

## Poly[N-(2-aminoethyl)ethyleneimine] as a New Non-Viral Gene Delivery Carrier: The Effect of Two Protonatable Nitrogens in the Monomer Unit on Gene Delivery Efficiency

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**ABSTRACT – Purpose.** The aim of this study was to investigate the *in vitro* gene delivery efficiency of poly[N-(2-aminoethyl)ethyleneimine](PAEEI), a polymer with a linear Polyethyleneimine (LPEI) backbone and with aminoethyl side groups that has two protonatable nitrogen atoms per monomer unit instead of one as in LPEI (an established gene delivery polymer). **Method.** PAEEI (Mn=4.5 kDa, Mw= 10 kDa) was synthesized by ring-opening polymerization of N-(2-(1'-aziridino)ethyl)formamide followed by hydrolysis of the amide groups. The buffering capacity of the resulting polymer was determined by acid-base titration and consequently the percentage of the protonated nitrogen atoms was calculated. Polyplexes were prepared separately in buffers with different ionic strength including Hepes buffered saline (150 mM NaCl) and Hepes buffered glucose (5% glucose) and their zeta-potential, hydrodynamic diameter and colloidal stability were measured. Transfection activity (and toxicity in Hela cells) of the polyplexes were done in HeLa, CHO and HEK293T cells. Cell incubations with polyplexes were done both in the presence and absence (HeLa cells) of serum. **Results.** PAEEI showed two times more buffering capacity than LPEI. PAEEI-based Polyplexes had about the same size and zeta-potential as those of LPEI, with a higher colloidal stability in saline buffer in continuous particle size measurement. Their transfection activity was slightly higher than 22-kDa LPEI polyplexes whereas their toxicity profiles were similar in cell lines studied. The PAEEI polyplexes showed gene expression activity both in the presence and absence of serum. **Conclusion.** Paying attention to the fact that LPEI molecules with smaller sizes than 22 kDa show less transfection efficiency than LPEI 22, the effect of smaller size of PAEEI (10 kDa) on the gene delivery efficiency was compensated by its higher buffering capacity due to carrying more protonatable nitrogen per monomeric unit comparing with LPEI (22 kDa). Having slightly higher transfection efficiency and better colloidal stability than PEI-based systems, PAEEI is an attractive candidate for future *in vivo* gene delivery studies.

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### INTRODUCTION

Gene delivery is defined as the process of the introduction of a foreign gene into living cells with the aim of producing a (therapeutic) protein (1-3). In addition to physical approaches for delivery of naked DNA, such as electroporation and hydrodynamic injection (4), carrier systems are frequently used for gene delivery, which can be categorized into viral and non-viral vectors (3).

The application of viral gene delivery systems, despite being very efficient, is hampered by their

immunogenicity, which particularly limits their application after repeated administration (5). Furthermore, the risk of insertional mutagenesis and limitations associated with large-scale production of viral vectors are other drawbacks of this delivery

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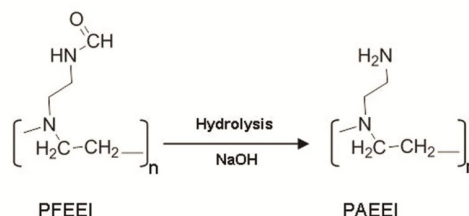
strategy. Therefore, non-viral delivery systems have gained more attention in recent years (5). Cationic polymers (6-11), inorganic nanoparticles (12-13), lipid nanoparticles (14), liposomes (15) and peptides (16-17) are vectors that at the present are under investigation for non-viral gene delivery.

Among cationic polymers, Polyethyleneimine (PEI) has gained a distinctive position for gene delivery purposes. Following the first introduction of PEI with molecular weights of 50 and 800 kDa (18), this polymer became one of the most widely studied nucleic acid delivery reagents. In follow up studies, PEI of various sizes and architectures (linear *versus* branched) have been studied as transfection agents (19-23). Further, PEI has been conjugated with hydrophilic polymers such as polyethylene glycol (PEG) to reduce toxicity and increase its circulation half-life (24-27), as well as with targeting ligands to render them cell specific (28-30). Also, PEI-based polyplexes have been used *in vivo* for gene transfer studies (18-19, 31-33).

The high transfection activity of PEI based polyplexes is explained by their very high cationic charge density (18). Importantly, PEI has a high capacity for buffering protons in endosomes, a phenomenon called “the proton sponge effect” (18, 34) which likely contributes to the intrinsic endosomal escape properties of PEI/pDNA polyplexes. Behr mentioned that one third of the nitrogen atoms of PEI become protonated when the pH drops from ~7 to ~5 and he argued that this contributes to the swelling and disruption of endosomes loaded with PEI-polyplexes (34).

The relationship between the proton absorbing capacity and transfection efficiency of PEI-based polyplexes has been previously investigated. To mention, Akinc et al. assessed the efficiency of gene delivery of permethyl-PEI and perethyl-PEI as *N*-quaternized variants of PEI (35). They found that, since the quaternized amines in this PEI cannot absorb protons, the transfection activity of these polyplexes were substantially lower than those of PEI polyplexes. In another study, Thomas et al. fully deacylated a commercial variant of linear PEI precursor in which some of N atoms in the backbone were attached to a propionyl group to obtain linear PEI (19). This modification that increased the amount of protonatable nitrogen atoms (secondary amine) in the backbone of the molecule, enhanced the buffering capacity of the polymer and showed significant improvement of the gene delivery ability of this cationic polymer (19).

Taking notice to the phenomenon of increasing the transfection activity of polyplexes by means of increasing the amount of protonatable nitrogens in the polymeric carrier, in the present study we investigated the transfection properties of polyplexes based on a previously reported PEI-like structure, namely comb-like PEI (poly[*N*-(2-aminoethyl)ethyleneimine], PAEEI) with aminoethyl side groups. This polymer is synthesized by ring opening polymerization of *N*-(2-(1'-aziridino)ethyl)formamide to yield poly[*N*-(2-formamidoethyl)ethyleneimine] (PFEEI), that upon hydrolysis of the formamide groups results in PAEEI (36). In this polymer, the N atoms present in



**Figure 1.** Hydrolysis of PFEEI to yield PAEEI.

Reaction conditions: PFEEI/H<sub>2</sub>O, NaOH, 95 °C, 5h.

the backbone are tertiary amines which are attached to primary ethyleneamine groups. Whereas the titration curve of linear PEI showed only one inflection point at a pH of around 7 due to presence of the protonatable secondary amines (37), in the paper of Koper et al., it was shown that the comb-like PEI showed two protonation steps; the first one is due to protonation of the primary amines in the branches and the second one is the result of protonation of the tertiary N atoms in the backbone (37). It is hypothesized that at pH 7, more than 99% of primary amines of the comb-like PEI will be protonated giving this polymer DNA binding properties, while the tertiary amines will basically not be protonated and hence have potential of protonation in the endosome. For this reason comb-like PEI could have a better ability than linear PEI to buffer protons in the endosome upon acidification. Therefore in this study, we investigated the suitability of PAEEI as polymeric transfectant.

