanthus-based bioethanol, the production potential can only be compared to MIRAGE's production target for first-generation bioethanol. The bioethanol production target from MIRAGE for the EU27 is disaggregated to the Polish national level based on the share of bioethanol production in Poland compared to the EU27 (Table 1). The target is not disaggregated to the level of Lublin province because no information is available about current biofuel production levels at the provincial level. In addition to the bioethanol production target from MIRAGE, the low-ILUC-risk biofuel potential is compared to the targets for biofuel consumption in 2020 as set in the Polish National Renewable Energy Action Plan (NREAP) for meeting the requirements of Directive 2009/28/EC (Table 1).

Assessment of ILUC mitigation measures

The contribution of the ILUC mitigation measures to the miscanthus-based ethanol production potential is investigated for three scenarios; low, medium and high. Each scenario includes all ILUC mitigation measures, and for each measure, assumptions are made about how this measure contributes to the generation of surplus land compared to the target scenario from step 2. For example, the scenarios assume more rapid developments in agricultural productivity and food chain efficiency compared to the baseline projections in the target scenario. The rates of development increase from the low to the high scenario to indicate the variability and uncertainty in the data and to test the effect on the low-ILUC-risk potential. The next subsections explain the assumptions per measure for the different scenarios. Where the methods to assess the ILUC mitigation measures deviate from the general approach (Brinkman et al., 2015), this is also explained in these sections. Finally, it is described how the total low-ILUC-risk biofuel potential in each scenario is calculated by integrating the results of all individual measures.

Above-baseline yield development

Increases in crop yield, beef and milk productivity and cattle density above the baseline projection result in a reduction in agricultural land required for crop and livestock production (assuming the production volume remains constant). On the resulting surplus land area, biomass can be produced with low ILUC risk (see Brinkman et al. (2015) for a detailed description of the calculation). The baseline yield scenario was defined based on the finding that crop yields often follow a linear trend over longer terms (Ray et al., 2012; Gerssen-Gondelach et al., 2015). Over shorter time periods, however, higher yield increases are possible, especially when the yield gap is still large (Gerssen-Gondelach et al., 2015). The average yields and management levels in Lublin are lower compared with regions in Western Poland and Germany (see Table S2 in Data S1). Therefore, measures such as scaling up of farms, mechanization and improved use of chemicals, as already applied in these other regions, can enable higher annual yield growth rates compared to the baseline. Also, when crop yields increase and less land is needed for production, the use of lower quality land for production is likely to decrease which has a positive effect on the average yield levels. The crop yields in Western Poland and Germany give an indication of what yields can be attained in Lublin, but also maximum attainable crop yields based on, for example, climate and land suitability are taken into account. Similarly, for cattle production, cattle density and productivity levels in Poland and Germany (CSO, 2014a; FAO, 2014) are assumed to be appropriate indicators of what improvements are attainable in Lublin. Data on historical and current crop-specific yields, cattle density and beef and milk productivity are collected or derived from the Central Statistical Office in Poland (CSO) (CSO, 2014a) and the FAO (FAO, 2014). The agro-ecological potential crop yield is derived from the Global Agro-Ecological Zones database (FAO and IIASA, 2014). As an example, Table 2 compares the current average wheat yield to the yield level in the baseline and the low, medium and high scenarios.

Improved chain integration

The production of second-generation bioethanol generates various by-products such as lignin, proteins and carbon dioxide released during fermentation. These by-products can be used to produce a variety of value-added co-products (see, e.g., Patton, n.d.). Depending on the potential uses of these co-products and following the principles of consequential LCA (see Ekvall & Weidema, 2004; Finnveden et al., 2009; Reinhard & Zah,

Table 1 Current and targeted production of first- and second-generation bioethanol in Poland

	Current production*	2020 projected baseline production without mandate†	2020 production target with mandate†	2020 consumption target Poland ⁺
First-generation bioethanol				
Million liter	177.5	174.9	567	760
PI	4.2	4.1	13.3	17.8
Second-generation bioethanol				
Million liter		n/a	n/a	376
PI		n/a	n/a	8.8

*Current: average 2009–2011 (Observ'ER, 2011, 2012, 2013).

†Derived from MIRAGE output for EU27.

‡Targets as set in 2010 in the National Renewable Energy Action Plan (Ministry of Economy, 2010).

Table 2 Current and projected average wheat yields and losses in transport and storage. Projections are given for the baseline and the low, medium and high scenarios

*Current wheat yield: average 2008–2012; current wheat losses: average 2008–2011.

