

Dry deposition of organic trace gases addresses a poorly quantified process in the atmosphere (3, 10). We estimate a lower and upper bound for the annual deposition flux of gas phase oVOCs between 37 and 56% relative to the annual NMVOC emission flux on a carbon basis (table S4). It is conceivable that oVOC deposition fluxes to vegetation could increase as a consequence of acute or chronic exposure to high O<sub>3</sub> concentrations in polluted regions (16).

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#### Supporting Online Material

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Figs. S1 to S16  
Tables S1 to S4  
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# Transient Middle Eocene Atmospheric CO<sub>2</sub> and Temperature Variations

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The long-term warmth of the Eocene (~56 to 34 million years ago) is commonly associated with elevated partial pressure of atmospheric carbon dioxide (*p*CO<sub>2</sub>). However, a direct relationship between the two has not been established for short-term climate perturbations. We reconstructed changes in both *p*CO<sub>2</sub> and temperature over an episode of transient global warming called the Middle Eocene Climatic Optimum (MECO; ~40 million years ago). Organic molecular paleothermometry indicates a warming of southwest Pacific sea surface temperatures (SSTs) by 3° to 6°C. Reconstructions of *p*CO<sub>2</sub> indicate a concomitant increase by a factor of 2 to 3. The marked consistency between SST and *p*CO<sub>2</sub> trends during the MECO suggests that elevated *p*CO<sub>2</sub> played a major role in global warming during the MECO.

The Middle Eocene Climatic Optimum [MECO; ~40 million years ago (Ma)] (1) interrupts a long-term middle Eocene cooling trend (2), with a globally uniform 4° to 6°C warming of both surface and deep oceans within ~400,000 years, as derived from foraminiferal stable oxygen isotope records (3). A decrease in carbonate mass accumulation rates during the MECO argues for ocean acidification induced by a rise in *p*CO<sub>2</sub> (3). Application of paleo-*p*CO<sub>2</sub> proxies across the MECO has yet to confirm whether *p*CO<sub>2</sub> changes are indeed associated with this interval of transient warming.

We investigated a sedimentary succession spanning the MECO recovered from the East Tasman Plateau at Ocean Drilling Program (ODP) Site 1172, which at that time was situated on the shelf (~65°S paleolatitude; Fig. 1 and figs. S1 and S2) (4, 5). To fully capture the magnitude of the sea surface temperature (SST) change associated

with the MECO at this site, we applied two independent temperature proxies: the alkenone unsaturation index (U<sub>37</sub><sup>K</sup>) (6) and the index of tetraethers consisting of 86 carbon atoms (TEX<sub>86</sub>) (5, 7) (fig. S3). At the onset of the MECO, U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub> indicate a rise in SST of 3°C and 6°C, respectively, which, also at this location, stands out as an interruption of long-term middle Eocene cooling (Fig. 2). Bulk carbonate oxygen isotope values (δ<sup>18</sup>O) decrease by 1.0 to 1.2 per mil (‰), which, if controlled by SST only, also indicate a SST rise of ~4° to 5°C (5).

Additional evidence of warming is derived from assemblages of hypnozygotic organic cysts of surface-dwelling dinoflagellates (dinocysts) (5). Whereas the middle Eocene dinocyst record at ODP Site 1172 is dominated by taxa that are endemic to the Southern Ocean (8), an incursion of low-latitude dinocyst taxa characterizes the MECO (Fig. 2 and fig. S4). A SST increase of 3° to 6°C is consistent with inferences from benthic foraminiferal and fine-fraction carbonate oxygen isotope records at other sites (1, 3). The U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub> proxies are independent of seawater δ<sup>18</sup>O. Hence, the consistent magnitude of warming between the proxies suggests that the carbonate δ<sup>18</sup>O records were not affected by a change in δ<sup>18</sup>O of seawater, and that global ice volume did not change considerably during the MECO.

Absolute SSTs as indicated by U<sub>37</sub><sup>K</sup> and TEX<sub>86</sub> are consistent, with 26°C or 24°C just below the onset of the MECO for the two proxies, respective-

ly, and peak MECO SSTs exceeding 28°C. These SSTs are much (~10°C) higher than those derived from fine-fraction carbonate oxygen isotope measurements from elsewhere in the Southern Ocean (1, 3). At least part of this large discrepancy is most likely the result of diagenetic alteration of calcite (9).