## MATERIAL AND METHODS

### Materials

Aziridine was purchased from ABCR GmbH (Baden-Württemberg, Karlsruhe, Germany). Sodium acetate, acetic acid and triethylamine were

obtained from Merck (Darmstadt, Hesse, Germany). Dialysis membrane with Mw cut off 100-500 Da was bought from Spectrum laboratories Inc. (Fort Lauderdale, Florida). PEG standards with peak molecular weights ranging from 106 to 723500 Da were obtained from Polymer Laboratories (Church Stretton, Shropshire, UK). All other chemicals were purchased from Sigma-Aldrich at the highest available purity. The pCMV-LacZ plasmid was purchased from the Plasmid Factory (Bielefeld, Ostwestfalen-Lippe, Germany). pCMV-LacZ is an expression plasmid, encoding for  $\beta$ -galactosidase under the transcriptional control of the human cytomegalovirus (CMV) promoter. Linear PEI 22 kDa (Exgen 500, 5.47 mM in nitrogen residues) and desoxyribonuclease I (DNase I, 1 U/ $\mu$ L) were purchased from Fermentas (St. Leon-Rot, Baden-Württemberg, Germany). Linear PEI 22 kDa (*in vivo*-jetPEI, 150 mM in nitrogen residues) was purchased from Polyplus-transfection SA (Illkirch-Graffenstaden, Alsace, France). The Dulbecco's Modified of Eagle's Medium, with 3.7 g/L sodium bicarbonate, 1 g/L glucose, l-glutamine (DMEM low glucose), antibiotics/antimycotics (penicillin, streptomycin sulfate, amphotericin B), 200 mM L-glutamine solution, fetal bovine serum (FBS) and phosphate buffered saline (PBS) were obtained from PAA Laboratories GmbH (Pasching, Upper Austria, Austria). Dulbecco's Modified Eagle's Medium, with 3.7 g/L sodium bicarbonate, 4.5 g/L glucose, l-glutamine (DMEM) was purchased from Gibco (Carlsbad, California). Dulbecco's Modified Eagle's Medium/Nutrient Mixture F-12 Ham, with 15 mM HEPES and sodium bicarbonate (DMEM/F-12), 2,3-Bis(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide inner salt (XTT) and phenazine methosulfate (PMS) were bought from Sigma (St. Louis, Missouri).

#### Synthesis of *N*-(2-(1'-aziridino)ethyl)formamide

The synthesis of *N*-(2-(1'-aziridino) ethyl) formamide was performed according to a previously described method (36). Briefly, methylformate (6.49 g, 108 mmol) was slowly added over 5 h to a mixture of aziridine (10 g, 232.5 mmol) and triethylamine (0.29 g, 2.9 mmol) heated at 50 °C. The mixture was stirred for 1 h and unreacted materials and other volatile products were removed by rotary evaporation for 3 h. The structure of the synthesized monomer was confirmed by <sup>1</sup>H NMR in D<sub>2</sub>O.

#### Synthesis of PFEEI and PAEEI

The synthesis of PAEEI (comb-like PEI) was performed according to the method described by Koper et al. (37) For the synthesis of PFEEI, sulfuric acid 98% (44 mg) was added to water (5 mL) and the resulting solution was heated to 50 °C. Next, *N*-(2-(1'-aziridino)ethyl)formamide (5 g, 43.86 mmol) was gradually added during 20 min and the mixture was stirred at 50 °C for 70 h. Subsequently, the solution was dialyzed for 3 days at 4 °C against purified water (membrane cut off 100-500 Da). The polymer was obtained after freeze-drying and stored at -20 °C. For the synthesis of PAEEI, NaOH (71 mg, 1.8 mmol) was dissolved in distilled water (0.68 mL), followed by the addition of PFEEI (200 mg). The solution was stirred for 5 h at 95 °C and after cooling down, dialyzed for 3 days at 4 °C against distilled water (membrane cut off 100-500 Da). The polymer was obtained after freeze-drying and stored at -20 °C.

#### Polymer Characterization

The synthesized polymers were characterized by proton nuclear magnetic resonance (NMR) (D<sub>2</sub>O) recorded on a Varian Innova spectrometer (300 MHz). Molecular weights were determined by gel permeation chromatography (GPC) relative to PEG standards using a Waters™ HPLC system (Waters Corp., USA) equipped with a refractive index detector. Plotting a calibration curve related to 16 PEG standards with different peak molecular weights ranging from 106 to 723500 Da, Empower software, version 2.0 (Waters Corp., USA) calculated  $M_w$  and  $M_n$  of the samples based on the retention time of each molecule. The GPC measurements were performed with two columns, Shodex OHpak SB-804M and a precolumn Shodex SB-G (Showa Denko, Japan) in series. The columns were thermostated at 30 °C and the eluent was sodium acetate buffer (0.3M, pH 4.4) with a flow rate of 1 mL/min (38).  $M_n$  and  $M_w$  of *in vivo*-jetPEI (linear PEI 22 kDa) were measured as control.

#### Buffer Capacity

The buffer capacity of PFEEI and PAEEI was determined by an acid-base titration method (39, 40). In short, the polymers were dissolved at a concentration of 50 mM of theoretical protonatable nitrogen atoms in 150 mM NaCl. The pH of the obtained solutions (10 mL) was adjusted to 2.0 using 1.0 M HCl to protonate almost all nitrogen atoms (41). Next, the solutions were titrated with

0.1 M NaOH to pH 10.5. The buffer capacity is defined as the percentage of the nitrogens that become deprotonated from pH 5.1 to pH 7.4 and was calculated using the following equation (39):

$$\text{Buffer capacity} = \left\{ \left[ C_{\text{NaOH}} \cdot (\Delta V_{\text{pH}7.4-5.1}(\text{polymer solution}) - \Delta V_{\text{pH}7.4-5.1}(\text{NaCl solution})) \right] / n_{\text{protonatable nitrogens}} \right\} \times 100\%$$

The charge density of the polymers (proportional to the percentage of protonated amines) at a certain pH was calculated as follows:

$$\begin{aligned} &\text{Percentage of protonated amines at a given pH} \\ &= \left\{ 1 - \left\{ \left[ C_{\text{NaOH}} \cdot (V_{\text{pH}}(\text{polymer solution}) - V_{\text{pH}}(\text{NaCl solution})) \right] / n_{\text{protonatable nitrogens}} \right\} \right\} \times 100\% \end{aligned}$$

In these equations,  $C_{\text{NaOH}}$  is molarity of NaOH solution,  $\Delta V_{\text{pH}7.4-5.1}$  is difference between added volumes of NaOH solution in pH of 7.4 and pH of 5.1 in the related solutions (equation 1),  $V_{\text{pH}}$  is added volume of NaOH solution at the given pH (equation 2) and  $n_{\text{protonatable nitrogens}}$  is the moles of protonatable nitrogen atoms in both equations.