2011; Brinkman et al., 2015), these co-products could be argued to reduce land demand and thereby help to mitigate ILUC. For example, when co-products can substitute livestock feed from crops, a certain amount of land can be freed up from crop cultivation. In this case study, no co-products are included that have the potential to generate surplus land in 2020 (see the biofuel chain design in Data S3). Therefore, this measure is not further investigated.

Increased food chain efficiency

This ILUC mitigation measure addresses the reduction in food losses in transport, storage, (un)loading, etc., such that a higher share of the produced goods reaches the consumer. Therefore, the less land is needed to deliver the same amount of goods [see Brinkman et al. (2015) for a detailed description of the calculation]. In Poland, 27% of all food losses and food waste take place in the stages between farms and consumers (Rutten et al., 2013). These losses are equal to 15% of the total national food production; for comparison, the average percentage in the EU27 is 7% (Rutten et al., 2013). To analyze the land-saving potential of food chain efficiency improvements, regional figures are not available. Therefore, national figures on food losses occurring during storage and transportation from FAO food balances are used (FAO, 2014). These figures give estimated volumes of food losses for each separate agricultural product. In Table 2, the example of projected food losses is given for wheat in the baseline and the low, medium and high scenarios.

Biofuel feedstock production on underutilized lands

Underutilized land includes set-aside land, abandoned land, marginal lands or degraded land, which often has lower productivity than conventional agricultural land. The share of this land type that does not provide other services (e.g., agriculture, biodiversity, high carbon stocks or other ecosystem services) can be used for the production of biomass with low risk of ILUC. The total area of underutilized land in 2020 depends on the current area of underutilized land and an increase in this area due to reduced agricultural land use from 2010 to 2020 as projected in the biofuel target scenario based on MIRAGE (see step 2).

Regarding the amount of underutilized land currently available in the case study area, the use of spatially explicit data about the location and extent of these types of land, its current uses and functions, and its suitability for the biofuel feedstock investigated in the case study is ideal. For Lublin province, however, spatially explicit data about the location of underutilized lands is not available. Therefore, the current area of underutilized agricultural land is estimated based on statistical data about set-aside, fallow and marginal land from the Central Statistical Office of Poland (CSO, 2013, 2014a,b), Eurostat (Eurostat, 2012) and FAO (FAO, 2003). In addition, it is estimated what part of the agricultural land not under agricultural activity can be considered as abandoned land potentially available for miscanthus. This is based on statistics and own estimates (for more details, see Data S4). Additional abandoned land available in 2020 is estimated based on the area of agricultural land no longer required because of the projected reduction in agricultural production and increase in yields (see step 2).

Often, the bioenergy crop yield on underutilized lands is expected to be lower than average. However, not in all cases yields on underutilized land are actually lower than on agricultural land as it depends on the soil and climate conditions. As the location and biophysical characteristics of underutilized lands are unknown, the suitability and the attainable miscanthus yield on these lands cannot be assessed. Therefore, the impact of the yield level on the miscanthus production potential is assessed in a sensitivity analysis (see methods section Integrated analysis of overall low-ILUC-risk biofuel potential).

Land zoning

While the previously described measures attempt to mitigate ILUC, land zoning aims at reducing the impacts of LUC, here especially the associated biodiversity losses and GHG emissions. This study includes land zoning to prevent the conversion of protected areas, including (primary and secondary) forest and high conservation value areas, for the production of biomass. In addition, in this case study, this measure also considers the land suitability for miscanthus production.

The land not excluded by land zoning for protection purposes is referred to as legally available land. Suitable land refers to land that is biophysically suitable for miscanthus production, considering minimal climate and soil requirements. The calculation of the legally available and suitable agricultural land area for miscanthus cultivation is based on the method applied by Pudełko et al. (2012) to assess the technical potential of perennial energy crops in Poland. Spatial analyses for Lublin province are performed in the geographic information system (GIS), using the following data sets: agricultural soil suitability (IUNG, 1974), Corine land cover (Nunes de Lima, 2005), digital elevation model, hydrogeological map (Institute of Geology, 1957), annual rainfall based on the Agroclimate Model of Poland (Górski & Zaliwski, 2002) and protected areas (European Commission, 1992; Ministry of the Environment, 2003).

First, to determine the legally available land, the following criterion is applied:

• Soils located on protected areas of land are removed. Protected areas include all forests, national parks, landscape parks, nature reserves, strict protection areas, Natura 2000 sites and their buffer zone.