We assessed *p*CO<sub>2</sub> changes by determining the stable carbon isotopic composition (δ<sup>13</sup>C) of alkenones, long-chained ketones exclusively synthesized by specific haptophyte algae. Carbon isotopic fractionation during carbon fixation (ε<sub>p</sub>) by haptophyte algae varies as a function of dissolved CO<sub>2</sub> [CO<sub>2(aq)</sub>] (10, 11), specific cell physiological parameters (which show good correspondence to the surface-water concentrations of soluble phosphate), and other environmental parameters, primarily light intensity (5). The carbon isotopic composition of diunsaturated alkenones (δ<sup>13</sup>C<sub>C37:2</sub>) ranges between -32.5 and -35.5‰ (fig. S3). We used bulk carbonate δ<sup>13</sup>C to estimate the δ<sup>13</sup>C value of the dissolved inorganic carbon (DIC) pool in seawater (5) to determine ε<sub>p</sub>. The data show background ε<sub>p</sub> values of 21 to 22‰ rising up to 24.5‰ during MECO (Figs. 2 and 3 and fig. S3). The relationship between ε<sub>p</sub> and *p*CO<sub>2</sub> is exponential, which results in a relatively large uncertainty in reconstructed *p*CO<sub>2</sub> levels with high ε<sub>p</sub> values (Fig. 3). Temperature variations, however, play a minor role in the range of temperatures indicated by TEX<sub>86</sub> and U<sub>37</sub><sup>K</sup> (Fig. 2) and cannot explain the high ε<sub>p</sub> values (Fig. 3). It seems unlikely that changes in light intensity (12) influenced ε<sub>p</sub> substantially at ODP Site 1172 (5). The soluble phosphate concentration exerts a strong influence on the relation between ε<sub>p</sub> and *p*CO<sub>2</sub>, particularly if ε<sub>p</sub> values are high (5).

To evaluate all possible absolute *p*CO<sub>2</sub> estimates from our record, we applied the full range of present-day surface-water phosphate concentrations. These vary between 0 μmol liter<sup>-1</sup> in the oligotrophic gyres to >2 μmol liter<sup>-1</sup> in the Southern Ocean (5) (fig. S5). Yet even when phosphate concentrations of 0 μmol liter<sup>-1</sup> are assumed, ε<sub>p</sub> values between 21.2‰ and 24.5‰ yield *p*CO<sub>2</sub> estimates between 600 parts per million by volume (ppmv) before the MECO and 6400 ppmv during the MECO (Figs. 2 and 3). Hence, elevated levels of *p*CO<sub>2</sub> must in part be responsible for the high ε<sub>p</sub> values, with middle Eocene *p*CO<sub>2</sub>