Also, LPEI was synthesized according to the method described by Yang et al. (42) to be available for titration as a control.

### Preparation of Polyplexes

Polyplexes were obtained according to the standard protocols described by van Gaal et al. (43). Polyplexes dispersions were prepared at nitrogen-to-phosphate (N/P) ratios ranging from 5 to 160 for PFEEI and from 3 to 40 for PAEEI and linear PEI 22 kDa respectively, in either Hepes buffered glucose (HBG; 20 mM HEPES, 5 % (w/w) glucose, pH 7.4) or Hepes buffered saline (HBS; 20 mM HEPES, 150 mM NaCl, pH 7.4). Twenty  $\mu\text{L}$  of polymer solution with an amount of polymer to yield the desired N/P was prepared in HBG or HBS buffer. Next, the polymer solution was added to 5  $\mu\text{L}$  of plasmid solution with concentrations of 50 or 100  $\mu\text{g}/\text{mL}$  in the same buffer and was mixed by vortexing for 5 sec, followed by incubation at room temperature for 30 min.

### Gel Retardation Assay

Polyplexes were obtained as described above with 0.5  $\mu\text{g}$  DNA (from a 250  $\mu\text{g}/\text{mL}$  stock solution of DNA) in HBS buffer and at N/P ratios of 0.5, 1, 2, 5, 10 and 20 for PFEEI and PAEEI, and 6 and 10 for linear PEI 22 kDa. After 30 min incubation at room temperature, samples of the dispersion (25  $\mu\text{L}$ ) were loaded into the gel (0.8 % w/v agarose

containing 0.5% w/v ethidium bromide in Tris-acetate (pH 8.0) as running buffer and electrophoresis was performed for 30 min at 100 V. In another experiment, after adding the polymer solution to the DNA solution (N/P ratios as mentioned above), FBS was added to the samples to a final concentration of 10% v/v FBS and after vortexing for 5 sec, the polyplexes were incubated at 37 °C for 1 h before running the gel as described above.

For investigation of DNA protection against deoxyribonuclease degradation, the following experiment was done. Polyplexes, obtained as mentioned above at N/P ratios ranging from 0.5 to 20, were incubated for 30 min at room temperature. Then, 2.5  $\mu\text{L}$  of a DNase I solution in water (2 units/mL) was added and the samples were incubated at 37 °C for 5 h. Thereafter, the enzyme was inactivated by adding 1.1  $\mu\text{L}$  EDTA (500 mM in water, pH adjusted to 8.4). Subsequently, 1  $\mu\text{L}$  heparin solution (10 mg/mL in water) was added and the samples were incubated for 1 h at 37 °C in order to destabilize the polyplexes. After that, the samples were analyzed by gel electrophoresis as described above.

### Zeta-Potential and Size Measurements of Polyplexes

The zeta-potential of the polyplexes prepared in HBG buffer at N/P ratios ranging from 0.5 to 20 was measured using a Malvern Zetasizer Nano-Z (Malvern Instruments, Malvern, UK) with universal ZEN 1002 'dip' cells and DTS (Nano) software (version 6.01). The Helmholtz-Smoluchowski equation (44) was used for converting the measured electrophoretic mobilities into zeta-potentials. The system was calibrated with DTS latex beads (Zeta Potential Transfer Standard, Malvern Instruments, Malvern, UK). The experiments were done in triplicate.

Particle size of the polyplexes was measured in HBS (viscosity 0.89 cP, refractive index 1.333) or HBG (viscosity 1.15 cP, refractive index 1.340) after 30 min of incubation at room temperature with dynamic light scattering (DLS) using an ALV CGS-3 system (Malvern Instruments, Malvern, UK) equipped with a JDS Uniphase 22 mW He-Ne laser (JDS Uniphase Corp., USA) operating at 632.8 nm, an optical fiber-based detector, a digital LV/LSE-5003 correlator (ALV-Laser Vertriebsgesellschaft m-b.H., Germany) and a temperature controller (Julabo water bath, JULABO

GmbH, Germany) set at 25 °C. Time correlation functions were analyzed to obtain the hydrodynamic diameter of the particles ( $Z_h$ ) and the particle size distribution (polydispersity index, PDI) using the ALV-60X0 software V.3.X (Malvern, UK). Scattering was measured in an optical quality 4 mL borosilicate cell at a 90° angle. The system was calibrated with 200 nm polystyrene latex standard beads (Duke Scientific, Leusden, The Netherlands). The experiments were done in triplicate.

The colloidal stability of the polyplexes was studied by DLS. Polyplexes of PFEEI and PAEEI at N/P ratios of 3-20 and linear PEI 22 kDa at N/P ratios of 6 and 10 were prepared in HBG buffer and were incubated at room temperature for 30 min. Then, sufficient amount of concentrated HBS buffer (40 mM HEPES, 300 mM NaCl, pH 7.4) was added to each sample (to make final concentration of 150 mM NaCl) and mixed by vortexing for 5 sec. Next, the samples were measured with DLS for 16 h at 37 °C.

### Cell Cultures

HeLa cells were grown in Dulbecco's Modified Eagle Medium (DMEM) with 1 g/L glucose. HEK293T cells were grown in DMEM with 4.5 g/L glucose and CHO cells were grown in DMEM/F-12. The media contained 10% FBS. Cells were maintained at 37 °C in a 5% CO<sub>2</sub> humidified air atmosphere.

### Transfection Studies

HeLa, HEK293T, and CHO cells were transfected with polyplexes with pCMV-LacZ expressing  $\beta$ -galactosidase as reporter gene added to the cells in the medium containing 10% serum. In detail, 8000 cells were seeded per well into 96-well tissue culture plates 24 h prior to transfection. Subsequently, the culture medium was refreshed with 100  $\mu$ L DMEM supplemented with 10 % FBS. Next, 25  $\mu$ L of the polyplex dispersion (corresponding with 0.25 or 0.5  $\mu$ g DNA/well) was added per well and after 4 h the medium was refreshed with DMEM supplemented with 10% FBS. For transfection of HeLa cells in serum-free medium, the cells were washed with PBS buffer and refreshed with DMEM prior to addition of the polyplex dispersion. Cells were incubated for 48 h at 37 °C in a 5% CO<sub>2</sub> humidified air atmosphere and evaluated for expression of  $\beta$ -galactosidase.