Second, to assess what share of the total agricultural area is biophysically suitable for miscanthus cultivation, the following criteria are applied:

- Miscanthus roots can extract water to a depth of approximately 2 m (Caslin et al., 2011). Therefore, the ground water level is set at a depth up to 2 m for all soils. The areas with a lower ground water table are excluded;
- The minimal average annual precipitation is 550 mm yr^{-1} for all soils [see, e.g., Kus and Faber, 2009 in Sliz-Szkliniarz (2013)]. Areas where the precipitation did not exceed this minimum are removed;
- Boggy and wet areas are excluded because the accessibility of machinery to waterlogged sites is limited and can cause soil damage. Also, the release of carbon dioxide due to land conversion will negatively affect the GHG emission balance of the biofuel;
- Areas over 350 m above sea level are excluded because production and transportation conditions are hampered in these regions.

Land not complying with these suitability criteria is only very marginally suitable for miscanthus production. On these lands, miscanthus yields would be significantly lower than on suitable lands (see Data S3).

Finally, the criteria for legally available land and suitable land are combined, resulting in the total agricultural area legally available and suitable. Although considered suitable, the soil quality and degree of suitability of the areas included varies. Therefore, in the results, it is shown how land is distributed among suitability classes. This distribution is determined using the Polish classification system that distinguishes twelve soil suitability classes or complexes (Terelak & Witek, 1995). Nine of these classes apply to arable land and can be categorized into very good and good quality soils, lower quality soils and very weak soils. Three classes apply to grasslands (meadows and pastures) of various qualities.

Pudełko et al., (2012) excluded good and very good quality soils from their analysis, based on the guideline that bioenergy crops should not be cultivated on these lands. However, ILUC mitigation measures may free some areas that have good or very good quality soils while this would not result in displacement of crop production. Therefore, the present study includes all soil classes.

The land zoning criteria applied in this study do not include specific conditions on maximum carbon stocks to allow landuse conversion. However, the analysis excludes all areas that are prohibited by the Renewable Energy Directive (EU) to be used for biomass production because of high carbon stocks (i.e., wetlands, forested areas and peat land).

In the criteria described above, all protected areas currently under agricultural use (e.g., parts of the Natura 2000 network) are excluded from bioenergy production to ensure the conservation of biodiversity (European Parliament and Council of the European Union, 2009). However, some of the protected areas may actually be designated as legally available for miscanthus cultivation because miscanthus can have a positive impact on the biodiversity of agricultural land. The biodiversity in miscanthus fields is found to be higher compared with annual crops (Smeets et al., 2009; Dauber et al., 2010). This is potentially also true for grasslands, but the number of studies is limited yet and more research is needed (Dauber et al., 2010; Donnelly et al., 2011). In the medium and high scenarios, it is assumed that a part of the suitable agricultural areas with high conservation value can be made legally available for miscanthus cultivation. Areas with high carbon stocks are excluded in all scenarios.

Integrated analysis of overall low-ILUC-risk biofuel potential

Table 3 provides a summary of the scenario assumptions per ILUC mitigation measure. Having evaluated the individual measures, the total potential biomass production without ILUC is analyzed. This is an integrated assessment that accounts for the interactions and feedback between different measures. An example of this is a reduction in food losses that decreases the food production volume required for supplying the same amount of food, which influences the effect of above-baseline yield developments. The order in which the measures are considered in the integrated analysis influences the outcome of the assessment. In this study, the integration calculations are performed as follows:

- i The agricultural land area required for food, feed, fuel and fiber production in 2020 as derived from the MIRAGE target scenario is taken as the initial land base.
- ii The measure increased food chain efficiency is implemented: the biomass production volume required after a reduction in food losses is calculated. The surplus area generated by this measure is calculated using the baseline yield development scenario.
- iii The measure above-baseline yield increases is applied: based on the required food production as determined in step ii, the additional surplus area generated through above-baseline yield developments is calculated.
- iv The measure use of underutilized land is taken into account: the area of underutilized land is added to the total surplus land area from steps ii and iii.
- v The measure land zoning is implemented: The total surplus land area from steps ii to iv is compared to the total land area suitable and legally available for miscanthus production. In the case that the surplus land area is larger than the area suitable and legally available, the use of surplus land for biomass production is limited by land zoning restrictions. The total surplus land area resulting from applying all five measures is presented for a low, medium and high integrated scenarios in which the low, medium and high scenarios of each measure are combined, respectively. In addition, a distinction in the results is made between the surplus area of cropland and of meadow and pastureland.