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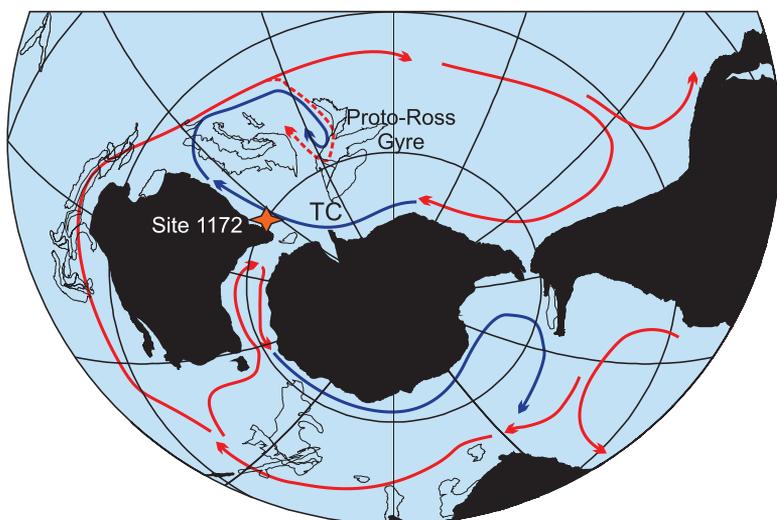
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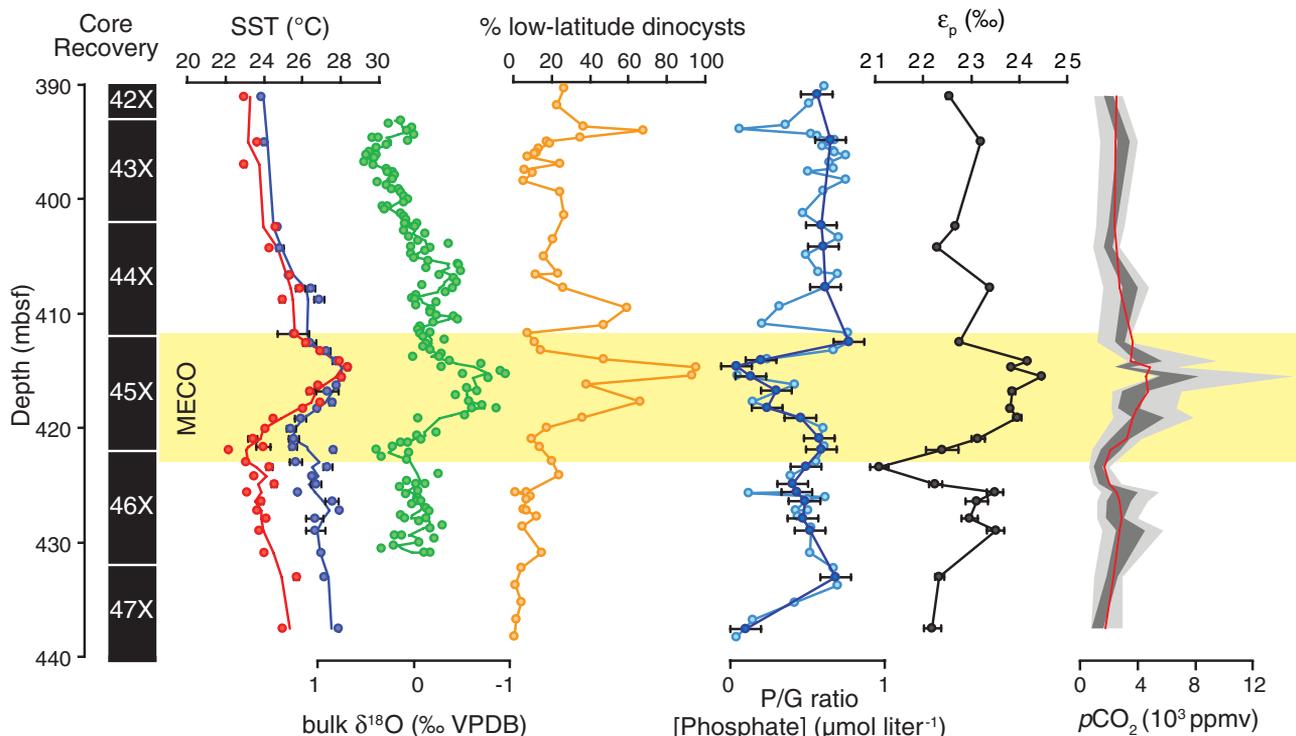
being more than twice the pre-industrial value. When we assume maximal phosphate concentrations of  $2 \mu\text{mol liter}^{-1}$ ,  $p\text{CO}_2$  ranges between  $\sim 2500$  and  $\sim 24,000$  ppmv (Figs. 2 and 3). The marginal marine Eocene East Tasman Plateau (4) likely experienced phosphate concentrations that

were higher than  $0 \mu\text{mol liter}^{-1}$  but lower than  $2 \mu\text{mol liter}^{-1}$ , because closed oceanic gateways during the Eocene (13) prevented mixing associated with the Antarctic Circumpolar Current (ACC) that causes high phosphate levels in the present-day Southern Ocean. Eocene southwest Pacific surface-water phosphate concentrations were unlikely to have exceeded  $1 \mu\text{mol liter}^{-1}$ , which implies maximum  $p\text{CO}_2$  estimates of 1600 ppmv just before the MECO and 15,000 ppmv during the MECO (Figs. 2 and 3). With a realistic range of phosphate concentrations,  $p\text{CO}_2$  values were between 600 and 1600 ppmv just before the MECO, which is in line with previous estimates of middle Eocene  $p\text{CO}_2$  values using the same proxy (14), and rose to between 6400 and 15,000 ppmv during the MECO (Fig. 2, light gray band). MECO values exceed any previous Eocene alkenone-based estimate even when we assume phosphate concentrations of  $0 \mu\text{mol liter}^{-1}$  (5). Despite uncertainties regarding absolute  $p\text{CO}_2$  values, we note that the trends in  $p\text{CO}_2$  follow those in the SST records remarkably well (Fig. 2).