### $\beta$ -Galactosidase Assay

The extent of  $\beta$ -galactosidase expression by the transfected cells was determined by conversion of *ortho*-nitrophenyl- $\beta$ -D-galactopyranoside (ONPG), a chromogenic colorless substrate, into its product, *ortho*-nitrophenol (ONP), which gives yellow color after enzymatic action. In short, 48 h after transfection, the cells were washed with 100  $\mu$ L ice-cold PBS and lysed with 20  $\mu$ L lysis buffer (50 mM Tris-HCl buffer (pH 8.0), 150 mM NaCl and 1% Triton X-100) during 20 min at 4 °C. Then, 180  $\mu$ L ONPG-staining solution (18.5 mL PBS, 200  $\mu$ L 0.1M MgCl<sub>2</sub>-solution and 1.35 ml 10 mg/mL ONPG-solution in PBS) was added and the  $\beta$ -galactosidase activity was determined by measuring the absorbance of the sample at 415 nm relative to the absorbance at 655 nm as reference wave length (to correct background of cell debris) after 30 min incubation at 37 °C.<sup>42</sup> The experiments were done in triplicate.

### Cell Proliferation/Viability Assay (XTT)

The cell viability was determined using a XTT colorimetric assay that is based on cleavage of a tetrazolium reagent to form an orange formazan dye, which is indicative for cell metabolic activity.<sup>42</sup> In short, 48 h after transfection, 50  $\mu$ L XTT-solution (0.025 mM PMS and 1.5 mM XTT in plain RPMI 1640) was added per well after refreshment of the medium with 100  $\mu$ L DMEM supplemented with 10 % FBS per well and incubated for 1 h at 37°C in a CO<sub>2</sub>-incubator. Absorbance was measured at 490 nm with a reference wavelength of 655 nm. The experiments were done in triplicate.

## RESULTS AND DISCUSSION

### Synthesis and Characterization of *N*-(2-(1'-aziridino)ethyl)formamide, Poly[*N*-(2-formamidoethyl)ethyleneimine] (PFEEI) and Poly[*N*-(2-aminoethyl)ethylene-imine](PAEEI)

*N*-(2-(1'-aziridino)ethyl)formamide was obtained by reaction of aziridine and methylformate (yield: 61%). The driving force for this reaction is the formation of the intermediate *N*-formyl aziridine, which is prone to nucleophilic ring opening by another aziridine molecule. Based on the well-known mechanism of nucleophilic addition (41), a suggested mechanism for this reaction is illustrated in supporting information (Figure 1S). The structure

of the synthesized monomer was confirmed by  $^1\text{H}$  NMR (Figure 2S in supporting information).

PFEEI was synthesized by ring opening polymerization of *N*-(2-(1'-aziridino) ethyl) formamide in an aqueous solution at 50 °C using sulfuric acid as catalyst. The  $^1\text{H}$  NMR spectrum of the product showed that the monomer conversion was almost complete after 70 h (Figure. 2S). The formamide side groups of PFEEI were quantitatively removed by hydrolysis that was catalyzed by NaOH at 95°C in order to obtain PAEEI (Figure. 1) in a yield of 100%.  $^1\text{H}$  NMR confirmed the structure of PFEEI and PAEEI. The assigned positions of the different peaks of  $^1\text{H}$  NMR spectra of the polymers are explained and depicted in supporting information (Figure 2S).

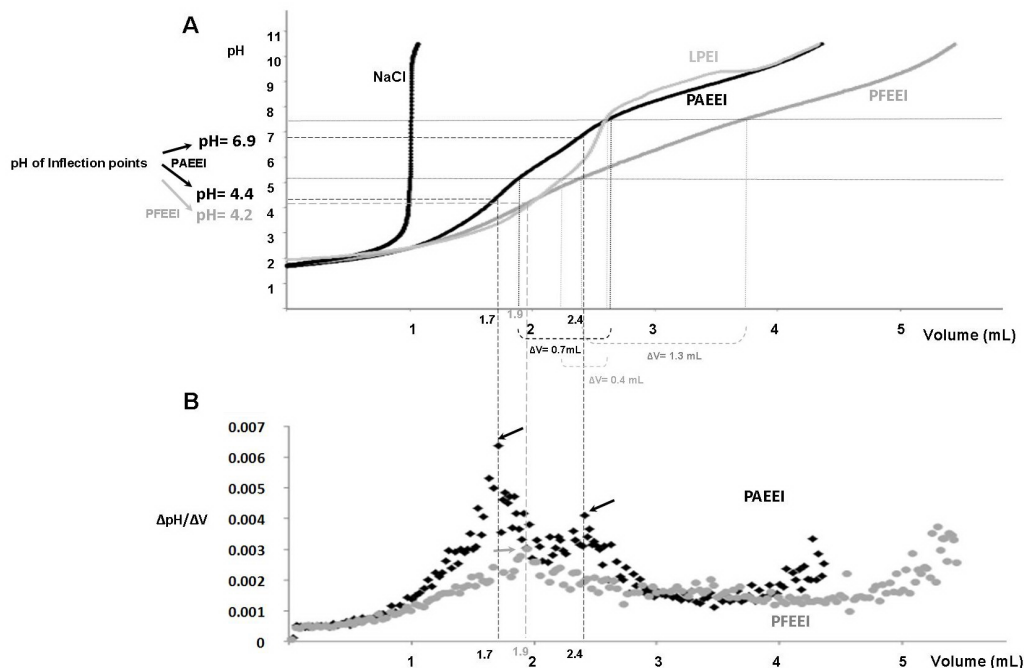
Different experiments were performed to obtain monomer with a lower oligomer content. We observed that the oligomer content had impact on the kinetics of the polymerization reactions and the molecular weight of the final polymer ( $M_w$  ranged from 6.2 to 10.4 kDa, data not shown). In this study we focused on the molecular weight of 10 kDa because smaller sizes had not shown remarkable transfection activity in our preliminary screening assessments.

GPC analysis using PEG standards showed that the  $M_w$  of PFEEI and PAEEI were 10.2 kDa and 10.1 kDa, respectively, and the  $M_n$  of these two polymers were 3.8 and 4.5 kDa, respectively. This means that the hydrolysis of amide groups was not associated with chain scission. Also, the  $M_n$  and  $M_w$  of *in vivo*-jetPEI (linear PEI 22 kDa) were measured to be 21.9 and 37.8 kDa (RSD: 3.9% and 0.9%, n=3) respectively, to show that measurement of molecular size of PEI based polymers, especially linear structures, using GPC with PEG standards and water-based eluents, is reliable.

### Buffering Capacity of PFEEI and PAEEI

Figure 2 depicts the titration curves and their first derivative ( $\Delta\text{pH}/\Delta\text{volume}$  versus volume) of PFEEI and PAEEI. Using equation 1 and Figure 2A, the buffering capacity was determined to be 25%, 14% and 7% for PFEEI, PAEEI and LPEI respectively, demonstrating that PAEEI has higher potential of proton absorption than LPEI (approximately 2 two times), specifically in the physiologic condition.

Figure 2B depicts that the inflection point(s) of the polymers are at pH of 4.2 for PFEEI and 4.4 and 6.9 for PAEEI.