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‡15% of the EU countries attain this or a lower loss percentage, 85% of the EU countries attain a higher loss percentage.

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hus production.

hus production.

§In the medium scenario, the following is assumed: Of the area that is suitable for miscanthus production but not legally available according to the applied protection criteria, 50% will be made legally available because miscanthus cultivation has a positive impact on biodiversity. Protected areas that are not considered to be suitable are excluded for miscant-

§In the medium scenario, the following is assumed: Of the area that is suitable for miscanthus production but not legally available according to the applied protection criteria, 50%

will be made legally available because miscanthus cultivation has a positive impact on biodiversity. Protected areas that are not considered to be suitable are excluded for miscant-

¶In the high scenario, the following is assumed: Of the area that is suitable for miscanthus production but not legally available according to the applied protection criteria, 100% will be made legally available because miscanthus cultivation has a positive impact on biodiversity. Protected areas that are not considered to be suitable are excluded for miscanthus production.

made legally available because miscanthus cultivation has a positive impact on biodiversity. Protected areas that are not considered to be suitable are excluded for miscanthus production.

Th the high scenario, the following is assumed: Of the area that is suitable for miscanthus production but not legally available according to the applied protection criteria, 100% will be

vi For each integrated scenario, the potential miscanthus and bioethanol production on the total surplus land area is calculated. These potentials depend on the miscanthus yield and the biofuel chain efficiency. Therefore, to assess the impact of the value chain design, the total chain productivity is defined for a medium scenario and two sensitivity scenarios (low and high), see Table 4.

Results: ILUC mitigation potentials

Above-baseline yield development

Table 5 presents the land savings for the low, medium and high above-baseline yield developments in crop and cattle production compared to the target scenario. The saving potentials of crops are higher compared to cattle. This is in line with the fact that the cropland area is larger than the area of meadows and pastures (Table S1, Data S1). In all three scenarios, wheat yield improvements account for the largest area saved, followed by barley, triticale and rapeseed. For potatoes, sugar beets and apples, the yields in the low and medium above-baseline scenarios are actually lower compared with the baseline projection, because extrapolation of the recent yield trend results in a high yield increase. The additional area required for these crops compared to the target scenario is lower than the area saved by other crops, but reduces the total area saved. With regard to cattle, increasing the cattle density on meadows and pastures has a larger effect on the area saved than increasing the beef and milk productivity. The impacts of improvements in beef and milk productivity are comparable to each other.

Increased food chain efficiency

The agricultural area saved in the increased food chain efficiency scenarios is presented in Table 5. The potentials are significantly lower compared with the potential from above-baseline yield development. Improved chain efficiencies of crops result in considerably higher land saving compared with cattle. Similar to the abovebaseline yield improvement scenarios, wheat has the highest land-saving potential, followed by oats and rapeseed.

Table 4 Components and productivity of the value chain for miscanthus-based biofuel production (for detailed explanation see Data S3)

*Biomass losses during transport are assumed to be negligible.

†The low conversion efficiency for the sensitivity analysis is equal to the baseline efficiency, because newly build plants already attain the baseline efficiency (Aden et al., 2002; Hamelinck & Faaij, 2006; Tao & Aden, 2009; Bansal et al., 2013; Abengoa Bioenergy, 2014; POET-DSM Advanced Biofuels, 2014).

‡Calculated from combining the miscanthus yield, storage and transportation losses and conversion efficiency.

	Area saved (1000 ha)								
	Above-baseline yield scenarios			Increased food chain efficiency scenarios					
Product	Low	Medium	High	Low	Medium	High			
Wheat	25	80	132	3.7	7.4	12.5			
Rapeseed	12	16	26	0.7	1.5	2.0			
Potatoes, sugar	-6	-13	9	0.6	1.2	1.9			
beets and apples									
Other crops	35	67	126	3.4	6.7	11.4			
Cattle*	30	74	132	0.8	1.7	2.8			
Total	96	224	426	9.2	18.4	30.5			

Table 5 Land saved by crops and cattle in the above-baseline yield scenarios and increased food chain efficiency scenarios

*The land saved by cattle is meadow and pastureland.