Surface-water phosphate concentrations, however, may have varied in a marginal marine setting at ODP Site 1172. A tool for the reconstruction of phosphate concentration uses the remains of dinoflagellates (dinocysts), which are known to be

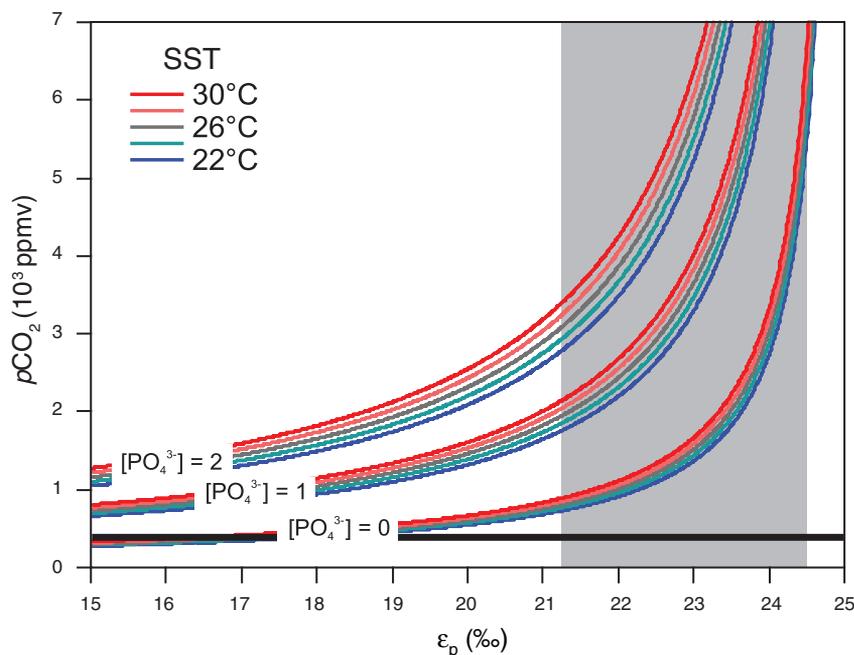


**Fig. 1.** Paleogeographic configuration of the southern high latitudes during the middle Eocene ( $\sim 49$  to  $37$  Ma; map was obtained from [www.odsn.de](http://www.odsn.de)) and ocean surface current configurations inferred from general circulation model experiments (13). The orange star indicates the paleogeographic location of ODP Site 1172 at  $65^\circ\text{S}$  in the southwest Pacific Ocean (24), under the influence of the Antarctic-derived Tasman Current (TC).



**Fig. 2.** Geochemical and palynological results across the MECO at ODP Site 1172, Hole A, cores 42X to 47X. The MECO is identified by integrating magnetostratigraphy (25), biostratigraphy (25), and chemostratigraphy (3) (see fig. S2). The  $U_{37}^K$  (purple),  $TEX_{86}$  (red), and bulk  $\delta^{18}\text{O}$  (green) SST reconstructions show a warming of  $3^\circ$  to  $6^\circ\text{C}$ . The yellow shaded area delimits the MECO interval. The increase in percentage of low-latitude dinocysts (in orange) at the expense of endemic dinocysts during the MECO illustrates biotic response to warming. We estimated  $p\text{CO}_2$  from carbon isotopic fractionation during carbon fixation ( $\epsilon_p$ ; black) by haptophyte algae with phosphate

concentrations between  $0$  and  $1 \mu\text{mol liter}^{-1}$  (light gray band). We further constrained phosphate estimates (dark blue line) by allowing phosphate concentrations to vary between  $0.1 \pm 0.1 \mu\text{mol liter}^{-1}$  and  $0.9 \pm 0.1 \mu\text{mol liter}^{-1}$  as a function of the ratio of peridinioid over gonyaulacoid dinocysts (P/G ratio; light blue line). This results in further constrained  $p\text{CO}_2$  estimates (dark gray band).  $TEX_{86}$ ,  $U_{37}^K$ , oxygen isotope, and  $p\text{CO}_2$  data are plotted with a 3-point running mean (solid orange, purple, green, and red lines, respectively). The error bars on  $TEX_{86}$  and  $U_{37}^K$  represent analytical error. On  $\epsilon_p$  the error bars represent the difference between the use of  $TEX_{86}$  and  $U_{37}^K$  to determine  $\epsilon_p$ .