**Figure 2.** Titration curves of PFEEI, PAEEI and LPEI with 0.1 M NaOH (A) and plot of  $\Delta\text{pH}/\Delta\text{V}$  versus volume (B). The arrows in part B show the inflection points. The pH values that are relevant to each inflection point are illustrated in part A.



PFEEI showed one inflection point as it has one type of protonatable nitrogen that is the tertiary amine in the backbone of the polymer. PAEEI, however, showed two inflection points because it has primary amines in the branches in addition to the tertiary amines in the backbone that is in line with what has been reported previously by Koper et al.<sup>37</sup>

Using the data of Figure 4, it was calculated (equation 2) that 69% and 48% of the protonatable nitrogen atoms (16 and 4 mmol N atoms per gram of polymer) in PAEEI and PFEEI, respectively, were protonated at pH 7.4, which is explained by the presence of two protonatable nitrogen atoms (primary and tertiary amines) in the monomer units of PAEEI instead of one protonatable nitrogen atom in that of PFEEI (only tertiary amine). Obviously, the presence of more protonatable nitrogen atoms in the molecule results in increase of the proton absorption capacity.

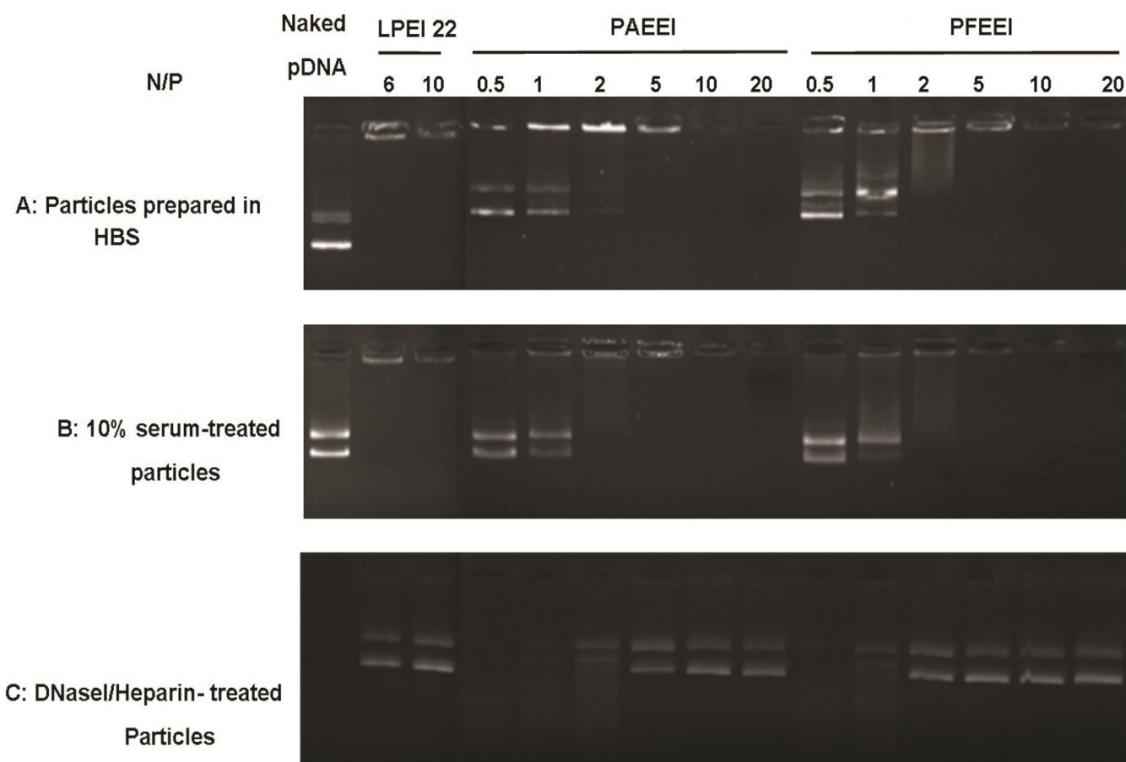
### Gel Retardation Assay

Figure 3 shows the gel electrophoresis analysis of polyplexes based on PAEEI and PFEEI (prepared at

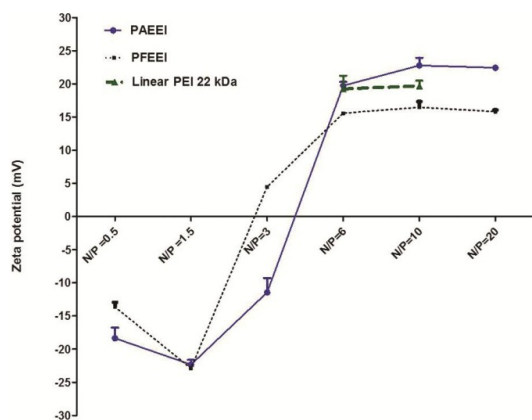
N/P ratios of 0.5 to 20) as well as that of linear PEI 22 kDa (N/P ratio of 6 and 10). Results show that both PFEEI and PAEEI, as well as linear PEI, completely retained DNA at N/P ratios of 5 and higher, both in the absence and presence of serum (Figure 3A and 3B, respectively). Figure 3C shows that the polyplexes prepared at N/P ratios >5 completely protected DNA against degradation induced by DNAase I since the DNase I exposed polyplexes released the intact DNA upon incubation with heparin.

### Zeta-potential and Size Measurements

Figure 4 shows that at N/P ratios of 3 and 6 or higher, the zeta-potentials of PFEEI and PAEEI polyplexes were positive, respectively, whereas at lower ratios their zeta-potentials were negative. At N/P ratios of 6 to 20, the zeta-potential of the polyplexes based on PAEEI (20–22 mV) was higher than that of the PFEEI polyplexes (15–16 mV). These results can be explained by the higher charge density of PAEEI at pH 7.4 as compared with its precursor, PFEEI.



**Figure 3.** A) Gel retardation assay of polyplexes (different N/P ratios) based on PFEEI and PAEEI made in HBS buffer. B) Incubation of the particles in FBS (10% FBS in final volume). C) Protection of DNA by the polymers against degradation catalyzed by DNase I. After preparation, polyplexes were incubated with DNase I for 5 h. After inactivation of DNase I, the polyplexes were treated with heparin to release pDNA. Linear PEI 22 kDa (LPEI 22) polyplexes prepared at N/P ratios of 6 and 10 were used as control.