Table 6 Estimated underutilized land area available in 2020 in the low, medium and high scenarios

		Area Lublin (1000 ha)				
Scenario	Medium Low		High	References		
Set-aside and fallow land	45	60	75	Eurostat, (2012); FAO, (2003); CSO, (2014a)		
Abandoned land not held for agricultural activity	5	15	20	CSO, (2014a) and own estimates		
Abandoned land baseline scenario Of which	42	98	280	MIRAGE projection (Laborde, 2011) and own estimates		
Cropland	34	80	229			
Grassland	8	18	51			
Marginal land	Ω	θ	θ	CSO, (2014b, 2013)		
Total underutilized land	92	173	375			

Biofuel feedstock production on underutilized lands

The total area of set-aside and fallow land is estimated to be 45–75 thousand hectare (Table 6, see Data S4 for a more detailed explanation). In addition, the area of agricultural land that is held by owners who do not conduct agricultural activities and that could potentially be considered as abandoned land suitable for miscanthus production is estimated to be 5–20 thousand hectare (Data S4). Finally, according to the biofuel target scenario for 2020 based on MIRAGE and own estimates for cattle production, a total area of 280 thousand hectare will be abandoned compared to 2010 (see step 2 in Methods and materials). However, the projected rate of reduction in the agricultural land area is high compared to what is expected based on recent developments in Lublin and Poland. In addition, several factors could result in more land use than projected. Both issues are considered in more detail in the discussion. For this measure, the area of abandoned land in the low and medium scenarios is estimated to be significantly smaller than 280 thousand hectare. In the high scenario, the total abandoned land area of 280 thousand hectare is included.

In statistics, only 202 ha of land was defined as degraded land (CSO, 2013, 2014b). Therefore, the share of marginal land in the total area of agricultural land is considered to be negligible. The resulting total estimated area of underutilized in each scenario is presented in Table 6.

Land zoning

In the methods, criteria were given to assess both the legal availability and the biophysical suitability of agricultural land for miscanthus production. When only applying the protection criterion, the total agricultural area that is legally available is 1267 thousand ha, see Table 7. When only considering the suitability criteria, the total agricultural area that is suitable for miscanthus production is 269 thousand ha. Other agricultural areas are considered very marginally suitable for miscanthus because of limited (soil) water availability, which is low in summer due to

	Resulting land area	Area (1000 ha)	$%$ of total agricultural area	Area by soil quality (1000 ha) Arable land			
Criteria applied				Very good & good	Lower	Verv weak	Grassland total
None	Total agricultural land area*	1745	100	885	499	75	316
Protection	Total area legally available	1267	73	631	391	49	195
Suitability	Total area suitable	269	15	55	134	Ω	80
Protection and suitability	Total area suitable and legally available for miscanthus	203	12	40	114	$\overline{0}$	50

Table 7 Agricultural area legally available and suitable for miscanthus production

*Equal to average of agricultural land area in 2010 and 2012.

Fig. 2 Surplus area in the three integrated scenarios, breakdown by measures (a1) and agricultural cropland and grassland (a2); cropland and grassland area suitable for miscanthus cultivation and corrected for land zoning (b).

droughts (Mioduszewski, 2014). Although miscanthus has a good water-use efficiency compared with many other crops, it is found to be sensitive to water stress (Lewandowski et al., 2000; Richter et al., 2008). When combing the protection and suitability criteria, the total area suitable and legally available is 203 thousand hectare, which is equal to 12% of the total agricultural area. This value is used for the low scenario. Assuming that some protected areas could be made available for miscanthus production (as described in the methods section Land zoning), the suitable and legally available land area increases to 236 thousand ha in the medium scenario and 269 thousand ha in the high scenario.

Integrated analysis

Figure 2 presents the combined potential surplus land area generated by the measures increased food chain efficiency, above-baseline yield development and biofuel feedstock production on underutilized lands and compares this land area to the area suitable and legally available for miscanthus based on the measure land zoning.

The ILUC mitigation measures above-baseline yield development and biofuel feedstock production on underutilized lands have the largest potential to make land available for biomass production. For the measure biofuel feedstock production on underutilized lands, a large share of the potential is related to the projected reduction in demand for agricultural land in the biofuel target scenario of MIRAGE compared to the current situation. The largest share of the area saved is considered to be cropland. The suitability and legal availability criteria for agricultural land limit the use of the arable land area saved in all scenarios and of the grassland area saved in the medium and high scenarios. The resulting ethanol production potential in each scenario is presented in Fig. 3. This figure also presents the sensitivity of the biofuel potential to the miscanthus-ethanol chain productivity, as defined in the methods. The total bioethanol production potential ranges from 12.2 PJ per year in the case of a low ethanol yield in the low integrated scenario to 34.6 PJ per year in the case of a high ethanol yield in the high integrated scenario (i.e., 522–1479 million liter per year). The second-generation bioethanol consumption target for Poland as set in the NREAP is 8.8 PJ or 376 million liter in 2020. Thus, in all scenarios, the miscanthus-based ethanol production potential of only the province of Lublin is higher than this national target. In addition, in the NREAP, the total target for the national consumption of all first- and second-generation biofuels in Poland is 60.5 PJ (2582 million liter) in 2020 (Ministry of Economy, 2010). Thus, 20% to 57% of this target could be met by bioethanol production from miscanthus in the province of Lublin.