**Fig. 3.** Relationship between  $\epsilon_p$  [versus VPDB (Vienna pee dee belemnite) standard] and  $p\text{CO}_2$ . The phosphate concentration ranges plotted are those from the present-day surface ocean, and the SST ranges (22° to 30°C) are those inferred from  $\text{TEX}_{86}$  and  $\text{U}_{37}^K$  data presented in Fig. 2 and a suite of Southern Ocean sites (22, 26–28). The gray vertical bar indicates the values for  $\epsilon_p$  as reconstructed for the MECO interval at ODP Site 1172, with use of  $\text{TEX}_{86}$ -based and  $\text{U}_{37}^K$ -based SST reconstructions for these same levels, and bulk carbonate  $\delta^{13}\text{C}$  measurements on the same section. Black horizontal line represents present-day  $p\text{CO}_2$ . Figure is modified from Pagani *et al.* (10).

extremely sensitive to surface-water nutrient availability changes (5). The ratio between peridinioid and gonyaulacoid dinocyst groups (the P/G ratio) is often used to reconstruct changes in relative nutrient abundance (15). The MECO at ODP Site 1172 shows a major decrease in the P/G ratio (16) (Fig. 2), suggesting a decrease in nutrient concentrations (16). As an experiment, we allowed phosphate to linearly vary as a function of the P/G ratio (Fig. 2) (5). The most prominent shift toward low P/G ratios occurs at MECO warming, resulting in lower phosphate concentration estimates. Also, when this drop in phosphate is taken into account,  $p\text{CO}_2$  rises during the MECO and follows the SST trends (Fig. 2, dark gray band). Hence, regardless of the constraints on phosphate concentrations and other environmental parameters,  $p\text{CO}_2$  levels must have been substantially higher during the MECO relative to the middle Eocene background.

One outstanding issue is the source of carbon responsible for the increase in middle Eocene atmospheric  $\text{CO}_2$ . The rise in  $p\text{CO}_2$  by 2000 to 3000 ppmv emerging from our data requires a carbon source capable of injecting vast amounts of carbon into the atmosphere. Moreover, the absence of a prominent negative carbon isotope excursion excludes reservoirs with  $\delta^{13}\text{C}$  signatures below that of marine DIC (3). One mechanism capable of emanating carbon with such a geochemical signature is the metamorphic alteration of carbonates (decarbonation) (1). Massive decarbonation occurred until the late Eocene, with the subduction of vast amounts of Tethyan Ocean pelagic carbonates

under Asia as India drifted northward (17–19). However, the flux of carbon required to increase  $p\text{CO}_2$  by 2000 to 3000 ppmv within ~400,000 years appears too high to invoke metamorphic (volcanic) outgassing as the sole mechanism.

Our  $p\text{CO}_2$  and SST reconstructions allow for a tentative assessment of high-latitude climate sensitivity to  $\text{CO}_2$  forcing on ~100,000-year time scales, assuming that all MECO warming was caused by  $p\text{CO}_2$  and associated feedbacks. With an average 5°C SST increase and a factor of 2 to 3 increase in  $p\text{CO}_2$ , we arrive at a climate sensitivity of ~2° to 5°C per  $p\text{CO}_2$  doubling. When we consider the  $p\text{CO}_2$  decline from the Middle Eocene (~2000 ppmv; this study) to the latest Eocene (~1000 ppmv) (20) and the coeval high-latitude temperature decline (~3.5°C) (21, 22), we derive similar values. Thus, long-term climate sensitivity to  $p\text{CO}_2$  forcing in a world without the amplifying effects of ice-albedo feedbacks (23) may have been larger than previously anticipated.

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11. Surface ocean  $\text{CO}_2(\text{aq})$  originates from atmospheric  $\text{CO}_2$  and deep waters, of which the latter is of major importance in marginal marine upwelling areas. Changes in upwelling through time may substantially change  $\text{CO}_2(\text{aq})$  and hence would skew the reconstructed  $p\text{CO}_2$  record. At Site 1172 we argue that a change in upwelling is not responsible for the signal we recorded in the alkenones, because that would have led to prominent shifts in the bulk carbonate carbon isotope profile (figs. S2 and S3).
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#### Supporting Online Material

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Materials and Methods

SOM Text

Figs. S1 to S5

Tables S1 to S3

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## Transient Middle Eocene Atmospheric CO<sub>2</sub> and Temperature Variations

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### The Dependable Warmer

During the middle of the Eocene, about 40 million years ago, a transient warming event interrupted the long-term cooling trend that had been in progress for the previous 10 million years. **Bijl *et al.*** (p. 819; see the Perspective by **Pearson**) constructed records of sea surface temperature and atmospheric CO<sub>2</sub> concentrations across the warming period. It appears that vast amounts of CO<sub>2</sub> were injected into the atmosphere, and a sea surface temperature increase of as much as 6°C accompanied the atmospheric CO<sub>2</sub> rise.

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