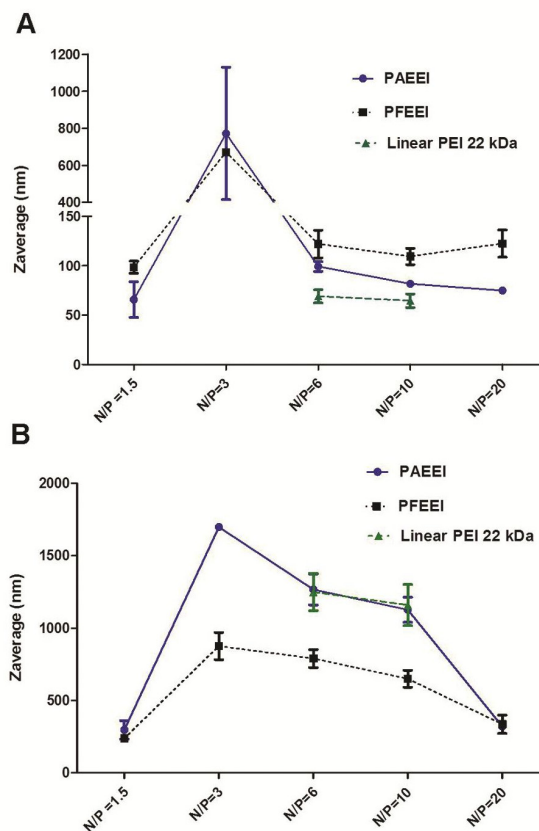
**Figure 4.** Zeta potential of polyplexes based on PFEEI and PAEEI prepared at different N/P ratios. Linear PEI 22 kDa polyplexes prepared at N/P ratios of 6 and 10 were used as control. Data are shown as mean $\pm$ SD (n=3).

Formulations of both polymers with DNA at N/P ratio of 0.5 prepared in both HBG and HBS had too low scattering intensity for reliable measurement pointing to an insufficient DNA binding capacity of the polymers under these conditions. Figure 5A shows the results of DLS measurements of the particles prepared in HBG buffer at N/P  $\geq$  1.5. This Figure depicts typical bell-shaped curves that are similar to what has been observed for PEI and other cationic polymers (40, 45).

At N/P ratio of 1.5, polyplexes with a hydrodynamic diameter of  $98\pm 6$  and  $65\pm 18$  nm for PFEEI and PAEEI, respectively, were observed. At N/P ratio of 3, aggregated particles with sizes around 600-800 nm were detected, which are likely formed to their low zeta-potential. At N/P ratio of 6 and higher, small positively charged particles were formed. PAEEI produced smaller particles (74-99 nm) compared to PFEEI ( $\sim 120$  nm), which is likely due to its higher charge density at pH 7.4 resulting in stronger DNA binding and condensation. DLS measurement showed that the size of linear PEI 22 kDa based polyplexes was smaller than PAEEI and PFEEI based polyplexes which is explained by higher  $M_w$  of linear PEI 22 kDa compared with PAEEI and PFEEI ( $M_w = 10$  kDa). The impact of polymer  $M_w$  on hydrodynamic diameter of the PEI/DNA particles has been reported previously (46).

Figure 5B shows the results of DLS measurements of the particles prepared in HBS buffer. At an N/P ratio of 3, large particles (size

between 1000 and 2000 nm) were formed. However, at increasing N/P ratios, a reduction in size was observed (for example:  $335\pm 63$  and  $319\pm 9$  nm at an N/P ratio of 20 for PFEEI and PAEEI, respectively).



**Figure 5.** DLS measurements of polyplexes based on PFEEI and PAEEI made in HBG (A) and HBS (B) buffer at different N/P ratios as determined by DLS. Linear PEI 22 kDa polyplexes prepared at N/P ratios of 6 and 10 were used as control. Data are shown as mean $\pm$ SD (n=3).

Figure 5 shows that, at the same N/P ratio, the diameters of the particles made in HBS buffer were greater than those of the particles prepared in HBG buffer. Most likely, at higher ionic strength, the electrostatic repulsion between the polyplex particles decreases which results in some aggregation and thus in bigger particles (43).

The results of Figure 4 and 5 were obtained for polyplexes prepared at a fixed pDNA concentration of  $10\mu\text{g/mL}$ . Size and zeta-potential measurements of PAEEI polyplexes at higher pDNA concentration ( $20\mu\text{g/mL}$ ) prepared in both HBG and HBS at an N/P ratio of 20 were carried out (Table 1). The results show that polyplexes prepared at  $20\mu\text{g/mL}$  pDNA had slightly higher zeta-potential than those



prepared at 10 µg/mL and were also slightly bigger. It was predictable that higher DNA concentration results in more aggregation and change in surface charge. Also, the effect of DNA concentration on the condensation ability of cationic polymers has been studied before for another polymeric system, and the authors concluded that with increasing DNA concentration, the capacity of a cationic polymer for DNA condensation decreases (47).

DLS measurements were carried out to investigate the time-dependent colloidal stability of polyplexes based on PFEEI and PAEEI at N/P ratios of 3-20 and linear PEI 22 kDa at N/P ratios of 6 and 10, after preparation in HBG and subsequently dilution in a concentrated HBS buffer to obtain a final concentration of 150 mM NaCl. At an N/P ratio of 3, polyplexes of PFEEI and PAEEI showed large sizes (900 and 2000 nm), indicating that aggregation occurred in a few seconds in buffer with 150 mM NaCl. At N/P ratios of 6 and 10, particle sizes of polyplexes of both polymers and also linear PEI were around 400 nm after adjusting the NaCl concentration to 150 mM and their sizes increased in 15 minutes to > 500 nm. After addition of the concentrated HBS buffer, the sizes of PAEEI based polyplexes (N/P ratio 20) after 45 minutes were smaller than 500 nm, indicating that polyplexes made with the comb-like PAEEI showed the highest colloidal stability. Also, PAEEI based polyplexes with higher DNA concentration (20 µg/mL DNA) showed sizes <500 nm after bringing the NaCl concentration to 150 mM. It is concluded that in buffer with 150 mM NaCl polyplexes based on comb-like PEI at N/P ratio of 20 showed slower aggregation kinetics than polyplexes based on linear PEI 22 kDa. This higher colloidal stability can be explained by the higher zeta-potential of PAEEI based polyplexes compared with linear PEI 22kDa based polyplexes.

### **Cytotoxicity and *In vitro* Gene Expression of Polyplexes**

The XTT assay showed that polyplexes based on PFEEI had a very good metabolic activity in HeLa cells (cell viability was around 100% up to polymer concentrations of 0.07 mg/mL in the dispersion. At this concentration, PEI based polyplexes are cytotoxic for the tested cell lines). This good cytocompatibility of PFEEI is in line with previous reports in which it is shown that the cytotoxicity of polymers with tertiary amines is lower than those carrying primary amines (48). At N/P ratio of 20

and less, the PAEEI based polyplexes showed comparable cytocompatibility as those based on linear PEI 22kDa (Figure 6A). Further, in the absence of serum, the toxicity of the polyplexes was higher than in its presence. Likely, serum proteins mask the cytotoxicity of cationic polyplexes as previously reported for other systems (43, 49-50).