Monitoring ILUC and ILUC mitigation measures

The analysis shows that technically it is possible to produce large additional amounts of biofuel in Lublin with a low risk of causing ILUC. For certification, it needs to be verified that biofuel feedstock is indeed produced with low ILUC risk, that is, the risks of land conversion elsewhere or undesired land-use change in the case study region as a result of biofuel feedstock production are within certain thresholds. Also, to control and

Fig. 3 Bioethanol production potentials in Lublin province in 2020 for medium ethanol yield and sensitivity bars for low ethanol yield and high ethanol yield, see Table 3. The National Renewable Energy Action Plan target is the Polish national consumption target for second-generation bioethanol of 8.8 PJ in 2020, as stated in the polish National Renewable Energy Action Plan.

manage the expansion of biofuel feedstock production, the implementation of the ILUC mitigation measures should be monitored.

For this case study, several parameters are identified that are important for monitoring ILUC risk (Table 8). First, the observation of land use (e.g., for agricultural or bioenergy production, or for forestry) and land-use change over time is vital. For this, it is required to frequently compose land use and land cover maps. This can be carried out using remote sensing (satellite monitoring), supplemented with field data for validation. Important is the detail of the land use and land cover maps. This means that maps should differentiate between, for example, forest and agricultural land, land under agricultural activity and abandoned or set-aside land, and between agricultural and bioenergy crops. In addition, appropriate spatial and temporal resolutions should be chosen. As farms in Lublin are often small, the spatial resolution should be high to enable the identification of differences in land use and land cover. It is especially important to observe areas that are excluded from bioenergy production through land zoning regulations. When land-use change would occur in these areas or their buffer zone, this is a sign for potential ILUC risk. The observation of land use and land-use change could be supported by monitoring land management, as this is a good indicator of how and for what purpose(s) land is used. Aspects of land management include, among others, the type (e.g., tillage or no tillage), intensity (e.g., full tillage or reduced tillage) and timing of management. However, collecting this type of data could be very time-consuming. Second, changes in food and trade balances could be an indicator for increasing ILUC risk. For example, when agricultural production volumes increase at a higher rate than expected, the land area required for food, feed and bioenergy production is potentially larger than the area available without ILUC. In addition, changes in imports or exports of agricultural products might indicate growing production demand in the region or relocation of local production to other regions, which can both cause ILUC. Small changes compared to the projected production and trade volumes, however, should be considered to be within the uncertainty range of the projection. It should therefore be assessed what an appropriate threshold would be.

The key indicators to monitor the implementation of the ILUC mitigation measures are as follows. First, for above-baseline yield development, the most important parameter is the crop-specific annual yield. As yields fluctuate over time, it is recommended to monitor the 5 year moving average yield. In addition, the targeted yield should be defined as a range within which the average yield should be in a certain year. Statistics on

Table 8 Parameters for monitoring ILUC risk and implementation of ILUC mitigation measures and data availability and quality for these parameters

*A national agricultural census is taken every 6–8 years and includes data about agricultural machinery (CSO, 2014a). Currently, the data is only provided at aggregated levels (CSO, 2014a). It should be further assessed whether data for individual farmers can be made available and used for monitoring.

†On provincial level, data is only available for production volumes and for the most important agricultural products (CSO, 2014a). ‡Trade figures on province level were not found during this study. It is recommended to further investigate whether such data is already collected and available and how missing data can be collected in the future.

§A national agricultural census is taken every 6–8 years, but does not include yields (CSO, 2014a).

provincial average crop yields are generally made available annually (CSO, 2014a). However, information about the performance of individual farmers is lacking. This information is useful to identify where efforts and investments for yield improvements are needed most. In addition, monitoring developments in farm size and management (e.g., the level and efficiency of fertilizers use) is valuable to assess whether subsidies and other stimulating policies are effective, and whether advances in farm management are substantial enough to realize the expected or targeted yield improvements. Second, monitoring of food chain efficiency requires data on food losses in the whole supply chain, specified per agricultural product and per process in the chain. In this study, data availability and quality are poor (see Table 8). More and better data need to be collected periodically to set targets for chain efficiency and monitor whether developments are in line with these targets. Third, land zoning and the use of underutilized lands can be mainly monitored by periodically assessing land use and land cover (see also above). When remote sensing is used, the ability to differentiate between miscanthus and other crops is very important, because miscanthus may be cultivated in areas where the

production of other crops is undesired or prohibited. As the information on the location and size of underutilized lands is much more limited compared to protected areas, remote sensing and improved field data collection are important to set a baseline for monitoring this measure.

Discussion

Potential surplus land area

This case study assessed the production potential of miscanthus-based bioethanol with low ILUC risk in the Polish province of Lublin in 2020. Five measures have been analyzed that reduce the extent of ILUC and control the type of land-use change. The total potential of these measures has been investigated for a low, medium and high scenarios that refers to developments above the baseline projections. In 2020, a total area of 196 to 818 thousand hectare of agricultural land could become available for biomass production. This is equal to 11% to 47% of the total agricultural area in Lublin. The largest potential to generate surplus land comes from above-baseline yield developments (95–413 thousand hectare). Increasing especially wheat yields adds significantly to the total potential of this measure. Also, the projected area of underutilized land, 92–375 thousand hectare, is considerable. The large effect of these two ILUC mitigation measures illustrates the importance of improving land management. This finding is supported by assessments of land availability in Eastern Romania, Hungaria and North-East Kalimantan (Indonesia) (Wicke et al., 2015) and in Brazil (Woods et al., 2015).

The potentials differ substantially between the scenarios, and also the feasibility and likelihood of the scenarios vary significantly. For example, the yields applied in the high scenario are considered to be feasible based on existing farming practices in Germany. But it is questionable whether the adoption of these practices can take place in the limited timeframe to 2020. Second, based on the disaggregation of results from the MIRAGE model, the production of crops in Lublin is projected to decline in both the baseline and biofuel target scenarios. This reduction strongly affects land use. The decline in crop production is primarily caused by a reduction in the cultivation of potatoes and cereals (except wheat and maize) as projected by MIRAGE for the EU27. These crops account for a significant part of the agricultural production in Lublin. Furthermore, according to MIRAGE, the production of especially oil crops (e.g., rapeseed, sunflower) and also other first-generation bioenergy crops (e.g., wheat, maize, sugar beet) will increase. In the province of Lublin, however, the current production of oil crops and maize is very small. Therefore, the total decline in the production of potatoes and cereals (except wheat and maize) is larger than the total growth in the production of wheat, sugar beet and other crops (see Data S2). But the resulting reduction in land use is not in line with recent developments in Lublin and Poland (CSO, 2014a). In addition, other competitive uses for released land, such as afforestation, exist. These are not taken into account in this analysis. It is recommended to further assess the potential pathways for crop production and land use and specifying under which conditions each scenario could be realized.

Legally available and suitable area

Although the surplus land area available in 2020 is potentially very large, a limited area of 203–269 thousand hectare (12–15% of the total agricultural area) is considered to be legally available and biophysically suitable for miscanthus production based on the criteria for protecting high conservation areas and minimum requirements for land suitability. As a result, in all scenarios, the amount of surplus land that could be used for miscanthus production is restricted. The limitation on land use is mainly caused by the suitability criteria and especially the sensitivity of miscanthus to water stress. However, this study only assessed the land suitability based on a few simple criteria like the minimum ground water level. It did not take into account other parameters such as soil characteristics (see, e.g., van der Hilst et al., 2010) or the influence of the current vegetation and the conversion to miscanthus on the water balance and water availability. It is therefore recommended to further investigate how these factors affect the land suitability and the potential yield for miscanthus. The insights can be used to set a maximum area for growing miscanthus. In addition, lands that are only very marginally suitable for miscanthus may be suitable for other crops that have a higher tolerance to water stress, for example, reed canary grass and switchgrass (Lewandowski et al., 2000, 2003; Richter et al., 2008). Agro-ecological zoning data (FAO and IIASA, 2014) shows that in Poland, the soil suitability for reed canary grass, and to a lesser extent also switchgrass, is considerably higher than the suitability for miscanthus. Fischer et al. (Fischer et al., 2010) found that a total of 61% of the agricultural land in Poland is moderately to very suitable for reed canary grass, miscanthus and/or switchgrass. Thus, selection of the most appropriate crop for each area could significantly increase the use of the surplus land area and raise the total biomass production potential.