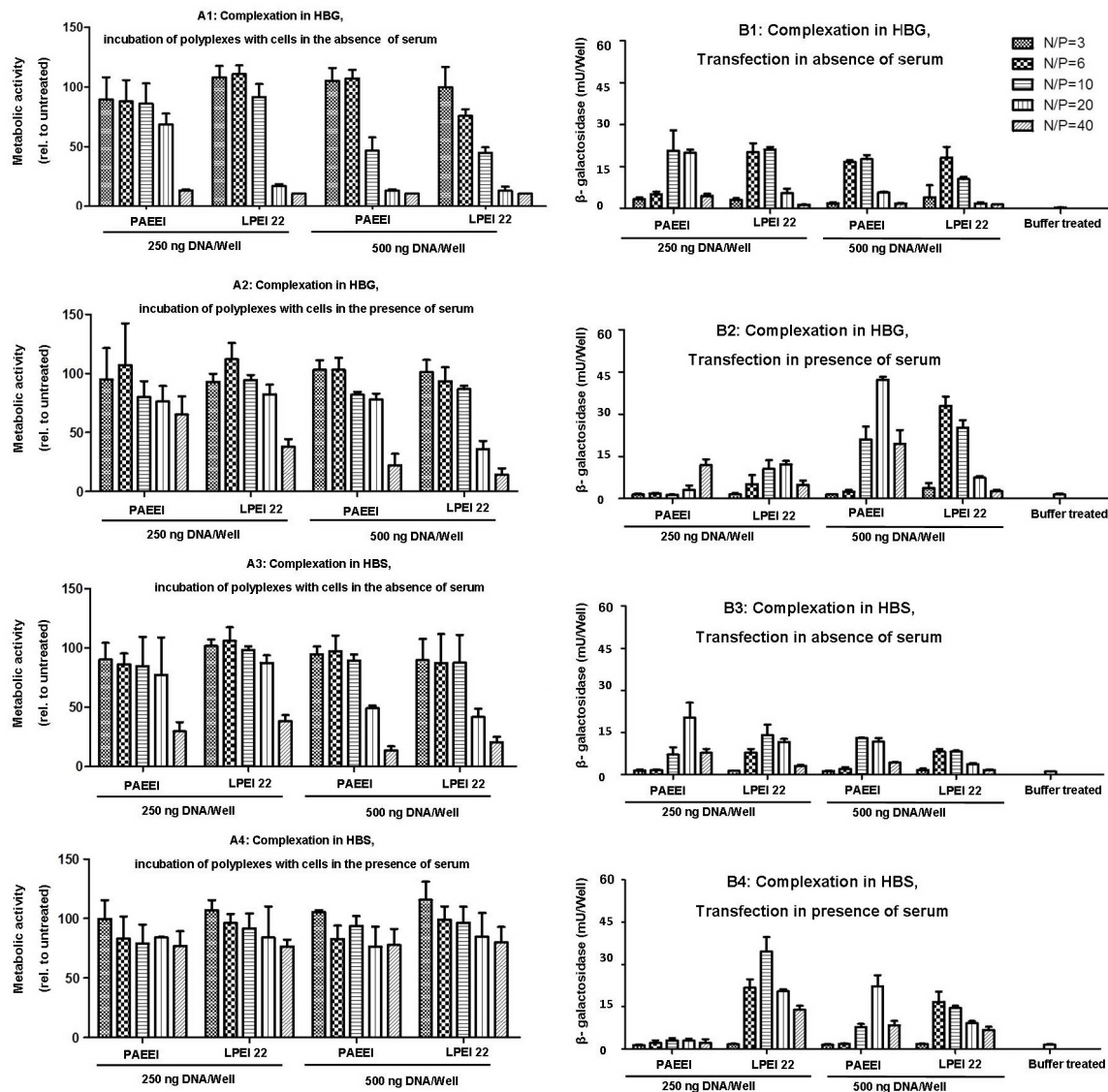
Polyplexes based on PFEEI did not show detectable gene transfection, even at N/P ratio of 160 (results not shown). It has been reported before that polyplexes only show transfection when they exhibit some cytotoxicity (51). In contrast to polyplexes based on PFEEI, PAEEI polyplexes prepared in both saline and low ionic strength buffer showed transfection in HeLa cells comparable with the golden standard, linear PEI 22 kDa, both in the absence and presence of serum (Figure 6B).

It has been previously reported that the molecular weight of PEI is important for its transfection efficiency, the higher the molecular weight, the higher the transfection activity (22,52); in such a way that the gene delivery efficiency of linear PEI 22 kDa is higher than that of the smaller molecular weights of linear PEI (52) to make it as the only commercially available transfection reagent based on linear PEI. In this study, we observed that polyplexes based on PAEEI 10 kDa showed, despite its lower molecular weight, a slightly higher transfection activity than linear PEI 22 kDa in HeLa cells (Figure 6B). This observation can be ascribed to the fact that this polymer has two protonatable nitrogens in its monomeric unit as compared to linear PEI which has only one. This implies increased protonatable nitrogen atoms in each monomeric unit of PAEEI that results in finding 2 steps of protonation and 2 times more buffering capacity to compensate for its lower molecular weight. Further, the cytocompatibility of this structure in this special molecular weight was comparable with linear PEI 22 kDa as well.

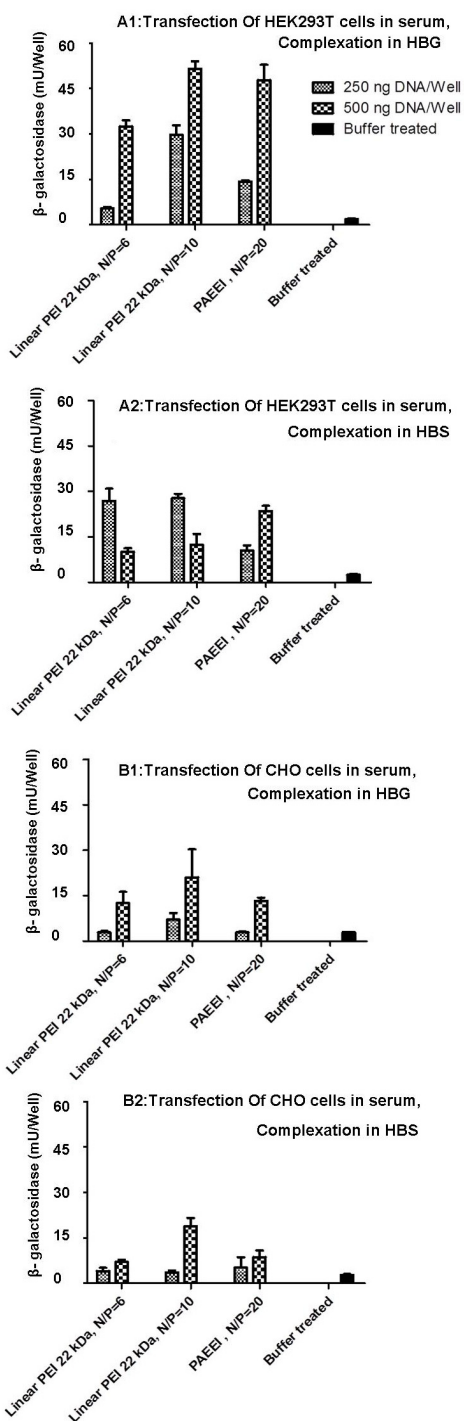
Due to the difference in the hydrodynamic diameter and colloidal stability of the polyplexes made in low and high ionic strength buffers, the gene expression and cytotoxicity assessments were performed with particles made in HBG and HBS buffers separately. It was observed that the results of PAEEI based polyplexes made in both HBG and HBS were comparable with linear PEI 22 kDa based polyplexes made in the same condition (Figure 6).