Low-ILUC-risk bioethanol potential

Depending on the productivity of the bioethanol value chain, the low-ILUC-risk bioethanol production potential ranges from 12 to 35 PJ per year (522–1479 million liter per year). For comparison, the national Polish target for second-generation bioethanol consumption is almost 9 PJ. This means that the province of Lublin could play a key role in achieving this target and help Poland even become an exporter of second-generation bioethanol. This potential, however, is the technical potential that accounts only for key environmental aspects such as the protection of high conservation value areas. However, the (sustainable) implementation potential may be lower than the technical potential. The implementation potential is the fraction of the technical potential that can be produced at economically profitable levels and implemented within the considered timeframe, taking into account local constraints and policies (Smeets et al., 2007). For Lublin, several factors are identified that could significantly affect the implementation potential. First, the agricultural sector in Lublin is characterized by a large number of small farms and low average management levels compared with regions such as Western Poland and Germany (see Table S2 in Data S1). To realize above-baseline yield increases, scaling up, modernization and intensification of agricultural production are needed. However, farmers have little capital to invest, and land prices are considered too low for selling or leasing land. Second, when the ILUC mitigation measures are implemented and land is made available for biomass production, several hurdles exist for farmers to start cultivating bioenergy crops. For example, in recent years, the production and trade of biomass for heat and electricity in Lublin province have been constrained by the lack of a stable market. Large amounts of biomass were imported from the Ukraine and, according to local experts, biomass prices offered to farmers in Lublin were too low (Faber, 2014; Galczynska, 2014; Gradziuk, 2014). With regard to miscanthus, a potential additional hurdle may be the high establishment costs compared with other energy crops (Lewandowski et al., 2003). The sustainable biofuel potential is the fraction of the technical potential that can be implemented while delivering positive environmental, social and economic impacts. To assess the sustainability of biofuels, sustainability criteria and indicators have been developed [see, e.g., Cramer et al. (2007), Franke et al. (2012), McBride et al. (2011) and Dale et al. (2013)]. It is unknown yet what will be the environmental and socioeconomic impacts of implementing ILUC mitigation measures in Lublin. These aspects should be addressed in future research.

To maximize the implementation potential, governance and policies are considered vital. First, this could, for example, include financial support to farmers to facilitate improved production practices. Such support is already included in European and Polish agricultural and rural development policies (European Commission, 2014; Ministry of Agriculture and Rural Development), but should be increased to realize the full potential. Second, in the medium and high scenarios, it was assumed that miscanthus production in some protected areas with high conservation value may actually lead to improved biodiversity. Therefore, land-use policies should clearly define which areas are allowed to take into production for biomass. Third, it is recommended to further assess the potential barriers for implementing ILUC mitigation measures and producing bioenergy crops and biofuels at large scale. In addition, it should be investigated how these hurdles could be addressed. Fourth, monitoring ILUC risks and the implementation of ILUC mitigation measures is important. This case study identified several parameters that are useful for monitoring, for example, land use, land cover and annual yields. However, the availability and quality of the data required for monitoring vary for the different parameters; especially, data about losses in the food supply chain and underutilized lands should be improved. Finally, the assessment of the ILUC mitigation measures and the miscanthus-based bioethanol production potential with low ILUC risk in Lublin province in Poland shows that the mitigation or prevention of ILUC from bioenergy is only possible when the close link between the agricultural and bioenergy sectors is recognized. Therefore, an integrated perspective on these sectors in planning and implementing policies on ILUC prevention specifically (as well as on land use in general) is essential. Doing so would allow realizing a significant bioenergy potential with a low risk of causing ILUC while boosting the performance of the agricultural sectors as a whole.

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Data S1. Characteristics of case study region.

Table S1. Land uses in Lublin province (CSO, 2013b; CSO, 2014b).

Table S2. Characteristics of agriculture in Lublin and comparison to Poland and Germany.

Data S2. Projection agricultural production.

Table S3. Current crop production in Lublin and projected production in 2020 in the MIRAGE-based baseline (without biofuel target) and biofuel target scenarios.

Data S3. Biofuel chain design and efficiencies.

Table S4. Miscanthus yield potentials for various classes of land suitability.

Data S4. Estimations of underutilized land area.

Table S5. Estimations of area of land potentially available and suitable for miscanthus production that is currently designated as set-aside land or agricultural land not under agricultural activity.