**Table 1.** Zeta-potential and size of polyplexes based on PAEEI at an N/P ratio of 20 and at two different DNA concentrations. Polyplexes were prepared in HBG and HBS buffer. Data are shown as mean±SD (n=3). Size and zeta potential of the polyplexes were measured after 30 min incubation at room temperature.

	DNA Concentration	
	10 µg/mL	20 µg/mL
Zeta potential (mV)	22.4±0.3	26.0±0.4
Particle size in HBG buffer (nm)	87±5	127±1
Particle size in HBS buffer (nm)	320±9	588±52



**Figure 6.** Viability (A) and expression of  $\beta$ -galactosidase (B) after transfection of HeLa cells with PAEEI polyplexes with 0.25 and 0.5 µg DNA per well. Polyplexes were prepared in HBG or HBS. The polyplexes were incubated with the cells in the presence or absence of 10% FBS. Linear PEI 22 kDa (LPEI 22) polyplexes were used as control. Data are shown as mean±SD of three experiments.



**Figure 7.** Expression of  $\beta$ -galactosidase after transfection of HEK293T (A) and CHO (B) cells using PAEEI based polyplexes in the presence of 10% serum and with 0.25 and 0.5  $\mu$ g DNA per well and N/P ratio of 20. Linear PEI 22 kDa polyplexes (N/P ratios of 6 and 10) were used as control. Polyplexes were prepared in HBG (A1, B1) and HBS (A2, B2). Data are shown as mean $\pm$ SD (n=3).

Polyplexes of PAEEI showed its highest transfection at N/P ratio of 20 in most of the experiments. The decrease of transfection efficiency at N/P ratio higher than 20 is obviously ascribed to the toxicity of the polyplexes at this concentration (Figure 6). Also, dispersion of PAEEI based polyplexes with a two times higher DNA dose in the same N/P ratio showed transfection activity at the same or even higher extent as compared to polyplexes of lower DNA concentration (10  $\mu$ g/mL) in HeLa cells (Figure 6B). Moreover, like that of linear PEI,<sup>42</sup> the polyplexes made with PAEEI showed activity in the presence of serum.

The transfection activity of PAEEI polyplexes was also evaluated in HEK293T and CHO cells (Figure 7). The transfections were performed in the presence of 10% serum with polyplexes made in both HBG and HBS buffers. The PAEEI polyplexes prepared in both HBG and HBS buffers displayed comparable transfection activity as linear PEI 22 kDa systems.

## CONCLUSIONS

The results shown in this paper demonstrate that polyplexes based on PAEEI 10 kDa have similar transfection capability to systems based on linear PEI 22 kDa, considered the golden standard of polymeric gene delivery, both in the absence and presence of serum. Taking notice to the fact that the gene delivery efficiency of LPEI molecules with smaller sizes than 22 kDa is less than that of LPEI 22 as the golden standard, it is concluded that higher buffering capacity resulting from more protonatable nitrogen per monomeric unit in PAEEI (twice that of LPEI) compensated the impact of its smaller molecular size on the efficiency of gene delivery. Hence, this polymer which is able to make particles with smaller sizes and higher colloidal stability is an attractive candidate for future in vivo gene delivery studies.

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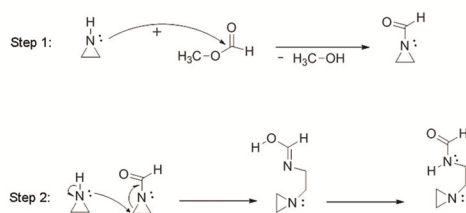
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## Supporting Information

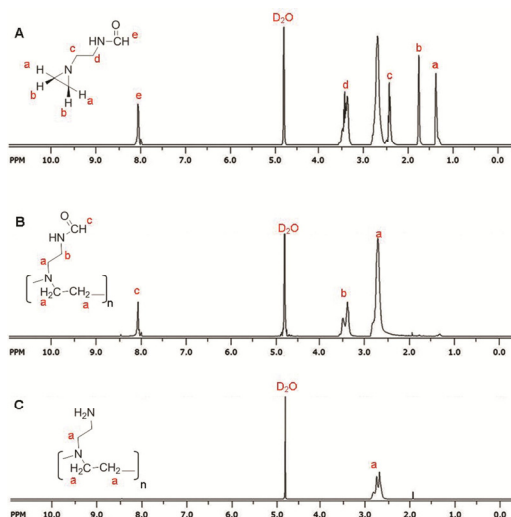
Figure 1S illustrates a suggested mechanism for synthesis of N-(2-(1'-aziridino)ethyl)formamide Based on the well-known mechanism of nucleophilic addition (41).

Figure 2S depicts the assigned positions of the different peaks of  $^1\text{H}$  NMR spectra of the monomer and the polymers. The peaks at 1.3, 1.7 and 2.4 ppm are specific for the monomer. The peaks at 1.3 and 1.7 ppm are assigned to the methylene groups in the ring of aziridine and the peak at 2.4 ppm is related to the methylene group attached to the N of aziridine out of the ring. Both N-(2-(1'-aziridino)ethyl)formamide and PFEEI show peaks at 8.1 and 3.4 ppm, which are assigned to the amide group and the methylene group next to it, respectively. The peak at 2.7 ppm, assigned to the methylene groups directly attached to the N atoms in the backbone, is present in the spectra of both PFEEI and PAEEI. The peak at 2.7 ppm in the spectrum of the monomer is ascribed to the presence of oligomers that are formed either during monomer synthesis or during its purification.

The  $^1\text{H}$  NMR spectrum of PAEEI obtained after extensive dialysis demonstrates that the amide groups were quantitatively removed by basic hydrolysis because the peak at 8.1 ppm, which is assigned to the amide group, is not detected.



**Figure 1S.** Suggested mechanism for the synthesis of N-(2-(1'-aziridino)ethyl)formamide. Step 1: Aminolysis of methylformate by aziridine. Step 2: Nucleophilic attack of formylated aziridine.



**Figure 2S.**  $^1\text{H}$  NMR (300 MHz) Spectra of N-(2-(1'-aziridino)ethyl)formamide, PFEEI and PAEEI in D<sub>2</sub>O.